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REPORT ON THE FIFTH, SIXTH AND SEVENTH QUARTERS
OF THE FOUNDATION FOR COOPERATIVE HOUSING
FOR THE DEVELOPMENT PROGRAM GRANT
FOR THE PERIOD OF
1 FEBRUARY THROUGH 31 OCTOBER 1977

SER/H requested that the Quarterly Report for the period of 1 August through 31 October be a status report of the last three quarters covering the period of 1 February through 31 October 1977. SER/H also requested that the Second and third Year Work Plan be submitted with the report. This report responds to those two requests.

I. Accomplishments

Attachment 1 is the Tentative Three DPG Work Plan that was submitted to AID in our First Year DPG Report. The Work Plan and Quarterly Reports have listed activities to be continued and to be undertaken during the reporting period(s). This report, following the format of the Quarterly Reports, describes the different activities and gives a resume of the action that was taken during the operating period.

A. Assemble and review material related to low income, self-help shelter programs.

This is projected as an on-going activity in the Work Plan for the life of the DPG. It consists of acquiring material on the three areas that the DPG is focusing on, i.e., self-help construction, finance and community organization, and reviewing it. After it is reviewed, it is placed in the FCH library or filed.

B. Prepare and disseminate DPG publications.

This is an on-going activity as projected in the Work Plan. DPG publications are those that are produced by FCH as the result of the work under and for the DPG. During the reporting period, the publication Shelter

and the Poor: Guidelines for a Cooperative Approach was prepared and distributed by FCH to a limited number of professionals working with shelter programs in LCD's for their information and comment (the list of professionals was submitted to SER/H).

C. Develop contact with international development agencies and local institutions.

This is an on-going activity as projected in the Work Plan and relates to one of the DPG's End of Project Status objectives "to continue financing of the new division after termination of the DPG through a) specific short term contracts for project development, and b) capitalization of costs of new division services to project costs." The DPG also states that FCH will work closely with "such international organizations as IDB, OAS, World Bank, ICA... in disseminating information on the new program...."

During the reporting period, FCH continued to collaborate with the United Nation's Capital Development Fund (CDF) in the planning of site and services projects in Haiti. The CDF also asked FCH to provide a team to conduct a pre-feasibility study for shelter in Yemen.

FCH submitted a proposal to PACT to send an FCH technician to Nicaragua to provide assistance to FUNDE to develop a rural housing program. The proposal was approved and an FCH technician went to Nicaragua in August for approximately ten days.

FCH provided an advisor to the World Bank to assist in its pre-feasibility study for a shelter program in Ecuador.

D. Workshops

The Work Plan projects workshops in Washington on matters related to the DPG and workshops in countries where FCH is providing assistance under an OPG. Two Washington workshops were tentatively projected during the reporting

period, but none were held. No in-country workshops were projected and none were held.

E. Demonstration projects

The DPG states that FCH will identify at least three demonstration projects in LDC's that will receive FCH's assistance under an OPG. FCH will assist the local institutions in the planning, programming, implementation and evaluation of the demonstration projects.

The Work Plan identified six demonstration projects as the result of the work undertaken during the first year of the DPG. It also listed three alternative projects to be identified during the second year of the DPG. The status of the projects is as follows:

1. Honduras

The Work Plan projected that as of the end of the reporting period AID would have approved the OPG proposal and the grant agreement would have been prepared. This was accomplished within the proposed time frame. A Global OPG for \$600,000 was prepared and approved which includes the Honduras project.

The Agreement between INVA, FEHCOVIL, the Municipal Council of Tegucigalpa and FCH was signed by all parties during September. Mr. Lindsay Elmendorf has been designated by FCH, and approved by AID, as the Resident Technician under the OPG. Elmendorf went to Honduras on TDY status in late October for approximately two and a half week. While there he will be assisting INVA and FEHCOVIL in their respective site and services projects. Elmendorf will be permanently assigned to Honduras after the financing is approved for the first site and services project. Reportedly CABEI will approve the loan to FEHCOVIL at its November board meeting.

2. Peru

The Work Plan projected that as of the end of the reporting period FCH would have assigned the Resident Technician in Peru under the OPG. Actually this project is behind schedule because of a change of institutions and programs that FCH will assist under the OPG.

As of the end of the reporting period, the National Planning Institute (INP) had approved the Agreement between BVP, AID and FCH for FCH's technical assistance to the BVP's AID HG loan financed home improvement program. The Ministry of Finance and Economy and the Ministry of Foreign Relations still have to approve the Agreement. FCH has designated, and AID and the BVP have approved, Mr. Lon Barash as the Resident Technician under the OPG.

The Peru OPG proposal will be funded from the Global OPG.

3. Botswana

a. Self-Help Agency

The Work Plan projected that as of the end of the reporting period the FCH Resident Technician would have been assigned in Botswana. This project is behind schedule.

During the reporting period, the OPG proposal was approved and the grant agreement signed. The Agreement between the Government of Botswana and FCH for FCH's technical assistance under the OPG was signed. FCH nominated, and AID and the GOB approved, Mr. Jim Upchurch for the position of Resident Technician under the OPG. This OPG is being funded with Security Supporting Assistance funds.

b. Production Center

This OPG proposal has been shelved because of the Government of Botswana's lack of interest at this time.

4. Lesotho

The Work Plan projected that as of the end of the reporting period that this OPG proposal would be approved and the grant agreement prepared. This project is on schedule.

This OPG is being funded with funds from the AID African Bureau.

5. Korea

This project has been shelved because USAID Korea would not support the OPG Proposal.

6. Alternative Project A

The Work Plan had projected that Alternative Project A would have been selected in March and that as of the end of the reporting period FCH would have submitted an OPG proposal to AID for approval. It was not selected until September.

Jamaica was selected by FCH as Alternative Project A in September. FCH sent a letter to the Jamaican Mortgage Bank (JMB) explaining the DPG/OPG programs and offering our technical assistance in the development of an AID HG loan program. JMB agreed, in principle, to FCH's assistance.

Dick Owens, FCH, traveled to Jamaica from 27 October to 4 November to discuss FCH's assistance to the proposed AID HG program. An Agreement between JMB, the Ministry of Local Government, the Ministry of Housing, the Jamaica Co-op Credit Union League, Ltd.,

AID and FCH was prepared and agreed to in principle by all parties. The Agreement, amongst other things, describes the type of technical assistance that FCH will provide to the Jamaican institutions.

7. Alternative Project B

This project was to have been selected by FCH and SER/H and an OPG proposal prepared in accordance with the Work Plan. As of the end of the period, it had not been selected. Based on a series of meetings between SER/H and FCH staffs, a list of countries to be investigated as demonstration projects was prepared by FCH and submitted to SER/H on 17 October.

8. Alternative Project C

The Work Plan projected that as of the end of the reporting period that this project would have been selected by FCH and SER/H. This project was not selected.

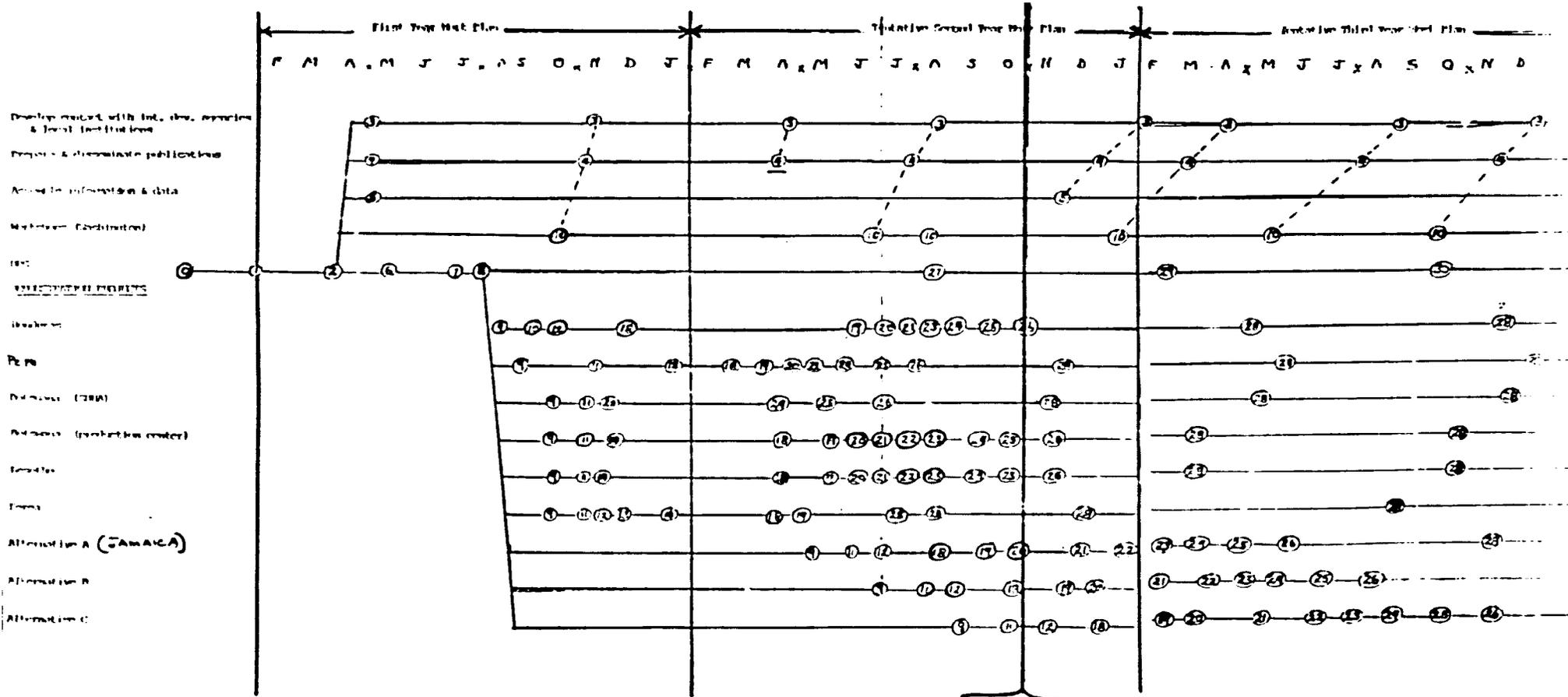
II. Activities Project for Next Quarter

- A. FCH will present a workshop in Washington on a DPG related subject.
- B. Honduras. FCH will assign Mr. Lindsay Elmendorf to Honduras as the Resident Technician under the OPG.
- C. Peru. FCH will assign Mr. Lon Barash to Peru as the Resident Technician under the OPG.
- D. Botswana. FCH will assign Mr. Jim Hochurch to Botswana as the Resident Technician under the OPG.
- E. Lesotho. FCH will assign a Resident Technician to Lesotho.
- F. Jamaica. The Agreement between the Jamaica Mortgage Bank, Ministry of Housing, Ministry of Local Government, Jamaica Co-op Credit Union League, Ltd., AID and FCH will be approved by AID and will be signed, and FCH will have nominated its Resident Technician.
- G. SER/H and FCH will select countries to be visited by FCH to identify alternative demonstration projects.

Tentative Three Year DPG
Work Plan

<u>ilo.</u>	<u>Activity Undertaken</u>
0	Starting point
1	AID-FCH sign Grant Agreement for DPG
2	FCH staffs to Human Settlements Division
3	FCH makes contact with international development agencies and local housing and cooperative organizations <ul style="list-style-type: none"> - personal contact - information exchange
4	FCH prepares DPG related publication
5	FCH assembles material and information <ul style="list-style-type: none"> - finance - self-help construction - community and cooperative organizations
6	FCH submits tentative first year work plan to AID
7	AID approves tentative first year work plan
8	FCH consults SER/H regarding potential demonstration projects
9	FCH conducts country survey to identify demonstration project
10	FCH conducts workshop (in Washington, D.C.)
11	FCH prepares trip report/project assessment paper
12	FCH and SER/H select demonstration project
13	FCH prepares and discusses PID-like document with SER/H
14	FCH remits PID-like document to USAID Mission for comments

<u>No.</u>	<u>Activity Undertaken</u>
15	USAID comments forwarded to AID/W
16	AID/W reviews PID
17	AID/W (SER/H and others) prepare issue paper
18	FCH prepares OPG <ul style="list-style-type: none">- trip to field- preliminary approval of government and local institutions
19	FCH submits OPG to SER/H for review and preliminary approval
20	FCH submits OPG to USAID and RHUDO
21	USAID, RHUDO and local organizations advise AID/W of comment/ concurrence of OPG
22	AID/W reviews OPG and, if necessary, prepares issue paper
23	FCH revises OPG
24	FCH negotiates with local government for support of FCH technician <ul style="list-style-type: none">- trip to field- verification of support
25	AID approves OPG and SER/H prepares grant agreement
26	FCH places on-site advisor(s)
27	FCH's Human Settlements Division assists in planning and programming of demonstration projects
28	FCH conducts workshop in field
29	FCH Human Settlements Division assists in implementation and evaluation of demonstration projects
30	FCH Human Settlements Division assists in continued evaluation of demonstration projects



END OF REPORTING PERIOD
31 October 1977