

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

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Mr. George Zeidenstein
President
The Population Council
One Dag Hammarskjold Plaza
New York, N.Y. 10017

Subject: Grant No. AID/otr-G-1702

Dear Mr. Zeidenstein:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "AID" or "Grantor") hereby grants to The Population Council (hereinafter referred to as "The Grantee") the sum of \$893,689 to provide support for a program in research on the fertility impacts of development programs in Asia, as more fully described in the attachment to this Grant entitled "Program Description".

The Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives during the first two fiscal years of the Grant as shown in the budget. The estimated completion date is December 31, 1981.

This Grant is made to The Population Council on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment 1 entitled "Program Description", and Attachment 2 entitled "Standard Provisions" which have been agreed to by your organization.

The total estimated cost of this AID Grant is \$1,369,518 of which \$893,689 is hereby obligated by this document. AID shall not be liable for reimbursing the Grantee for any costs in excess of the \$893,689 obligated amount. The balance remaining will be obligated in the third year of the Grant, if funds are available and subject to agreement of the parties to proceed with the Project.

Please sign the Statement of Assurance of Compliance, enclosed herein, and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted. Please return the Statement of Assurance of Compliance and six (6) copies of this Grant to the Office of Contract Management.

Sincerely yours,


L. E. Stanfield
Grant Officer
Chief, OTR Branch
Central Operations Division
Office of Contract Management

Attachments:

1. Program Description
2. Standard Provisions
3. Statement of Assurance of Compliance

ACCEPTED:

THE POPULATION COUNCIL

BY: 

TITLE: President

DATE: October 24, 1978

FISCAL DATA

Appropriation : 72-1181021.4
Allotment : 844-30-099-00-22-81
PIO/T No. : 930-0068-3087012
Total Grant Amount: \$1,369,518
Obligated Amount : \$893,689
Technical Office : Bureau for Program and Policy Coordination
PPC/PDPR
Agency for International Development -
Washington, D. C. 20523

PROGRAM DESCRIPTION

A. Purpose of Grant

The purpose of this Grant is to provide support for a program of activities of the Grantee's regional office in Bangkok, Thailand, designed to improve the communication of policy-relevant research on the impact of development programs on fertility behavior to policy-makers and program planners in Asia.

Recent research has begun to focus attention on the impacts of development policies and programs on fertility decision-making and other demographic processes (migration and mortality).

The relationship between population dynamics and development is generally indirect, and the underlying casual mechanisms are still poorly understood. There is growing evidence to suggest that factors such as education, income distribution, labor force participation, rural development, urbanization, and changes in women's status, for example, affect and are affected by demographic dynamics, but the current state of knowledge about these interactions, especially at the country level, is not sufficiently precise to provide concrete policy guidelines.

Much more research is needed in order to elucidate the underlying casual relationships between development programs and fertility changes, to document those changes, and ultimately to develop programmatic guidelines that will be operationally useful to policy-makers and program managers. It is in the context of these goals that this grant has been proposed.

B. Specific Objectives

1. The specific objective of this Grant is to expand support for research activities directed at achieving a better understanding of the critical and policy-relevant population and development interactions described above, and to give attention to ways of translating that understanding into actionable programs.

C. Implementation

To achieve the above objectives, the Grantee shall carry out the following activities with funds provided by this grant:

1. Studies Program:

A studies program to foster or support on-going research by Asian scholars, and collaborative research by U.S. and Asian scholars on the social, economic, and cultural determinants of fertility patterns will be undertaken.

Research will focus on the fertility impacts of changes in household income, alternative agricultural development strategies, changing patterns of infant and child health and mortality, and increased female education and modern sector employment. The Grantee will identify Asian researchers interested in and qualified to undertake research related to these concerns, make small grants in support of such research, and consult with and offer technical advice to Asian scholars on the design and analysis of their research, to the extent that this is needed.

AID/W review will be carried out collaboratively by a review committee, representing the Asia Bureau, PPC and any other interested Bureaus.

The Grantee's Bangkok office will review and assess the technical merits and likely policy relevance of the proposals. The Grantee will obtain appropriate Mission concurrence for research activities funded under the small grants program prior to submitting the grant proposals for AID/Washington approval. Grants awarded under this program shall not exceed \$50,000.

2. Workshops:

A series of policy roundtables or workshops will be conducted on (1) country-specific fertility determinants research, and (2) important sector-specific factors that influence the demand for family planning services throughout Asia.

Each year the Grantee will sponsor policy roundtables and technical workshops which will involve around 40 or so Asian and other researchers and interested LDC policymakers to discuss on-going research and its relation to public policy and to explore promising directions for additional research on fertility determinants. Appropriate A.I.D. personnel may also be included as observers or participants in these workshops. It is expected that these workshops will be held either at the Grantee's Bangkok office or at other appropriate sites in the region. Written summaries of each workshop will be prepared and distributed widely.

3. Information Dissemination:

The Grantee will disseminate information on a regular basis to researchers and policy-makers in Asian countries interested in and responsible for broad development programs through a publication program.

A number of the research activities sponsored under this grant will eventually be published in academic journals. However, the Grantee will give high priority to devising strategy for effective dissemination of program results, research findings, and workshop discussions to U.S. and Asian policy makers and program managers who may not have access to the professional literature. Among the mechanisms that will be explored are (1) a regular newsletter for the Asia region, (2) wide distribution of a monograph series or occasional papers reporting the findings of fertility determinants research relevant to Asia, and (3) an aggressive campaign to include fertility determinants research findings in existing publications oriented toward Asian countries. An implementation/dissemination strategy will be developed and submitted for AID review no later than twelve months after the project begins.

4. Leadership and Guidance:

The Grantee will provide leadership and guidance to program planners and policy makers in Asia, including those in USAID missions, and will assist in the development of programs that give explicit attention to the fertility impact of development programs.

The success of specific activities financed under this grant will depend, in part, on the ability of the Grantee to continue to build its contacts and programs in the Asia region. Hence, an integral part of this grant involves program development activities that enable the Council to identify Asian researchers interested in and qualified to undertake research related to questions on the determinants of fertility,

to work with these researchers, and to assist them (as needed) in research design and analysis.

It is also expected that the Grantee's staff time will be devoted to assisting policy-makers and program planners in the Asia region both to understand the potential impact of development programs on population growth, and to design projects or project components that offer particular promise of improving the many social and economic conditions that influence fertility behavior. Such assistance will be available to AID missions in Asia, which are increasingly called upon to examine their entire project portfolio with a view to the concerns raised in Section 104(d) of the Foreign Assistance Act. Under this grant the staff of the Grantee's Bangkok office will be available for consultation with AID missions in Asia for up to six person-months per year. These consultations will be at the request of AID missions, with the concurrence of AID/Washington, and scheduled with a view to the other commitments of the Council's staff.

The technical assistance activities will concentrate on such areas as:

- 1.) Helping Missions to incorporate 104(d) issues into their project development exercises;
- 2.) Developing project evaluations that address the possible population impact of relevant Mission programs;
- 3.) Designing 104(d) projects with the A.I.D. Missions and LDC policymakers, where such activities are warranted; and
- 4.) Modifying existing projects to reflect 104(d) concerns.

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5. Report on first year of activities September 1979

6. Recruitment of additional Part-time staff to work with women's organizations in Asia October 1979

7. Second tranche of small grants reviewed with appropriate USAIDs and forwarded to AID/W with funding recommendations Oct. 1979 - Feb. 1980

8. Three policy roundtables; continued publications program; on-going technical assistance to USAID and Asian researchers Oct. 1979 - May 1980

9. Third wave of small grants reviewed with appropriate USAIDs and forwarded to AID/W with funding recommendations June 1980 - Oct. 1980

10. Mid-project evaluation June 1980

11. Report on second year of activities September 1980

12. Three policy roundtables; continued publications program; on-going technical assistance to USAID and Asian researchers Oct. 1980 - June 1981

13. Final evaluation September 1981

14. Final Report December 1981

E. Reporting

1. Annual Reports:

The Grantee shall submit ten (10) copies each of annual reports to the Bureau for Policy and Coordination (PPC/PDPR), AID/Washington due no later than September 30th of each year during the term of this Grant.

2. Final Report:

The Grantee shall submit ten (10) copies of a final report to PPC/PDPR, AID/Washington summarizing all activities under this grant and making appropriate recommendations, due no later than the completion date of this grant.

3. Final Fiscal Report:

The Grantee shall submit two (2) copies of a final fiscal report to the Grant Officer, AID/Washington, due no later than thirty (30) days after the completion date of this grant.

F. Budget

The total estimated costs of this Grant is as follows, of which \$893,689 is hereby obligated. The Grantee shall follow procedures and be guided by the Standard Provision (Attachment 2) entitled "Limitation of Funds".

<u>Line Item</u>	<u>10/1/78 -- 9/30/79</u>	<u>10/1/79 - 9/30/80</u>	<u>10/1/80 - Completion Date</u>	<u>Total</u>
Salaries & Fringe Benefits				
1. Bangkok	\$ 39,975	\$ 64,310	\$ 69,095	\$ 173,380
2. New York	<u>34,450</u>	<u>33,480</u>	<u>35,875</u>	<u>103,805</u>
	\$ 74,425	\$ 97,790	\$104,970	\$ 277,185
3. Small Grants Programs	\$200,000	\$195,000	\$130,000	\$ 525,000
Other Direct Costs				
4. Workshops	35,000	50,000	100,000	185,000
5. Consultants	18,000	15,000	15,000	48,000
6. Publications	5,000	15,000	25,000	45,000
7. Travel	18,000	20,000	20,000	58,000
8. Office Supplies, Communications	<u>2,000</u>	<u>3,000</u>	<u>3,000</u>	<u>8,000</u>
	\$ 78,000	\$103,000	\$163,000	\$ 344,000
TOTAL DIRECT COST	\$352,425	\$395,790	\$397,970	\$1,146,185
Indirect Costs	<u>68,022</u>	<u>77,452</u>	<u>77,859</u>	<u>223,333</u>
Total Grant Costs	\$420,447	\$473,242	\$475,829	\$1,369,518

The Grantee shall not exceed the total amount of funds obligated against the Budget. Adjustments among the line items are unrestricted.

G. Special Provisions

1. The following Standard Provisions (Attachment 2) are deleted in their entirety:

Provision 7B: - Period: c Advance

Provision 7C: - Payment - Reimbursement

Provision 12B: Title to and Care of Property (U.S. Government Title)

Provision 12C: Title to and Care of Property (Cooperating Country Title)

2. Standard Provision 10 "Procurement of Goods and Services Under \$250,000 in Attachment 2 is deleted in its entirety and Standard Provision 10 on Attachment 2 (Supplement) is substituted in lieu thereof.

3. Standard Provision 28 - "Alterations in Standard Provisions" as shown in Attachment 2 (Supplement) is hereby incorporated and made a part of this grant.

H. Overhead Rates

Pursuant to Standard Provision 5 "Negotiated Overhead Rates," a rate or rates shall be established for each of the Grantee's fiscal periods during the term of this grant. Pending establishment of final overhead rates for the initial period, provisional payments on account of allowable indirect costs shall be made on the basis of the following negotiated provisional rates which are set forth below:

<u>Category</u>	<u>Rate</u>	<u>Base</u>	<u>Period</u>
Overhead	9.4%	Total Costs less Consultants Fees	10/1/78 thru 12/31/78
Management and Support Fee (G&A)	10%	Total Costs including overhead less Consultants Fees	10/1/78 thru 12/31/78