



U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT  
USAID THAILAND  
BANGKOK, THAILAND

PD-AAU-586  
SN-48729

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CABLE : USAID THAILAND

TELEPHONE: 252-8191-9

August 21, 1986

Ms. Aporn Bukhamana  
Deputy Governor  
Bangkok Metropolitan Administration  
Din So Road  
Bangkok, Thailand

Grant Agreements

Dear Ms. Aporn:

Subject: Grant No. 940-1001.93-6-00-6083-00  
BMA Slum Upgrading Assistance  
AID Project No.: 940-1001.93

100/0012/01

In accordance with your letter of request dated 19 August 1986, the United States Agency for International Development (USAID) is pleased to provide financial support not to exceed the lesser of the baht equivalent of US\$18,000 or 468,000 baht for certain expenses in connection with BMA's slum upgrading program. These funds will be provided from the resources of USAID's Regional Housing and Urban Development Office (RHUDO) and will be used exclusively for activities specified in Attachment A and only for costs actually incurred consistent with all applicable laws and regulations and with the project description below. The effective date of this Agreement shall be August 20, 1986, and it shall continue in effect for twelve (12) months thereafter unless extended in writing by the parties hereto.

Grant funds are being made available hereunder for the following purposes:

- 1) A slum upgrading policy seminar to acquaint district officials with the issues involved in slum upgrading;
- 2) A ten-day training seminar for community development workers;
- 3) The purchase of a micro-processor and ancillary equipment for project support and monitoring in BMA's Slum Improvement Center;
- 4) Procurement of computer advisory services to assist in the purchase and installation of the micro-processor, and for software development and staff training; and

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- 5) Procurement of policy and planning advisory services to assist in formulating BMA's slum upgrading program.

The objective of expenditures on each of these activities will be to prepare BMA to assume slum upgrading responsibilities in 1987.

Purchase of automatic data processing equipment pursuant hereto will be subject to prior approval by RHUDO of all such equipment. Only equipment manufactured by firms eligible pursuant to applicable laws and regulations will be purchased. All consultants hired to provide services pursuant hereto will be locally-owned firms, Thai citizens, and/or U.S. firms or citizens, as required by applicable law.

Funds made available by this agreement shall be payable to BMA or to vendors upon satisfactory completion of any of the activities described above, provided that funds for the purchase of automatic data processing equipment will be payable upon presentation to USAID of invoices from an approved vendor for approved equipment as required in the preceding paragraph. At RHUDO's discretion, funds may be paid in whole or in part in advance of the the completion of approved activities where a written request from BMA establishes that other funds are not available to cover amounts due and payable by BMA.

Funds made available under this grant letter agreement shall be used in accordance with the terms and budget of Attachment A, Mandatory Standard Provisions from AID-1420-53(1-85), Attachment B-1, and Optional Standard Provisions 1, 3 through 11, and 17 through 20 of Form AID 1420-54 (1-85), included as Attachment B-2.

This letter and your approval of its terms, as evidenced by your signature in the space below, constitute a valid and binding agreement between BMA and the U.S. Government and will be the basis for the payment of funds to BMA. After signing the original and three copies of this letter, kindly forward them to Mr. Wanchai Sirirattna, Director-General, Department of Technical and Economic Cooperation, for his signature. You should then retain one copy for your records, and return the original and the other two copies to USAID.

We look forward with pleasure to the results of the project and to its program and policy products.

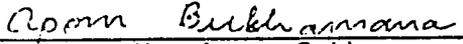
Sincerely,



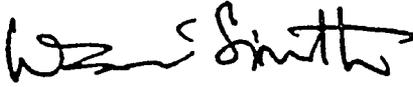
John R. Eriksson  
Director

Attachment: a/s

Approved and Accepted by  
The Bangkok Metropolitan Administration

  
\_\_\_\_\_  
Ms. Aporn Bukhamana  
Deputy Governor

Acknowledged by  
The Department of Technical and Economic Cooperation

  
\_\_\_\_\_  
Wanchai Sirirattana  
Director-General

## Appendix A

### Details of Activities

The BMA requests the USAID to help finance these activities, supplementing it with BMA funds as well as in-kind contributions. These activities, as well as the specific budgets for carrying them out are described in detail below:

1. One-Day Policy Seminar: A one-day seminar to be conducted for top-level BMA officials. The seminar will be chaired by the Governor who will utilize the occasion to outline the BMA policy for Slum Improvement. It will be followed by an academic presentation of current slum issues and the policy options available for their solution.

The afternoon will be devoted to small-group discussion, presentation of group reports and concluding comments by the Governor. The estimated attendance at the seminar is 250 persons including all district chiefs, department and division heads of the BMA and their assistants. It is proposed that the entire cost of this seminar, estimated at 78,000 baht, be covered by the BMA.

2. Two-Day Training Seminar for District Officials: This seminar is an extension of the first seminar and is directed toward the Deputy Chiefs of Districts, the Division Heads of Public Works, Sanitation, Social Welfare, Public Health and Administration and their assistants—all district officials.

The first morning of this seminar will be devoted to the presentation of the policy and the discussion of issues, to be followed by a structured field trip of smaller groups of participants to slums facing particular problems of exhibiting particular solutions. The second day of the seminar will be devoted to special issue presentations, group discussions and concluding remarks. This seminar is to be attended by approximately 150 persons. The total projected cost of this seminar is 55,000 baht. It is proposed that 20,000 baht be contributed by USAID, and the remaining 35,000 baht be contributed by the BMA as counterpart funds.

3. Ten-Day Preliminary Training Seminar for Community Development Workers: This seminar will focus on BMA officials with direct responsibilities for working with slum people the Community Development Workers in the Districts, the Social workers at the local Public Health Clinics, the social workers in the Social Welfare Department, and the officials involved directly with community work at BMA headquarters. The seminar will be divided into two parts. The first five days will consist of daily training and the last five days of full-time training in a structured live-in environment. The training will focus on the new slum improvement policy and the emerging role of the decentralized district sections charged with slum improvement. It will include training in organization, in information gathering and analysis, in project preparation, in group work and

in leadership. The number of participants will be of the order of 120 persons. The total cost of this course is estimated at 188,000 baht, of which it is proposed that USAID will contribute 81,000 baht. The remainder, 107,000 baht will be contributed by the BMA as counterpart funds.

4. A Micro-Processor for Monitoring Slum Activities:

To initiate the work of the Slum Improvement Center, it is proposed that the USAID contribute a micro-processor capable of storing and upgrading all the available information on the slums within the metropolitan boundaries. Information is currently available on all slum locations for the first time, and this information can be used effectively for policy making once it is accessible to policy makers in a readily available form. All present data is eminently suitable for storage and retrieval on a small computer. It is therefore proposed that the Slum Improvement Center act as a monitoring center for slum improvement activities, while actual work on project implementation is to be decentralized to the Districts. To do this effectively, it is proposed that a micro-processor be installed at the Center, as a focus for information gathering activity. The total cost of an IBM-PC micro-processor, including printer, accessories and disquettes is 200,000 baht. An additional 20,000 baht is required for the purchase of software and for data entry. It is proposed that USAID contribute a total of 220,000 baht towards the purchase of the micro-processing system.

5. Technical Assistance for Initiating the Center:

The formation of the Center will necessitate two types of assistance during its initial months of operation. In particular, there is a need for a computer analyst for a period of three months, to assist in preparing the necessary software programmes for storing, upgrading and retrieving information from the computer system to be installed. In addition, there is a need for occasional inputs in policy and programme formulation during the initial three months of operation, requiring one expert to be present at the Center for one day per week for a period of three months. It is estimated that the total cost for the computer analyst will be 75,000 baht and for the policy and programme formulation expert 72,000 baht. In addition, it is envisioned that USAID could contribute expert inputs to the Center on an occasional basis. The total budget requirement for technical assistance requested from USAID is 147,000 baht. The BMA on its part will contribute in-kind-setting up the space for the center and providing the necessary in-kind assistance to the technical experts and consultants in carrying out their work.

6. Budget: The total request for financial support from USAID is thus 468,000 baht. BMA counterpart funds are estimated at 227,000 baht. The financial requirements for carrying out the proposed activities are as follows:

Activity	USAID Contribution (baht)	BMA Counterpart funds (baht)	Total (baht)
1. One-day policy seminar	-	78,000	78,000
2. Two-day seminar for district officials	20,000	55,000	55,000
3. Ten-day preliminary training for Community Development Workers	81,000	107,000	188,000
4. Purchase of micro-processor and software	220,000	(in-kind)	220,000
5. Short-terms technical experts	147,000	(in-kind)	147,000
TOTAL	<u>468,000</u>	<u>220,000</u>	<u>688,000</u>

7. Schedule: The schedule of implementation of the proposed activities depends on the approval of our request. We estimate that 20 days will be needed to prepare the second seminar, and 35 days to prepare the third seminar once funds are approved. It will be most effective if the second seminar were held on the third week of September, and the third seminar in mid-October.