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PD-AAK-494-B1

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APR 12 1974

EXECUTIVE SECRETARIAT

ACTION MEMORANDUM FOR THE ADMINISTRATOR

THRU: EXSEC

FROM: AA/PHA, Jarold A. Kieffer

Problem: To obtain approval of the PROP covering the population program activities of the East/West Center's Population Institute for FY 1974, FY 1975 and FY 1976. Since the three year commitment totals \$2,844,000 the Administrator's approval is required in accordance with A.I.D. regulations.

Discussion: The East/West Center is an international educational institution established in Hawaii by the U.S. Congress in 1960 "as a cultural and social bridge to promote better relations and mutual understanding between the peoples of the United States, Asia and the Pacific region." The U.S. Department of State budgets approximately \$7.0 million annually to support these objectives. The East/West Center looks to the University of Hawaii as the administrative-legal entity for contractual and support services. It cooperates with the University in sharing professional staff, degree candidates and the development of academic programs. Each year 2,000 research-oriented fellows, mid-career professionals and degree students from more than 35 countries, study, work and confer together in East/West Center programs.

The East/West Center's commitment to population studies grew out of a Conference of Asian and American experts that was financed by the Rockefeller Foundation and held at the East/West Center in July 1967. The conference recommended an interdisciplinary program of research and study to take advantage of the ethnic multiplicity of Hawaii's society, its unique geographic location and the existing special resources of the Center and the University of Hawaii.

A.I.D. assistance to the Population Institute, which was formally established in November 1969 as one of the program-oriented Institutes of the East/West Center, began in 1968 under a five-year program commitment designed to develop an institution with the capability to support the major objective of Title X legislation to help reduce population growth in the Asian and Pacific areas.

Since then, the Institute has developed a highly qualified faculty; has designed short and long term population training programs in conjunction with the University of Hawaii leading to graduate degrees in population dynamics and to the dissemination of knowledge concerning population to key Asian leaders; has developed research programs to support field family planning programs in the LDC's; has assisted LDC institutions to upgrade their own competency in the population field; and has provided consultative service to Asian nations in the entire field of population dynamics.

In 1973, the Population Institute submitted to PHA/POP a new request to continue and expand its population activities for an additional five years. At this point, our office decided that an evaluation of the Institute's performance was required before a decision on further support could be made.

In August 1973, a five-member Population Office team, headed by Mrs. Harriett Crowley, made a formal evaluation of the Population Institute and issued a 67 page report. In essence, the report stated that the Institute had accomplished project objectives and had thereby established a capability in population research, training and graduate studies and had begun to export its expertise to the LDCs.

In November 1974, as a part of the PHA/POP "prioritization" review, the Institute was analyzed in depth and a consensus of the staff agreed: (1) that it had established its competency in the population field; (2) that the Institute was the best instrument of its type in the area; (3) that in the future it should use

its expertise to support AID-financed field programs in the LDC's which need the highest priority attention; and (4) that a three-year AID commitment, subject to the availability of funds, should suffice with other donor assistance to make the Institute sufficiently independent to carry out effective population programs in the Asian/Pacific region. We expect that in these three years, the Institute will demonstrate its value to the LDC's and thus, it will be called upon for its services on an increasing basis. Also, AID will be prepared to consider supporting project proposals as they meet our program objectives.

We, therefore, have decided that we should finance the Population Institute for an additional three years, subject to the usual condition of the availability of funds. We intend to finance the project on an annual basis, as indicated on the face sheet of the PROP.

We have discussed this arrangement with Dr. Everett Kleinjans, the Chancellor of the East/West Center, and his population staff and they are in agreement with this approach. The PROP reflects this.

Recommendation: That you approve the attached PROP in the amount of \$2,844,000 for a three-year period, subject to the availability of funds and to annual appropriations.

Approved: _____

Disapproved: _____

Date: _____

PH/POP:RPagan:cc:4/10/74

Clearance:

GC:AZGardiner AS Date: 4/10/74
AA/PPC:PBirnbaum AS Date: 4/10/74



I. PROJECT IDENTIFICATION

1. PROJECT TITLE
Population Dynamics in Asia and Pacific

APPENDIX ATTACHED
 YES NO

2. PROJECT NO. (M.O. 1095.2)
932-200
932-11-580-200

3. RECIPIENT (specify)
 COUNTRY Asia & Pacific Regions
 REGIONAL INTERREGIONAL

4. LIFE OF PROJECT
 BEGINS FY 74 *
 ENDS FY 76

5. SUBMISSION 3/8/74
 ORIGINAL
 REV. NO. _____ DATE _____
 CONTR./PASA NO. EA-32

II. FUNDING (\$000) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$	C. PERSONNEL		D. PARTICIPANTS		E. COMMODITIES \$	F. OTHER COSTS \$	G. PASA/CONTR.		H. LOCAL EXCHANGE CURRENCY RATE: \$ US (U.S. OWNED)		
		(1) \$	(2) MM	(1) \$	(2) MM			(1) \$	(2) MM	(1) U.S. GRANT LOAN	(2) COOP COUNTRY	
										(A) JOINT	(B) BUDGET	
1. PRIOR THRU ACTUAL FY	4,163	1,978	NA	1,250	NA		935					
2. OPRN FY 73	1,047	610	NA	300	NA		137					
3. BUDGET FY 74	875	425	NA	250	NA		200					
4. BUDGET +1 FY 75	1,000	596	NA	300	NA		104					
5. BUDGET +2 FY 76	969	500	NA	400	NA		69					
6. BUDGET 13 FY												
7. ALL SUBQ. FY												
8. GRAND TOTAL	8,054	4,109		2,500			1,445					

9. OTHER DONOR CONTRIBUTIONS

(A) NAME OF DONOR	(B) KIND OF GOODS/SERVICES	(C) AMOUNT
See Evaluation Report dated September 5-15, 1973-PROP, Pg. 10	Technical advisory, training, research, institutional development.	See Evaluation Report, Pg.9-10

III. ORIGINATING OFFICE CLEARANCE

1. DRAFTER PHA/POP/EA, R. Pagan	TITLE East/West Center Population Institute, Project Manager	DATE 7/17/74
2. CLEARANCE OFFICER PHA/POP, R. T. Ravenholt, M.D.	TITLE Director, Office of Population	DATE 8/2/74

IV. PROJECT AUTHORIZATION

1. CONDITIONS OF APPROVAL

*Originally initiated in FY1969 when the Population Institute was created at the East West Center in Hawaii.

2. CLEARANCES

BUR/OFF.	SIGNATURE	DATE	BUR/OFF	SIGNATURE	DATE
PHA/POP	W. H. Boynton: (draft)		ASIA/DP	A. Shakow/R. Birnberg	3/15/74
PHA/POP	J. K. Shuler/R. Backlund	3-8-74	PPC/DPR/R	N. Cohen	3/11/74
PHA/POP/EA	L. Kangas	3-8-74	PHA/PRS	M. Fowler	4/10/74
PHA/POP/EA	R. Grand	3-8-74	PHA/PRS	T. Mahoney	4/10/74
PHA/POP/WA	R. Grand	3-8-74	AA/PPC	P. Birnbaum	4/24/74
PHA/POP/WA	R. Grand	3-8-74	SA/PPB	J. Cudney: (draft)	

3. APPROVAL A/ASIA OFFICE DIRECTOR SIGNATURE Jarold A. Kieffer DATE 7/24/74 TITLE AA/PHA	4. APPROVAL A/AID (See M.O. 1023.1 VIC) SIGNATURE Daniel P. ... DATE 8/2/74 ADMINISTRATOR, AGENCY FOR INTERNATIONAL DEVELOPMENT
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9300 000 1301

Non-Capital Project Paper (PROP)

Title: Population Dynamics in Asia and the Pacific

East/West Population Institute
East-West Center
Honolulu, Hawaii

A. Program Goal

1. Statement of Goal. To enable in the Asian/Pacific area Institutional and LDC governmental institutions to decrease fertility rates within the region and their individual countries.

2. Measurement of Goal. Demographic statistical analysis will show reduction in fertility rates and population growth rates.

3. Basic Assumptions

LDC government support for programs related to successful implementation of activities associated with reduction of fertility rates/birth rates, etc.

B. Project Purpose: To establish capabilities within priority Asian and Pacific Basin countries to plan and develop policies and programs to cope with population problems and reduce fertility rates.

C. Conditions Expected at End of Project:

1. Human resources. Professionally qualified staff in demographic analytical skills in (a) statistical agencies, (b) family planning and population programs, (c) research organizations and faculties in institutions of higher learning, and (d) agencies for economic and social planning.

1.1. Increased numbers of Asian and U.S. M.D. and Ph.D. graduates from Population Institute trained and installed in key positions in host government organizations and institutions involved in population dynamics.

1.2. LDC population specialists and key Asian leaders generating, processing and analyzing in-house, basic demographic data in statistical organizations in at least four countries, i.e., Indonesia, Korea, Malaysia and Thailand.

2. Institutional Resources

2.1. A research and training institution in Java capable of (a) planning and undertaking research needed by Indonesian leaders to make population policies and develop strategy; (b) developing training programs and techniques for improving expertise of existant staff and expanding faculty through training programs.

2.2. A professionally adequate capability for generating, processing and analyzing in-house, basic demographic data in central statistical organizations of at least four countries (Indonesia, Korea, Malaysia, and Thailand.)

2.3. An operating clearing house/information exchange network among census organizations of Asian/Pacific countries directed toward generating more efficient data gathering, processing, analysis, and publication practices in these organizations. The repository is expected to contain information that will be used by decision makers to make programming judgements.

2.4. A demonstrated professional capability in the fields of survey taking, research, analysis, and dissemination of findings in a number of research organizations in Asian and the Pacific basin, in particular improved capacity to carry out, follow up, and utilize the findings of projects undertaken within the World Fertility Survey.

2.5. Population information incorporated in a number of basic undergraduate courses in a number of disciplines (such as economics, sociology, psychology, geography, and history) in Asian/Pacific universities.

3. Development of Applicable Knowledge: Analytical Techniques.

3.1. LDC institutions will utilize skills in the analysis of incomplete and erroneous data, particularly those from censuses and surveys.

3.2. LDC institutions will utilize professionally accepted methodologies in carrying out behavioral studies, in particular of factors affecting fertility.

3.3. LDC institutions will compare analyses of systems by which vital statistics are gathered, including analyses of feasible alternative approaches.

4. Development of Applicable Knowledge: Substantive Findings:

4.1. Validated data and extensive and periodically updated analysis of the demographic situation in countries of the area, developed by LDCs with Institute and donor assistance.

4.2. Understanding of trends in population growth, fertility, and mortality in the area and of the demographic determinants of such trends.

4.3. Established capabilities and selected LDCs in-depth demographic for conducting study (in Central Java) of demographic behavior in societies under particularly severe population pressures, including the study of the determinants and consequences of population growth and a comparative analysis of feasible alternative policy instruments is currently planned.

4.4. Established capabilities among selected LDCs for understanding of psychological, economic, and social motivations underlying fertility patterns in societies based on coordinated comparative research studies, including notably an expansion of the six-country Value of Children study to additional locations, such as India and Indonesia.

5. Policy Utilization of Research

5.1. Policy makers using synopses of research findings to review studies and recommendations and to make policy decisions.

6. Relationships with Other Institutions and Individuals

Established quality relationships with people and institutions for implementation of cooperative research and development projects in Korea, Indonesia, Thailand, and Taiwan.

7. Cooperative Research and Project Design

Developed capability for LDC institutions to design and conduct inter-disciplinary, multi-professional level research and development projects.

C. Basic Assumptions

LDCs will provide priority consideration to population policy, demographic activities and family planning programs in their resource allocations.

D. Project Outputs

Output and Output Indicators

1. Training

1.1. University of Hawaii graduate level training for students preparing for professional careers in population.

Graduates per year:	MA	Ph.D.
1974	8	4
1975	8	4
1976	8	4
1977	8	4

1.2. On-th-job training for 12 professional to provide them with skills to perform functions and tasks required by research and training programs. (About 3 months of training each.)

1.3. Persons attending seminars:

120 per year for about 2 weeks training for each.

1.4 Persons attending workshops:

60 persons per year for 2-7 weeks each.

1.5 Distribution of training manuals and materials designed for training to Asian/Pacific institutions engaged in instruction and professional development in the field of population, such as basic demographic analyses, statistic development, evaluation of family planning programs, behavioral science techniques, etc.

1.6. Seminars and workshops should continue to be used for targets of opportunity for highest priority research related programs, and should be expanded to include general population policy discussions for government decision makers.

2. Applicable Knowledge

2.1. Publish quarterly Asia/Pacific Demographic Journal for distribution to Asian LDC area and other interested professional groups.

2.2. Development of texts and manuals of training in population, especially at the university level, including materials in Asian languages, in cooperation with institutions in the area in each of the population subject areas.

2.3. Preparation of analysis and interpretive reports intended for identified groups of decision makers concerning population dynamics and its causes, effects, and policy implications. Such materials will be based to a significant degree on Institute research output but will be sponsored or co-sponsored by institutions in the area.

3. Research

3.1. The institution, in cooperation with LDC institutions, will plan and implement research studies appropriate in the investigation in the fields of psychological, economic, sociological, anthropological and management appropriation and in support of LDC family planning programs. Such studies are to be designed to meet the operation demand of AID-funded programs.

4. Review and Coordination Mechanism

4.1. Established a formal mechanism with the Institute and AID/W to formally review and evaluate the Institute's program on at least an annual basis.

4.2. The Team recommends that the Institute establish an overall policy coordination mechanism for research that includes consideration of field problems experienced by other donors such as AID and LDCs as well as Institute staff and counterpart programs.

4.3. That AID/W and the Population Institute establish formal procedures for reviewing research findings periodically and evaluating their applicability to AID/W's population problems.

5. Alumni Follow Up

5.1. That the Institute establish evaluation procedures to follow up on alumni activities and to measure the impact of the Population Studies program on alumni job performance.

6. Curriculum Development

6.1. Review annually the curriculum to determine if additional appropriation population related courses in the Schools of Public Health and Medicine and the School of Arts and Sciences can be identified and offered in the Population Studies program.

6.2. To review annually population studies courses to insure that the Institute take steps to ensure that population research findings and other information are integrated in the relevant Population Studies courses, particularly those that are not taught by Population Institute staff.

7. Evaluation

7.1. That the Institute establish procedures for the systematic review and adjustment of distribution lists for research papers and reports.

7.2. That the Institute prepare and conduct periodic evaluations to determine the extent to which its research findings are being internalized by recipients of reports and periodicals.

E. Assumptions

1. That the Communication Institute of East-West Center will provide expertise and staff necessary to assist Population Institute publish a Demographic Journal.
2. That other donor contributions will tend to increase on an annual basis.
3. The three AID-sponsored entities Population Institute, Communication Institute, University of Hawaii School of Public Health will cooperate to achieve more complete and coordinated project activities.

F. Project Inputs

1. U.S. Inputs. See Budget Table I.
2. East/West Center Inputs:
 - 2.1. That an adequately qualified director of the Institute will be appointed within six months from date of agreement.
 - 2.2. Host country institutions with which The East West Center cooperates will identify staff to be trained, will continue salaries for those absent for short-term courses of training, and will provide faculty supervision and guidance for participants from other countries sent to them for in-service training and experience. When the contractor furnishes visiting consultants and lecturers the host country institution will be expected provide office space and basic administrative support and direction. In research projects the cooperating institution will provide professional research leadership, will recruit and supervise interviewers, coders, keypunchers, and other temporary help needed to collect and analyze data, will publish

the results of cooperative research and analysis projects and distribute them in the national language to appropriate personnel involved in population policy and programs. Provisions will be made for an English translation for possible publication and distribution.

3. Other Donors. See Table II of the Budget. (See next page)

4. Assumptions. That the grant provided by the Department of State to the East/West Center will continue to increase.

TABLE I

Revised Rough Estimates EMPI
Budget for Population Dynamics Proposal to AID

<u>Items</u>	<u>FY 74</u>	<u>FY 75</u>	<u>FY 76</u>
Salaries	422,000	475,000	471,398
Consultants	25,000	25,000	25,000
Degree Study	42,000	50,000	50,000
Fellows: Sr. Fellows	53,000	50,000	50,000
Professional Training	165,300	180,000	180,000
Travel and Transportation	25,000	33,000	30,000
Other Direct Costs	108,700	137,000	133,000
Cont. Ctes, Seminars	46,500	50,000	50,000
Total	<u>875,000</u>	<u>1,000,000</u>	<u>969,398</u>
Grand Total	2,844,398		

Table II - OTHER DONOR

SOURCES OF SUPPORT -- FUNDS
EAST-WEST POPULATION INSTITUTE, EAST-WEST CENTER

Source	Purpose	1973	%	1974	%	1975	%	1976	%
<u>East-West Center:</u>									
	Salaries	48,374		149,034		182,167		225,000	
	Degree Students	136,875		118,800		155,000		165,000	
	Other Direct Costs			9,953		66,813		195,000	
	Payments to U. H.	100,000		100,000		100,000		100,000	
	Payments to U. Press of Hawaii	20,000		20,000		20,000		20,000	
	Space 8,100 sq. ft. 6-30-73	54,500		54,500		63,700		63,700	
	Conference Space Use	10,000		10,000		10,000		10,000	
	Administrative Support, Util., Maint. (20%)	240,000		300,000		300,000		300,000	
		<u>609,749</u>	30	<u>762,287</u>	42	<u>897,680</u>	38	<u>1,078,700</u>	43
<u>University of Hawaii:</u>									
	Pop. Studies Jt Faculty Salaries	107,000	5	121,000	7	128,000	5	136,000	6
<u>Ford Foundation:</u>									
	Value of Children Project Indonesian Fertility Project/Other	63,256		—		125,000	5	100,000	4
	Population Socialization	17,450	4	—		75,000	3	125,000	5
				25,000	1				
<u>Int'l. Development Res. Ctr.</u>									
	Value of Children Project	38,730	2	—		55,000	2	20,000	1

Page 2

Source	Purpose	1973	%	1974	%	1975	%	1976	%
<u>National Science Foundation:</u>									
	Rotuna Demography	21,854	1	4,000	2	--		--	
	NIE - Value of Children			13,457	6	25,071	1	--	
<u>Western Samoa Government:</u>									
	Samoa Census	10,500	5	--		--		--	
<u>Trust Territory of Pacific-</u>									
<u>1973 Census</u>									
				23,000	1	--		--	
<u>UNICEF - Info Officers Trng</u>									
		2,195	1	--		--		--	
<u>South Pacific Commission</u>									
		6,700	4	7,000	3	7,000	3	7,000	3
<u>Aid Contract EA-32</u>									
		<u>1,158,168</u>	57	<u>875,000</u>	48	<u>1,000,000</u>	45	<u>969,398</u>	41
			100%		100%		100%		100%
TOTALS		<u>2,035,602</u>		<u>1,830,744</u>		<u>2,312,751</u>		<u>2,436,098</u>	

G. Rationale

1. Background

The East-West Center (formally know as the Center for Cultural and Technical Interchange Between East and West) is a national, higher education institution established through an act of the U.S. Congress in 1960 for the purpose of promoting understanding and better relations among the people of Asia the Pacific Islands, and the United States. The Center is mandated to achieve its purposes through cooperative programs, of research, education, and training with some 30 countries and 11 territories. It offers grants to persons to enable them to share their knowledge as well as to learn, thus helping to create the multi-national community in which Center programs take place. The Center is not a university, a technical assistance agency, a research grant-giving organization, nor a scholarship program. It is a unique institution based on principles of cooperative work and exchange of ideas.

As participants and staff members from many diverse cultures come together to cooperate on areas of common professional concern, respect and trust develop between the team members. Not only are problems the focus for interchange but the direction for research and study. The Center has used "problems" as the basis for organizing its professional staff who provide the content, competence and continuity which are the hallmarks of an educational institution.

The problem-oriented programs designed to achieve the goals and criteria at the Center include international and development communication,

culture and language learning, foods systems technology and development, and population dynamics. The programs are carried out by "institutes."

2. The Population Institute specializes in a program of population dynamics including population processes and structures, the causes and effects of demographic behavior, and population policy. In cooperation with institutions in the U.S., Asia, and Pacific it seeks to provide policy-related knowledge of population dynamics to Asian/Pacific decision makers with particular emphasis on enhancing Asian/Pacific LDCs leadership capabilities to formulate effective policies affecting fertility control.

3. This proposal is designed to utilize the unique resources of the Population Institute to promote action-related population programs in the Asian/Pacific areas. The Institute functions as a bridge between the U.S. and Asian/Pacific region, has closer physical and cultural ties, is the only broad based multi-discipline organization in the region specializing in population programs. These programs are designed to provide training to Asian students, leaders and related experts, to carry out demographic and social science research, to provide assistance to LDC governments and institutions in developing an in-house capability in population dynamics; to help formulate cooperative endeavors with LDC governments and institutions and to provide consultative assistance as required.

4. The Institute is working in a high priority area - the Asian/Pacific region - where the highest population growth rates exists and the

population problem is most acute. Among the high priority countries with which it has professional connections and to which it is providing technical assistance are Indonesia, Philippines, Korea, Thailand, South Vietnam and Malaysia.

5. These countries lack sufficient numbers of committed leaders, necessary technical competence, well developed delivery systems, trained personnel, and the institutional capabilities in the population field needed to deal with this urgent problem.

6. The U.S. is particularly interested in expanding assistance to this region and to support national programs which are in varying stages of development.

7. The major LDCs in this region have national family planning programs as a result of the conviction of their leaders that uncontrolled population growth will adversely affect their development programs and cause tensions within the social structure. These countries seek U.S. assistance in dealing with the population problem and are receptive to family planning assistance.

H. Course of Action:

The Population Institute's assistance is organized around the following programmatic functions:

1. The training of high-level professionals, both in formal degree oriented graduate study and in professional development programs as indicated in Project Outputs.

2. The training of non-professionals and Asian leadership in population dynamics and the ramification of uncontrolled population growth on Economic and Social development.
3. In cooperation with appropriate LDC organizations, both governmental and private, to upgrade their institutional capabilities to generate and analyze data on demographic processes sufficiently so that they can provide LDC leadership with reliable guidance for making policy and program decisions.
4. Through cooperative arrangements with other institutions and experts to carry out policy and program oriented research designed to provide the development of new demographic data and the organization, analysis, and dissemination of existing data to LDCs and help build a capability for these countries to carry out this research through their own resources.
5. To collect and disseminate demographic and other types of population dynamic information to the Asian/Pacific area through publications, i.e., a Journal published periodically, special reports, project reports, surveys and manuals.
6. In summary, the Institute will relate all population activities covered by this PROP to action-oriented programs in the Asian/Pacific area.
7. To promote a more efficient operation, the Institute will establish a mechanism for program review and coordination between the Population and Communication Institutes and with the School of Public Health.

8. In carrying out the above program, the Institute will provide semi-annual activity reports. An annual evaluation report will be prepared by AID representatives in conjunction with the grant. On the basis of their reports, recommendations will be made for the revisions or modifications of the project.