

9310003
PD-AAQ-468
ISN 37763

February 7, 1978

ACTION MEMORANDUM

TO : DS/PPU, Mr. Robert C. Simpson

FROM : DS/OST, Henry Arnold *HA*

SUBJECT: Small Activity - Assistance in Preparation of
Afghanistan National Paper for UN Conference

Problem: Your approval is urgently requested to obtain \$50,000 in AID support for a team of Cornell experts to go to Afghanistan for one month to assist the Government in preparation of the Afghanistan national paper for the 1979 United Nations Conference on Science and Technology for Development (UNCSTD).

Discussion: AID's association with Cornell in Afghanistan began in 1971, when Dr. Ahimaz of the Cornell School of Engineering was assigned to a 5-year AID supported consultancy to Kabul University Engineering College. Last year Dr. Ahimaz returned to Afghanistan, this time as a UNESCO consultant to organize a national seminar on science and technology as part of Afghanistan's preparations for UNCSTD. During this consultancy Dr. Ahimaz urged the establishment of an Afghanis-tan interministerial committee for science policy which would also take over governmental responsibility for UNCSTD preparations. The committee was duly formed under the nominal chairmanship of the Minister, but under the leadership of Deputy Minister of Mines and Industry, A.A. Karzai.

Mr. Karzai strongly believes that UNCSTD preparation comes at an important juncture in Afghanistan's development, and that it presents an opportunity for formulation of a new national development strategy with reliance on science and technology. He believes, furthermore, that Afghanistan must utilize imported expertise to assure proper preparations.

This would include an interdisciplinary team of experts who could provide advice across the spectrum of Afghanistan's major Science and Technology interests. Naturally, Mr. Karzai recommended his government turn to Cornell for more expertise and to the UN for more funding of the expertise.

The UNCSTD Secretariat informed the Afghanistan Government that they were willing to consider a new contract with Dr. Ahimaz for a brief consultancy period, but the UN lacked budget and personnel resources for an interdisciplinary team. The UN informally suggested that US AID had indicated an interest in helping AID countries with UNCSTD preparations. Perhaps AID would be willing to support an interdisciplinary team while the UN supported the team leader.

Mr. Karzai visited the AID Mission in Kabul in November 1977 to ask that a request for AID assistance be sent to Washington. As a follow-up, and in a move unusual for the Afghanistan Government, Mr. Karzai was sent all the way to Washington. He discussed a Cornell team visit with AID's Afghanistan Desk, OST and the State Department Office of Coordination for UNCSTD. Although interest in a possible USG/AID program was expressed on all sides, no commitment was made pending receipt of a Cornell proposal and approval in principle by the AID Mission in Kabul. Both have now been received.

The Proposal

The first draft of national papers for UNCSTD are to be completed by May 1. Because of the nearness of the date, of the complications of making arrangements for a team visit, and of the urgings of the Afghanistan Government, Cornell submitted its proposal to AID in telegraphic form (attached).

The \$50,000 grant requested (salaries and per diem \$25,000, transportation \$9,000, and overhead and administrative expense \$3,000) will permit Cornell to field an interdisciplinary team of four specialists. The team's expertise will cover specified Afghanistan interests in remote sensing, agricultural economics, mining, water resources, technical training and research institutes. The team will be in Afghanistan about three weeks to provide advice to an Afghanistan counterpart team responsible for the Afghanistan UNCSTD national paper. Some time of the advisors would be spent at Cornell in preparation for their assignment, with perhaps an additional day at the UN in New York for briefing by the Secretariat.

The UN Contribution

In view of the current prohibition against AID budgetary support for the UN, it is important to make clear that the UNCSTD Secretariat's incidental support of the Cornell team by contract with the team leader, Dr. Ahimaz, is not such a case. The circumstances of the proposed activity are such that it would be more accurate to say that the UN is supporting an AID program, and both are supporting an Afghanistan program. Consider that a team of American experts from an American university are providing assistance to an AID country

in an activity which supports AID country objectives as well as the more general US interests associated with UNCSTD.

Administration

The Afghanistan desk enthusiastically supports the project but has suggested that the grant spell out the limitations of AID Mission administrative support and list the obligations of the UN and the Afghanistan Government. This will be done along the following lines:

1. AID/W will provide funding up to \$50,000 to cover categories of expenditure listed in Cornell's cabled proposal.

The UN Resident Representative in Kabul will be responsible for arrangements with the Government of Afghanistan for office space, secretarial assistance, internal travel and such other arrangements as are required for proper administrative support.

This division of responsibility has been discussed with Mr. Jorg Mahner of the UNCSTD Secretariat and Mr. Karzai of the GOA who have found it acceptable. It is apparent that the GOA expects the UN to cover the team's domestic travel in Afghanistan. Mr. Mahner does not believe that, in view of the short time of the team's stay, there will be much travel. He added, however, that if the UNCSTD Secretariat runs short of funds to cover administrative arrangements uncovered by the GOA, the Secretariat will look to UNESCO for the extra funds because UNESCO is considered a co-sponsor of Dr. Ahimaz

An AID grant is to be used instead of a contract because it is impossible to specify the activities of an interdisciplinary team which will be advising a GOA counterpart team attempting to put together a national paper of a size, weight and composition yet to be determined. The team will be instructed to obtain an initial briefing on GOA development planning from the AID Mission and the US Embassy. They will seek Embassy/Mission advice on occasion as the study proceeds, and they will make themselves available for an end of assignment debriefing. Dr. Ahimaz will also submit a comprehensive written report to OSF and provide a Washington debriefing for interested offices.

While in Afghanistan the team will also maintain close liaison with the UN Resident Representative and keep him abreast of the team's progress.

Many Benefits

UN participation, through funding of the team leader, permits AID to fund a larger, more diversified interdisciplinary team to cover a broader area of Afghanistan development interest than would otherwise be possible. A successful collaborative assistance effort in Afghanistan could establish a pattern for possible further UNCSTD-related, budget-stretching collaborative efforts in other AID countries.

The AID program in Afghanistan will benefit from the Cornell interdisciplinary team's positive contribution to rational and effective GOA development planning. The Mission believes the team's expertise is appropriate to GOA development goals and the Mission's program. The activity will add to AID knowledge of Afghanistan's requirements and strengthen the background for AID-GOA cooperation. AID as a whole also benefits from a more broadly experienced development resource base at Cornell.

The US position at UNCSTD will benefit from this AID supporting activity. It is at least a partial response to the UN's appeal to the US and other member countries for assistance in national paper preparations. Our assistance will help assure that Afghanistan prepares a responsible and positive country position contributing to a positive UNCSTD - an objective important to both the US and the UN. The team will provide the US with direct information on Afghanistan priorities and preparations for UNCSTD.

Afghanistan will benefit from much-needed technical assistance in establishing a national science and technology policy and in relating the policy to Afghanistan development. A good national paper will bolster Afghan prestige at UNCSTD and in the regional meetings which are part of the UNCSTD preparatory process. AID's response to an Afghanistan priority will help establish US influence in a country which receives much Russian attention and assistance.

A Pilot Activity

In view of the importance of the UN Conference for US interests and of its significance for development, the AID Administrator has promised the State Department full cooperation in preparation for the UN Conference. As a matter of policy, and because of the broad range of possible benefits to be derived from such a program, it was decided that AID should consider a program of assistance in preparation of national papers. Last summer a circular telegram

was sent to AID posts asking for a reading on the probable degree of host country interest in a possible program. Over a dozen positive replies were received, but for a number of reasons, including the AID reorganization, the project was delayed. A project to cover a number of those countries is now finally in the project approval process.

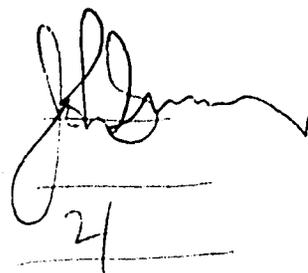
Timing of the program of national paper assistance is critical. The papers were originally scheduled for completion May 1. A significant number of countries were unable to meet the deadline. At an UNCSTD Preparatory Committee Meeting in Geneva this January, it was decided that the first draft of the national papers would be due May 1, and the final paper in September. This gives us a little more time, but not much more.

It makes sense to get the program started with a pilot project in Afghanistan. The Afghan's want the project urgently and they know that they want to use Cornell. Cornell, with its faculty skills, its background in the country, and its eagerness to proceed, can give Afghanistan what it needs without delay. The United States will at least have started its program to provide additional help to countries we are already helping so that these countries can properly prepare themselves for the most important conference ever held on development. The lessons learned from our activity in Afghanistan can immediately be applied by Cornell and other contractors to the larger program we hope will follow on the heels of the Afghanistan experience.

Recommendation: That you approve this small activity by signing the attached Pio/T.

Attachment:
Pio/T

Approved _____
Disapproved _____
Date 2/ _____



AID 1350-1X (1-78)	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country DSB	Page 1 of 2 Pages
		2. PIO/T No. 931-0003-3189522	3. <input type="checkbox"/> Original or Amendment No. <u>1</u>
		4. Project/Activity No. and Title Assistance in Preparation of UNCSTD National Paper (Afghanistan)	
		5. Appropriation Symbol 72-1181021.6	

DISTRIBUTION	6. Allotment Symbol and Charge 846-31-099-00-20-81
	7. Obligation Status <input type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document

8. Project Assistance Completion Date (Mo., Day, Yr.) 7/6/78 9/1/78
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9. Authorized Agent	10. This PIO/T is in full conformance with PRO/AG Date <u>NA</u>
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11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input type="checkbox"/> PASA/RSSA (HB 12) <input checked="" type="checkbox"/> AID Grant (HB 13) <input type="checkbox"/> Other	11b. Contract/Grant/PASA/RSSA Reference Number (If this is an Amendment) AID/DS/OTR-G-0001
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12. Estimated Financing (A detailed budget in support of column (2) is attached as attachment no. _____)

Maximum AID Financing	A. Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
		\$50,000	-	-	\$50,000
B. U.S.-Owned Local Currency					

13. Mission
References

14a. Instructions to Authorized Agent

This PIO/T authorizes a no-cost revision in the Scope of Technical Services provided for in the original grant dated March 27, 1978 (Grant No. AID/DS/OTR-G-0001) to permit a Cornell faculty member to visit Afghanistan for four weeks to assist the Government in completing its National Paper for the 1979 U.N. Conference on Science & Technology for Development.

NOTED
~~RECEIVED BY~~
JMM
POSTED 7-12-78
SER/EM/CSD

14b. Address of Voucher Paying Office

15. Clearances—Include typed name, office symbol, telephone number and date for all clearances.

A. The project officer certifies that the specifications in the statement of work are technically adequate DS/ST, William L. Eilers	Phone No. Date 7/6/78	B. The statement of work lies within the purview of the initiating and approved agency programs DS/ST, John Daly	Date 7/6/78
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C. <i>Ruth E Flynn</i> DS/ST, Ruth E. Flynn	Date 7/6/78	D. Funds for the services requested are available <i>[Signature]</i>	Date 7/10/78
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E.	Date	DS/PO, Lamar M. Prosser
		DS/PO, John Ryan

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development
Signature _____ Date _____	Signature <i>[Signature]</i> Robert Simpson Date 7/6/78
Title _____	Title Director, DS/PO

AID 1350-1X (9-70)	Cooperating Country	PIO/T No.	Page 2 of	Pages 2
PIO/T	Project/Activity No. and Title			
	Assistance in Preparation of UNCSTD National Paper (Afghanistan)			

SCOPE OF WORK

19. Scope of Technical Services

A. Objective for which the Technical Services are to be Used: Assist Afghanistan in preparing a National Paper which establishes the relationship of science and technology to established development goals, and which is an essential requirement for UNCSTD participation.

B. Description

In addition to the original scope of work, the following tasks are added:

-- Professor Ahimaz, College of Engineering, Cornell University, will assist the GOA in reviewing the National Paper drafted under the previous regime; help to make revisions as appropriate and desired by GOA, and help to assure that the paper is put into final form to meet the August 1, 1978 deadline established by the UNCSTD Secretariat for submission of country papers in final form.

-- In connection with the above, Professor Ahimaz will accompany the GOA delegate(s) to Bangkok at their request to serve as delegation advisor to the Second Regional Preparatory Meeting for UNCSTD, July 17-21, under ESCAP auspices.

-- As before, administrative arrangements within Afghanistan are the responsibility of the GOA and the U.N. Resident Representative, including local travel, office space and secretarial support.

C. Technicians

(1) (a) <u>Number</u>	(b) <u>Specialized Field</u>	(c) <u>Grade and/or Salary</u>	(d) <u>Duration of Assignment (Man-Months)</u>
1	Science & Technology Policy for LDCs	\$4,935	1.5

(2) Duty Post and Duration of Technicians' Services

Cornell and Washington (1 week), Afghanistan (3 weeks), Bangkok (5 days), travel (3 days).

(3) Language requirements

None

(4) Access to Classified Information

None

(5) Dependents Will Will Not Be Permitted to Accompany Technician

D. Financing of Technical Services

(1) By AID - \$ 50,000

(2) By Cooperating Country -

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ACTION MEMORANDUM

TO: DS/PO, Mr. Robert C. Simpson

FROM: DS/ST, John Daly *J. Daly*

SUBJECT: Small Activity: Assistance in Preparation of
Afghanistan National Paper for UN Conference--
Proposed No-Cost Amendment to Grant No. AID/DS-OTR-G-0001

Problem: Your approval is requested to amend the grant agreement signed with Cornell University March 30, 1978, to allow the alternative use of funds awarded in the grant in order to enable the grantee to fully execute his commitment to assist the Government of Afghanistan in preparing its National Paper for the 1979 UN Conference on Science and Technology for Development (UNCSTD).

Discussion: Several weeks after the A.I.D. - supported Cornell science policy team completed its advisory mission to Kabul, a coup d'etat resulted in the overthrow of the regime and assumption of power by a Leftist government. The new Government reviewed existing relationships with the United Nations and elected, generally, to continue the policies and programs of the previous regime. Regarding the draft National Paper, the new Government has decided the paper should be carefully reviewed by its new officials, members of the scientific community and others in the country, before it was finalized and submitted to meet the August 1, 1978 deadline established by the UNCSTD Secretariat. In this connection, the Ministry of Foreign Affairs cabled the Conference Secretariat requesting that Professor Franklin Ahimaz of Cornell University, the original team leader, return to Kabul for four weeks during July to serve as principal advisor for this process.

Dr. Jorg Mahner, the UNCSTD Secretariat Officer coordinating the assignment of UN experts to assist LDCs prepare their national papers, advised us that this Secretariat has exhausted its funds for advisors and has requested that A.I.D. cover Professor Ahimaz' expenses in returning to Afghanistan to complete work on the paper. Dr. Mahner recommended to A.I.D. and to the MOFA that provision be made for Professor Ahimaz to accompany the Afghan delegation to the Second Regional Preparatory Meeting for UNCSTD to be conducted under the auspices of the UN's Economic and Social Commission for Asia and the Pacific (ESCAP) in Bangkok, July 17-21. The Afghanistan Government

endorsed the recommendation and has requested his services since the new officials have not had sufficient time and experience to fully familiarize themselves with the host of issues and agenda items involved with UNCSTD preparations.

Revisions Requested In Terms and Conditions of the Grant:

The budget in the original proposal called for salaries of \$5,000 each for four experts for a 1.5 month period, per diem to cover 1.5 months each, and for five round trip air fares, Cornell-Kabul. (Professor Ahimaz' salary, travel and per diem were covered by the U.N.) Only three of the four experts called for were able to participate in the team; therefore, a savings of \$8,025 was realized. The additional airfare in the original budget was to provide for a return visit to Afghanistan by Professor Ahimaz (\$1,900). Therefore, \$9,925 is unexpended.

It is proposed that from this unexpended balance, the following application of these funds be allowed by Cornell University for the purposes stated above and on the attached PIO/T:

1. Round trip airfare, Cornell (Ithaca, N.Y.) - Kabul - Bangkok - Kabul - Cornell: TOTAL: \$1,992.00
2. Per Diem:

Bangkok:	6 days	\$42 per day	\$ 252.00
Kabul:	24 days	\$46 per day	1,104.00

Cornell salary (pro-rated for 6 weeks, including one week briefings and preparatory work, one week in preparation of final report at Cornell). Ahimaz is paid \$29,600 on a 9-month basis at Cornell; therefore, salary pro-rated at 1.5 months = \$4,935.00.

TOTAL: \$7,483.00

Professor Ahimaz submitted a draft copy of the initial Afghanistan National Paper as evidence of the contribution of the earlier team. He will prepare a final report on the total effort, including the proposed second visit, upon completion of the assignment, in compliance with the letter of grant dated March 27, 1978. The debriefing in Washington called for in conjunction with the grant was accomplished to our satisfaction.

Recommendation: That you approve the proposed no-cost amendment to ~~this small activity~~ by signing the attached PIO/T.

Attachment: PIO/T 931-0003-3189522
Letter of Grant, dated 3/23/78

Original - B Evans
Reg - J Aboud DS/ST

APR 14 1978

President's Office

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

MAR 27 '78

OFFICE OF AID FUNDING

MAR 23 1978

MAR 29 1978

CORNELL UNIVERSITY

Mr. Frank Rhodes
President
Cornell University
Ithica, New York 14850

ORIGINAL

Received _____

Referred J. Rogers

- For your information (Need not return)
- Please comment & return
- Please answer direct
- For appropriate action

Subject: Grant No. AID/DS/OTR-G-0001

Dear Mr. Rhodes:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to Cornell University (hereinafter referred to as "Grantee") the sum of \$50,000 to provide support for a program to assist Afghanistan in preparing a national paper for the 1979 United Nations Conference on Science and Technology for Development (UNCSTD).

This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the period March 6, 1978 through September 1, 1978.

This Grant is made to Cornell University on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment A entitled "Program Description", and Attachment B entitled "Standard Provisions", which have been agreed to by your organization.

ORIGINAL

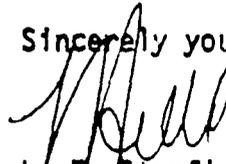
AV
JK

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Please sign the Statement of Assurance and Compliance, enclosed herein and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,



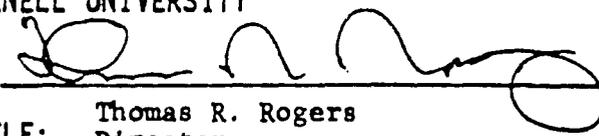
L. E. Stanfield
Grant Officer
Chief, OTR Branch
Central Operations Division
Office of Contract Management

ATTACHMENTS:

1. Program Description
2. Standard Provisions
3. Statement of Assurance of Compliance

ACCEPTED:

CORNELL UNIVERSITY

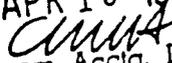
BY: 

TITLE: Thomas R. Rogers
Director

DATE: Office of Academic Funding
March 30, 1978

FUNDS AVAILABLE

APR 15 1978


Program Acctg. Division
OFFICE OF FINANCIAL MANAGEMENT

Fiscal Data

Appropriation : 72-1181021.6
Allotment : 846-31-099-00-20-81
PIO/T No. : 3189522
Project No. : 931-0003
Total Grant Amount: \$50,000

PROGRAM DESCRIPTIONA. Purpose of Grant

The purpose of this grant is to provide partial support to the Division of Basic Studies, Cornell University, to assist the Government of Afghanistan in the preparation of a national paper for the 1979 United Nations Conference on Science and Technology for Development (UNCSTD).

B. Specific Objectives

The specific objectives of this Grant are as follows:

- 1) Cornell will select a team of individuals to travel to Afghanistan to assist the GOA in the preparation of their national paper.
- 2) Cornell will brief these individuals and prepare them for their assignment.
- 3) The team will travel to Afghanistan and their activities in Afghanistan will be dictated by the interests of the GOA counterpart team responsible for national paper preparation.

C. Reporting

The Cornell team will make themselves available for debriefing and oral report to the AID Mission, Kabul, at the conclusion of their assignment in country. A debriefing will take place in AID Washington at the end of the assignment, and Cornell will provide DS/OST a detailed report* within two weeks to include information on team procedures, composition and relationship of the Afghanistan counterpart team, general content of the Afghan national paper, and the outlook for Afghan development. Recommendations will also be made regarding continuation of this form of multidisciplinary assistance.

*in five copies

Contractor shall submit three copies of all reports listed as being a product of the contract (administrative, progress, final and technical reports containing R&D findings) to the Documentation Coordinator, DS/DIU, Agency for International Development, Washington, D.C. 20523, or his designee. Such reports shall include a title page showing the title of the report, project title as set forth in this contract and the contract number. One copy of each report shall be clearly typed or printed on white paper so that it may be photographed to produce a microfilm master. Technical reports shall be accompanied by an author-prepared abstract.

D. Budget

The funds herein shall be used to finance the following items:

<u>Cost Element</u>	<u>Total Obligated Amount</u> <u>FROM: 3/6/78 TO: 8/31/78</u>
*Salaries	\$16,000
Fringe Benefits @ 21.0% of Salaries	3,465
Overhead @ 65% of Salaries	10,725
*Travel	9,000
*Per Diem	6,500
*Administrative Expenses	<u>3,810</u>
TOTAL	\$50,000

The Grantee may not exceed the total amount of the budget. Adjustments among the line items are unrestricted. *These elements shall be reimbursed on an actual cost basis only. Salaries shall be paid in accordance with the individuals current salary and Cornell policy.

E. Special Provisions

- 1) The following Standard Provisions are hereby deleted as they are deemed inappropriate:

Clause No. 7A; 7B: 12A; 12C.

Clause No. 10 entitled "Procurement of Goods and Services under \$250,000" on page 4 is deleted and in lieu thereof insert Clause No. 10 entitled "Procurement of Goods and Services under \$250,000 (Oct. 1977)" on page No. 9.

- 3) Team will keep in mind A.I.D.'s mandated interest in assisting the poor majority, in providing for basic human needs, and in the utilization of appropriate, or light capital, technology. The extent of which these interests were part of project considerations should be noted in the final report.
- 4) All travel will be in accordance with U.S. Government travel regulations and AID procedures.
- 5) Contractor will review travel plans and clear visits to host countries with DS/OST 30 days in advance; make own appointments and logistic arrangements; keep Missions fully informed of his activities and ask them to participate as desired.

F. Overhead Rate

Pursuant to the provisions of the clause of the Standard Provisions of this Specific Support Grant entitled "Negotiated Overhead Rates Predetermined" a rate or rates shall be established for each of the Grantees accounting periods during the term of the Grant. The rates for the initial period shall be as set forth below:

	<u>Rate</u>	<u>Base</u> <u>Direct</u>	<u>Period</u> <u>From:</u> 7/1/77
On Campus Research	65%	Salaries*	To: 6/30/78
Off Campus Research	14.7%	Salaries*	From: 7/1/77 To: 6/30/78

*including vacation holiday and sick pay, but excluding other fringe benefits, overtime premium and sabbatical home costs.

G. Title to Property

Title to property purchased under this Grant shall vest in the Government. All property must have been purchased and expended pursuant to the Grant objectives. If unexpended property remains at the end of this Grant, the Grantee shall inform the Grant Officer in AID/W.

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