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DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

0003
3189514

9310203

APR 10 1978

Mr. Joseph E. Slater
President
Aspen Institute for Humanistic Studies
717 Fifth Avenue
New York, NY 10022

Subject: Grant No. AID/DS/OTR-G-0002

Dear Mr. Slater:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grant to The Aspen Institute (hereinafter referred to as "Grantee") the sum of \$41,084 to provide support for a workshop on increasing agribusiness participation in third world development.

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period March 13, 1978 through August 31, 1978.

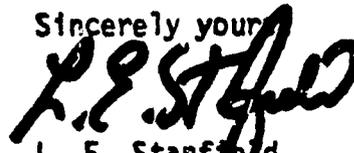
This Grant is made to the Aspen Institute on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Program Description", and Attachment B entitled "Standard Provisions", which have been agreed to by your organization.

CERTIFIED A TRUE COPY THIS
19 DAY OF May 78
BY: JH Mack

Please sign the Statement of Assurance and Compliance, enclosed herein and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours



L. E. Stanfield
Grant Office
Chief, OTK Branch
Central Operations Division
Office of Contract Management

ATTACHMENTS:

1. Program Description
2. Standard Provisions
3. Statement of Assurance of Compliance

ACCEPTED:

THE ASPEN INSTITUTE FOR HUMANISTIC STUDIES

BY: Joseph E. Slater

TITLE: JOSEPH E. SLATER
PRESIDENT

DATE: May 3, 1978

Fiscal Data

Appropriation : 72-1181021.6

Allotment : 846-31-099-00-20-81

PIO/T No. : 3189514

Project No. : 931-0003

Total Grant Amount: \$41,084

PROGRAM DESCRIPTION

A. Purpose of Grant

The purpose of this grant is to provide support to the Program in Science, Technology and Humanism in the effort to organize a five day workshop which will bring together representatives of corporations, universities, private groups, developing country representatives and the U.S. Government to discuss issues related to agribusiness participation in development. The five day workshop is intended to develop proposals for the stimulation of corporate involvement in the U.S. national effort to help developing countries meet their basic needs, particularly their needs for adequate food and nutrition.

B. Objective

The objective of this grant is to discuss issues relating to agribusiness participation in development within a workshop.

C. Implementation

To achieve the above objective and purpose, the Grantee shall carry out the following activities with funds provided by this grant.

- 1) A workshop will be held about 4 months after grant approval and reports on workshop results will be completed a month after the workshop is held.
- 2) A group of 25 attendees will be chosen from groups indicated above and using the prototype list (appendix IV) of proposal as a guide.
- 3) The workshop will be organized by Aspen's Program Director and Associate Program Director for the Program in Science Technology and Humanism. Two or three consultants will be engaged, they will

include one with business background and knowledge of corporate experience in developing countries, and one from an academic institution who has knowledge of food system problems in developing countries. The organizing group as a whole will formulate a detailed plan for the workshop, identify potential participants and select persons to prepare background papers.

- 4) Organizers will consult with outside organizations such as the Conference Board, the U.S. Council of International Chambers of Commerce, the Council of Americas, the Agricultural Research Institute, FAO and OECD, concerning conference plans including substance and participation.
- 5) Academic community contacts to be consulted about arrangements will include Dr. Ray Goldberg of the Harvard Business School, Dr. Howard V. Perlmutter of Wharton School, and especially Dr. Theodore W. Schilie of the Denver Research Institute.
- 6) Preliminary planning discussions will be held in Aspen and involve ad hoc groups of high quality for advice and counsel.
- 7) Workshop discussions will be directed to subjects of identified A.I.D. interest.
- 8) The grantee will keep in mind A.I.D.'s mandated interests in assisting the poor majority in providing for basic needs, and in the provision of appropriate technology for developing countries. Workshop discussions and conclusions that address A.I.D. mandate interests will be especially noted in the final report.

D. Reporting

The institute will keep DS/ST informed of progress in basic arrangements for the workshop including the nature of agenda, discussion papers and

attitudes. A completed summary report in five copies will be submitted to A.I.D. within one month after completion of the workshop.

Contractor shall submit three copies of all reports listed as being a product of the contract (administrative, progress, final and technical reports containing R&D findings) to the Documentation Coordinator, DS/DIU. Agency for International Development, Washington, D.C. 20523, or his designee. Such reports shall include a title page showing the title of the report, project title as set forth in this contract and the contract number. One copy of each report shall be clearly typed or printed on white paper so that it may be photographed to produce a microfilm master. Technical reports shall be accompanied by an author-prepared abstract.

E. Budget

The funds herein shall be used to finance the following items:

<u>Cost Element</u>	<u>Total Obligated Amount</u> <u>FROM: 3/13/78 TO: 8/31/78</u>
*Salaries	\$11,272
Consultants	1,500
Overhead @ 30% of Direct Costs	9,481
*Travel	10,051
*Per Diem	3,780
*Other Direct Costs	<u>5,000</u>
TOTAL	\$41,084

The Grantee may not exceed the total amount of the budget. Adjustments among the line items are unrestricted. *These elements shall be reimbursed on an actual cost basis only. Salaries shall be paid accordance with the individuals current salary and Cornell policy.

F. Special Provisions

- 1) The following Standard Provisions are hereby deleted as they are deemed inappropriate:

Clause No. 7A; 7B: 12A; 12C.

Clause No. 10 entitled "Procurement of Goods and Services under \$250,000" on page 4 is deleted and in lieu thereof insert Clause No. 10 entitled "Procurement of Goods and Services under \$250,000 (Oct. 1977)" on page No. 9.

- 3) Team will keep in mind A.I.D.'s mandated interest in assisting the poor majority, in providing for basic human needs, and in the utilization of appropriate, or light capital, technology. The extent of which these interests were part of project considerations should be noted in the final report.
- 4) All travel will be in accordance with U.S. Government travel regulations and AID procedures.
- 5) Grantee will review travel plans with DS/OST 10 days in advance; make own appointments and logistic arrangements; keep Missions fully informed of his activities and ask them to participate as desired.

G. Overhead Rate

Pursuant to the provisions of the clause of the Standard Provisions of this Specific Support Grant entitled "Negotiated Overhead Rates - Predetermined" a rate or rates shall be established for each of the Grantees accounting periods during the term of the Grant. The rates for the initial period shall be as set forth below:

Rate
30%

Base
Direct
Costs

Period
From: 11/1/77
To: 10/31/78

H. Title to Property

Title to property purchased under this Grant shall vest in the Government. All property must have been purchased and expended pursuant to the Grant objectives. If unexpended property remains at the end of this Grant, the Grantee shall inform the Grant Officer in AID/W.

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