

PD-AAH-706

PD-AAJ-956

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(TM 3:19) (C)
App 8A Ch 8 HB

AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS PART I		1. TRANSACTION CODE <input checked="" type="checkbox"/> C A ADD C CHANGE D DELETE		PAF	
3. COUNTRY ENTITY WORLDWIDE		2. DOCUMENT CODE 5			
4. DOCUMENT REVISION NUMBER ORIGINAL		<input type="checkbox"/>			
5. PROJECT NUMBER (7 digits) [912-0006]		6. BUREAU OFFICE A. SYMBOL DS/H B. CODE []		7. PROJECT TITLE (Maximum 40 characters) [SHELTER FOR THE POOR FCH/OPG]	
8. PROJECT APPROVAL DECISION <input checked="" type="checkbox"/> A A APPROVED D DISAPPROVED DE DEAUTHORIZED		9. EST. PERIOD OF IMPLEMENTATION YRS. [4] QTRS. []			

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY 77		H. 2ND FY 78		K. 3RD FY 79	
		C GRANT	D LOAN	F GRANT	G LOAN	I GRANT	J LOAN	L GRANT	M LOAN
(1) 106	720			\$600		\$700			
(2)									
(3)									
(4)									
TOTALS									

A. APPROPRIATION	N. 4TH FY 80		O. 5TH FY		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED	
	C GRANT	P LOAN	R GRANT	S LOAN	T GRANT	U LOAN	(ENTER APPROPRIATE CODE(S)) 1 - LIFE OF PROJECT 2 - INCREMENTAL LIFE OF PROJECT	
(1) 106	\$250				1,550			
(2)								
(3)								
(4)								
TOTALS							C. PROJECT FUNDING AUTHORIZED THRU FY [80]	

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)

A. APPROPRIATION	B. ALLOTMENT REQUEST NO.	
	C GRANT	D LOAN
(1)		
(2)		
(3)		
(4)		
TOTALS		

13. FUNDS RESERVED FOR ALLOTMENT

TYPE NAME (Chol, SER/PM/END)

SIGNATURE

DATE

14. SOURCE/ORIGIN OF GOODS AND SERVICES

000 941 LOCAL OTHER

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

Execute amendment #1 (PIO/T) to existing Grant Agreement (AID/qtr-G-1583) to (1) increase the amount of the grant from \$600,000 to \$1,300,000 and (2) extend the life of project through Aug. 14, 1981 and (3) add two countries to the FCH demonstration effort (i.e. Panama and Paraguay).

FOR PPC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

AID 1330-A-17-781

FILE

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

Name of Entity: Foundation for Cooperative Housing

Name of Project: Shelter for the Poor

Number of Project: 912-0006

Pursuant to Part I, Chapter 1, Section 106 of the Foreign Assistance Act of 1961, as amended, I hereby authorize a Grant to the Foundation for Cooperative Housing not to exceed one million, five hundred fifty thousand United States Dollars to help in financing certain costs of goods and services required for the project as described in the following paragraph.

The project consists of an Operational Program Grant to FCH to permit this organization to assist host country insitutions demonstrate the use of new techniques for assisting the poor obtain improved shelter and related community services, with special emphasis placed on self-help construction, financial and community organization. Demonstration projects have been identified in countries in which host country interest and support for improving their low-income housing programs have been demonstrated.

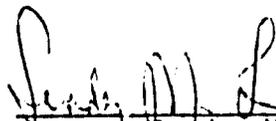
I approve the total level of A.I.D. appropriated funding planned in FY 78 for this project of not to exceed seven Hundred Thousand United States Dollars (\$700,000). I approve further increments during the period FY 80 of Grant funding up to \$250,000, subject to the availability of funds in accordance with A.I.D. allotment procedures.

I hereby authorize the initiation of negotiation and execution of an Amended Grant Agreement by the officer to whom such authority has been delegated in accordance with A.I.D. regulations and Delegations of Authority subject to the following condition together with such other terms and conditions as A.I.D. may deem appropriate:

a) Prior to any disbursement, or issuance of any commitment documents under the amended Grant Agreement to finance the FY 78 demonstration projects referenced in an FCH OPG proposal, Grantee shall furnish in form and substance satisfactory to A.I.D., copies of duly negotiated agreements with participating OPG countries and respective USAIDs.

Clearances:

DS/H/OPN:MPita ^W Date 4/16/78
DS/H/PO:PVitale ^W Date 9/16/78
DS/H:DMcVoy ^W Date 2/11/78
DS/DAA/DT:JBruce ^W Date 6/18/78
DS/PO:RSimpson ^W Date 8/77


Sander M. Levin
Assistant Administrator
Bureau for Development Support

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR BUREAU FOR
DEVELOPMENT SUPPORT

FROM: DS/H - David McVoy *DM*

AUG 1 8 1978

Problem: Request PAF approval for #0006: Operational Program Grant, Foundation for Cooperative Housing.

Background: Pursuant to Agency instructions on Operational program Grants given to private voluntary organizations and more recently incorporated into Handbook Three, Chapter 6, (June 25, 1976), the Foundation for Cooperative Housing submitted to the Office of Housing in August 1977 a Worldwide Operational Program Grant Proposal. The purpose of the grant was to permit FCH to develop demonstration projects in selected countries that would build on FCH's recent work in low-income shelter, funded in part by an Agency Development Program Grant.

The office of Housing included in its FY 77 ABS a request for \$1.3 million to cover the life of project funding requirements (i.e., FY 77-79). This estimate was recently revised in the FY 80 ABS to provide for an additional \$250,000 to continue the demonstration projects in the initial three subprojects through FY 80.

The initial OPG permitted FCH to assist three local shelter institutions to increase their capacity to plan and develop shelter programs for low-income families, with particular emphasis placed on self-help construction, financial and community organization. The office of Housing conducted a technical review of the initial proposal and requested and obtained Missions' (i.e., Honduras, Peru and Jamaica) approval. The Office of Housing then formally approved the initial grant by requesting SER/CM to execute a Grant Agreement with FCH for three years and providing for \$600,000 to cover the funding requirements for the initial demonstration projects.

In accordance with the Grant Agreement, FCH requested (July 17, 1978) that the Agreement be amended to permit FCH to undertake two new demonstration projects in: Panama and Paraguay. The purposes of these subprojects are consistent with the previously approved Worldwide OPG and will assist the Agency in implementing its shelter strategies in these two countries. Specifically, FCH has developed a joint project with the National Savings and Loan Bank of Paraguay that will assist the Bank, (a) organize a new organizational unit to promote and coordinate public and private programs for low-income families, (b) review and help modify existing legislation and banking regulations to enable it to finance different types of shelter programs for the poor, and (c) undertake a shelter assessment that will be used by Paraguayan decision-makers to prepare a national housing policy and plan. FCH proposes to field one full-time consultant for up to two-plus

years to be assisted by short-term consultants and related backstopping from FCH/W. The total cost of this subproject, \$280,645.

The other FY 78 FCH/OPG subproject is to strengthen the housing cooperative movement in Panama. This will be accomplished by providing technical and financial assistance to the mutually supportive activities within the Ministry of Housing, the Panamanian Foundation for Cooperative Housing, and the Federation of Panamanian Credit Unions. The Ministry wishes to establish a housing cooperative unit to promote low-income housing cooperatives. FCH has been asked to assist the Ministry define its housing cooperative role, train and orient the staff, develop functional and procedural manuals, identify and design projects and design the format and mechanism for a collaborative working relationship with the cooperative organizations.

A second component of this subproject will be to strengthen Panama's Foundation for Cooperative Housing in the areas of financial management, project identification and utilization by the Ministry of this organization to help create and build new housing cooperative organizations.

The third component of this subproject will be to assist the credit cooperative movement to more efficiently and economically reach low-income groups with financing for home improvements and expansion.

To mount this program in Panama, FCH proposes to field two full-time resident technicians, supplemented by short-term consultants and related backstopping from FCH/W. The total cost of this subproject, \$419,355.

As with the initial FY 77 OPG, DS/H has held a technical review of the FY 78 OPG; we have also received cable approvals from both Panama (05407) and Paraguay (2951) on the FCH proposals. We believe that these two subprojects are consistent with the intent of the previously approved OPG proposal and is supportive of AID's overall development strategy and shelter objectives in these countries.

Recommendation: I therefore recommend that you approve the attached Project Authorization and Request for Allotment of Funds (PAF) for the life of project.

Approved: [Signature]

Disapproved: _____

Date: 8-16-78

Attachments:

- 1. PAF.
- 2. Panama 5407
- 3. Asuncion 2951

Clearances:

DS/HOPN:MPita [Signature] Date 8/16/78
 DS/H/PO:PVitale [Signature] Date 8/16/78
 DS/DAA/DT:JBruce [Signature] Date 8/17/78
 DS/PO:RSimpson [Signature] Date 8/17

FILE

11

.MODIFICATION OF GRANT

1. Amendment No. 1	2. Effective Date 8/31/78	3. Grant No. AID/otr-G-1583	4. Effective Date 8/31/77
5. GRANTEE (Name and Address) Foundation for Cooperative Housing 2101 L Street, N. W. Washington, D. C. 20037		6. Administered by - OTR Branch Central Operations Division Office of Contract Management Agency for International Development Washington, D. C. 20523	
7. PIO/T No. 912-0006-1287002 Appropriation No. 72-11X1026 Allotment Symbol - 426-36-099-00-69-81		8. Previous PIO/Ts - 912-0005-1278002	

9. The above numbered Grant is hereby modified as follows:

CERTIFIED A TRUE COPY THIS

1. GRANT LETTER

11 DAY OF Sept 78
BY *[Signature]*

A. First Paragraph

Delete the sum "Six Hundred Thousand Dollars (\$600,000)" and insert "One Million Three Hundred Thousand Dollars (\$1,300,000) " in lieu thereof.

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as hereinafter provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 1 original and six copies to issuing office.

12. GRANTEE

By: *[Signature]*
Theodore Priftis
(Name typed or printed)

Title Vice President

Date AUG 31 1978

UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT

By: *[Signature]*
L. E. Stanfield
(Name typed or printed)

Title Grant Officer

Date AUG 31 1978

B. Second Paragraph

Delete the expiration date "August 31, 1980 and insert "August 14, 1981" in lieu thereof.

2. ATTACHMENT A - PROGRAM DESCRIPTION

A. Scope of Work

Delete the contents of the first paragraph and insert the following in lieu thereof:

In accordance with its proposal, dated August 17, 1977, as amended August 23, 1977 and July 17, 1978 the Grantee will enter into agreements with local housing institutions in Peru, Honduras, Jamaica, Panama, and Paraguay to assist those institutions in developing shelter and related programs for low income, urban families.

3. SPECIAL PROVISIONS

Delete Special Provision No. 7 in its entirety and insert the following in lieu thereof:

7. For each project site except Panama, the Grantee will employ one resident Housing and Community Specialist and short-term consultants as required in the agreement with the host country institutions. The Grantee will employ two resident Housing and Community Specialists and short-term consultants as required in the agreement with the host country institutions for the project site in Panama.

4. BUDGET ESTIMATE

Delete the contents of the article and insert the following
in lieu thereof:

AID Funds

<u>Item</u>	<u>Project I Honduras</u>	<u>Project II Peru</u>	<u>Project III Jamaica</u>
Salaries	\$79,400	\$ 60,760	\$ 75,290
Overhead	47,592	36,420	45,129
Consultants	-0-	11,480	3,000
Travel & Transportation	18,465	29,358	22,274
Equipment	-0-	-0-	-0-
Allowances	33,305	28,675	32,300
Other Direct Costs	10,625	10,917	12,005
Participant Training	15,240	13,390	14,375
Capital Requirement	<u>-0-</u>	<u>-0-</u>	<u>-0-</u>
TOTAL	\$204,627	\$191,000	\$204,373
	<u>Project IV Paraguay</u>	<u>Project V Panama</u>	<u>Total</u>
Salaries	\$101,845	\$138,909	\$456,204
Overhead	61,107	83,345	273,593
Consultants	11,424	4,004	29,908
Travel & Transportation	29,854	52,965	152,916
Allowances	53,301	63,400	210,981
Equipment	-0-	9,500	9,500
Other Direct Costs	13,330	17,232	64,109
Participant Training	9,784	-0-	52,789
Capital Requirements	<u>-0-</u>	<u>50,000</u>	<u>50,000</u>
TOTAL	\$280,645	\$419,355	\$1,300,000

The Grantee may adjust the above line items as reasonably necessary to accomplish the purposes of this grant, but no adjustment shall be made between projects without amendment of the grant.

5. STANDARD PROVISIONS

Under the article entitled "Negotiated Overhead Rates (Oct. 1974)," delete the rate "59.94%" and insert "60%" in lieu thereof.

6. This amendment extends the grant 11-1/2 months in order to add projects in Panama and Paraguay at an additional cost of \$700,000.

MODIFICATION OF GRANT

1. Amendment No. 2	2 Effective Date 8/31/77	3. Grant No. AID/otr-G-1583	4. Effective Date 8/31/77
5. GRANTEE (Name and Address) Foundation for Cooperative Housing 2101 L Street, N.W. Washington, D. C. 20037		6. Administered by - OTR Branch Central Operations Division Office of Contract Management Agency for International Development Washington, D. C. 20523	
7. PIO/T No. N/A Appropriation No. N/A Allotment Symbol - N/A		8. Previous PIO/Ts - 912-0005-1278002 912-0006-1287002	

9. The above numbered Grant is hereby modified as follows:

- Standard Provision, Regulations Governing Employees Outside the United States (Oct. 1974)

Delete paragraph (1) and insert the following in lieu thereof:

(1) The Grantee's employees, when employed overseas, may receive administrative support from local U.S. Government offices or facilities as authorized by the cognizant mission agreement with the Grantee.

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended, and Executive Order No. 11223. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 1 original and six copies to issuing office.

12. GRANTEE - FOUNDATION FOR COOPERATIVE HOUSING

By: Theodore Priftis
 (Name typed or printed)

Title Vice President

Date _____

UNITED STATES OF AMERICA
 AGENCY FOR INTERNATIONAL DEVELOPMENT

By: L. E. Stanfield
 (Name typed or printed)

Title Grant Officer

Date _____

2. This amendment is authorized by Deviation No. CM-DEV-OTR-79-002.

A?

MODIFICATION OF GRANT

1. Amendment No. <p style="text-align: center;">3</p>	2. Effective Date <p style="text-align: center;">4/1/80</p>	3. Grant No. <p style="text-align: center;">AID/otr-G-1583</p>	4. Effective Date <p style="text-align: center;">8/31/77</p>
5. GRANTEE (Name and Address) Foundation for Cooperative Housing 2101 L Street, N. W. Washington, D. C. 20037		6. Administered by - OTR Branch Central Operations Division Office of Contract Management Agency for International Development Washington, D. C. 20523	
7. PIO/T No. 912-0006-1287002 A.2 Appropriation No. 72-1191021.6 Allotment Symbol - 946-36-099-00-69-03		8. Previous PIO/Ts - <p style="text-align: center;">912-0005-1278002</p> <p style="text-align: center;">912-0006-1278002</p>	

9. The above numbered Grant is hereby modified as follows:

1. BUDGET ESTIMATE

Delete the contents of the article and insert the following in lieu thereof:

CERTIFIED A TRUE COPY THIS

31 DAY OF April

BY M. Solomon

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block 03 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return 1 original and six copies to issuing office.

12. GRANTEE

By: Richard Owens
 Richard Owens
 (Name typed or printed)
 Vice President
 Title _____
 Date 7 April 1980

UNITED STATES OF AMERICA
 AGENCY FOR INTERNATIONAL DEVELOPMENT

By: K. R. Fluteau
 K. R. Fluteau
 (Name typed or printed)
 Title Grant Officer
 Date 4/3/80

AID Funds	<u>Project I Honduras</u>	<u>Project II Peru</u>	<u>Project III Jamaica</u>
Salaries	\$61,908	\$99,985	\$125,197
Overhead	37,146	59,990	75,118
Consultants	10,026	6,275	11,050
Travel & Transportation	24,036	33,617	22,426
Allowances	29,728	28,716	40,872
Other Direct Costs	16,822	15,543	12,802
Participant Training	5,819	6,374	-0-
Capital Requirements	-0-	-0-	-0-
Equipment	<u>-0-</u>	<u>-0-</u>	<u>-0-</u>
TOTAL	\$185,485	\$250,500	\$287,465

	<u>Project IV Paraguay</u>	<u>Project V Panama</u>	<u>Total</u>
Salaries	\$101,845	\$105,469	\$494,404
Overhead	61,107	63,281	296,642
Consultants	11,424	-0-	38,775
Travel & Transportation	29,854	22,725	132,658
Allowances	53,301	35,073	187,690
Other Direct Costs	13,330	11,644	70,141
Participant Training	9,784	-0-	21,977
Capital Requirements	-0-	50,000	50,000
Equipment	<u>-0-</u>	<u>7,713</u>	<u>7,713</u>
TOTAL	\$280,645	\$295,905	\$1,300,000

The Grantee may adjust the above line items as reasonably necessary to accomplish the purposes of this grant, but no adjustment shall be made between projects without amendment of the grant.

2. This Amendment revises budget line items between projects with no change in the total amount of the grant based on the extension of the Peru and Jamaica demonstration projects, the termination of the Honduras demonstration project, and the reduction of the Panama demonstration project as described in the Grantee's letter of March 24, 1980.

MODIFICATION OF GRANT

AK

1. Amendment No. 5	2. Effective Date 2/27/81	3. Grant No. AID/otr-G-1583	4. Effective Date 8/31/77
5. GRANTEE (Name and Address) Foundation for Cooperative Housing 2501 M Street, N. W. Washington, D. C. 20037		6. Administered by - OTR Branch Central Operations Division Office of Contract Management Agency for International Development Washington, D. C. 20523	
7. PIO/T No. 3611243 Appropriation No. 72-1111021.6 Allotment Symbol - 146-36-099-00-69-11		8. Previous PIO/Is - 912-0005-1278002	

9. The above numbered Grant is hereby modified as follows:

1. GRANT LETTER

CERTIFIED A TRUE COPY

25 DAY OF March

A. First Paragraph

BY NRJ

Delete the sum "One Million Three Hundred Thousand Dollars (\$1,300,000)" and insert "One Million Four Hundred Sixty-Five Thousand Eight Hundred Eighty Eight (\$1,465,888)" in lieu thereof.

10. This amendment is entered into pursuant to the authority of the Foreign Assistance Act of 1961, as amended. Except as herein provided, all terms and conditions of the grant referenced in Block #3 remain unchanged and in full force and effect.

11. Grantee is required to sign this document and return original and six copies to issuing office.

12. GRANTEE

UNITED STATES OF AMERICA
AGENCY FOR INTERNATIONAL DEVELOPMENT

By: *Richard Owens*
 Richard Owens
 (Name typed or printed)

By: *L. E. Stanfield*
 L. E. Stanfield
 (Name typed or printed)

Title Vice President

Title Grant Officer

Date _____

Date _____

B. Second Paragraph

Delete the expiration date "August 14, 1980 and insert "December 31, 1981" in lieu thereof.

2. SPECIAL PROVISIONS

Delete Special Provision No. 7 in its entirety and insert the following in lieu thereof:

7. For each project site except Panama, the Grantee will employ one resident Housing and Community Specialist and short-term consultants as required in the agreement with the host country institutions. The Grantee will employ only short-term consultants as required in the agreement with the host country institutions for the project site in Panama.

3. BUDGET ESTIMATE

Delete the contents of the article and insert the following in lieu thereof:

AID Funds	Project I Honduras	Project II Peru	Project III Jamaica
Item			
Salaries	\$ 61,908	\$ 105,459	\$ 151,665
Overhead	37,146	65,282	90,092
Consultants	10,026	4,025	13,750
Travel & Transportation	24,036	33,795	35,824
Equipment	-0-	-0-	-0-
Allowances	29,728	32,170	75,929
Other Direct Costs	16,822	16,374	17,598
Participant Training	5,819	3,735	-0-
Capital Requirements	<u>-0-</u>	<u>-0-</u>	<u>-0-</u>
TOTAL	\$185,485	\$260,840	\$384,858

<u>Item</u>	<u>Project IV Paraguay</u>	<u>Project V Panama</u>	<u>Total</u>
Salaries	\$ 144,377	\$ 81,255	\$ 544,664
Overhead	92,359	53,442	338,321
Consultants	-0-	2,500	30,301
Travel & Transportation	40,276	20,591	154,522
Equipment	-0-	7,713	7,713
Allowances	63,319	33,338	234,484
Other Direct Costs	24,369	8,080	83,243
Participant Training	8,100	4,986	22,640
Capital Requirements	<u>-0-</u>	<u>50,000</u>	<u>50,000</u>
TOTAL	\$372,800	\$261,905	\$1,465,888

The Grantee may adjust the above line items as reasonably necessary to accomplish the purposes of this grant, but no adjustment shall be made between projects without amendment of the grant.

4. This amendment increases funding for the Peru, Jamaica, and Paraguay demonstration and decreases funding for the Panama project by a net amount of \$165,888, to extend this grant thru December 31, 1981.