

DEPARTMENT OF STATE  
AGENCY FOR INTERNATIONAL DEVELOPMENT  
WASHINGTON, D.C. 20523

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PD-110-856

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ISN 38733

Ms. Patricia B. Rambo  
Assistant Director  
Division of Sponsored Research  
Tigert Hall  
University of Florida  
Gainesville, Florida 32611

Subject: Specific Support Grant  
No. AID/ta-G-1425

Dear Ms. Rambo:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the University of Florida (hereinafter referred to as "U of F" or "Grantee") the sum of \$30,000 to provide for a workshop on a network for collection of Tropical Legumes as more fully described in the attachment to this grant entitled "Program Description."

This grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of the workshop objectives during the period from July 1, 1977 through December 31, 1978.

This grant is made to the U of F on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Program Description" and Attachment B entitled "Standard Provisions" which have been agreed to by your organization.

Please sign the Statement of Assurance of Compliance, enclosed herein, and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

RECEIVED

FUNDS OBLIGATED

Approp. No. 72-110-1023  
Allot. No. 102-3-1041-01-2071  
Obl. No. 217284 Obl. Cl. 2251  
Amount \$ 29,000  
Proj. No. 13-1102-13

By RLG Date 5/2/77  
FM/CED

Ms. Patricia B. Rambo

Please return the Statement of Assurance of Compliance and the original and six (6) copies of this grant to the Office of Contract Management.

Sincerely yours,



Morton Darvin  
Contracting Officer  
Technical Assistance Branch  
Central Operations Division  
Office of Contract Management

Attachments:

- A. Program Description
- B. Standard Provisions
- C. Payment Provision

ACCEPTED:

UNIVERSITY OF FLORIDA/GAINESVILLE

BY: Patricia B. Rambo

TITLE: PATRICIA B. RAMBO  
ASSISTANT DIRECTOR

DATE: DIVISION OF SPONSORED RESEARCH  
UNIVERSITY OF FLORIDA 6/20/77  
964 - 332 - 4800

## PROGRAM DESCRIPTION

### A. Purpose of Grant

The purpose of this grant is to provide funds to support the organizing, holding, and reporting on a workshop of qualified lesser developed country scientists, knowledgeable of the legume family in tropical America and their potential for use in agriculture.

### B. Specific Objectives

1. Organize a workshop, to be held in Latin America during the Spring of 1978, for the purpose of developing procedures for the collection, preservation and evaluation of tropical legumes which may have agricultural potential.
2. To prepare a manual which would provide guidelines for a coordinated system of collection, classification, preservation, distribution and evaluation of legume germplasm.

### C. Implementation

1. The Grantee will contact institutions in Latin America and the U.S.A., international institutes and individuals who are expected to have interest and expertise in the area covered by this workshop. The Grantee's Principal Investigator will be Dr. Gerald Mott, Professor of Agronomy, at the University of Florida, who will select two or three other individuals from cooperating institutions to assist him. Dr. G. Mott and his assistants will make personal contacts with as many countries as possible having within their boundaries a portion of the genetic diversity of some of the tropical legumes. The purpose of these contacts will be to identify scientists who are knowledgeable of the legume family and who might contribute to the workshop.
2. The Grantee will conduct a workshop in tropical America, probably at the Centro Internacional de Agricultura Tropical (CIAT) near Cali, Colombia. The workshop will be conducted in both English and Spanish. Approximately thirty (30) participants from lesser developed countries will be invited, including twenty-seven (27) from Latin America and three (3) from Africa. Other participants from developed countries and international organizations also will be invited as appropriate. The workshop will include, but not be limited to the following:
  - a. Review the past history of legume exploration in Tropical America - areas explored, genera and species collected, history of germplasm collected and description of sites.
  - b. Identify neglected or overlooked areas which need exploration or where follow-up collection trips are needed.

- c. Identify the broad ecological zones which would provide evidence of the genera and species most likely to occur.
  - d. From the information available, attempt to delineate the centers of diversification of the legume genera.
  - e. Formulate a plan for the collection phase, including the composition of a minimum size team and a checklist of information needed.
  - f. Prepare a detailed scheme for recording and preservation of accessions including a uniform numbering system that would retain the identity of origin.
3. The Grantee will prepare a plan for evaluation which will (i) identify ranges of adaptation, (ii) establish linkages among the tropical and subtropical American countries, and (iii) establish mechanisms for the exchange and publication of information of mutual interest.
  4. As also described in Part D, Reporting, of this "Program Description", the Grantee will prepare, publish and distribute a manual outlining the steps and procedures for the collection, preservation, distribution and evaluation of tropical legumes. This manual will be prepared by a writing team chosen from the group attending the workshop. In the preparation of the manual special attention will be given to the experiences accumulated from the collection, preservation, and evaluation of many crop plants.

#### D. Reporting

1. The Grantee will be responsible for preparing a manual based on the workshop's conclusions, and publishing this manual (3,000 copies - 1,000 in English, 1,000 in Spanish and 1,000 in Portuguese) in monograph or book form with conventional, including mechanical, binding and with good quality printed covers and paper. For this purpose, the Grantee will name an experienced person to be the editor of the manual. The editor will be assigned his task no later than one week after the close of the workshop.
  2. The editor will establish criteria for the form and format of the manual. He will set and maintain deadlines, to insure that the final publication on the manual will occur not later than eight (8) months after the workshop ends. He will also be responsible for preparing any workshop plenary statements or summaries, the preparation of appropriate forward-and-back matter, for copy-editing, and for arranging for printing, binding, and dissemination of the manual.
  3. One hundred copies of the printed manual will be for AID use and will be forwarded directly to IA/AGR/SWM. The Grantee will distribute the remaining copies to appropriate institutions and scientists.
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in both LDCs and non-LDCs alike. AID will assist the Grantee to develop a comprehensive distribution list for this purpose. The distribution list should be ready by September 30, 1978. Liaison and coordination with the Grantee regarding publication activities will be through AID's offices of TA/AGR and TA/PPU/EUI.

4. The Grantee shall submit three copies of the manual directly to the Documentation Coordinator, TA/PPU/EUI, Technical Assistance Bureau, Agency for International Development, Washington, D.C. 20523, or his designee. Such copies shall include a title page showing the title of the manual, the project title as set forth in this grant, and the grant number. One copy of the manual shall be clearly typed or printed on white paper so that it may be photographed to produce a microfilm master. The manual shall be accompanied by an editor-prepared abstract.
5. Copies of all abstracts and papers presented at the workshop will be provided to AID at no charge.

E. Budget

Funds provided herein will be used for pre-workshop planning expenses, travel of the workshop coordinator, travel and per diem of workshop participants, and publication of a manual on Collection Procedures.

<u>Item</u>	<u>Amount</u>
Salary - Clerical Assistant	\$ 4,000
Cost of workshop facilities, translation, etc.	2,000
Preparation and publication of manual on Collection Procedures	4,000
Travel - Principal Investigator and other experts to plan workshop and contact key scientists	5,000
Travel and per diem of approximately thirty (30) LDC participants to workshop	<u>15,000</u>
Total	\$30,000

Amounts may be switched among items as reasonably necessary.

STANDARD PROVISIONS

a. Allowable Costs and Payment (Oct. 1974)

The Grantee shall be reimbursed for costs incurred in carrying out the purposes of this Grant which are determined by the Grant Officer to be allowable in accordance with the terms of this Grant and Subpart 15.2 of the Federal Procurement Regulations (FPR) (Principles and Procedures for use in Cost Reimbursement Type Supply and Research Contracts with Commercial Organizations)\* in effect on the date of this Grant. Payment of allowable costs shall be in accordance with Attachment C of this Grant.

[\*If this Grant is made to a university, the applicable cost principles are "Federal Management Circular, 73-8 (Cost Principles for Educational Institutions)" instead of Subpart 15.2 of the FPR as cited above]

b. Accounting, Records, and Audit (Oct. 1974)

The Grantee shall maintain books, records, documents, and other evidence in accordance with the Grantee's usual accounting procedures to sufficiently substantiate charges to the grant. The Grantee shall preserve and make available such records for examination and audit by AID and the Comptroller General of the United States, or their authorized representatives (1) until the expiration of three years from the date of termination of the program and (2) for such longer period, if any, as is required to complete an audit and to resolve all questions concerning expenditures unless written approval has been obtained from the AID Grant Officer to dispose of the records. AID follows generally accepted auditing practices in determining that there is proper accounting and use of grant funds. The Grantee agrees to include the requirements of this clause in any subordinate agreement hereunder.

c. Refunds (Oct. 1974)

(1) If use of the Grant funds results in accrual of interest to the Grantee or to any other person to whom Grantee makes such funds available in carrying out the purposes of this Grant, Grantee shall refund to AID an amount equivalent to the amount of interest accrued.

(2) Funds obligated hereunder but not disbursed to the Grantee at the time the grant expires or is terminated, shall revert to AID, except for funds encumbered by the Grantee by a legally binding transaction applicable to this Grant. Any funds disbursed to but not expended by the Grantee at the time of expiration or termination of the Grant shall be refunded to AID.

g. Covenant Against Contingent Fee (Oct. 1974)

The Grantee warrants that no person or selling agency has been employed or retained to solicit or secure this Grant upon an agreement or understanding for a commission, percentage, brokerage, or contingent fee except bona fide employees or bona fide established commercial or selling agencies maintained by the Grantee for the purpose of securing business. For breach or violation of this warranty, AID shall have the right to cancel this Grant without liability or, in its discretion, to deduct from the Grant amount, or otherwise recover, the full amount of each commission, percentage, brokerage, or contingent fee.

h. Nonliability (Oct. 1974)

AID does not assume liability with respect to any claims for damages arising out of work supported by its grants.

i. Amendment (Oct. 1974)

The Grant Agreement may be amended by formal modifications to the basic grant document or by means of an exchange of letters between the AID Grant Officer and an appropriate official of the Grantee.

j. Grant Agreement (Oct. 1974)

The letter to the Grantee signed by the Grant Officer, the Program Description and the Standard Provisions which have been reviewed and agreed to by the Grantee, constitute the Grant Agreement.

k. Notices (Oct. 1974)

Any notice given by any of the parties hereunder, shall be sufficient only if in writing and delivered in person or sent by telegraph, cable, registered or regular mail as follows:

To the cognizant AID Grant Officer

To Grantee - At Grantee's address shown in this Grant, or to such other address as either party shall designate by notice given as herein required. Notices hereunder, shall be effective when delivered in accordance with this clause or on the effective date of the notice, whichever is later.

Travel and Transportation (Oct. 1974)

(1) The Grant Officer hereby approves international travel hereunder provided that the Grantee shall obtain written concurrence from the cognizant technical office in AID prior to sending any individual outside the United States to perform work under the Grant. For this purpose the Grantee shall advise the Project Manager at least thirty (30) days in advance of any travel to be undertaken outside the United States. After concurrence is received the Grantee shall provide the cognizant USAID Mission or U.S. Embassy advance notification with a copy to the project officer of the arrival date and flight identification of Grant financed travellers.

(2) Travel to certain countries shall, at AID's option, be funded from U.S.-owned local currency. When AID intends to exercise this option, it will so notify the Grantee after receipt of advice of intent to travel required above. AID will issue a Government Transportation Request (GTR) which the Grantee may exchange for tickets, or AID will issue the tickets directly. Use of such U.S.-owned currencies will constitute a dollar charge to this grant.

(3) The Grantee agrees to travel by the most direct and expeditious route, and to use less than first class transportation unless such use will result in unreasonable delay or increased costs.

(a) All international air travel under this Grant shall be made on United States flag carriers. Exceptions to this rule will be allowed in the following situations provided that the Grantee certifies to the facts in the voucher or other documents retained as part of his Grant records to support his claim for reimbursement and for post audit:

1. Where a flight by a United States carrier is scheduled but does not have accommodations available when reservations are sought;

2. Where the departure time, routing, or other features of a United States carrier would interfere with or prevent the satisfactory performance of official business;

3. Where a scheduled flight by a United States carrier is delayed because of weather, mechanical, or other conditions to such an extent that use of a non-United States carrier is in the Government's interest;

(b) All international air shipments under this grant shall be made on United States flag carriers unless shipment would, in the judgment of the Grantee, be delayed an unreasonable time awaiting a United States carrier either at point of origin or transshipment, provided that the Grantee certifies to the facts in the vouchers or other documents retained as part of the Grant records to support his claim for reimbursement and for post audit.

(4) Travel allowances shall be reimbursed in accordance with the Federal Travel Regulations (FTR).

Publications (Oct. 1974)

(1) If it is the Grantee's intention to identify AID's contribution to any publication resulting from this Grant, the Grantee shall consult with AID on the nature of the acknowledgement prior to publication.

(2) The Grantee shall provide the Project Manager with one copy of all published works developed under the Grant. The Grantee shall provide the Project Manager with lists of other written work produced under the Grant.

(3) In the event grant funds are used to underwrite the cost of publishing, in lieu of the publisher assuming this cost as is the normal practice, any profits or royalties up to the amount of such cost shall be credited to the Grant.

(4) The Grantee is permitted to secure copyright to any publication produced or composed under the Grant. Provided, the Grantee agrees to and does hereby grant to the Government a royalty-free, non-exclusive and irrevocable license throughout the world to use, duplicate, disclose, or dispose of such publications in any manner and for any purpose and to permit others to do so.

PAYMENT PROVISIONPeriodic Grant Disbursement

Each month, or at less frequent intervals as agreed upon in advance, Grantee will submit to the Office of Financial Management, FM/CSD, AID, Washington, D.C. 20523 Voucher Form SF 1034 (original) and SF 1034-A, three copies, each voucher identified by the appropriate grant number, in the amount of estimated cash needs for the following month. The voucher shall be supported by an original and two copies of a report rendered as follows:

Amount of Grant	\$xxx
Expended to date	\$xxx
Expended this period (by line item as set forth in budget)	
1.	\$xxx
2.	\$xxx
3.	\$xxx
etc.	
TOTAL THIS PERIOD	\$xxx
Advances outstanding to subgrantees (if any)	\$xxx
Anticipated expenditures next month (dates)	\$xxx
Cash received to date	\$xxx
Cash required next month	\$xxx

The report shall include a certification as follows:

"The undersigned hereby certifies: (1) that the above represents the best estimates of funds needed for expenditures to be incurred over the period described, (2) that appropriate refund or credit to the grant will be made in the event funds are not expended, (3) that appropriate refund will be made in the event of disallowance in accordance with the terms of this grant and (4) that any interest accrued on the funds made available herein will be refunded to AID.

BY \_\_\_\_\_

TITLE \_\_\_\_\_ DATE \_\_\_\_\_

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