

DS/DIU/DI PD-ANN-278

CLASSIFICATION
PROJECT EVALUATION SUMMARY (PES) - PART I

Report Symbol U-447

1. PROJECT TITLE Rural Unemployment and Underemployment 211(d) Grant, Southern University	2. PROJECT NUMBER 931-0140	3. MISSION/AID/W OFFICE DS/AGR/EPP
	4. EVALUATION NUMBER (Enter the number maintained by the reporting unit e.g., Country or AID/W Administrative Code, Fiscal Year, Serial No. beginning with No. 1 each FY) Final 80-50 8/8/80 <input checked="" type="checkbox"/> REGULAR EVALUATION <input checked="" type="checkbox"/> SPECIAL EVALUATION	

5. KEY PROJECT IMPLEMENTATION DATES			6. ESTIMATED PROJECT FUNDING A. Total \$ 667,000 B. U.S. \$ 667,000	7. PERIOD COVERED BY EVALUATION From (month/yr.) 3/78 To (month/yr.) 12/79 Date of Evaluation Review 1/22/80 - 1/25/80	
A. First PRO-AG or Equipment FY 72	B. Final Obligation Expected FY	C. Final Input Delivery FY 78			

B. ACTION DECISIONS APPROVED BY MISSION OR A D/W OFFICE DIRECTOR

A. List decisions and/or unresolved issues; cite those items needing further study. (NOTE: Mission decisions which anticipate AID/W or regional office action should specify type of document, e.g., airgram, SPAR, PIO, which will prevent detailed request.)	B. NAME OF OFFICER RESPONSIBLE FOR ACTION	C. DATE ACTION TO BE COMPLETED
1. Project completed.		
2. Involve Southern University in a program to promote 1890 university facilities and capabilities to AID Bureaus and Missions.	SU/Powell	12/80

9. INVENTORY OF DOCUMENTS TO BE REVISED PER ABOVE DECISIONS

<input type="checkbox"/> Project Paper	<input type="checkbox"/> Implementation Plan e.g., CPI Network	<input type="checkbox"/> Other (Specify)
<input type="checkbox"/> Financial Plan	<input type="checkbox"/> PIO/T	_____
<input type="checkbox"/> Logical Framework	<input type="checkbox"/> PIO/C	<input type="checkbox"/> Other (Specify)
<input type="checkbox"/> Project Agreement	<input type="checkbox"/> PIO/P	_____

10. ALTERNATIVE DECISIONS ON FUTURE OF PROJECT

A. <input type="checkbox"/> Continue Project Without Change
B. <input type="checkbox"/> Change Project Design and/or <input type="checkbox"/> Change Implementation Plan
C. <input checked="" type="checkbox"/> Discontinue Project

11. PROJECT OFFICER AND HOST COUNTRY OR OTHER BANKING PARTICIPANTS AS APPROPRIATE (Names and Titles)

James A. Powell, DS/AGR/EPP, Project Officer SP
Clearances:
DS/AGR/EPP: REhrich
DS/AGR: Mozynski MEM 7/16/80
DS/AGR: KByergo 7/16/80

12. Mission/AID/W Office Director Approval

Signature
Typed Name
Eugene Babb, DAA/DS/FN
Date 7/2/80

13. SUMMARY

In 1972, Southern University (SU) was awarded a five year \$500,000 211(d) grant entitled "Unemployment and Underemployment." After completion of an unfunded extension, an eighteen month funded extension of \$167,000 was approved which provided for an LDC research activity on cooperatives. After the completion of the grant period, an unfunded extension was requested and approved until December 31, 1979.

Five major activities were incorporated into the project design:

1. Expanded knowledge base;
2. Education and training;
3. Advisory and consultative services;
4. Information capacity; and
5. Linkages and networks.

Although consideration was given to activities under both components of Southern's 211(d) grant program, attention is focussed on activities over the last two years of the grant.

During the period 1972-1979 SU has built the basis for a continuing program in international development. The degree of commitment at the highest levels of the University for contributing to the resolution of the problems of LDC's is quite unusual. It is manifested in the ongoing efforts to fund and to institutionalize within the University the administrative capacity to design an international program, to attract projects to the University and to marshal resources to carry them out.

It was during the grant extension that the international program entered the final stages of institutionalization. During the extension, sufficient support had developed at the highest levels of the University to establish an overall structure for international programs. However, specific outputs identified in the grant project paper were only partially achieved. Although education and training capacity has been strengthened, it has not been achieved to the degree anticipated. It was not possible to provide AID with consultative and advisory services because of a lack of demand on AID's part. Linkages were established with at least two U.S. universities and several Kenyan organizations. Information capacity was increased to the degree specified in the grant. In a broader perspective, SU gained worthwhile international experience, solidified its international program and is in a much better position to contribute to LDC development. It is doubtful that these steps would have been taken without the grant.

14. EVALUATION METHODOLOGY

This report is a terminal evaluation of Southern University's performance during the 211(d) grant extension. A scope of work was drafted by DS/AGR and submitted to the University for comment. Findings in this report are based on reviews of the project paper, University reports, the grant plan of work, University files and interviews with University personnel.

The Evaluation Team was composed of:

Dr. Fred Mann (Rapporteur), Associate Director
of International Programs, University of Missouri
(Columbia).

Dr. Kurt Anshel, Professor, Agricultural Economics,
University of Kentucky, (Lexington).

Ms. Kathryn Boyd, Grant Project Officer, met with the team in Washington to provide background information for the evaluation.

The following Southern faculty, staff and administrators were interviewed:

Mr. Jesse Stone, President

Dr. James Prestage, Vice President for Academic Affairs

Mr. Tolor White, Vice President for Finance and Business
Affairs and Comptroller

Dr. Lewis White, Vice President for Research and Planning

Dr. Roosevelt Steptoe, Chancellor, Baton Rouge Campus

Dr. Henry Cobb, Vice Chancellor for Academic Affairs,
Baton Rouge Campus

Mr. U. L. White, Dean, College of Business

Dr. George Robinson, Acting Dean, College of Agriculture

Mr. James Hunt, Director, Administrative Services and
Facilities Planning

Dr. T. T. Williams, Administrative Assistant for Federal
Relations, Director, Unemployment-Underemployment
Institute and Director 211(d) Project

Dr. F. C. Temple, Chairman, Department of Economics

Dr. John Moland, Professor of Sociology, Director,
Center for Social Research and Staff, 211(d)
Project

Dr. Jaswant Jindia, Professor of Economics and Staff,
211 (d) Project

Dr. Anwarul Hoque, Assistant Professor of Agricultural
Economics

Dr. Dewitt Jones, Associate Professor of Agricultural
Economics

Mrs. Buelah Clark, Programmer, University Computer
Center

Dr. Don Andrews, Assistant Professor of Economics

Ms. Celeste White, Project Accountant, Business Office

Dr. Rogers Newman, Professor of Mathematics and Executive
Director, Self Study Steering Committee

Dr. James Fortenberry, Dean, Graduate School

Mr. Arthur Henderson, Assistant Director of the AID ACRE
Project (Sierra Leone)

In addition, Dr. Anschel interviewed Mr. David Jessee, Research Associate, 211(d) Project. Dr. Leroy Davis, Chairman, Department of Agricultural Economics, provided the team with a written statement and was interviewed by phone after the evaluation team left Baton Rouge.

15. EXTERNAL FACTORS

Not pertinent at this time.

16. INPUTS

Southern University

Among the inputs supplied by Southern University were personnel, administration and physical facilities. The Team felt that interest in international work was high and that a number of faculty members have been involved directly or indirectly in the grant work. Administration of the grant was provided by Dr. Thomas T. Williams, Administrative Assistant for

Federal Relations and Director of the Unemployment-Underemployment Institute. Because of the breadth of Dr. Williams' responsibilities, he had difficulty in maintaining the intensive supervision that the grant project management required.

Southern University also provides the project with an office, computer services and accounting services. Accounting and computer services were excellent. Particularly impressive was the ability of the accounting office to provide updated financial data in a regular and timely fashion.

AID

AID provided funding support, project monitoring and Regional Bureau assistance. Grant funding levels were adequate to accomplish the purposes of the grant. Over the life of the grant, AID provided two grant project officers. The Team noted that this grant was well-monitored by AID. In addition to facilitating the initiation of the research study, both monitors secured the assistance of consultants to provide additional technical guidance to Southern University in its implementation of the grant.

17. OUTPUTS

Expanded Knowledge Base

The grant project extension included funding for a case study of the impacts of a small farmer cooperative on local income and employment. The purpose of this study was to provide SU with added international experience and to provide insight into the development capabilities of small farmer participatory organizations. The case study involved primary data collection in two villages to determine the impact of cooperatives. Data were collected, edited and punched in Kenya. Several difficulties were encountered throughout the implementation of the project. Approval for conducting the study was not received until just prior to the team's departure for Kenya and study sites were not selected until the team was in Kenya. As a result, SU could not fully plan the study prior to the initiation of field work. This resulted in an inadequately conceptualized study.

Two research monographs have been published from the study.

Educational and Training Capacity

The proposal contemplated the following means to build the institution's educational and training capabilities: (a) participation in project activities, particularly in the core

study; (b) the organization of one conference and five seminars; and (c) the participation of one graduate student and one research associate in the study. Three faculty formally participated in the project and they indicated significant contributions to their teaching as a result of the project. No conferences were funded by the grant but two conferences held on campus contributed to the objectives of the grant, papers relating to Southern's experience in Kenya were presented.

Advisory and Consultative Capacity

Although the grant envisioned the SU would provide AID with six person months of consultative and advisory services, AID did not request these services from the SU staff.

Information Capacity

Grant funds for the purchase of 30 library acquisitions related to international development were provided. These funds were utilized to require publications relevant to the Kenya study. However, no records were maintained of their titles or disposition.

Linkages and Networks

Although SU did not hire consultants from other universities as envisioned in the grant, linkages were established with the University of Kentucky and with Louisiana State University (LSU) as an outgrowth of the study. The SU-LSU consortium was awarded a project in Sierra Leone following their work in Kenya. Strong contacts with AID, due to the reluctance of the latter to use the services of 1890 University faculty, have not yet been established -- in spite of repeated efforts.

18. PURPOSE

The purpose of the original grant and the extension was to assist SU to develop the capacity for international work, particularly in the rural social sciences. SU utilized the original grant to build faculty resources and establish relevant programs. The extension placed more emphasis on utilization of capacity established earlier in order to provide it with greater international experience. Relatively little emphasis was placed on building capacity.

Definite gains were made in achieving increased knowledge and increased educational and training capacity. However, due to AID mission reluctance to utilize the services of 1890 university staff, gains in linkage networks and advisory and consultative capacities were not as great.

19. GOALS/SUBGOALS

Not pertinent

20. BENEFICIARIES

Two primary groups of beneficiaries can be identified. The beneficiary group includes the staff members of Southern University who have been involved in the study and have had the opportunity to increase competence in the area of international development. The second group includes those LDC governments and AID missions who have utilized the increased capacities resulting from this project.

21. UNPLANNED EFFECTS

Not pertinent.

22. LESSONS LEARNED

A key assumption underlying this project was that the Regional Bureaus would identify opportunities to utilize SU staff capacity and experience. However, it has become apparent that informing appropriate AID personnel is insufficient to motivate the utilization of these 211(d) resources. Greater success in this utilization may be enhanced through increased commitment and support strongly articulated by high levels of AID leadership.

Because of a lack of substantial prior foreign experience, the inclusion of funds for external consultants is a valuable component in a project of this type. Had SU utilized these resources, some of the deficiencies in the research project could have been avoided.

23. SPECIAL COMMENTS OR REMARKS

Not pertinent.