

PROJECT DATA SHEET

A = Add
C = Change
D = Delete

Amendment Number

CODE

3

2. COUNTRY/ENTITY

Worldwide

1. PROJECT NUMBER

931-5391

PD-AMU-212

4. BUREAU/OFFICE

3. PROJECT TITLE (maximum 40 characters)

Research on Access to Land, Water and Natural Resources

S&T/RD/RRD

-0-

6. PROJECT ASSISTANCE COMPLETION DATE (PACD)

MM DD YY
07 31 89

7. ESTIMATED DATE OF OBLIGATION

(Under "B." below, enter 1, 2, 3, or 4)

A. Initial FY 79

B. Quarter 2

C. Final FY 89

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	500		500	6,150		6,150
(Grant)	(500)	()	(500)	(6,150)	()	(6,150)
(Loan)	()	()	()	()	()	()
Other U.S.						
1. Reg. Bureaus/Missions	1,200		1,200	8,639		8,639
2.						
Host Country						
Other Donor(s)						
TOTALS	1,700		1,700	14,789		14,789

9. SCHEDULE OF AID FUNDING (\$000)

A. APPROPRIATION PURPOSE	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH. CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1) FN (ST)				5,090				6,150	
(2) Reg. Bur./Mission									
(3)									
(4)									
TOTALS				5,090				6,150	

10. SECONDARY TECHNICAL CODES (maximum 5 codes of 3 positions each)

963 031 055

11. SECONDARY PURPOSE

12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each)

A. Code
B. Amount

13. PROJECT PURPOSE (maximum 480 characters)

To assist IDC governments in addressing land tenure constraints to equitable and efficient use of land; and to help develop approaches for improving the rural poor's access to land, water, and natural resources and enhancing the land-holding security of small operators.

14. SCHEDULED EVALUATIONS

Interim MM YY Final MM YY
0 1 8 7 1 2 8 8

15. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 Local Other (Specify)

16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of 1 page PP Amendments)

This authorization raises the ceiling for Regional Bureau/Mission incremental funding by \$830,000 from \$7,809,000 to \$8,639,000 for additional research and training; and raises the total LOP by \$830,000 from \$13,959,000 to \$14,789,000.

17. APPROVED BY

Signature

Eric Chetwynd, Jr.

Title

Acting Director, AID/S&T/RD
Office of Rural & Institutional Dev.

Date Signed

MM DD YY
10 18 03 8 17

18. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION

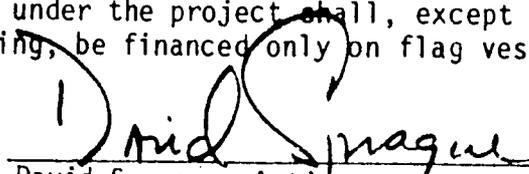
MM DD YY

Project Authorization Amendment

Name of Country/Entity: Worldwide
Name of Project: Research on Access to Land, Water, and Natural Resources
Number of Project: 936-5301

1. Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, the "ACCESS" project was authorized on August 8, 1979. The authorization was last amended on September 23, 1986, to increase the core life-of-project funding to \$6,150,000, increase Regional Bureau/Mission funding to \$7,809,000, and extend the life-of-project (LOP) through July 31, 1989. That authorization is hereby amended as follows:

- a. The authorized ceiling on Regional Bureau and Mission incremental funding is increased by \$830,000, from \$7,809,000 to \$8,639,000.
 - b. The total life-of-project funding ceiling is raised by \$830,000 from \$13,959,000 to \$14,789,000.
 - c. Authorization is given to raise the ceiling on the Cooperative Agreement from \$6,260,737 to \$7,090,737.
2. The authorization cited above remains in force except as hereby agreed.
3. Source and Origin of Goods and Services:
- a. Each developing country where training or other assistance takes place under this project shall be deemed to be a cooperating country for the purpose of permitting local cost funding.
 - b. Goods and services, except for ocean shipping, financed by A.I.D. under the project shall have their source and origin in a cooperating country or in the United States except as A.I.D. may otherwise agree in writing.
 - c. Ocean shipping financed A.I.D. under the project shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of the United States.



David Sprague, Acting
Agency Director for Human Resources
Bureau for Science and Technology

Date

Sept. 11, 1987

Clearance: S&T/PO, GGower Kmfu date 9/8/87


Drafted by: S&T/RD/RRD:DAW: SAY: 7/9/87: W3393n

July 13, 1987

ACTION MEMORANDUM FOR THE AGENCY DIRECTOR FOR HUMAN RESOURCES

FROM: S&T/RD, Eric Chetwynd, Jr. *EC*

SUBJECT: Modification of cooperative agreement with the University of Wisconsin Land Tenure Center under Project #936-5301, Research on Access to Land, Water, and Natural Resources (Doc. No. 0008)

Problem: Your approval is requested to raise the ceiling for mission and regional bureau incremental funding for research under the ACCESS project and the Land Tenure Center (LTC) cooperative agreement by \$830,000 (thereby raising the cooperative agreement regional bureau/mission ceiling from \$2,888,179 to \$3,718,179; the total cooperative agreement ceiling from \$6,260,737 to \$7,090,737; and the project's total LOP level from \$13,959,000 to \$14,789,000.)

Discussion: An FY86 Action Memo and PAF of September 23, 1986 (Attachment 1) raised the ceiling and extended the termination date for the ACCESS project and the cooperative agreement signed under that project with the University of Wisconsin Land Tenure Center (LTC). At that time S&T/RD attempted to estimate with as much precision as possible the anticipated level of mission and regional bureau incremental funding for land tenure research through the cooperative agreement for the remaining life of project. S&T/RD was cautious and conservative in its estimates, recognizing the sensitivities of the Office of Procurement to overestimates of incremental funding interest by missions and regional bureaus which subsequently might not materialize.

Since that time, two major land tenure research activities have been developed by regional bureaus (AFR) and missions (Guatemala) which need to be implemented through the cooperative agreement with LTC. Each one is in an important common theme area of the project and the cooperative agreement, and would substantially complement and strengthen research now being conducted under the cooperative agreement. Known PIO/T's in progress in various missions and bureaus, combined with a known FY88 LAC obligation as part of the LAC Bureau's Tenure Security and Land Markets research PP, now leave room for only a few very small incremental funding activities between now and the end of the current cooperative agreement (approximately \$150,000). Raising the cooperative agreement and project ceiling on non-core funding is needed to accomodate all activities now being planned under the research program.

Africa Bureau, as a result of the recent LTC evaluation and Congressional pressure to pay greater attention to African environmental concerns, has prepared a PIO/T for \$500,000 to LTC through the cooperative agreement for research on land tenure's relationship to natural resource management and sustainable agricultural development. This fits within two common themes under the cooperative agreement, the marginal lands and tenure security/land registration common themes. The cooperative agreement ceiling, which was raised to \$6,260,737 via the September 23, 1986 PAF amendment, is not now sufficient to permit this AFR funding to be used in the cooperative agreement.

This substantial incremental funding by AFR was completely unanticipated when S&T/RD prepared the Action Memo and PAF to raise the project's incremental funding ceiling last year. The impetus for this Africa Bureau funding came after the Action Memo and PAF were approved. This Africa Bureau activity is, however, a very important one which is right in line with project and Office concerns, and it needs to be implemented through the LTC cooperative agreement. We are therefore requesting that you approve an increase in the cooperative agreement incremental funding ceiling of \$500,000 to permit the AFR-funded activity to take place.

The other research activity to be funded, this one from USAID/Guatemala, has been developed rapidly and unexpectedly in the past two months as the result of a Congressional earmark last year for a major bilateral land market activity. The USAID has recently decided to use \$330,000 of the earmarked funds in FY88 to gather critical land tenure information needed for the successful implementation of the bilateral project. The required research, focusing on land market performance, is highly complementary with one of the ACCESS project's most important but most difficult common themes. Not to use the opportunity presented by the Guatemala research would do damage to the research output of the land markets common theme. Again, the cooperative agreement and project non-core ceilings need to be raised in order to be able to accomodate this Guatemala research.

Recommendation: That you:

(a) Approve an \$830,000 increase in the Regional Bureau/Mission ceiling in both the cooperative agreement with the University of Wisconsin Land Tenure Center and the project authorization level.

(b) Sign the attached project authorization amendment.

Approved: _____

David Sprague

Disapproved: _____

Date: _____

Sept. 11, 1987

Attachment: September 23, 1986 Action Memo and PAF

Clearances:

S&T/RD/RRD, JGrayzel *JG* date *7/13/87*
S&T/RD,RMcCLusky *RSM* date *7/30/87*
S&T/PO,CColeman *CC* date *8/18/87*
S&T/PO,GGower *GG* date *9/8/87*

Drafted: S&T/RD, David Atwood; 5-8-87; W3265n

ATTACHMENT I

ACTION MEMORANDUM FOR THE ACTING AGENCY DIRECTOR FOR HUMAN RESOURCES

From: S&T/RD, Eric Chetwynd, Jr. (Acting)

Subject: Amendment of Research on Access to Land, Water, and Natural Resources Project (936-5301) (Doc. #. 0004)

Problem : Your authorization is required, as designee of the Senior Assistant Administrator for Science and Technology, to accomplish the following modifications in the "Research on Access to Land, Water, and Natural Resources" Project (936-5301):

- a) Raise the ceiling for S&T core funding by \$860,000, from \$5,290,000 to \$6,150,000;
- b) Raise the ceiling for incremental Regional Bureau and Mission funding by \$1,231,000 from \$6,578,000 to \$7,809,000 (thereby raising the ceiling for incremental Regional Bureau and Mission funding for research and training by an equivalent \$1,231,000 from \$1,800,000 to \$3,031,000);
- c) Raise the ceiling for total life of project funding by \$2,091,000 (\$860,000 in core S&T funding and \$1,231,000 in Regional Bureau and Mission funding) from \$11,868,000 to \$13,959,000;
- d) Raise the ceiling in the Cooperative Agreement with the University of Wisconsin Land Tenure Center (LTC) by \$2,091,000 (\$860,000 in core S&T funding and \$1,231,000 in incremental Mission/Regional Bureau funding) from \$4,169,737 to \$6,260,737;
- e) Extend the Project Assistance Completion Date (PACD) by 16 months from March 31, 1988 to July 31, 1989; and
- f) Extend the Cooperative Agreement with the LTC by 16 months from March 31, 1988 to July 31, 1989.

Discussion: The "Research on Access to Land, Water, and Natural Resources" project is implemented through a Cooperative Agreement and a companion Ordering Agreement, both with the University of Wisconsin Land Tenure Center (LTC). The Cooperative Agreement, discussed in this memorandum, is for AID assistance to LTC in land tenure research. The Ordering Agreement is for USAID or Regional Bureau procurement of land tenure consulting services via work orders. At present the Cooperative Agreement and the Ordering Agreement terminate March 31, 1988. No changes are requested in the Ordering Agreement.

The original project was authorized in August 1979. The project will be ten years old in August 1989. In FY84, S&T/RD worked closely with AFR, LAC, and a number of USAID Missions in amending the project. The amended project, as well as the Cooperative Agreement and Ordering Agreement, reflect priority Regional Bureau and USAID Mission concerns in the area of land tenure.

As a result of our collaboration with Regional Bureaus and Missions in planning the amended project, Regional Bureau and USAID Mission interests in supporting land tenure research has been much greater than we anticipated when preparing the Cooperative Agreement. The project was ranked number one by Regional Bureaus in their recent evaluation of the S&T/RD portfolio.

Within the next 18 months AID Missions or Regional Bureaus will provide funds to assist the University of Wisconsin Land Tenure Center (LTC) in its research program on land tenure in developing countries. In most cases, USAID's or Regional Bureaus have already identified line items in specific projects to fund such research and have made formal or informal commitments to S&T/RD.

The amount of these and past obligations will exceed the ceiling in the current Cooperative Agreement, and the completion date for some of these activities will be after the current March 1988 termination date of the Cooperative Agreement. In addition, increased FY86 funding by S&T/RD (including a substantial OYB transfer from Africa Bureau) means that S&T's anticipated FY87 obligation will exceed the currently authorized ceiling on S&T obligations for the project.

Projecting through FY88, S&T obligations under the project and the Cooperative Agreement are expected to exceed the current authorized levels by \$860,000. Past and planned incremental funding of the Cooperative Agreement by Regional Bureaus and Missions through FY88 is expected to exceed the authorized level by \$1,231,000. Therefore core life-of-project funding needs to be raised by \$860,000 and overall life-of-project funding needs to be raised by \$2,091,000.

In addition four obligations to be made by Regional Bureaus and Missions in FY 1986 and FY 1987 are to support LTC research activities which will continue beyond the current March 1988 termination date of the Cooperative Agreement. Research funded by two of these obligations will be completed by September 30, 1988; research funded by the remaining two will be completed by July 31, 1989. For Regional Bureaus and Missions to be able to obligate funds to support these four LTC research activities

under the Cooperative Agreement requires extending the termination date of the Cooperative Agreement by 16 months, to July 31, 1989. This in turn requires extending the PACD of the project, under which the Cooperative Agreement was written, by the same amount of time. Since the project was authorized on August 8, 1979, this extension is within the ten year time limit. Obligations beyond FY88 are not currently authorized because FY88 is the tenth year of the project.

Recommendation: That you sign the attached PAF authorizing the following: Raising the S&T core funding ceiling by \$860,000; raising the Regional Bureau and Mission incremental funding ceiling for research and training by \$1,231,000; raising the total life-of-project funding by \$2,091,000 (the total increase of S&T and incremental funding); raising the ceiling on the Cooperative Agreement by \$2,091,000; and extending the PACD and the Cooperative Agreement to July 31, 1989.

Attachment I: Obligations for Cooperative Agreement under "Access" Project

Clearances:	ST/RD/RRD, JGrayzel	(draft)	Date	9-11-86
	ST/RD, RMcClusky	<u>FSU</u>	Date	<u>9-16-86</u>
	LAC/DR/ARD, TKing	(draft)	Date	9-12-86
	AFR/TR/ARD, Creintsma	(draft)	Date	9-11-86
	ST/PO, Vanderson		Date	
	GC/CP, STisa		Date	<u>9/18/86</u>
	ST/PO, GGower		Date	

Drafted: ST/RD, DATwood; 9-15-86;W3023n

Attachment 1
Obligations for Cooperative Agreement under "Access" Project

I. S&T and Regional Bureau/Mission Obligations, FY79-FY88

	<u>S&T</u>	<u>Reg. Bureaus/Missions</u>
FY 79-83	2,760	—
FY 84	636	268
FY 85	1,000	590
FY 86	694	353
FY 87-88	1,060	1,820
<hr/>		
Total: 84-88	3,390	
79-88	6,150	3,031
<hr/>		
<hr/>		

II. Regional Bureaus/Missions FY87-88 Incremental Funding of C.A.

<u>Amount</u>	<u>Source</u>
70	(Somalia PIP project)
450	(LAC Land Markets project)
300	(St. Lucia Agricultural Stabilization project)
150	(Ecuador Land Titling project)
150	(Dominican Republic underdetermined)
700	(Other, underdetermined)
<u>1,820</u>	

Project Authorization Amendment

Name of Country/Entity: Worldwide
Name of Project : Research on Access to Land, Water, and Natural Resources
Number of Project : 936-5301

1. Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, the "ACCESS" project was authorized on August 8, 1979. The authorization was last amended on March 15, 1984 to increase the core life-of-project funding to \$5,290,000, increase Regional Bureau/Mission funding to \$6,578,000, and extend the life-of-project (LOP) through March 31, 1988. That authorization is hereby amended as follows:

a. The authorized core life-of-project funding is increased by \$860,000, from \$5,290,000 to \$6,150,000.

b. The authorized ceiling on Regional Bureau and Mission incremental funding is increased by \$1,231,000, from \$6,578,000 to \$7,809,000.

c. The total life-of-project funding ceiling is raised by \$2,091,000 from \$11,868,000 to \$13,959,000.

d. The PACD is extended to July 31, 1989.

e. Authorization is given to raise the ceiling on the Cooperative Agreement from \$4,169,737 to \$6,260,737.

f. Authorization is given to extend the termination date of the Cooperative Agreement from March 31, 1988 to July 31, 1989.

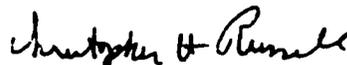
2. The authorization cited above remains in force except as hereby agreed.

3. Source and Origin of Goods and Services:

a. Each developing country where training or other assistance takes place under this project shall be deemed to be a cooperating country for the purpose of permitting local cost funding.

b. Goods and services, except for ocean shipping, financed by A.I.D. under the project shall have their source and origin in a cooperating country or in the United States except as A.I.D. may otherwise agree in writing.

c. Ocean shipping financed A.I.D. under the project shall, except as A.I.D. may otherwise agree in writing, be financed only on flag vessels of the United States.



Christopher H. Russell
Acting Agency Director for Human Resources
Bureau for Science and Technology

9/23/86

Date

Clearance: S&T/PO, GGower Km fm Date 9/23/86

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT DATA SHEET	1. TRANSACTION CODE <input type="checkbox"/> A = Add <input type="checkbox"/> C = Change <input type="checkbox"/> D = Delete	Amendment Number 3	DOCUMENT CODE 3
2. COUNTRY/ENTITY Worldwide	3. PROJECT NUMBER 936-5301		
4. BUREAU/OFFICE S&T/RD/RRD	5. PROJECT TITLE (maximum 40 characters) Research on Access to Land, Water, and Natural Resources		
6. PROJECT ASSISTANCE COMPLETION DATE (PACD) MM DD YY 07 31 89	7. ESTIMATED DATE OF OBLIGATION (Under "B." below, enter 1, 2, 3, or 4) A. Initial FY 79 B. Quarter 2 C. Final FY 89		

8. COSTS (\$000 OR EQUIVALENT \$1 =)

A. FUNDING SOURCE	FIRST FY 79			LIFE OF PROJECT		
	B. FX	C. L/C	D. Total	E. FX	F. L/C	G. Total
AID Appropriated Total	500		500	6,150		6,150
(Grant)	(500)	()	(500)	(6,150)	()	(6,150)
(Loan)	()	()	()	()	()	()
Other U.S. 1. Reg. Bureaus/Missions	1,200		1,200	7,809		7,809
2.						
Host Country						
Other Donor(s)						
TOTALS	1,700		1,700	13,959		13,959

9. SCHEDULE OF AID FUNDING (\$000)

A. APPRO. PRIORITATION	B. PRIMARY PURPOSE CODE	C. PRIMARY TECH CODE		D. OBLIGATIONS TO DATE		E. AMOUNT APPROVED THIS ACTION		F. LIFE OF PROJECT	
		1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan	1. Grant	2. Loan
(1)FN(ST)				5,090		860		6,150	
(2)Reg. Bur/Mission				5,639		1,231		7,809	
(3)									
(4)									
TOTALS				10,729		2,091		13,959	

10. SECONDARY TECHNICAL CODES (maximum 6 codes of 3 positions each) 963 031 055	11. SECONDARY PURPOSE
12. SPECIAL CONCERNS CODES (maximum 7 codes of 4 positions each) A. Code B. Amount	

13. PROJECT PURPOSE (maximum 480 characters)

To assist LDC governments in addressing land tenure constraints to equitable and efficient use of land, and to help develop approaches for improving the rural poor's access to land, water, and natural resources and enhancing the land-holding security of small operators.

14. SCHEDULED EVALUATIONS Interim MM YY MM YY Final MM YY 0 18 7 1 2 8 8	15. SOURCE/ORIGIN OF GOODS AND SERVICES <input type="checkbox"/> 000 <input type="checkbox"/> 941 <input type="checkbox"/> Local <input type="checkbox"/> Other (Specify)
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16. AMENDMENTS/NATURE OF CHANGE PROPOSED (This is page 1 of a _____ page PP Amendment.)

This authorization raises the S&T core ceiling by \$860,000 from \$5,290,000 to \$6,150,000; raises the ceiling for Regional Bureau/Mission incremental funding by \$1,231,000 from \$6,578,000 to \$7,809,000 for additional research and training; raises the total LOP by \$2,091,000 from \$11,868,000 to \$13,959,000; and extends the PACD to July 31, 1989.

17. APPROVED BY	Signature Eric Chetwynd, Jr.	18. DATE DOCUMENT RECI IN AID/W, OR FOR AID/W MENTS, DATE OF DISTRI MM DD YY
	Title Acting Director Office of Rural & Institutional Development	
	Date Signed MM DD YY 0 19	