

PD-AAS-968

ISN 44100

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT PAPER FACESHEET LOCAL	1. TRANSACTION CODE <input type="checkbox"/> A ADD <input checked="" type="checkbox"/> C CHANGE <input type="checkbox"/> D DELETE	A PP
		2. DOCUMENT CODE 3

3. COUNTRY/ENTITY DSB 000080	4. DOCUMENT REVISION NUMBER 1
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5. PROJECT NUMBER (7 digits) 931-0097	6. BUREAU/OFFICE A. SYMBOL DSB B. CODE 10	7. PROJECT TITLE (Maximum 40 characters) LDC Industrial Institute Effectiveness
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8. ESTIMATED FY OF PROJECT COMPLETION FY 79	9. ESTIMATED DATE OF OBLIGATION A. INITIAL FY 76 B. QUARTER 2 C. FINAL FY 79 (Enter 1, 2, 3, or 4)
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A. FUNDING SOURCE	FIRST FY 76			LIFE OF PROJECT		
	B. FX	C. L/C	D. TOTAL	E. FX	F. L/C	G. TOTAL
AID APPROPRIATED TOTAL						
(GRANT)	425		425	1,555		1,555
(LOAN)						
OTHER U.S.						
HOST COUNTRY						
OTHER COND(R)S						
TOTALS	425		425	1,555		1,555

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY 76		H. 2ND FY 79		K. 3RD FY 77	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1)	759	873		425		725		295	
(2)									
(3)									
(4)									
TOTALS									

A. APPROPRIATION	N. 4TH FY 78		O. 5TH FY 79		LIFE OF PROJECT		12. IN-DEPTH EVALUATION SCHEDULE
	D. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	
(1)	63		47		1,555		MM YY 05 79
(2)							
(3)							
(4)							
TOTALS					1,555		

13. DATA CHANGE INDICATOR. WERE CHANGES MADE IN THE PID FACESHEET DATA, BLOCKS 12, 13, 14, OR 15 OR IN PRP FACESHEET DATA, BLOCK 12? IF YES, ATTACH CHANGED PID FACESHEET.

1 = NO
 2 = YES

14. ORIGINATING OFFICE CLEARANCE SIGNATURE Henry A. Arnold TITLE Director, DS/ST	15. DATE DOCUMENT RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION DATE SIGNED MM DD YY MM DD YY
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UNITED STATES GOVERNMENT

Memorandum

RDA #19

Mr. McC

995-097

TO : Distribution

DATE: April 23, 1976

FROM : TA/PPU, Carl Fritz

SUBJECT: Addenda to Project Paper - LDC Industrial Institute Effectiveness

The April 20 R&DC review of the subject PP raised four points for which amplification or added emphasis were suggested. The following paragraphs are to be incorporated in the project paper as noted.

Page 9, 4.a. add:

Workshops and training courses will include perspectives on labor intensive technologies, assistance techniques for small scale industry and small producer economics.

Page 10, 5.d. add:

The contractor will make every effort possible to find and implement grant award mechanisms which will provide IRI services to rural industries and small entrepreneurs. Cooperatives, industrial estates and small industry associations are possible points of impingement.

The regional bureaus will be consulted on the selection of candidate countries for grant interventions.

Page 11, footnote to 5.e.2).

* The target group(s) will be identified through surveys of small industries, an assessment of priority needs and an analysis of IRI response capabilities. A service to provide problem definition, technical information training and technical assistance will be organized at linked IRIs using, wherever possible, existing elements of the institute. The contractor will assist the IRIs in developing a cohesive and operational service with appropriate fee scales and ongoing evaluation methods.

Page 14 - replace last paragraph:

Attendance at regional workshops and institute training activities conducted under the previous contracts included twenty nine professional women from developing countries. These women, serving as research scientist and middle level managers in the IRIs, were provided training in management techniques, project design and proposal preparation as previously discussed. The contractor will be urged to further expand the participation of



2

professional women in this project with emphasis on the special problems of women in achieving professional recognition and advancement.

The surveys of small industries mentioned in 5.e.2) above will endeavor to include information gathering on the role of women in the industries studied. To the extent possible and practical, prioritization of small industry problems and the assistance provided will take account of the need to advance the role of women **entrepreneurs and women employees.**

Please phone your clearance of the above PP changes to TA/OST, Clint Stone, x 2797] as soon as possible so that we may proceed with the project approval process.

Distribution:

J.J. Dalton, NE/TECH
G.E. Varrati, A/AID/WID
R.L. Goodrich, ASIA/TR
W.M. Feldman, LA/DR
J. Shannon, PPC/DPRE

ATTACHMENT A

Informal Minutes of the Research and Development Committee Meeting Held on April 20, 1976

Project: Development of LDC Industrial Research Institute Effectiveness

Contractor: Denver Research Institute (DRI)

Project Manager: Clinton Stone, TA/OST

R&DC members questioned the relationship of this project with UNIDO and I³. The project manager responded that UNIDO assists with specific requests received from LDCs using outside expertise while this project was aimed at institutional development within the LDCs. As with regards I³, it was to be made up of both DCs and LDCs, to deal with major industrialization issues and policy decisions. It would not be a technical assistance organization.

The WID representative requested that the paper (page 14) expand upon the role of women. The project manager agreed that the PP would stipulate that DRI would be asked to identify the role of women in LDC Research Institutes, and in the small industries to be surveyed.

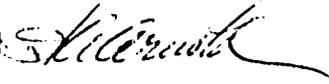
There was considerable discussion of the aim of this project toward graduate and near graduate countries. It was pointed out that the project was not confined to the more advanced LDCs, but was aimed at AID countries, and that the grant portion of the project would be aimed in part at small industry. In contrast to the previous DRI project which gave grants to LDC IRIs, these grants will be given to the users. Other methods of reaching the smallest industries would be to assist Associations, Industrial Estates and Cooperatives. The Chair suggested that "informal sector" involvement be noted. The NENA representative saw no problem with TAB projects targetted at graduate and near graduate countries. It was also pointed out that at the Easton meeting on the role and functions of TAB the consensus among the AAs was that TAB's role included graduate countries. The LA Bureau representative suggested a revision stating that the project should aim at the small industries to the extent that the real world permits that focus.

There was a consensus that the evaluation of this activity should be strengthened to look at the success of the project in reaching the small industries. The R&DC members supported the project with a PP addendum which should take into account the discussion. They agreed that they would then clear the addendum.

ACTION MEMORANDUM

16 JAN 1979

TO : DS/PO, Mr. Robert Simpson

FROM : DS/ST, Henry A. Arnold 

SUBJECT: Project 931-0097 LDC Industrial Institute Effectiveness

Problem: Approval is needed to extend AID's contract with the Denver Research Institute (DRI) from June 30, 1979 to September 30, 1971 (three months) and provide DRI \$46,535. to conduct four Development Training Forums, in Thailand (2) and Colombia (2), for the purpose of advancing the role of women in small industry. Approval is needed to increase the total cost of the project from \$1,507,870 to \$1,554,405.

Background: The project was approved 10 May 1976 for completion by June 30, 1979 - funding for all years of \$1,445,000. An adjustment to the total cost of this project was approved on July 3, 1978 based on the contracts office's judgment that DRI was entitled to recover the costs of premiums for the Defense Base Act insurance which DRI is required to purchase but was omitted in the contract budget. The currently approved life of the project is, therefore, \$1,507,870.

The project paper and contract with DRI calls for the enhancement of roles and participation of women in industrial research institutes and small scale industry to expand the participation of women in achieving recognition and advancement. As a means of doing so, DRI created the Development Training Forum (DTF). The DTF teaches participants basic organizational planning and program management skills and provides a forum for discussion regarding the role of women in facilitating the flow of technology and knowledge to rural areas.

The first DTF conducted in Thailand in July/August 1978 was successful in developing a commitment on the part of the National Council of Women of Thailand to a series of DTFs (Attachment C). A pre-forum planning meeting in Bogota, Colombia generated interest in having DTFs focusing on the "Role of Women in Food and Nutrition in Colombia." One forum was held in Bogota in December 1978. More details of the Thai and Colombian forums are contained in the attached draft DS/ST memo to CM/COD regarding the amendment to the contract (Attachment D).

Discussion: The method of the DTF is a procedure, not substance, in that its content relies upon the local wisdom and experience of the

participants. Thus, the DTF methodology has the potential of being used in many cultural situations, focusing on a wide variety of topics and involving a broad range of groups. The use of this method in Thailand and Colombia for four additional DTFs will develop data for refining the method and applying it to other countries or regions.

In Contract AID/ta-C1337 dated June 30, 1976 with DRI, DS/ST incorporated the requirement that the contractor expand participation of professional women in achieving professional recognition and advancement. In our view the contractor has developed an innovative and potentially highly effective technique for enhancing the role of women. The key principle is to build upon existing linkage (US-LDC) to support accepted and important national goals and draw upon a built-in constituency. Thus the essential acceptance and support by national decision makers is virtually assured. This amendment is a concrete first step by DS/ST toward implementing the DSB current emphasis on WID.

The Philippine AID Mission has shown great interest in this methodology, requesting its use in developing a strategic statement outlining programs to enhance the participation of women in rural development in the Philippines. Current negotiations for this project are being pursued, and the development of this project in the Philippines would be significantly aided by the continuation of the requested follow-up activity in Thailand and Colombia. Thus, it is anticipated that the work developed under contract with DS/ST would likely be continued under other mission support in the future. Furthermore, the knowledge gained from the Philippine experience would serve to complement the comparative activity for DS/ST, rendering a more complete cross-cultural or regional comparison.

Recommendation: That you approve a three-month extension of this project from June 30, 1979 to September 30, 1979 and provide DRI \$46,535 additional funding to enhance the role of women in development.

APPROVE: _____

DISAPPROVE: _____

DATE: _____

Attachments:

- (A) PAF
- (B) PP facesheet
- (C) NCWT letter 10/6/78
- (D) Memo ST to CM

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS PART I

1. TRANSACTION CODE
 A ADD
 C CHANGE
 D DELETE

PAF
 2. DOCUMENT CODE
 5

3. COUNTRY ENTITY
 DSB

4. DOCUMENT REVISION NUMBER

5. PROJECT NUMBER (7 digits)
 931-0097

6. BUREAU/OFFICE
 A SYMBOL B CODE
 DSB 10

7. PROJECT TITLE (Maximum 40 characters)
 LDC Industrial Institute Effectiveness

8. PROJECT APPROVAL DECISION
 A APPROVED
 D DISAPPROVED
 DE DEAUTHORIZED

9. EST. PERIOD OF IMPLEMENTATION
 YRS 0 3 QTRS 1

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY <u>76</u>		H. 2ND FY <u>77</u>		K. 3RD FY <u>77</u>	
		C GRANT	D LOAN	F GRANT	G LOAN	I GRANT	J LOAN	L GRANT	M LOAN
(1)				425		725		295	
(2)									
(3)									
(4)									
TOTALS				425		725		295	

A. APPROPRIATION	N. 4TH FY <u>78</u>		Q. 5TH FY <u>79</u>		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED	
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN	A. GRANT	B. LOAN
(1)	63		47		1,555		2	
(2)								
(3)								
(4)								
TOTALS		63		47		1,555		79

(ENTER APPROPRIATE CODE(S))
 1 - LIFE OF PROJECT
 2 - INCREMENTAL LIFE OF PROJECT

C. PROJECT FUNDING AUTHORIZED THRU
 FY 7 9

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)

A. APPROPRIATION	B. ALLOTMENT REQUEST NO. <u>1</u>	
	C GRANT	D LOAN
(1)	47	
(2)		
(3)		
(4)		
TOTALS		47

13. FUNDS RESERVED FOR ALLOTMENT
 TYPED NAME (Chief, SER/EM/FSD)
 SIGNATURE
 DATE

14. SOURCE/ORIGIN OF GOODS AND SERVICES
 000 941 LOCAL OTHER _____

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

This action extends the completion date of services from June 30, 1979 to September 30, 1979 and changes the life of project costs from \$1,445,000 to \$1,555,000

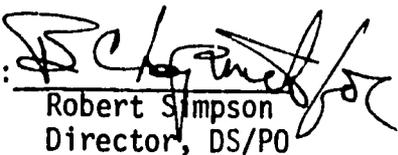
FOR PPC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS
PART II

ENTITY: Development Support Bureau
PROJECT: LDC Industrial Institute Effectiveness
PROJECT NUMBER: 931-0097

I hereby authorize a three month extension for completion date of Services from June 30, 1979 to September 30, 1979 and \$46,535 of FY 79 A.I.D. grant funds for this project. A "life of project" cost from \$1,507,870 to \$1,555,000. is authorized.

The purpose of this action is to permit the Denver Research Institute under contract AID/ta-c-1337 to conduct four Development Training Forums in selected LDCs for the enhancement of roles and participation of women in industrial research institutes and small scale industry and to expand the participation of women in achieving recognition and advancement.

Signature: 
Robert Simpson
Director, DS/PO

Date: 1/22/79

Clearances:
DS/ST, H. Arnold initials Date 1/16/79

References:
Project Paper, approved 5/10/76
Action Memorandum, dated 4/20/78 from
DS/ST to DS/PO re insurance premium
recovery costs

Mills

UNITED STATES GOVERNMENT

Memorandum

TO : AA/TA, Curt Farrar

DATE: April 29, 1976

FROM : TA/PPU, *[Signature]* Carl Fritz

SUBJECT: Approval of TA/OST Project, LDC Industrial Institute Effectiveness.

The April 20, 1976 R&DC meeting approved the subject project with the proviso that several points in the PP be amplified. Minutes of the meeting are provided as Attachment A.

A memorandum containing the suggested additions has been circulated to those bureaus so requesting. A copy of the memo with indication of telephone concurrences is Attachment B.

The PP reviewed by the R&DC, Attachment C, recommends a budgetary increase of \$25,000 to fund an external evaluation effort which includes a baseline survey in the first project year. The committee did not explicitly discuss this recommendation but did emphasize the role of evaluation in achieving the desired project outputs.

TA/PPU recommends that you approve the project with the increased funding for evaluation.

The PID was approved with the understanding that Denver Research Institute would be contracted on the basis of predominant capability. A draft justification memorandum is included as Attachment D.

- cc: C. Fritz, TA/PPU w/attachments
- R. Mills, TA/PPU w/o attachments
- C. Stone, TA/OST " "
- A. Zakarian, TA/OST " "

NOTE

Where are copies of these memoranda?

APPROVED: *M. Belcher*
 Subject to revision to incorporate contents Fritz memo of April 23rd and Stone/Beldor memo of May 7 '76

DISAPPROVED: _____

DATE: May 10 76

