

PD-TAX-628

**USAID - UNICEF
GRANT AGREEMENT**

*UNIVERSAL IMMUNIZATION PROGRAMME (UIP)
INDIA*

**MARCH 1988
NEW DELHI, INDIA**



Mr. James P. Grant
Executive Director
United Nations Children's Fund
73 Lodi Estate
New Delhi 110 003.

Subject: Grant No. 386-0504-G-IN-6099-00
Appropriation No. 72-1151021 & 72-11X1021
BPC QDAA-86-27386-CG13 & QDAX-86-27386-FG13

Dear Mr. Grant,

1. I have the honour to refer to (i) Document: UNICEF Proposals for Supplementary Funding 1987 (pages 203-207) relating to support of child survival activities; (ii) Document Universal Immunization Programme (UIP) India - Proposal for supplementary Funding (1987-1989) and (iii) Letter of 15/2/88 from Mr. David P. Haxton to Mr. Robert N. Bakley.
2. I am pleased to inform you that, pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Government of the United States of America, acting through the Agency for International Development (hereinafter referred to as AID) hereby grants to the United Nations Children's fund (hereinafter referred to as Grantee or UNICEF) the sum of \$25,500,000 to be used for support of a programme of child survival activities that focus specifically on the major causes of infant and young child morbidity and mortality as summarised in Attachment A.
3. a) The effective date of the Grant is 1 January 1988. The expiration date of this Grant is 31 March 1990.
b) Funds obligated hereunder are available for commitments made by UNICEF in support of the programme for the estimated period of the grant.
4. The total estimated amount of this Grant for the period shown in paragraph 3(a) is \$25,500,000. AID hereby obligates the amount of \$20,500,000 for programme expenditures during the period set forth in paragraph 3(d) above and as shown in the Technical Assistance budget provided in Attachment C(ii). Additional funds up to the total estimated amount of the Grant may be obligated by AID subject to the availability of funds.
5. It is understood that financial records, including documentation to support entries on accounting records and to substantiate charges to this Grant shall be maintained in accordance with the Grantee's accounting practices. All such financial records shall be maintained for at least 3 years after final disbursement of funds under this Grant.

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6. The Grantee confirms that the project account will be audited in accordance with established procedures under the appropriate provisions of the financial regulations and rules of the United Nations. AID will be provided, as soon as published, with copies of any relevant auditor's reports of the United Nations Board of Auditors relating to all funds administered by UNICEF, including those covered by this Grant, in accordance with the financial regulations of the United Nations, along with such other related information as may be requested by AID with respect to questions arising from such reports.
7. It is understood that the funds granted hereunder shall be disbursed through a Letter of Credit as set forth in Attachment B hereto entitled Payment Provisions.
8. The parties agree that this Grant, and the activities financed therewith, shall be managed by the Grantee in accordance with its established policies and procedures. The proposed budget for the Universal Immunization Program is provided in Attachment C.
9. If the use of the Grant funds results in the accrual of interest to the Grantee or to any other person to whom the Grantee makes such funds available to in carrying out the purposes of this grant, the Grantee shall refund to AID any amount of interest earned.
10. The Grantee shall prepare and submit to AID the required financial and technical reports in accordance with the schedule set forth in Attachments B and D.
11. This Grant shall be subject to the following covenants:
 - (a) None of the funds made available shall be used to replace assistance currently committed by UNICEF for this programme from General Resources for India.
 - (b) UNICEF agrees to continue efforts to encourage national and state level planning by the Government of India.
 - (c) UNICEF agrees to give appropriate publicity to the AID Grant Assistance and the project as a programme to which the United States Government has contributed.
 - (d) UNICEF agrees, with the intent of strengthening India's domestic vaccine production capacity, to make its best efforts to procure measles vaccine from local producers in India when the quality of such vaccine meets World Health Organization (WHO) technical standards and the price offered is within the range of what is normally accepted under UNICEF procurement procedures.

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12. This agreement, in whole or in part, may be terminated by either party at any time upon 30 days written notice. This agreement may be revised only by the written mutual consent of the parties hereto.
13. Please indicate your acceptance of this grant by signing the original and six copies of this letter in the space provided below and returning the original and four copies to the Grant Officer. Two copies may be retained for your files.
14. The AID technical office responsible for monitoring this Grant is The Office of Health Services.

THE UNITED STATES OF AMERICA

John Gunther Dean
By : John Gunther Dean

Title : Ambassador of the United States to India

Date : 03/25/88

Attachments:

- A. Programme Description
- B. Payment Provisions
- C. Budget
- D. Reporting Requirements.

ACCEPTED:

UNITED NATIONS CHILDREN'S FUND

James P. Grant
BY : James P. Grant

Title : Executive Director, UNICEF,

Date : 03/25/88

PROGRAM DESCRIPTION

The Child Survival activities to be financed by the A.I.D. Grant are included in the UNICEF assisted program to support the Government of India (GOI), as described in the joint UNICEF-GOI Master Plan of Operations (MPO) 1985-1990, Universal Immunization Programme (UIP) which is the major single component of the MPO is already in the process of implementation. The basic concept of the UIP is to increase immunization coverage on a phased basis in selected districts to achieve and sustain universal coverage for the country as a whole not later than 1990. The proposed schedule for implementation of the UIP in all the districts is as follows:

<u>Year (IFY)</u>	<u>No. of new districts</u>	<u>Cumulative districts for UIP</u>
1985-86	30	30
1986-87	60	90
1987-88	90	180
1988-89	120	300
1989-90	120	420

The salient features of the UIP are summarized as follows:

- The target groups are infants under one year of age and women of child bearing age (with special emphasis on pregnant women).
- Detailed, district-specific, planning exercises are undertaken on the basis of which additional resources are provided.
- The strategy is pre-identification and pre-registration of all eligible beneficiaries (village by village) and to actively reach them, through a combination of outreach operations and fixed centers services.
- Time-bound schedules are prepared for training each and every worker. (In 1985 it meant training nearly 2000 doctors and 20,000 paramedical workers).
- Active inter-sectoral coordination is stressed for optimal utilization of existing resources.
- Establishing disease reduction targets and monthly follow-up of program progress and feedback are included.
- Surveillance, monitoring, evaluation and maintenance of high coverage levels are important points in the planning process.
- A major emphasis is on demand creation.

- There is a strong political commitment for the program. (On the 19th November 1985 the Prime Minister of India dedicated the program to the memory of late Mrs. Indira Gandhi, and he reportedly is receiving regular reports of the program's progress).

The funds to be provided to UNICEF under this grant will meet the incremental capital and operating costs related to the implementation of the UIP as periodically agreed between GOI and UNICEF, as well as provide technical assistance to UNICEF related to its activities in support of child survival. (Note: Incremental capital and operating costs refer to the additional inputs to be made by external donors of approx. \$106 million to the Universal Immunization Program for which the Government of India has budgeted about \$323 million). Up to \$25 million will be used for UIP and up to \$0.5 million for technical assistance. UIP implementation assistance includes the following supplies and equipment, training and seminars, salary support, planning and consultancies. (See also Universal Immunization Program (UIP) India - Proposal for Supplementary Funding (1987 - 1989), especially Annexes 6, 13, 14, and pages 27-29).

An illustration of estimated commodity quantities required for programme implementation for 1988 and 1989 is given below:

S.No.	Item	Estimated Quantity	
		1988	1989
1.	Icelined Refrigerators	2,640	2,640
2.	Deep Freezers	2,640	2,640
3.	Cold Boxes	2,640	2,640
4.	Vaccine Carriers	33,000	33,000
5.	Spare Ice-packs	240,000	240,000
6.	Syringe 1 ml	31,500,000	31,500,000
7.	Syringe 0.1 ml	840,000	840,000
8.	Syringe 5 ml	240,000	240,000
9.	Needles 23G	25,200,000	25,200,000
10.	Needles 26G	10,080,000	10,080,000
11.	Needles 10/20G	1,920,000	1,920,000
12.	Pressure Sterilizers	32,400	32,400
13.	Kerosene Stoves	32,400	32,400
14.	Measles Vaccine (Vial)	720,000	720,000
15.	Mid-level Training Modules	8,400	
16.	Paramedical Worker Manual	72,000	
17.	Enumeration Registers	228,000	
18.	Dial Thermometers	3,000	
19.	Refrigerator Record Books	9,000	
20.	Posters	3,192,000	
21.	Immunization Cards	14,400,000	
22.	Miscellaneous (Registers, Posters, etc.)		

Technical assistance under this grant will assist in the planning, implementation and evaluation of joint UNICEF/GOI programs in child health and nutrition. It will include assistance in:

- (1) preparation and periodic review and revision of comprehensive plans for child survival programmes under the MPO;
- (2) design of curriculum, design and production of appropriate training materials, implementation of training activities of all levels of health workers involved in child survival programs;
- (3) design, testing, fielding and operation of improved information system for management and monitoring of health and nutrition programs;
- (4) guidance in concept, research design, analysis, interpretation and presentation of appropriate research efforts related to issues in child survival, health and nutrition; and other appropriate activities to improve child survival and development.

The UNICEF Regional Director will submit an annual workplan to USAID, describing the activities, level of effort and expected outputs to be undertaken under the TA portion of this grant, and an annual report documenting the contribution and accomplishments of this assistance towards the program goals.

PAYMENT PROVISIONS

Payment under this grant shall be by means of a Letter of Credit (LOC) in accordance with the terms and conditions of the LOC and any instructions issued by A.I.D.'s Office of Financial Management, Program Accounting and Finance Division (M/FM/PAFD).

As long as the LOC is in effect, the terms and conditions of the LOC and any instructions issued by M/FM/PAFD constitute payment conditions of this grant, superseding and taking precedence over any other provision of this grant concerning payment.

A "Financial Status Report" SF-269 (Annex 1) shall be prepared on an accrual basis and submitted semi-annually no later than 30 days after the end of the period, in an original and two copies to AID/M/FM/PAFD, Washington, D.C. 20523 and copy to USAID/New Delhi. If the grantee's accounting records are not normally kept on the accrual basis, the grantee shall not be required to convert its accounting system, but shall develop such accrual information through best estimates based on an analysis of the documentation on hand. The final report must be submitted within 90 days after the conclusion of the grant to M/FM/PAFD. A copy of all reports will be sent simultaneously to the Controller, USAID/New Delhi.

The grantee shall submit an original and one copy of SF-272, "Federal Cash Transactions Report," (Annex 2) within 15 working days following the end of each quarter to M/FM/PAFD and copy to USAID/New Delhi. Grantees shall report all cash advances in the Remarks section of SF-272. Those cash advances in excess of immediate disbursement requirements in the hands of subrecipients of the grantee's field organizations shall be supported by short narrative explanations of action taken by the grantee to reduce the excess balances.

Revocation of the LOC is at the discretion of the authorized LOC certifying officer of M/FM/PAFD after consultation with the Grant Officer. Notification of revocation must be in writing and must specify the reason for revocation. M/FM/PAFD shall provide the Grant Officer a copy of the revocation notice and a recommendation for an alternative method of payment (periodic advance or cost reimbursement) based upon the reasons for the revocation. The grant officer shall immediately amend this agreement to provide for an appropriate alternative method of payment. The recipient may appeal any such revocation to the grant officer. The Grant Officer is the Mission Director, USAID/New Delhi, or the person acting in that capacity.

BUDGET

The total budget for the external financing of the UIP programme is estimated to be \$ 106,000,000

This amount will be funded as follows:

USAID	\$ 25,000,000
SIDA	\$ 33,000,000
CIDA	\$ 26,000,000
UNICEF	\$ 7,000,000
Other, as yet unidentified	\$ 15,000,000
Total	\$ 106,000,000

FISCAL DATA

Appropriation^f: 72-1161021
EPC : QDAA-86-27386-CG13
Reservation# : P610378 \$ 500,000.00
" : P640376 \$14,000,000.00

Appropriation : 72-11X1021
BPC : QDAX-86-27386-FG15
Reservation# : P640377 \$6,000,000.00

FUNDS AVAILABLE-US AID/1
CHECKED BY.....
AUTHORIZED BY.....

TECHNICAL ASSISTANCE

The Budget for the Technical Assistance package is estimated to be

\$ 600,000

This amount will be provided as follows:

USAID

\$ 500,000

UNICEF

\$ 100,000

Total

\$ 600,000

REPORTING REQUIREMENTS

Financial Reports

In addition to the reports to be submitted as required by the payment clause of this Grant, the Grantee shall submit on an annual basis a consolidated report giving the financial status of the UIP programme. The report will provide details of total planned incremental costs for the UNICEF-supported Universal Immunization Programme as of that date; the total donor assistance projected, pledged and received for it; and explanations for any major shortfalls (over 20%) in the funding, its effect on the programme, and the prospects of such shortfalls being recouped from donors in the ensuing year.

Technical Reports

Technical reports will be required by AID according to the following schedule:

- First progress report due October 31, 1988, will cover inputs, outputs, and effectiveness through September 30, 1988. It will also include an evaluation plan covering baseline or pre-project information on the indicators listed below under the section entitled "Required Descriptive Information" as well as anticipated project inputs and outputs through November 30, 1989. The report will also include overall size of target population and expected project outcomes, budget, rationale and implementation plan.
- Second progress report due October 31, 1989, will cover inputs, outputs, and effectiveness through September 30, 1989.
- Final progress report due 30 April 1990, will cover inputs, outputs, and effectiveness through 31 March 1990.
- Should the UIP be extended beyond 31 March 1990 UNICEF shall continue to provide USAID/New Delhi with technical report on a semi-annual basis.

UNICEF, with the concurrence of GOI, will arrange for at least two in-depth impact evaluations of the country Universal Immunization Programs. Grant funds may be used for these evaluation. Each evaluation team, with the concurrence of GOI, should include representations from UNICEF, WHO, GOI and USAID. AID will require the following information from UNICEF on an agreed-upon frequency.

Required Descriptive Information

- Estimated population in project area each year;
- Estimated 0-60 month population in project area each year;
- Estimated number of births in project area each year;
- Estimated number of pregnancies in project area each year;

Number of service units and distribution points in project area by type and total each year; and

Number of health workers in project area by type and total each year;

In addition the following information will be required about the UIP:

Immunization

Inputs	Resources budgeted
	Annual Estimated expenditures
	Number of doses procured by type of vaccine
Outputs	Number and percent of workforce trained.
	Number and percent of service units with effective cold chain.
	Number and percent of service units with effective vaccines.
	Number of doses of vaccines administered by type of immunization.
Effectiveness*	Percent of children immunized at 12 months based on actual pre-registration as opposed to population estimates, by type of immunization.
	Percent of children immunized at 14 months based on actual pre-registration as opposed to population estimates, by type of immunization.
	Vaccine quality
Impact	Number of cases of immunizable diseases seen per year at service units.

FINANCIAL STATUS REPORT

(Follow instructions on the back)

1. FEDERAL AGENCY AND ORGANIZATIONAL ELEMENT TO WHICH REPORT IS SUBMITTED		2. FEDERAL GRANT OR OTHER IDENTIFYING NUMBER		OMB Approved No. 85-RG180	PAGE OF
3. RECIPIENT ORGANIZATION (Name and complete address, including ZIP code)		4. EMPLOYER IDENTIFICATION NUMBER		5. FINAL REPORT <input type="checkbox"/> YES <input type="checkbox"/> NO	
6. PROJECT/GRANT PERIOD (See instructions)		7. BASIS <input type="checkbox"/> CASH <input type="checkbox"/> ACCRUAL		8. PERIOD COVERED BY THIS REPORT	
FROM (Month, day, year)		TO (Month, day, year)		FROM (Month, day, year)	
				TO (Month, day, year)	

PROGRAMS/FUNCTIONS/ACTIVITIES ▶	STATUS OF FUNDS							TOTAL (f)
	(a) **	(b) **	(c) **	(d) **	(e) **	(f) **		
a. Net outlays previously reported	\$	\$	\$	\$	\$	\$	\$	
b. Total outlays this report period								
c. Less: Program income credits								
d. Net outlays this report period (Line b minus line c)								
e. Net outlays to date (Line a plus line d)								
f. Less: Non-Federal share of outlays								
g. Total Federal share of outlays (Line e minus line f)								
h. Total unliquidated obligations								
i. Less: Non-Federal share of unliquidated obligations shown on line h								
j. Federal share of unliquidated obligations								
k. Total Federal share of outlays and unliquidated obligations								
l. Total cumulative amount of Federal funds authorized								
m. Unobligated balance of Federal funds								

11. PROJECT EMPLOYEE	a. TYPE OF RATE (Place "X" in appropriate box) <input type="checkbox"/> PROVISIONAL <input type="checkbox"/> PREDETERMINED <input type="checkbox"/> FINAL <input type="checkbox"/> FIXED				12. CERTIFICATION I certify to the best of my knowledge and belief that this report is correct and complete and that all outlays and unliquidated obligations are for the purposes set forth in the award documents.	SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL	DATE REPORT SUBMITTED
	b. RATE	BASE	c. TOTAL AMOUNT	d. FEDERAL SHARE			
13. REMARKS: Attach any organizational demand necessary or information required by Federal procuring agency to comply with prevailing legislation.					TYPED OR PRINTED NAME AND TITLE	TELEPHONE (Area code, number and extension)	

** By project input

STANDARD FORM 269 (7-76)
Prescribed by Office of Management and Budget
CIRCULAR NO. A-110

ANNEXURE - 1

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FEDERAL CASH TRANSACTIONS REPORT

(See instructions on the back. If report is for more than one grant or assistance agreement, attach completed Standard Form 272-A.)

Approved by Office of Management and Budget, No. 80-R0182

1. Federal sponsoring agency and organizational element to which this report is submitted

2. RECIPIENT ORGANIZATION

Name :

Number and Street :

City, State and ZIP Code :

3. FEDERAL EMPLOYER IDENTIFICATION NO.

4. Federal grant or other identification number

5. Recipient's account number or identifying number

6. Letter of credit number

7. Last payment voucher number

Give total number for this period

8. Payment Vouchers credited to your account

9. Treasury checks received (whether or not deposited)

10. PERIOD COVERED BY THIS REPORT

FROM (month, day, year)

TO (month, day year)

11. STATUS OF FEDERAL CASH

(See specific instructions on the back)

a. Cash on hand beginning of reporting period

\$

b. Letter of credit withdrawals

c. Treasury check payments

d. Total receipts (Sum of lines b and c)

e. Total cash available (Sum of lines a and d)

f. Gross disbursements

g. Federal share of program income

h. Net disbursements (Line f minus line g)

i. Adjustments of prior periods

j. Cash on hand end of period

\$

12. THE AMOUNT SHOWN ON LINE 11J, ABOVE, REPRESENTS CASH REQUIREMENTS FOR THE ENSUING

Days

13. OTHER INFORMATION

a. Interest income

\$

b. Advances to subgrantees or subcontractors

\$

14. REMARKS (Attach additional sheets of plain paper, if more space is required)

15. CERTIFICATION

I certify to the best of my knowledge and belief that this report is true in all respects and that all disbursements have been made for the purpose and conditions of the grant or agreement

AUTHORIZED CERTIFYING OFFICIAL

SIGNATURE

DATE REPORT SUBMITTED

TYPED OR PRINTED NAME AND TITLE

TELEPHONE

(Area Code)

(Number)

(Extension)

THIS SPACE FOR AGENCY USE

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