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**SEMIANNUAL
REPORT
ON
AUDIT
RECOMMENDATIONS**

As of September 30, 1983

Office of the Inspector General
Agency for International Development
U.S. International Development Cooperation Agency

**SEMIANNUAL REPORT ON AUDIT RECOMMENDATIONS
AS OF SEPTEMBER 30, 1983**

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**SEMIANNUAL REPORT
ON
AUDIT RECOMMENDATIONS**

INTRODUCTION

This is the sixth semiannual report to the Administrator on the status of audit recommendations issued by the Agency's Inspector General (IG) and by the General Accounting Office (GAO). The report is required by Office of Management and Budget (OMB) Circular A-50, Audit Follow-up. Section 8a(8) of that Circular requires that the semiannual report show (i) the status of all unresolved audit reports over six months old, (ii) the number of reports or recommendations resolved during the period, (iii) the amount of disallowed costs, collections, offsets, write-offs, demands for payment and other monetary benefits resulting from audits and (iv) an update on the status of previously reported unresolved audits.

OVERVIEW OF AGENCY PERFORMANCE

The total number of new recommendations made during this reporting period was 275. This represents an increase of 14 new recommendations over the prior reporting period as reflected below:

Recommendations Made During Six-Month Period

<u>Period Ended</u>	<u>Number of Recommendations</u>
March 31, 1981	401
September 30, 1981	309
March 31, 1982	292
September 30, 1982	442
March 31, 1983	261
September 30, 1983	275

The following table compares the status of open recommendations:

<u>Six-Month Period Ended</u>	<u>Open Less Than Six Months</u>	<u>Open More Than Six Months</u>	<u>Total Open</u>
March 31, 1981	305	169	474
September 30, 1981	258	131	389
March 31, 1982	220	149	369
September 30, 1982	381	101	482
March 31, 1983	230	197	427
September 30, 1983	207	145	352

The total number of open recommendations at the end of the reporting period decreased by 75 from the prior period. The number of recommendations open in excess of six months decreased by 26 percent (197 to 145) from the prior period.

The chart on the following page shows that recommendations open in excess of six months now represents 41 percent of the open recommendation inventory (vs. 46 percent as of 30 September 1982); at the same time recommendations open in excess of 12 months have increased significantly both in terms of actual open recommendations (80 vs. 48) and in percentage of open recommendations (23 percent vs. 10 percent).

**COMPARISON OF OPEN RECOMMENDATIONS BY CATEGORY
FOR THE FIVE SEMIANNUAL REPORTING PERIODS
ENDING ON SEPTEMBER 30, 1983**

		100	200	300	400
9/30/83	59				
Total Open - <u>352</u>	41 (22)	<div style="display: flex; justify-content: space-between;"> (80 open over one year) (207 open less than 6 months) </div>			
(145 more than 6 months, includes those shown open over one year)					
3/31/83	54				
Total Open - <u>427</u>	46 (11)	<div style="display: flex; justify-content: space-between;"> (48) (197) </div>			
(230)					
9/30/82	79				
Total Open - <u>482</u>	21 (10)	<div style="display: flex; justify-content: space-between;"> (49) (101) </div>			
(381)					
3/31/82	60				
Total Open - <u>369</u>	40 (18)	<div style="display: flex; justify-content: space-between;"> (66) (149) </div>			
(220)					
9/30/81	66				
Total Open - <u>389</u>	34 (9)	<div style="display: flex; justify-content: space-between;"> (34) (131) </div>			
(258)					
3/31/81	64				
Total Open - <u>474</u>	36 (7)	<div style="display: flex; justify-content: space-between;"> (32) (169) </div>			
(305)					

AGENCY COMPLIANCE WITH OMB CIRCULAR A-50

The fundamental requirement of OMB Circular A-50 is that audit recommendations be resolved within six months of issuance. (In this context, "resolution" and "closure" are not synonymous terms: audit recommendations are resolved when Agency management and the IG's Office have reached agreement on firm plans of action to correct the audit deficiencies; but audit recommendations are generally not closed until corrective actions have been completed.) The number of IG recommendations open over six months decreased by 52 between March 31, 1983 and September 30, 1983. Violations of the A-50 requirement for resolution also decreased from 74 to 58 during this same period.

Status Of Recommendations
Open Over Six Months

<u>As Of</u>	<u>Total</u>	<u>Unresolved, in</u> <u>Violation of</u> <u>Circular A-50</u>	<u>Resolved</u>
September 30, 1981	131	77	54
March 31, 1982	149	76	73
September 30, 1982	101	18	83
March 31, 1983	197	74	123
September 30, 1983	145	58	87

AGENCY COMPLIANCE WITH PUBLIC LAW 96-304 (ENACTED JULY 8, 1980)

The Supplementary Budget and Rescission Act of 1980 required that (i) all audit recommendations open as of the date of its passage be resolved by September 30, 1981, and (ii) all subsequent monetary recommendations be resolved within six months of issuance. As is detailed below, the number of audit recommendations in violation of this statute has decreased over the past six months.

Recommendations Unresolved
In Violation Of P.L. 96-304

<u>As Of</u>	<u>Total</u>	<u>Issued Prior</u> <u>To July 8, 1980</u>	<u>Monetary</u>
September 30, 1981	25	11	14
March 31, 1982 (a)	31	14	17
September 30, 1982	13	4	9
March 31, 1983 (b)	36	-0-	36
September 30, 1983	24	-0-	24

(a) Increase due to the reopening of previously closed recommendations.

(b) A portion of increase due to large number of recommendations made in prior period.

FOLLOW-UP ON CLOSED RECOMMENDATIONS

OMB Circular A-50 mandates that each Agency regularly evaluate its performance in complying with the scope and intent of audit recommendations. Consequently, follow-up on closed audit recommendations has been integrated into the work of each Regional Inspector General for Audit (RIG/A), as a means of evaluating Agency compliance with audit recommendations. In addition, for all recommendations, the IG Policy Office tracks activity before, during and after resolution and closure. On occasion it is determined from information available that recommendations should be reopened. During the past six months, the IG reviewed 53 closed recommendations and reopened three.

REFERRALS TO THE DEPUTY ADMINISTRATOR

OMB Circular A-50 also requires that the head of each agency or his designee arbitrate any disagreements between the audit and management functions on the timeliness and/or the nature of the Agency's responses to audit recommendations. No recommendations were referred to the Deputy Administrator for resolution during the past six months.

ACTIONS ON MONETARY RECOMMENDATIONS

Appendix D details Agency actions on monetary audit findings during this semiannual reporting period. To summarize:

- Agency management reached determinations on \$7,837,753 in recoveries recommended by IG audit reports. Of this total, recommended recoveries of \$5,036,475 were sustained.
- A total of \$2,836,780 was recovered as a result of IG audit work in this and prior periods.
- Agency management sustained \$7,016,329 in deobligations and returns/reductions of advances that were recommended by IG auditors. Deobligations and returns of advances totaling \$7,016,329 were actually accomplished.

STATUS OF OPEN GAO RECOMMENDATIONS

As of September 30, 1983, there were fourteen GAO recommendations on which the Agency had promised corrective actions, but had not completed those actions within six months of recommendation issuance. These recommendations are being actively addressed and should be closed before the next semiannual report.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/WASHINGTON</u>							
0-000-81-44 (02/13/81)	2	USAID/ Upper Volta	M	Obtain (i) a full accounting of monies released to credit revolving funds; (ii) refunds of misappropriated amounts; and (iii) redesign of the funds to ensure self-financing capability.	X		A full accounting of the monies released to the credit fund has been made and AID funds will not be used for revolving fund support for phase II of the program. Therefore parts (i) and (iii) of the recommendation have been closed. Negotiations are in process for a repayment agreement of the misappropriated funds. Upon completion of that agreement the entire recommendation will be closed.

* P - Procedural; M - Monetary.

a/ According to S.305 of P.L. 96-304, these recommendations (which involve questioned costs and were issued after 7/8/80) should have been resolved within six months of report issuance.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
0-688-81-139 (09/24/81)	2	USAID/ Mali	M	Require the host government implementing agency to (i) obtain payment for certain project-financed commodities in the possession of that agency's employees and contractors; or (ii) recover the commodities.	X		For Recommendation Nos. 2, 3 and 4, in 9/83, the Mission sent Implementation Letter No. 11 to the host country advising them that a bill of collection will be issued unless the requested actions are completed. At the end of September no response has been received from the host country.
"	3	"	M	Determine the correct balance of the project's revolving credit fund.	X		
"	4	"	P	Require that the host government (i) establish a bank account from which to manage the above fund, and (ii) deposit the appropriate amount of project monies therein.	X		
<u>RIG/A/NAIROBI</u>							
3-645-82-21 (07/28/82)	1	USAID/ Swazi- land	P	Establish realistic goals and objectives for the duration of the project including appropriate work plans and implementation schedules.	X		Discussions are underway between USAID and the Ministry to review the goals and objectives of the project for the time remaining, including work plans and implementation schedules.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
3-645-82-21 (Cont'd)	4	USAID/ Swazi- land	P	Review current implementation rationale with the objective of reducing the project to a more manageable level by working in fewer areas.		X	The host government has rejected reducing the project. Discussions are continuing to arrive at a revised organization acceptable to both AID and the GOS.
"	5	"	P	Require the LDS to (a) establish better utilization controls and (b) report quarterly to USAID on equipment utilization.	X		The controls are reported to be in place and utilization reports are being provided by the host country. The Bureau is requesting information from the USAID on the use being made of the reports and if an improvement in equipment utilization is taking place.
"	6	"	P	Determine if and assure that sinking fund is adequate to replace equipment.		X	The recommendation is still being discussed with the Ministry.
"	7	"	P	Work to fill maintenance officer position or to establish a committee responsible for developing and implementing a maintenance strategy in each office.		X	The Ministry has proposed that section engineers assume the maintenance responsibility after the infrastructure works are complete; additional information has been requested.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
3-645-82-21 (Cont'd)	9	USAID/ Swazi- land	P	Ensure that unneeded stocks are disposed of and accumulate demand history so that sufficient quantities of stocks that move are ordered and kept on hand.		X	The Mission reports that an acceptable manual control system is in place in the warehouse operation. The unneeded stocks have not been disposed of and the Bureau is asking for further justification for not disposing of same.
"	11	"	P	Delay bringing a cost accountant consultant on board until there is a counterpart assigned and assurance that field units can be relied upon to keep accurate records.		X	The recommendation is still being discussed with the host country.
3-632-83-11 (03/18/83)	2	USAID/ Lesotho	M	Recover, in conjunction with the GOL, the unallowable contract costs.		X	A close-out agreement between the GOL and the contractor has been reached. Only the signing of the agreement by all parties remains.
"	3	"	M	Determine extent of non-U.S. purchases and recover amounts not covered by waivers.		X	A joint U.S./GOL review of the records is scheduled for October 1983. Agreement has been reached that appropriate action in regard to the two specific recommendations (Nos. 3 and 5) will result from that review.
"	5	"	M	Ensure that the value of missing commodities and equipment is absorbed by the GOL and not AID.		X	

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
3-623-83-12 (03/25/83)	1	USAID/ Kenya & Somalia	P	Determine if LBII is willing to retroactively amend contract time rates to an actual cost basis or failing this determine if unjust enrichment is a basis for recoupment of funds.	X		The Mission has reported that overbillings have been recovered from the contractor in the amount of \$28,458. Consideration of whether additional information or action is necessary is in process. Closure is expected in the next 90 days.
	4	REDSO/EA	P	Develop and issue guidelines on use of valid criteria for evaluating contractor technical proposals.	X		REDSO has provided a response which is being reviewed by the IG's Office. Closure is expected in the next 90 days.
<u>RIG/A/ABIDJAN</u>							
7-688-82-1 (09/20/82)	1	USAID/ Mali	P	Redesign the Operation Haute Vallee Project before any additional obligations are made.	X		On 7/30/83, the USAID and the GRM signed the amendment to the grant which addressed the project redesign. The AFR Bureau is reviewing the agreement and other actions before requesting recommendation closure.

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RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
7-688-82-1 (Cont'd)	2	USAID/ Mali	P	Assess and demonstrate that the OHV has financial capacity to finance the project.	X		The OHV financial capacity has been assessed and conditions precedent to disbursement of AID funds have been agreed to. The remaining impediment is in the technical capacity of the OHV management to enforce the discipline required for successful project implementation. Review of this problem and the possible solutions is underway between the Mission and the Government of Mali.
"	5	"	P	Prior to releasing more AID funds for credit (a) address the poor loan repayment problem, (b) establish a more appropriate interest rate, (c) ensure Operation Haute Vallee is capable of funding subsidies from other than AID funds and (d) ensure that down payments on loans are made.	X		The host country had established appropriate interest rates, fixed a policy of down payments and established a system for reimbursing the OHV for the subsidized prices. Other work is in progress for improving the credit program operation including preparation of a policy and procedures manual for operation of the credit program which addresses parts (a) and (c) of the recommendation. A draft of the manual is now being reviewed by the Mission Controller.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
7-688-82-1 (Cont'd)	16	USAID/ Mali	P	Establish administrative procedures to ensure that all host country contracts are reviewed prior to receiving approval.	X		Internal procedures have been issued in memorandum form. These are being reviewed by AFR Bureau prior to request for recommendation closure.
"	17	"	P	Establish procedures to ensure that all significant modifications of project plans are fully justified and documented.	X		Mission has not yet issued procedures for documenting project modifications. Mission has promised completion in the near future.
7-683-83-2 (02/10/83)	3	USAID/ Niger	P	Require the project to provide procedures for filing insurance claims for oxen by the agents, officials and farmers.	X		For Recommendation Nos. 3, 7, 8, 9, 11, 12, and 17: Progress on completion of action is being made. The Mission has been requested to provide additional documentation in support of closure of each of these recommendations. When the additional documentation is received, the recommendations can be closed.
"	7	"	P	Provide the needed manpower to assist the Credit/Commodity Advisor in accounting for the credit fund account.	X		
"	8	"	P	Develop detailed guidelines for the management and accounting of the credit funds.	X		
"	9	"	P	Ensure that CNCA and UNCC systems are adequate to account for the credit fund prior to release of additional AID funds.	X		

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
7-683-83-2 (Cont'd)	11	USAID/ Niger	P	Require the project to develop a credit training program for CNCA, UNCC cooperative personnel, and the farmer couple training centers.	X		
"	12	"	P	Require the project to develop inventory control and commodity accounting procedures for all levels within the GON supply system to ensure that the project obtains timely and complete information on project inputs.	X		
"	17	"	P	Obtain a plan from the GON as to when it will provide funding for the project operating account and credit fund account.	X		

INSPECTOR GENERAL
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BUREAU/OFFICE: Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/MANILA</u>							
2-497-81-11 (04/27/81)	5	USAID/ Indo- nesia	M	Require the host country implementing agency to conduct a physical inventory of contraceptive stocks and reconcile results with project records.	X		A second annual ADP-assisted inventory has been completed. However, USAID/I has not yet been able to reconcile the results to its satisfaction, although this exercise is expected to be completed in the near future.
2-497-81-13 (05/18/81)	1	USAID/ Indo- nesia	M	Establish GOI equipment utilization standards or refund the cost of AID-financed equipment.	X		GOI has established standards and provided written commitment to rehabilitate AID-financed equipment via repairs and purchase of spare parts so as to improve its utilization record. However, these measures will take some time to accomplish.
"	6	"	M	Require that the host government ship or file claims for equipment that cannot be located at the project site.	X		In the past six months action has been taken to locate or reorder 19 missing items. However, these actions will not be completed until later this year.
2-492-82-12 (07/14/82)	3	USAID/ Philip- pines	P	Prior to disbursing further ESF funds for AID projects, ensure that appropriate disbursement procedures for, and adequate controls over, the use of those funds have been established.	X		The Agency has accepted the IG's position on appropriate disbursing procedures. Asia Bureau has directed USAID/P to undertake discussions with the GOP to establish the necessary controls in accordance with IG provided guidelines.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
2-497-83-3 (01/31/83)	4	USAID/ Indo- nesia	P	Request advice of AID/W re- garding collection and de- posit of receipts for non- official use of AID vehicles whose operation is trust funded.	X		USAID/I has sought AID/W advice and followed up. However, to date AID/W has not replied.
"	8	"	M	After receipt of advise from AID/W, determine the eligi- bility of certain R&R travel costs and take appropriate collection action.	X		USAID/I continues to await AID/W advice on this matter.
2-492-83-5 (03/21/83)	1	USAID/ Philip- pines	P	Obtain a mutually acceptable Memorandum of Understanding on project implementation among the several GOP imple- menting agencies.	X		MOU has been drafted but has not yet been executed by the affected agencies.
"	3	"	M	Review procurement actions and recover amounts determined to be ineligible for AID-funded procurement.	X		USAID/P review has been com- pleted with \$88,000 in ineligible procurement identified. Closure of recom- mendation awaits recovery of this amount.
"	4 <u>a/</u>	"	M	Review procurement of non- U.S.-source copying equip- ment and recover amounts spent for buying ineligible commodities.		X	USAID/P has not yet reviewed this procurement action.

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BUREAU/OFFICE: Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
2-492-83-5 (Cont'd)	11	USAID/ Philip- pines	P	Assure timely delivery of two imported airboats to their intended use locations.	X		Formalities involved with registration of the airboats and transferring them to provincial locations have proven cumbersome and time- consuming, but they are underway.
2-492-83-6 (03/30/83)	12a/	USAID/ Philip- pines	M	Withhold release of \$99,412 in uncommitted grant funds pending implementation of evaluation recommendations, or deobligate same if funds prove excessive to project needs.		X	The referenced evaluation contained 13 recommendations. Action has not been fully completed to date.
<u>RIG/A/KARACHI</u>							
5-386-83-5 (03/22/83)	2	USAID/ India	P	Issue a PIL to establish adequate reporting and evalu- ation criteria.	X		With the advice of the RLA, USAID/I has proposed an amendment to establish ade- quate reporting and evalua- tion criteria.
"	3	"	P	Amend PIL format to confirm AID's monitoring and audit rights generally.	X		New PIL format has been drafted and consultations thereon with the GOI have been undertaken.
"	4	"	M	Obtain details on claims filed in respect of commodity losses and recover amounts paid to the GOI as a result of such claims.	X		USAID/I has formally re- quested this information from the GOI.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Food For Peace and Voluntary Assistance

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/NAIROBI</u>							
3-621-82-15 (05/26/82)	5	FVA	P	Work with CRS/NY to design and implement a uniform management/inventory control system that can be used by CRS worldwide.		X	FVA has requested CRS/NY views as to whether the guidelines developed in Tanzania could be implemented on a worldwide basis. CRS/NY has not responded in writing but efforts to resolve this are continuing. Additional discussions are taking place between the Inspector General and CRS/NY to clarify the recommendation.
3-621-83-6 (02/07/83)	1	USAID/ Tanzania	P	Develop (a) formalized health, nutrition and family planning instruction programs for participants and (b) programs designed to eliminate need for assistance.		X	At the end of September the AA/FVA promised information on actions taken and planned to be taken which address these two program areas.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: General Counsel

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/NAIROBI</u>							
3-632-83-11 (03/18/83)	1	GC	M	Determine if AID can recover for nonperformance by PRC Harris and if so initiate action on the \$650,000.	X		The GC has been in contact with the contractor and negotiations are proceeding. The Mission reports progress in negotiations which are expected to lead to closure in the next reporting period.
3-623-83-12 (03/25/83)	3	GC	P	Determine if the Kenya LBII contract as implemented is a cost-plus-percentage-of-cost contract, if so take appropriate action.		X	GC is analyzing the audit workpapers and contract files. The results are expected to be used by the GC in a matter of greater interest than just civil recovery.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Latin America and Caribbean

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
1-522-82-13 (07/23/82)	14	USAID/ Honduras	P	Obtain updated supply/demand studies called for by the loan agreement.	X		Closure awaits receipt of a signed contract from the Government of Honduras' Administrative Technical Unit which has been delegated contracting responsibilities.
1-519-82-14 (08/30/82)	8	USAID/EI Salvador	P	Obtain the services of a supply specialist.	X		Funding is available for the needed technical assistance and a signed contract is expected.
"	14	"	P	Obtain borrowers work plan for improving its filing system and the plans for revising its field visit reports and related procedures.	X		USAID is in process of assisting borrower obtain a long-term technical assistance contract for institutional management. The requirement of both recommendations will be included in the contract scope of work. Recommendations' closing awaits receipt of a copy of the signed contract.
	15		P		X		
1-521-82-16 (09/14/82)	3	USAID/ Haiti	P	Formally evaluate the project activities prior to making design modifications.	X		USAID has completed an internal assessment of the project's progress and based on their findings began negotiations to phase-out or sharply reduce the project. The recommendation is retained open pending final action.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Latin America and Caribbean

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
1-521-82-16 (Cont'd)	5	USAID/ Haiti	P	Review and amend the contracts to coincide with project needs and resource availability.	X		USAID has obtained verbal agreement on project changes but has not concluded the required contract amendments. Recommendation closure awaits receipt of complete contract amendments.
"	11	"	P	Streamline commodity procurement procedures.	X		USAID is currently drafting new procedures for reviewing and approving commodity procurement requests. The recommendation is retained open pending completion of the new procurement procedures.
"	13	"	P	Inventory and account for the project financed furniture and transfer title to the host government.	X		USAID has inventoried the furniture and currently is making arrangements to deliver it to the host government. The recommendation is retained open pending completion of the transfer.
"	16	"	M	Obtain from the host government an accounting for six missing vehicles or a refund of their purchase price.	X		USAID is waiting for receipt of an accident report that should account for the vehicles. Recommendation closure awaits receipt and agreement with the accident report.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Latin America and Caribbean

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
1-521-82-16 (Cont'd)	21	USAID/ Haiti	P	Negotiate procedures to establish and operate a revolving counterpart fund account.	X		The agreement has been drafted and negotiations are underway to establish and operate the fund. Recommendation closure awaits completion of the negotiations.
"	22	"	P	Obtain periodic financial audits by the host government on the loan.	X		USAID has formally requested the host government to plan and perform the required audits. The recommendation is retained open pending host government concurrence and initiation of the audits.
1-518-82-17 (09/30/82)	1	USAID/ Ecuador	M	Recover accounting fees paid a contractor for work later determined to have been inadequate.	X		Contractor has agreed part of his work was inadequate and currently is negotiating amount of refund with USAID. Recommendation is retained open pending results of current negotiations.
"	3	"	M	Settle questioned costs.	X		USAID is currently involved in negotiations to resolve the questioned costs. Recommendation closing awaits conclusion of negotiations and recovery of any funds determined to be due AID.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1993

BUREAU/OFFICE: Latin America and Caribbean

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
1-518-83-1 (10/15/82)	1 <u>a</u> /	USAID/ Ecuador	M	Review outstanding advances under loans, grants, and contracts and recover all excessive amounts.		X	USAID has made initial contact with contractor for further information. Contractor is required to provide further information before the review can be started.
"	2	"	P	Implement procedures to provide a follow-up review of outstanding advances.		X	USAID has initiated an analysis of outstanding advances.
1-532-83-6 (02/04/83)	3 <u>a</u> /	USAID/ Jamaica	M	Collect \$77,373 from Government of Jamaica for costs reimbursed by AID for incomplete work or work unrelated to flood damage.		X	USAID is deciding on alternative approaches to recoup the misspent funds.
"	6	"	P	Obtain report from MOE on causes of construction problems and initiate corrective action.	X		Engineers have initial report indicating that failure to use properly treated lumber caused construction problems. Instructions for treatment or replacement of lumber have been issued.
"	10	"	P	Obtain reconciliation of receipt to appropriate usage of PIO/C's, purchase orders, receiving reports and usage reports for about \$2.7 million of commodities purchased under the project.	X		MOE actively pursuing reconciliation of these accounts.
"	11	"	P	Request host government to establish property records for all school construction.	X		Host government actively developing records and controls over all school construction.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/WASHINGTON</u>							
0-000-80-110 (09/30/80)	2	M/SER	P	Establish procedures to account for and control AID-owned property in the custody of contractors.		X	On 3/15/83, M/FM/ASD circulated a handbook revision which includes the procedures for controlling non-expendable AID-owned property in contractor's custody. During this review questions were raised which have delayed issuing the handbook revisions. Action to resolve the questions is in progress and we will close the recommendation when the revised handbook is issued.
0-000-81-112 (07/28/81)	5 <u>a/</u>	M/SER/CM	M	Review and settle questioned and unresolved costs of approximately \$159,000 that were reimbursed to a private voluntary organization.		X	The PVO has refunded approximately \$21,000, and has submitted additional documentation relative to the remaining questioned/unresolved costs. The direct cost portion of this recommendation has been resolved; however, the indirect cost portion remains unresolved pending completion of an indirect cost review by DCAA. Upon receipt of DCAA's report, RIG/A/W will provide an advisory report to M/SER/CM.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
0-000-81-142 (09/30/81)	1	M/SER	P	Reevaluate Agency procurement policy regarding recipient procurement capability; level of AID involvement in the procurement process; and use of alternative procurement channels.		X	M/DAA/SER responded to this recommendation and the IG advised M/DAA/SER that he still has reservations concerning AID's procurement procedures. The AA/M is reviewing the issues in order to resolve the impasse.
0-608-82-47 (02/22/82)	2	M/SER/CM	P	Revise AID Handbook to include current AID policy on participants under AID financed host country contracts.		X	M/AAA/SER advised the IG on 6/30/83, that a committee had been formed to draft the handbook revisions. The recommendation will be closed when the handbook revisions are issued.
0-917-82-76 (08/28/82)	7	M/SER/CM	P	Establish procedures to regularly perform and document reviews of the Agency's Contract On-Line Reporting System (COORS) coding and input performance.		X	The IG reopened these two recommendations after a follow-up review disclosed that earlier closing actions had not achieved the intended procedural improvements. On 9/7/83, M/AAA/SER responded that the coding and input reviews are being made and controls are in place to ensure correct submission of data into the FPDC files. A further review by the IG of the procedures in question is scheduled for early October to resolve these differences.
"	8	M/SER/CM	P	Establish appropriate controls to ensure that all pertinent data is submitted to the Federal Procurement Data Center (FPDC) and incorporated into FPDC's records.		X	

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
0-000-83-3 (10/27/82)	1	AA/M	P	Enter host country contract information in a central data base so that it will be accessible to Agency personnel having need for the information.		X	For Recommendation Nos. 1, 2, 3, 4, 5, 6 and 7: A portfolio study has been commissioned to address the problems of improving the efficiency of AID's computer operations. In addition, the A-123 Internal Control Task Force is studying the procurement data base problems and FM is gathering the information for the host country contract data base. All of these initiatives which are necessary to respond to the audit recommendations are long-term programs and most are interrelated. AA/M is starting to provide progress reports on the various initiatives.
	2	"	P	Determine the feasibility of collecting Mission and contractor commodity procurement data, and if feasible establish the appropriate procedures.		X	
	3	"	P	Determine the feasibility of collecting grant data, and if feasible establish the appropriate procedures.		X	
	4	SER	P	Prepare and issue a user-oriented data base dictionary for AID's data bases.		X	
	5	"	P	Standardize computer input documents where feasible.		X	
	6	"	P	Give M/SER/DM the authority and funding to take a stronger role in meeting the Agency's information needs.		X	
	7	"	P	Revise the Agency's data collection and reporting system to make the best use of computer resources.		X	

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
0-000-83-20 (11/26/82)	3	SER	P	Obtain accounting of grant commitments from ISNAR and request refund for uncommitted portion of the grant.		X	SER has questioned the propriety of the recommended refund and is preparing a memo justifying the level of payments to ISNAR that was questioned in report.
0-000-83-25 (12/06/82)	5	SER	P	Require AA to identify direct administrative costs separately from direct program costs in order to verify application of overhead rates.	X		The direct and indirect costs have been separated and the contract is being amended to provide additional funds. Recommendation can be closed o/a October 14.
0-000-83-34 (12/30/82)	2	FM, SER	M	Determine and take steps to recover the maximum amount possible from the potential claims identified in this report.	X		A Bill for Collection in the amount of \$2.6 million has been issued. Review is continuing on the remainder of ineligible costs. The recommendation will be closed when the final bill is issued.
0-000-83-41 (02/28/83)	1	SER	M	Settlement of questioned costs of \$13,142.	X		On 8/20/83, SER/CM requested additional information from the contractor to support MSU's time and effort report of 7/31/79, in which AID's funds were erroneously transferred to a non-federal grant. Awaiting receipt of this documentation.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
0-000-83-43 (03/07/83)	1	SER	M	Finalize the contractor's Public Voucher No. 13 and ensure settlement of the \$3,196 due the contractor.	X		The cost of M. Thomas expenditures will be borne by the contractor. SER/CM will request issuance of bill of collection for \$1,294 o/a 10/7/83.
"	2	"	P	Require M. Thomas of Triton Corp. to submit statement disclosing his outside activities in order to ascertain whether a technical evaluation of the project is needed, and/or whether a determination of contract noncompliance is necessary.	X		The above action resolves this recommendation.
0-000-83-44 (03/08/83)	7	SER	P	Review the Government Property Resources Division activities as required by OMB Circular A-76 and determine which activities should be contracted out and which should be performed in-house.		X	The Task Force to conduct the A-76 review has been selected but its work cannot begin until the functional analysis and costing of the activities subject to contracting have been completed.
0-000-83-48 (03/22/83)	3	SER	P	Revise Handbook 22 to require administrative approval of travel vouchers.	X		M/SER/MO advised the IG that the revisions to Handbook 22 are being written. The recommendations will be closed when the handbook revisions have been issued.
	4	"	P	Revise Handbook 22 to include a uniform time frame for travelers to submit travel vouchers.	X		

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/CAIRO</u>							
6-263-81-1 (11/30/80)	2	M/FM	P	Clarify Agency cash management policies on advances, progress payments, and documentation standards.	X		M/FM reconvened the Cash Management Committee to develop a revised policy on advance financing of host country contracts and commodity import programs (CIP's). The Committee has prepared a draft directive and submitted it to GC for review. M/FM/C reported on 2/24/83 that GC raised fundamental and technical issues which must be addressed. As a result, there were extensive changes in the draft directive which was then recirculated to appropriate offices for review and comments. Final comments have now been received and the revised policy is expected to be approved no later than 12/31/83.
"	3	"	P	Establish procedures and safeguards to insure proper approvals of, and controls over, CIP advance and progress payments.	X		
<u>RIG/A/NAIROBI</u>							
3-650-82-24 (08/31/82)	1	M/SER/CM	M	Settle the amounts of non-U.S. source and origin procurements with the contractor.	X		It was found that \$83,779 of non-U.S. source and origin commodities were procured by the contractor. The details of the procurement were forwarded to contract management in March for final disposition with the contractor.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
3-623-83-12 (03/25/83)	2	AA/M	P	Develop Agency policy on approval and use of time rate contracts.	X		The Procurement Policy Advisory Panel has determined that the existing policy and approval authority remain valid but that additional guidance should be issued. The recommendation remains open pending issuance of that guidance.
"	5	FM & SER	P	Ensure that advances are paid to host country contractors only when contractor is unable to get prompt reimbursement of expenditures.	X		An amendment to Handbook 1B is in process which relates to advances on host country contracts. When the amendment is issued the recommendation can be closed.
"	6	SER	P	Provide information on use of certain contract provisions to lessen risk of excessive payments to contractors.	X		The PPAP proposed that three pieces of guidance be issued to provide the needed information: an amendment to Handbook 11, an amendment to the Project Officer Guidebook on Host Country Contracts and a circular cable on the potential problems to which missions and contract officers should be alert. Upon issuance of these added guidelines, the recommendation can be closed.
"	7	"	P	Determine whether the Agency should, on a case-by-case basis, encourage the host countries to renegotiate time rate contracts.	X		The draft circular which has been prepared provides information on the host countries' legal recourse. Upon formal issuance of the circular, the recommendation can be closed.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Management

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>LATIN AMERICA</u>							
1-532-83-6 (02/04/83)	13	AA/SER	P	Examine handbook and clarify need for prospective rather than retroactive approvals for normally ineligible commodity transactions.	X		SER agrees that handbook revisions required. Directions have been issued to prepare necessary changes to Handbooks 15 and 1B and submit to Procurement Policy Advisory Board for discussion and decision.
<u>KARACHI</u>							
5-386-83-3 (12/22/82)	1	SER	P	Ensure that deviations from Agency leasing policies are adequately justified and documented.	X		M/SER/EOMS plans to contract for a study of leasing practices and the development of simplified guidelines governing Agency leasing activities, including justifications for deviation from AID policy.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/CAIRO</u>							
6-263-81-9 (03/30/81)	6	AA/NE	P	Initiate required actions, based on a GC determination on whether vehicles procured with project funds can be (i) exchanged for foreign vehicles, and (ii) assigned to GOE officials who do not use them solely for project purposes.	X		Part (i) of this recommendation was accomplished on 3/2/82. USAID/E recently advised that the GOE had agreed to pay for the vehicle assigned to the GOE official [Part (ii)]. However, the check has not been received and USAID/E is considering suspending further commitment documents until the delinquent refund matter is appropriately resolved.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-82-5 (04/29/82)	7 <u>a/</u>	AA/NE	M	Collect \$32,735 from Government of Egypt for interest earned on grant funds through 1/31/82.		X	Action on Recommendation Nos. 7, 8, 9, 10 and 11 has been delayed pending receipt of an opinion from the U.S. Comptroller General (CG) on whether AID has a legal claim to interest earned on AID grant funds and whether the interest should be returned to the U.S. Treasury.
"	8 <u>a/</u>	"	M	Monitor bank account and collect interest earned on grant funds subsequent to 1/31/82.		X	
"	9 <u>a/</u>	"	M	Collect \$49,693 from Government of Egypt for interest earned on grant funds through 1/31/82.		X	
"	10 <u>a/</u>	"	M	Monitor bank account and collect interest earned on grant funds subsequent to 1/31/82.		X	
"	11 <u>a/</u>	"	M	Determine interest earned on grant funds deposited in village councils' bank accounts and collect that amount for the Government of Egypt.		X	

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-82-5 (Cont'd)	17 <u>a/</u>	USAID/ Egypt	M	Recover \$946,879 from Qena Governorate for unallowable procurement of asbestos-cement pipe from Spain.		X	There is a question of whether asbestos-cement pipe ordered from Spain because of a production shortage in Egypt was a shelf item eligible for AID financing. Additional information was requested from RIG/A/C and USAID/E. Information was received; however, until this data can be evaluated and a final decision made on eligibility of the pipe for AID financing, Recommendation Nos. 17 and 18 will remain open.
"	18 <u>a/</u>	"	M	Review project procurement in all governorates and recover funds used for unallowable procurement.		X	
"	20 <u>a/</u>	"	M	Disallow for offset against Title I indebtedness \$54,634 in Title III funds used to purchase eight vehicles and pay incentives to employees.		X	Information is being developed on eligibility of vehicles and pay incentives for PL 480, Title III funding. The final decision on eligibility awaits additional information from the Mission.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-82-6 (06/24/82)	8	USAID/ Egypt	P	Establish controls over project funded vehicles.	X		The technical assistance contractor has established controls on project funded vehicles to ensure they are available for project purposes only. USAID/E advised that procedures for control of vehicles have been drafted but as they are in Arabic they must be translated to determine if acceptable. This recommendation will remain open until the procedures are translated, reviewed and accepted by the RIG/A/C.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-82-7 (07/07/82)	7 <u>a/</u>	USAID/ Egypt	M	Recover \$217,489 in interest earned by the GOE's central government organization ORDEV on AID grant funds deposited to its Local Development Fund (LDF) for making loans, but subsequently placed on time deposits through 12/31/81.		X	USAID/Egypt stands on its legal opinion which concluded that GOE can retain interest earned on funds advanced to GOE because such advances constitute capitalization of the loan fund which is the purpose of the project. Therefore, the capitalized funds belong to GOE and interest earned on the capitalized funds may not be recovered by AID. The IG takes exception to the legal opinion.
"	8 <u>a/</u>	"	M	Determine and recover interest earned on time deposits subsequent to the audit.		X	

Further action on these recommendations has been delayed pending receipt of an opinion from the U.S. Comptroller General (CG) on whether AID has a legal claim to interest earned on these AID grant funds and whether the interest should be returned to the U.S. Treasury.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-82-7 (Cont'd)	11	USAID/ Egypt	P	Require project quarterly financial reports to show the disposition of project funds in order to improve controls by village councils over use of the loan funds advanced from the ORDEV/LDF.	X		On 1/6/83, USAID/Egypt reported that the project's financial specialist has designed a new documentation cycle and a new record keeping and reporting system that satisfactorily addresses this problem. A report was prepared by USAID/E on actions taken by ORDEV/LDF but further documentation was requested by the RIG/A/C. The additional information was reportedly submitted by USAID/E as of 9/30/83. Until the RIG/A/C evaluates and reports on acceptability of the data, this recommendation will remain open.
6-263-83-2 (02/21/83)	1	USAID/ Egypt	P	Make an assessment of all AID-financed project passenger vehicles, identify problems in use and disposition and establish procedures to resolve problems and initiate actions with the GOE to resolve the problems.	X		USAID/E reports that because resolution of Recommendation Nos. 1 and 2 require assessments of status, utilization, reporting and disposition, as well as establishment and implementation of monitoring and control systems involving about 900 vehicles financed by AID on 36 projects, at least 3 additional months will be required to satisfy these recommendations.
	2	"	P	Implement controls and monitoring systems to assure proper use and disposition of AID-financed project vehicles.	X		

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
6-263-83-2 (Cont'd)	4	USAID/ Egypt	P	Suspend procurement of additional vehicles for the Cairo Water Supply (CWS) and Rural Health Delivery (RHD) projects until these projects demonstrate they can effectively and efficiently utilize additional vehicles.	X		No further procurement of vehicles is contemplated on the RHI project. GOE is planning to evaluate status of vehicles on CWS project prior to making any additional requests for procurement. CWS project officers are to submit a plan to USAID/E on utilization of 17 current CWS project vehicles. Until a plan is submitted and approved by USAID/E for the CWS project, this recommendation will remain open.
	5	-	P	Consult with the GOE and AID/W and determine if AID-financing of the procurement of passenger vehicles and pickups should continue.	X		Additional procurement policy consultation with the GOE is required. As the recommendation involves establishing overall policy on continued financing of vehicles in Egypt, it is expected to take over 3 months for closure.
<u>RIG/A/WASHINGTON</u>							
0-263-83-51 (03/28/83)	3	AA/NE	P	Develop plans for completing construction of bakery sites and installing the equipment.	X		USAID/Egypt has submitted a plan for completing the bakeries, but AA/NE will not request closing the recommendation until review of the plan has been completed.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: Near East

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/KARACHI</u>							
5-279-83-4 (01/30/83)	1 <u>a/</u>	USAID/ Yemen	M	Review and settle questioned and unresolved costs totaling \$440,656.		X	Although USAID/Y has proposed closure of the recommendation, the RIG/A's analysis of USAID/Y settlement actions has led to disagreement as regards the acceptability of certain pro- ject costs.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
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BUREAU/OFFICE: U.S. Foreign Disaster Assistance

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/WASHINGTON</u>							
0-902-81-118 (08/17/81)	1	OFDA	P	Clearly define activities eligible for financing from disaster relief funds.	X		The required changes to the Handbook have been prepared and the recommendation will be closed when the revised Handbook is published.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Africa

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/NAIROBI</u>							
3-602-82-14 (05/20/82)	7 <u>a/</u>	USAID/ Djibouti	M	Obtain (i) an accounting of participant contributions to the maternal child health program and (ii) assurances from Ministry of Health and CRS that all funds on hand and collected in the future will be used for purposes stated in Section 211.5(i) of AID regulation 11.		X	Discussions between FFP and CRS/NY are continuing. However, the promised written response has not been received from CRS.
3-687-83-7 (02/11/83)	2	USAID/ Madagas- car	P	Preclude destitute recipients from the requirement to pay fees which cover inland transportation.		X	The Mission is awaiting the details from CRS of how they will exclude this type of recipient from paying fees.
"	7	"	M	Assist CRS/Madagascar to collect outstanding claims of \$39,397 against the Government of the Democratic Republic of Madagascar.		X	The GDRM has expressed its willingness to pay if CRS has the documentation. Review of books and records is in process with completion of review targeted for end of October.
3-687-83-8 (02/28/83)	2	USAID/ Madagas- car	P	Obtain reports on the distribution and sale of the emergency relief commodities and provide approvals for expenditures of the funds remaining in the special account for development purposes.		X	The accounting and review process is proceeding. The REDSO anticipates closure within the next calendar quarter.

INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/KARACHI</u>							
5-386-81-6 (02/12/81)	5	USAID/ India	P	Amend the Transfer Agreement to define specific procedures whereby project fund generations will be deposited promptly in a special account.		X	For Recommendation Nos. 5, 10 and 13: these issues are being addressed by the project sponsors in their detailed review and revision of the project work plan. Finalization of the work plan has been delayed pending completion of a comprehensive, mid-term project evaluation. The final evaluation report is expected to be issued shortly. The IG has contributed substantial comments by audit staff to the evaluation process. Finally, in a June meeting with senior Bureau officials, the managing director of the local project sponsoring agency acknowledged AID's right to audit project activities and generally oversee the use of USG resources.
"	10 <u>a/</u>	"	M	Review disbursements of 65.2 million rupees (\$8.413 million) from a special account, and recover amounts ineligible for project financing.		X	
"	13	"	P	Establish procedures for the deposit and use of project reflows from internal loan payments and interest thereon.		X	
5-386-81-14 (08/11/81)	1 <u>a/</u>	USAID/ India	M	Review billings by the Food Corp. of India as regards sales taxes charged on gunny bags used to transport Title II wheat; and recover any amounts due AID.		X	For Recommendation Nos. 1 and 2: GC review of this sales tax issue determined that AID may have no recourse but to pay sales taxes imposed at the state level. The USAID, assisted by the Regional Legal Adviser, is currently seeking

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
5-386-81-14 (Cont'd)	2	USAID/ India	P	Obtain GC opinion on the legality of AID's reimbursing the host government entity for sales taxes in connection with the gunny bags.	X		exemptions from this liability from individual state governments. This process has been quite time-consuming, and negotiations with the Food Corp. of India await its completion. These negotiations are now scheduled to resume shortly.
5-386-82-7 (06/07/82)	1	USAID/ India	P	Determine manageable level of MCH program; upgrade program standards; develop an appropriate monitoring/evaluation plan.		X	AA/FVA and AA/Asia have provided a joint response to these three recommendations (1, 2 and 5). The IG has advised management that, in his opinion, the response does not adequately address the subject of these recommendations. Furthermore, he advised that, pending the issuance of a forthcoming report on another large PVO-sponsored PL 480 Title II program in India, he wished to consider the impact and wider ramifications of these recommendations further.
"	2	"	P	Improve MCH programming and establish a plan to transfer this activity to local resources.		X	
"	5	"	P	Establish a plan to phase the school feeding program over to local resources.		X	
"	7 a/	"	M	Obtain recovery for commodity losses that were improperly written off as uncollectable, if any.		X	USAID/India has retained local counsel to review this matter pursuant to AID/GC's opinion and recommendations for action in the area of claims.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
5-386-82-7 (Cont'd)	8	USAID/ India	P	Establish procedures to facilitate the processing of loss claims vis-a-vis local authorities in the future.		X	See Rec. 7 above.
"	11	"	M	Recover \$43,693 in loss claims plus ocean freight, and refund same to AID.	X		Cooperating sponsor agrees that the cases of commodity misuse are collectable; however, actual refund has not yet occurred nor has a BoC been issued.
"	17	"	P	Improve PVO field inspection, training and reporting activities.		X	A progress report from the PVO program sponsor on these operational aspects remains pending.
"	19	"	P	Develop PVO's internal review procedures for USAID approval.		X	Incorporation of certain procedures requested by the IG in eight specific areas remains to be accepted by the PVO.
"	27	"	P	Rectify deficiencies in certain food processing programs and report progress to USAID.		X	PVO corrective actions and report have clarified two of the recommendation's three elements. The PVO has yet to satisfactorily clarify its procedures for monitoring the yield/loss rate of processed food products using Title II commodities.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
5-386-82-7 (Cont'd)	28 <u>a/</u>	USAID/ India	M	Address problems associated with commodities used in the "balahar" program and recover cost of commodities not accounted for, if any.		X	A number of questions concerning a large pending claim (over \$111,000) against a GOI food agency have not been satisfactorily answered by the PVO. The IG has authorized a follow-up review in this area.
"	29 <u>a/</u>	"	M	Take various steps to verify the appropriateness of PVO actions in cases involving the sale of damaged wheat and the theft/misappropriation of that commodity.		X	PVO follow-up actions in these cases remain to be verified inasmuch as amounts reported to have been sold do not reconcile with sales reports from sources outside PVO records/computations.
"	32 <u>a/</u>	"	M	Make available to AID pertinent information contained in PVO's external audit reports, and take corrective action on problems noted in latest audit report by PVO's CPA.		X	The information has been received and a refund of over \$25,000 remains pending as a result of external audit findings.
"	33	"	P	Obtain adequate publicity for USG food donations to the PVO's distribution program.		X	The PVO has yet to complete its plans to accord adequate publicity to USG contributions to its program.
"	34	"	P	Verify and make regular reports to the USAID on the use of container sales proceeds.		X	The PVO has yet to complete its actions on this point.

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INSPECTOR GENERAL
 RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
 AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance, and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
5-386-82-7 (Cont'd)	35 <u>a</u> /	USAID/ India	M	Review PVO's use of \$517,884 (local currency equivalent) container sales proceeds and either approve the uses made or require misused funds, if any, to be restored to the appropriate fund.		X	The USAID and PVO have yet to complete their review and negotiations as regards the charges made to this fund.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food For Peace and Voluntary Assistance and General Counsel

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/KARACHI</u>							
5-386-82-7 (06/07/82)	6	GC	M	Determine whether CARE and USAID/India were justified in writing off as uncollectable certain claims for missing foodstuffs.		X	The long awaited GC opinion contained a number of suggestions for further action and legal research in India. USAID/I has retained local counsel to this end with results expected shortly.

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INSPECTOR GENERAL
 RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
 AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Food for Peace and Voluntary Assistance, and Latin America and Caribbean

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/LATIN AMERICA</u>							
1-524-81-15 (05/18/81)	20	USAID/ Nica- ragua	M	Assure that \$1.5 million in Title I sales proceeds-- which was advanced to but not needed for the national literacy campaign--is returned to the International Reconstruction Fund and re-programmed.	X		USAID has asked the International Reconstruction Fund to obtain this refund and make the funds available for reprogramming. In August 1982 the USAID reported that an agreement had been reached on this recommendation and that the necessary implementation documentation was being prepared. As of 9/30/83 the USAID has not provided us copies of that documentation. Recommendation closing awaits receipt of those documents.

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INSPECTOR GENERAL
 RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
 AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management and Asia

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/MANILA</u>							
2-497-83-3 (01/31/83)	2	USAID/ Indo- nesia	P	Make appropriate reports of AID Fund Control Regulation violations.	X		USAID/I has given oral reprimands to violators and pouched reports to AID Controller for each specif- ic case. IG awaits receipt of copies of those reports in order to take closing action.

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INSPECTOR GENERAL
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

BUREAU/OFFICE: Management and Inspector General

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/WASHINGTON</u>							
0-000-81-26 (12/24/80)	33	M/SER/DM & IG	P	Construct a counter to prohibit easy access to computer center operations.		X	Although a contract was executed for this work in May 1983, and construction was to have been completed by July, this work has been delayed by problems in procuring materials. The contractor now has the materials and construction is expected to start shortly.

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APPENDIX B

STATE/AID JOINT ADMINISTRATIVE OFFICE (JAO)
 RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
 AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
<u>RIG/A/WASHINGTON</u>							
0-000-81-54 (03/12/81)	1-5	M/PM	P.	Establish a formal joint State/AID mechanism for personnel assignments to JAOs, implementing Sec. IV H, 6 of the State/AID Agreement of 6/28/79.	X		AID and State have agreed to a system which is being tried during the current assignment period. Although AID is not as fully represented in the process as would be desired, progress has been made and a basis for negotiation established.

GENERAL ACCOUNTING OFFICE
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
ID-81-19 (03/16/81) "U.S. Assist- ance to Egyptian Agriculture: Slow Progress After Five Years"	2	AA/NE	P	Assess the effect of U.S.-financed imports on local Egyptian producers.	X		A series of six studies covering various aspects of this subject were commis- sioned. Two studies were issued and the remaining four are in draft. Issu- ance of these reports is expected by February 1984.
ID-82-09 (5/27/82) "Review of the Inspector General Func- tions in Agency for Interna- tional Develop- ment"	4	IG	P	Appoint a qualified and ex- perienced audit professional as the Assistant Inspector General for Audit.	X		The position has been adver- tised, applications have been received and are being re- viewed by Personnel. Selec- tion is expected in future weeks.

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GENERAL ACCOUNTING OFFICE
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
ID-82-36 (6/15/82) "Experience--A Potential Tool for Improving U.S. Assistance Abroad"	1	A/AID	P	Require that AID staff identify, record, use and forward to Data Information Unit lessons learned in project design and implementation. These requirements should be supported by top AID management through the establishment of proper incentives.	X		The first 5 recommendations are to be addressed by the issuance of a statement to all AAs, Mission Directors and Office Heads stressing (1) the importance of the DIU function, (2) the existing procedures and regulations on submission of information to DIU, and (3) the need to include compliance with these procedures and regulations in the annual review of employee performance. This awaits full implementation of the action on No. 6, following.
"	2	"	P	Increase AID staff awareness of the information available from, and how to use, the Data Information System.	X		See Rec. 1 above.
"	3	"	P	Require that the system be used.	X		See Rec. 1 above.
"	4	"	P	Ensure that the system receives project and related lessons-learned documents.	X		See Rec. 1 above.
"	5	"	P	Require an exchange of constructive feedback between DIU and AID staff on the Data Information System.	X		

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GENERAL ACCOUNTING OFFICE
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
ID-82-42 (6/02/82) "Managing Host Country Con- tracting Activities"	1	A/AID	P	Require that data on host country contracts be reported to Washington and maintained in an automated data bank.	X		The Administrator has issued an action cable notifying the Missions of the requirement for submission of the data on host country contracts. The Office of Financial Manage- ment has begun the inventory and reports have been pro- duced. The recommendation remains open pending automa- tion of the data bank.
ID-83-24 (3/03/83) "Managing the Transportation of U.S. Donated Food to Developing Countries"	2	FVA	P	Require PVO's to monitor the activities of freight for- warders to assure that pay- ments are in accordance with USDA guidelines on lowest landed costs.	X		The PVO's have agreed to a new procedure whereby they will certify on their re- quest for payment (Form 1550) that there has been compli- ance with USDA guidelines. The establishment of the record keeping and reporting procedures remain to be worked out before the recom- mendation can be closed.
	3			Determine whether the prac- tice of prepayment of freight expenditures is fair and equitable.			A procedure for using Direct Letters of Commitment has been designed so that prepay- ment will not be made. The design of procedures and re- visions of the regulations are in process. The new procedures should be fully in place by FY 85.

GENERAL ACCOUNTING OFFICE
RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
ID-82-36 (Cont'd)	6	PPC	P	Establish an information analysis capability to assist AID project designers and program managers.	X		The Data Information Unit has been transferred to the Bureau of Program and Policy Coordination. This reorganization integrates the evaluation, information and a new analysis unit into one area which is designed to address the issues raised by the GAO in utilizing experience. The only remaining action is formal submission and approval of position descriptions and issuance of the restatement of guidelines for the issues listed above.
ID-82-29 (6/09/82) "Food Conservation Should Receive Greater Attention in AID Agricultural Assistance Policies and Programs"	2	S&T/ Asia	P	Develop and implement a plan for the efficient use of the food processing plant at Central Luzon State University in the Philippines.	X		A review has taken place in the Philippines. The project that funded this plant has elements which are expected to be completed in 12/83. However, to date a plan for the efficient use of the plant has not been developed.
	5	S&T/ PPC	P	Develop a postharvest research strategy for the Agency.	X		A grain reserve and food storage policy paper is in outline form in PPC. It is expected that articulation of the postharvest policy will be complete during the coming six-month period.

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GENERAL ACCOUNTING OFFICE
 RECOMMENDATIONS OPEN MORE THAN SIX MONTHS
 AS OF SEPTEMBER 30, 1983

Report No. (Issue Date)	Rec. No.(s)	Action Office	Type of Rec.*	Recommended Action	Status		Comment
					Resolved	Unres.	
ID-83-18 (02/28/83) "Lessons Learned from AID's Private Sector Development Efforts in Egypt"	4	NE	M	Consider folding the PIE fund into the proposed Production Credit II project if it is approved.	X		The Agency has not yet authorized funds for the Production Credit II project which was being considered in the context of consolidation with the PIE fund. Therefore \$22 million was deobligated from the PIE fund in 9/83 with discussions continuing on the future of the fund and the Production Credit II project.

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
3-660-79-16 (09/24/79)	2	\$3,036,000	\$3,036,000	-	-	-	-	\$756,000	-	\$2,280,000
0-000-80-48 (04/14/80)	1	76,329	44,754	\$31,575	-	-	-	-	-	44,754
6-263-80-5 (04/28/80)	1	86,860	86,860	-	-0-	-0-	-	-	\$86,860	-0-
6-263-80-6 (05/29/80)	7	200,000	284,611	-	-	-	-	-	-	284,611
1-511-80-15 (07/21/80)	4	To Be Deter.	296,269	-	-	-	-	-	-	296,269
	10	To Be Deter.	26,622	-	-	-	-	-	-	26,622
	12	To Be Deter.	187,326	-	-	-	-	-	-	187,326
			11,755	-	-	-	-	-	-	11,755
0-000-80-89 (07/29/80)	3	To Be Deter.	148,913	-	-0-	\$45,793	-	96,781	6,339	-0-
1-521-81-6 (01/07/81)	5	209,000	181,569	27,432	-	-	-	19,452	-	162,117
3-621-81-5 (01/28/81)	7	11,045	11,045	-	\$373	-	-	6,885	3,558	572
5-386-81-6 (02/12/81)	10	8,413,000	-	-	-	-	-	-	-	-
0-000-81-44 (02/13/81)	2	466,500	310,039	156,461	-	-	-	-	-	310,039
	5	To Be Deter.	130,811	-	-	-	-	129,729	-	1,082
5-388-81-7 (02/19/81)	1	245,414	85,299	160,115	-	-	-	-	-	85,299

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
5-388-81-8 (02/25/81)	7	308,000	246,930	61,070	-	-	-	180,426	-	66,504
0-000-81-58 (03/20/81)	4	106,087	106,087	-	-	-	-	105,264	-	823
2-497-81-11 (04/27/81)	5	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
2-497-81-13 (05/18/81)	6	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
1-524-81-15 (05/18/81)	4	To Be Deter.	109,844	-	-	-	-	-	-	109,844
0-669-81-96 (06/26/81)	20	1,500,000	1,500,000	-	-	-	-	-	-	1,500,000
0-000-81-112 (07/28/81)	4	1,013	670	343	-	-	-	-	-	670
0-000-81-114 (08/06/81)	5	158,476	20,377	893	-	-	137,206	20,377	-	-
5-386-81-14 (08/11/81)	1	16,934	16,934	-	-	-	-	-	-	16,934
5-386-81-15 (08/17/81)	2	1,039,110	1,039,110	-	-	-	-	-	-	1,039,110
0-688-81-139 (09/24/81)	1	357,071	-	-	-	-	357,071	-	-	-
	3	To Be Deter.	5,237	38,095	-	-	-	-	-	5,237
	2	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
2-493-82-1 (10/22/81)	1	To Be Deter.	124	-	-	-	-	-	124	
	2	To Be Deter.	317	-	-	-	-	-	317	
	4	To Be Deter.	28	-	-	-	-	-	28	
5-271-82-2 (10/22/81)	2	To Be Deter.	3,200	-	-	-	-	-	3,200	
6-263-82-2 (11/29/81)	2	71,429	-	-	-0-	71,429	-0-	-	-0-	
	3	400,000	-	-	-0-	400,000	-0-	-	-0-	
	6	65,000	-	-	65,000	-0-	-0-	65,000	-0-	
5-388-82-4 (11/29/81)	6	4,683,000	4,683,000	-	-	-	-	-	4,683,000	
0-000-82-29 (01/18/82)	1	280,731	15,520	265,211	-	-	-	-	15,520	
6-263-82-4 (01/20/82)	1	64,034	42,164	22,140	-	-	27,781	-	14,377	
1-525-82-7 (03/03/82)	7	495,463	-	-	-0-	476,463	19,000	-	-	
0-000-82-52 (03/09/82)	1	294,063	294,063	-	-	-	-	-	294,063	
0-000-82-53 (03/12/82)	1	476,167	476,167	-	-	-	-	-	476,167	

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
6-263-82-5 (04/29/82)	7	32,735	-	-	-	-	32,735	-	-	-
	8	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	9	49,693	-	-	-	-	49,693	-	-	-
	10	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	11	To Be Deter.	-	-	-	-	1,131,173	-	-	-
	17	946,879	-	-	-	-	946,879	-	-	-
	18	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	20	54,634	-	-	-	-	54,634	-	-	-
	23	To Be Deter.	-	-	-0-	-0-	-0-	-	-	-0-
	25	59,295	-	-	59,295	-0-	-0-	-	59,295	-0-
	30	21,204	-	-	-0-	-0-	21,204	-	-	-0-
	31	To Be Deter.	-	-	-0-	-0-	-0-	-	-	-0-
	32	7,048	-	-	-0-	-0-	7,048	-	-	-0-
	33	To Be Deter.	-	-	-0-	-0-	-0-	-	-	-0-
	35	758	-	-	-0-	-0-	758	-	-	-0-
	36	260	-	-	-0-	-0-	260	-	-	-0-
	37	2,215	-	-	-0-	-0-	2,215	-	-	-0-
5-383-82-6 (05/18/82)	2	To Be Deter	16,304	-	-0-	-0-	-0-	-	-	-0-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS				
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding	
			Sustained	Not Sustained	Sustained	Not Sustained					
I. RECOVERIES											
5-386-82-7 (06/07/82)	1	To Be Deter.	-	-	-	-	-	To Be Deter.	-	-	-
	7	942,978	-	-	-	-	-	942,978	-	-	-
	11	43,693	43,693	-	-	-	-	-	-	-	-
	28	1,400,000	-	-	-	-	-	-	-	-	43,693
	29	To Be Deter.	-	-	-	-	-	1,400,000	-	-	-
		22,223	-	-	-	-	-	To Be Deter.	-	-	-
	30	To Be Deter.	-	-	-	-	-	22,223	-	-	-
	34	369,369	-	-	4,256	-0-	-0-	-0-	-	4,256	-0-
	35	NTE	-	-	-	-	-	369,369	-	-	-
		517,884	-	-	-	-	-	NTE	-	-	-
			-	-	-	-	-	517,884	-	-	-
6-263-82-6 (06/24/82)	10	7,777	4,038	3,739	-	-	-	-	-	-	4,038
	12	112,758	-	-	39,796	72,962	-0-	-	-	-	39,796
	13	3,600	3,580	20	-	-	-	-	-	-	3,580
	14	28,000	8,000	20,000	-	-	-	-	-	-	8,000
6-263-82-7 (07/07/82)	7	217,489	-	-	-	-	-	217,489	-	-	-
	8	To Be Deter.	-	-	-	-	-	To Be Deter.	-	-	-
	21	5,786	-	-	5,786	-0-	-0-	-0-	-	5,786	-0-
0-000-82-85 (07/12/82)	1	22,685	-	-	356	22,329	-0-	-	-	356	-0-
	2	28,523	-	-	-0-	28,523	-0-	-	-	-	-0-
0-000-82-86 (07/16/82)	1	129,249	17,857	111,392	-	-	-	-	-	-	17,857

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
0-000-82-87 (07/19/82)	1	92,824	-	-	12,532	80,292	-0-	-	-	12,532
0-000-82-91 (07/22/82)	1	9,904	-	-	382	9,522	-0-	-	382	-0-
2-497-82-13 (07/27/82)	1	482,000	-	-	-0-	482,000	-0-	-	-	-0-
0-000-82-93 (08/03/82)	1	155,334	155,334	-	-0-	155,334	-	-	-	-0-
	2	38,429	38,429	-	-0-	38,429	-	-	-	-0-
	3	315,787	315,787	-	-0-	315,787	-	-	-	-0-
	4	70,353	70,353	-	-0-	70,353	-	-	-	-0-
0-000-82-94 (08/03/82)	1	33,015	-	-	33,015	-0-	-0-	-	33,015	-0-
5-279-82-9 (08/26/82)	1	114,285	-	-	114,285	-0-	-0-	-	-	114,285
	2	21,120	21,120	-	-	-	-	-	-	21,120
		5,085	5,085	-	-	-	-	-	-	5,085
2-492-82-14 (08/31/82)	11	2,546	-	-	-0-	2,546	-0-	-	-	-0-
3-650-82-24 (08/31/82)	1	To Be Deter.	83,779	-	-	-	-	-	-	83,779
	4	10,052	10,052	-	-	-	-	-	-	10,052
1-521-82-16 (09/14/82)	16	47,170	-	-	-	-	47,170	-	-	-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
7-688-82-1 (09/20/82)	10	75,265								
	13	86,667	75,265	-	-	-	-		75,265	-0-
	14	81,667	86,667	-	-	-	-		86,667	-0-
	15	53,333	81,667	-	-	-	-		81,667	-0-
		153,333	53,333	-	-	-	-		53,333	-0-
			153,333	-	-	-	-		153,333	-0-
0-000-82-109 (09/21/82)	2	22,069	-	-	-0-	22,069	-0-			-0-
0-000-82-112 (09/24/82)	2	370,680	82,243	288,437	-0-	-0-	-0-			-0-
3-660-82-26 (09/24/82)	2	19,965	19,965	-	-	-	-		82,243	-0-
3-608-82-27 (09/29/82)	6	1,456	-	-	-	-	-			19,965
1-518-82-17 (09/30/82)	1	4,500	-	-	-	-	1,456			-
	3	18,500	-	-	-	-	4,500			-
1-518-83-1 (10/15/82)			-	-	-	-	18,500			-
1-511-83-3 (11/16/82)	1	To Be Deter.	-	-	-	-	To Be Deter.			-
	2	10,947	-	-	-0-	10,947	-0-			-0-
		8,320	-	-	-	-	8,320			-
7-698-83-1 (11/16/82)	4	27,739	27,739	-	-	-	-			27,739

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
0-000-83-20 (11/26/82)	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
0-000-83-25 (12/06/82)	1	57,380	51,793	5,587	-0-	-0-	-0-	-	51,793	-0-
0-000-83-30 (12/07/82)	1	3,337	-	-	2,372	965	-0-	-	2,372	-0-
0-000-83-34 (12/30/82)	2	1,621,385	-	-	2,593,222	-0-	-0-	-	-	2,593,222
0-000-83-36 (12/30/82)	1	20,641	-	-	-0-	20,641	-0-	-	-	-0-
1-527-83-04 (01/24/83)	3	2,480	-	-	-	-	2,480	-	-	-
0-000-83-39 (01/28/83)	1	1,521	-	-	759	762	-0-	-	759	-0-
5-279-83-4 (01/30/83)	1	440,656	-	-	73,425	367,231	-0-	-	6,045	67,380
2-497-83-3 (01/31/83)	4	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
1-532-83-6 (02/04/83)	8	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	3	43,468	-	-	-	-	-	-	-	-
	4	To Be Deter.	-	-	-0-	-0-	43,468	-	-	-
7-683-83-2 (02/10/83)	7	To Be Deter.	-	-	-	-	-0-	-	-	-0-
	18	To Be Deter.	-	-	-0-	-0-	To Be Deter.	-	-	-
	20	82,764	-	-	-	-	-0-	-	-	-0-
							82,764			

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION					COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
3-687-83-7 (02/11/83)	7	39,397	-	-	-	-	39,397	-	-	-
0-000-83-41 (02/28/83)	1	13,142	-	-	13,142	-0-	-0-	-	-	13,142
2-497-83-4 (02/28/83)	2	To Be Deter.	-	-	-0-	-0-	-0-	-	-	-0-
	8	1,674	-	-	-0-	1,674	-0-	-	-	-0-
3-649-83-9 (03/03/83)	2	15,977	-	-	-0-	15,977	-0-	-	-	-0-
0-000-83-44 (03/08/83)	1	15,000	-	-	-	-	15,000	-	-	-
	6	To Be Deter.	-	-	3,832	-0-	-0-	-	-	3,832
3-632-83-11 (03/18/83)	1	650,000	-	-	-	-	650,000	-	-	-
	2	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	5	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
2-492-83-5 (03/21/83)	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	4	1,894	-	-	-	-	1,894	-	-	-
	5	3,669	-	-	-0-	3,669	-0-	-	-	-0-
	6	11,709	-	-	-	-	11,709	-	-	-
	7	26,670	-	-	4,879	21,791	-0-	-	4,879	-0-
5-386-83-5 (03/22/83)	4	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
0-000-83-49 (03/23/83)	1	11,001	-	-	-0-	11,001	-0-	-	-	-0-
3-623-83-12 (03/25/83)	1	28,458	-	-	28,458	-0-	-	28,458	-	-0-
DCAA 6261- 2C160.304 (01/06/82)	-	4,046	3,666	380	-	-	-0-	-	-	3,666
DCAA 6171- 2B160278-2-353 (02/04/82)	-	41,063	-	-	-	-	41,063	-	-	-
Subtotal, Recs. in Excess of 6 Months Old		<u>\$33,557,138</u>	<u>\$14,830,727</u>	<u>\$1,192,890</u>	<u>\$3,056,165</u>	<u>\$2,796,278</u>	<u>\$15,579,055</u>	<u>\$1,342,695</u>	<u>\$891,661</u>	<u>\$15,009,127</u>

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
3-615-83-14 (04/14/83)	1	\$3,800,000	-	-	-	-	\$3,800,000	-	-	-
	3	1,900,000	-	-	\$1,900,000	-0-	-0-	\$1,900,000	-	-0-
3-633-83-15 (04/19/83)	7	167	-	-	167	-0-	-0-	-	167	-0-
0-688-83-59 (04/20/83)	1	659	-	-	659	-0-	-0-	-	-	\$659
0-000-83-61 (04/25/83)	1	1,486	-	-	1,486	-	-0-	-	1,486	-0-
3-508-83-16 (04/25/83)	1	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
6-263-83-3 (04/28/83)	4	417,396	-	-	-	-	417,396	-	-	-
1-521-83-10 (04/29/83)	2	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	5	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
7-688-83-3 (05/03/83)	2	784,947	-	-	-	-	784,947	-	-	-
	3	108,213	-	-	-	-	108,213	-	-	-
	4	4,825,282	-	-	-	-	4,825,282	-	-	-
0-000-83-66 (05/27/83)	1	5,000	-	-	-0-	5,000	-0-	-	-	-0-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
6-263-83-4 (05/31/83)	7	To Be Deter.	-	-	-	-	-	-	-	
	8	To Be Deter.	-	-	-	-	To Be Deter.	-	-	
1-517-83-11 (05/31/83)	5	979	-	-	-	-	To Be Deter.	-	-	
	6	To Be Deter.	-	-	-	-	979	-	-	
0-000-83-70 (06/20/83)	1	171,172	-	-	-	-	To Be Deter.	-	-	
0-000-83-71 (06/20/83)	1	180,832	-	-	-	-	171,172	-	-	
	3	To Be Deter.	-	-	-	-	180,832	-	-	
0-000-83-77 (07/13/83)	1	333,222	-	-	-	-	To Be Deter.	-	-	
0-000-83-83 (07/19/83)	1	35,090	-	-	-	-	333,222	-	-	
0-000-83-84 (07/19/83)	1	1,648	-	-	-	-	35,090	-	-	
0-000-83-85 (07/20/83)	1	161,298	-	-	-	-	1,648	-	-	
5-367-83-8 (07/26/83)	6	44,000	-	-	-	-	161,298	-	-	
	9	To Be Deter.	-	-	-	-	44,000	-	-	
			-	-	-	-	To Be Deter.	-	-	

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
6-263-83-6 (07/28/83)	1	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
2-497-83-7 (07/29/83)	2	22,000	-	-	-	-	22,000	-	-	-
	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	9	756,000	-	-	-	-	756,000	-	-	-
2-492-83-8 (07/29/83)	1	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	3	915	-	-	-	-	915	-	-	-
	4	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
0-000-83-96 (08/01/83)	1	137,116	-	-	-	-	137,116	-	-	-
0-000-83-97 (08/01/83)	1	45,240	-	-	-	-	45,240	-	-	-
0-000-83-99 (08/11/83)	1	4,759	-	-	-	-	4,759	-	-	-
0-000-83-106 (08/16/83)	1	1,876	-	-	-	-	1,876	-	-	-
6-263-83-7 (08/24/83)	2	43,466	-	-	43,466	-	-	43,466	-	-
0-000-83-111 (08/25/83)	1	7,125	-	-	-	-	7,125	-	-	-

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				COLLECTIONS/PAYMENTS/DEOBLIGATIONS			
			Previous Period		This Period		Awaiting Determination	Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
1-521-83-13 (08/25/83)	3	8,279	-	-	-	-	8,279	-	-	-
	4	18,400	-	-	-	-	18,400	-	-	-
1-521-83-14 (08/26/83)	4	661	-	-	-	-	661	-	-	-
6-263-83-8 (08/29/83)	3	5,482	-	-	-	-	5,482	-	-	-
	4	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	5	57,695	-	-	-	-	57,695	-	-	-
	6	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	7	23,675	-	-	-	-	23,675	-	-	-
	9	3,201	-	-	-	-	3,201	-	-	-
	10	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	12	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	16	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
0-000-83-129 (09/15/83)	2	38,916	-	-	-	-	38,916	-	-	-
	3	3,953	-	-	-	-	3,953	-	-	-
3-613-83-22 (09/15/83)	2	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
	3	To Be Deter.	-	-	-	-	To Be Deter.	-	-	-
0-000-83-135 (09/27/83)	1	8,172	-	-	-	-	8,172	-	-	-

ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION				Awaiting Determination	COLLECTIONS/PAYMENTS/DEOBLIGATIONS		
			Previous Period		This Period			Previous Period	This Period	Agency Determination Outstanding
			Sustained	Not Sustained	Sustained	Not Sustained				
I. RECOVERIES										
0-000-83-136 (09/27/83)	1	8,399	-	-	-	-	8,399	-	-	-
0-000-83-137 (09/28/83)	1	8,487	-	-	-	-	8,487	-	-	-
DCAA 1101-OM160829 (06/17/82)	-	34,532	-	-	34,532	-0-	-0-	-	-	34,532
Subtotal, Recs. Open 6 Months Or Less		\$14,009,740	\$-0-	\$-0-	\$1,980,310	\$ 5,000	\$12,024,070	\$-0-	\$1,945,119	\$ 35,191
Total Recoveries		\$47,566,878	\$14,830,727	\$1,192,890	\$5,036,475	\$2,801,278	\$27,603,125	\$1,342,695	\$2,836,780	\$15,044,318

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ACTIONS ON MONETARY FINDINGS FROM
APRIL 1, 1983 THROUGH SEPTEMBER 30, 19

Audit Report No. (Date Issued)	Rec. No.	Amount of Monetary Finding	AGENCY DETERMINATION			COLLECTIONS/PAYMENTS/DEOBLIGATIONS	
			Sustained	Not Sustained	Awaiting Determination	This Period	Agency Determination Outstanding
II. DEOBLIGATIONS/REDUCTIONS OR RETURN OF ADVANCES							
1-522-81-16 (05/22/81)	5	To Be Deter.	\$ 270,155	-0-	-0-	\$ 270,155	-0-
5-388-82-4 (11/29/81)	2	\$4,580,000	4,580,000	-0-	-0-	4,580,000	-0-
1-520-82-3 (11/30/81)	4	55,683	55,683	-0-	-0-	55,683	-0-
	5	To Be Deter.	-0-	-0-	-0-	-	-0-
0-000-82-30 (01/25/82)	2	317,107	317,107	-0-	-0-	317,107	-0-
0-000-82-68 (04/30/82)	4	60,000	29,205	\$ 30,795	-0-	29,205	-0-
	6	380,980	1,795	299,158	\$80,023	1,795	-
2-493-83-2 (01/26/83)	1	500,000	500,000	-0-	-0-	500,000	-0-
	2	1,640,000	500,000	1,140,000	-0-	500,000	-0-
1-527-83-4 (02/24/83)	4	To Be Deter.	47,325	-0-	-0-	47,325	-0-
1-532-83-6 (02/04/83)	8	To Be Deter.	715,059	-0-	-0-	715,059	-0-
Total Deobligations/ Reductions or Return		\$7,533,770	\$7,016,329	\$1,469,953	\$80,023	\$7,016,329	-0-

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TABLE 1. RECOMMENDATIONS OPEN IN EXCESS OF SIX MONTHS
AS OF SEPTEMBER 30, 1983*
(By Bureau/Office and Resolution Status)

<u>Bureau/ Office</u>	<u>Total Recs. Open in Excess of 6 Months</u>	<u>Recs. Resolved</u>	<u>Recs. Unresolved</u>
AFR	28	23	5
ASIA	14	12	2
FVA	2	0	2
GC	2	1	1
LAC	19	16	3
MGT	32	18	14
NE	19	8	11
OFDA	1	1	0
FVA & AFR	4	2	2
FVA & ASIA	20	2	18
FVA & GC	1	1	0
FVA & LAC	1	1	0
MGT & ASIA	1	1	0
MGT & IG	1	1	0
Agency Total	<u>145</u>	<u>87</u>	<u>58</u>

*Reflects IG and joint State/AID Joint Administrative Office recommendations, but not General Accounting Office recommendations.

TABLE 2. RECOMMENDATIONS OPEN IN EXCESS OF SIX MONTHS
AND UNRESOLVED AS OF SEPTEMBER 30, 1983*
(By Bureau/Office and Status With Regard to
P.L. 96-304 Requirements)

<u>Bureau/ Office</u>	<u>Total Recs. Open in Excess of 6 Months and Un- resolved as of 9/30/83</u>	<u>Recs. in Violation of P.L. 96-304 Req. for Resolution of Monetary Recs. Within 6 Months</u>	<u>Recs. Not Subject to P.L. 96-304 Requirements</u>
AFR	5	0	5
ASIA	2	2	0
FVA	2	0	2
GC	1	0	1
LAC	3	2	1
MGT	14	1	13
NE	11	11	0
FVA & AFR	2	1	1
FVA & ASIA	<u>18</u>	<u>7</u>	<u>11</u>
Agency Total	<u>58</u>	<u>24</u>	<u>34</u>

*Reflects IG and joint State/AID Joint Administrative Office recommen-
dations, but not General Accounting Office recommendations.

TABLE 3. RECOMMENDATIONS OPEN IN EXCESS OF SIX MONTHS
DURING THE PERIOD FROM APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983*

Bureau/ Office	Recs. Open 6 Mos. As of 04/01/83	(Plus) Recs. Becoming 6 Mos. Old From 4/01/83- 9/30/83	(Plus) Recs. Reopened From 4/01/83- 9/30/83	Open Recs. Where Action Office Changed	Total Recs. Open Over 6 Mos. During Period	(Less) Recs. Over 6 Mos. Old Closed During Period	Recs. Open Over 6 Mos. As of 9/30/83
AFR	34	13	1	-4	44	16	28
ASIA	7	25	1	-1	32	18	14
FVA	3	1	-	-	4	2	2
GC	-	2	-	-	2	-	2
LAC	35	17	-	-1	51	32	19
MGT	39	32	-	+1	72	40	32
NE	43	9	1	-	53	34	19
OFDA	1	-	-	-	1	-	1
PPC	1	-	-	-	1	1	0
FVA & AFR	2	-	-	+4	6	2	4
FVA & ASIA	24	-	-	-	24	4	20
FVA & GC	1	-	-	-	1	-	1
FVA & LAC	1	-	-	-	1	-	1
FVA & MGT	1	-	-	-	1	1	0
FVA & PPC	1	-	-	-	1	1	0
MGT & ASIA	1	1	-	+1	3	2	1
MGT & IG	2	-	-	-	2	1	1
MGT & S&T	1	-	-	-	1	1	0
Agency Total	<u>197</u>	<u>100</u>	<u>3</u>	<u>-0-</u>	<u>300</u>	<u>155</u>	<u>145</u>

*Reflects IG and joint State/AID Joint Administrative Office recommendations, but not General Accounting Office recommendations.

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TABLE 4. TOTAL RECOMMENDATIONS OPEN DURING PERIOD
FROM APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983*

Bureau/ Office	Open Recs. As of 4/01/83	(Plus) New Recs. During Period	(Plus) Reopened Recs.	Open Recs. Where Action Office Changed	Total Open Recs. During Period	(Less) Recs. Closed During Period	Open Recs. As of 9/30/83
AFR	102	27	1	-4	126	68	58
ASIA	57	32	1	-1	89	43	46
FVA	4	7	-	-	11	6	5
GC	2	-	-	-	2	-	2
LAC	58	62	-	-1	119	52	67
MGT	97	75	-	+1	173	102	71
NE	67	61	1	-	129	65	64
OFDA	1	-	-	-	1	-	1
OPA	2	1	-	-	3	3	0
PPC	1	-	-	-	1	1	0
S&T	-	1	-	-	1	-	1
FVA & AFR	2	-	-	+4	6	2	4
FVA & ASIA	24	-	-	-	24	4	20
FVA & GC	1	-	-	-	1	-	1
FVA & LAC	1	1	-	-	2	-	2
FVA & MGT	1	-	-	-	1	1	0
FVA & PPC	1	-	-	-	1	1	0
MGT & ASIA	2	1	-	+1	4	2	2
MGT & GC	1	-	-	-	1	1	0
MGT & IG	2	-	-	-	2	1	1
MGT & LAC	-	5	-	-	5	-	5
MGT & S&T	1	2	-	-	3	1	2
Agency Total	<u>427</u>	<u>275</u>	<u>3</u>	<u>-0-</u>	<u>705</u>	<u>353</u>	<u>352</u>

*Reflects IG and joint State/AID Joint Administrative Office recommendations, but not General Accounting Office recommendations.

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TABLE 5. AGING OF OPEN RECOMMENDATIONS
AS OF SEPTEMBER 30, 1983*

<u>Bureau/ Office</u>	<u>Recs. Open 0-3 Months</u>	<u>Recs. Open 3-6 Months</u>	<u>Recs. Open 6-9 Months</u>	<u>Recs. Open 9-12 Months</u>	<u>Recs. Open Over 12 Months</u>	<u>Total Open Recs.</u>
AFR	17	13	12	0	16	58
ASIA	31	1	10	0	4	46
FVA	2	1	1	0	1	5
GC	0	0	2	0	0	2
LAC	18	30	4	4	11	67
MGT	28	11	11	11	10	71
NE	34	11	6	0	13	64
OFDA	0	0	0	0	1	1
S&T	0	1	0	0	0	1
FVA & AFR	0	0	3	0	1	4
FVA & ASIA	0	0	0	0	20	20
FVA & GC	0	0	0	0	1	1
FVA & LAC	0	1	0	0	1	2
MGT & ASIA	1	0	1	0	0	2
MGT & IG	0	0	0	0	1	1
MGT & LAC	0	5	0	0	0	5
MGT & S&T	0	2	0	0	0	2
Agency Total	<u>131</u>	<u>76</u>	<u>50</u>	<u>15</u>	<u>80</u>	<u>352</u>

*Reflects IG and joint State/AID Joint Administrative Office recommendations, but not General Accounting Office recommendations.

TABLE 6. AGING OF RECOMMENDATIONS CLOSED BETWEEN
APRIL 1, 1983 THROUGH SEPTEMBER 30, 1983*

<u>Bureau/ Office</u>	<u>Recs. Closed at 0-3 Months</u>	<u>Recs. Closed at 3-6 Months</u>	<u>Recs. Closed at 6-9 Months</u>	<u>Recs. Closed at 9-12 Months</u>	<u>Recs. Closed at Over 12 Months</u>	<u>Total Recs. Closed</u>
AFR	14	38	4	3	9	68
ASIA	8	17	17	0	1	43
FVA	4	0	0	0	2	6
LAC	8	12	17	5	10	52
MGT	35	27	11	12	17	102
NE	8	23	6	2	26	65
OPA	1	2	0	0	0	3
PPC	0	0	0	1	0	1
FVA & AFR	0	0	1	0	1	2
FVA & ASIA	0	0	0	0	4	4
FVA & MGT	0	0	1	0	0	1
FVA & PPC	0	0	0	1	0	1
MGT & ASIA	0	0	2	0	0	2
MGT & GC	0	0	1	0	0	1
MGT & IG	0	0	0	0	1	1
MGT & S&T	0	0	0	1	0	1
Agency	<u>0</u>	<u>0</u>	<u>0</u>	<u>1</u>	<u>0</u>	<u>1</u>
Total	<u>78</u>	<u>119</u>	<u>60</u>	<u>25</u>	<u>71</u>	<u>353</u>

*Reflects IG and joint State/AID Joint Administrative Office recommendations, but not General Accounting Office recommendations.