

PD-AAI-256

521-0151 /44

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY

ISW 1273

AGENCY FOR INTERNATIONAL DEVELOPMENT

MISSION TO HAITI

For U.S. MAIL:

USAID / HAITI

Department of State

Washington, D.C. 20520

For INTERNATIONAL MAIL

USAID / HAITI

P.O. Box 1634

Port-au-Prince, Haiti, W.I.

Mr. John Grant
Save the Children Federation
54 Wilton Road
Westport, Conn. 06880

Subject: Grant No. 521-0151-G-00-1039-00

Dear Mr. Grant:

Pursuant to the authority contained in the Foreign Assistance Act of 1961, as amended, the Agency for International Development (hereinafter referred to as "A.I.D." or "Grantor") hereby grants to the Save the Children Federation (hereinafter referred to as "SCF" or "Grantee") the sum of \$200,000 to provide support for the Chambellan Community Development Project, an activity designed to set in motion a self-sustaining development process for the rural population of Chambellan region in Southwest Haiti. The project is more fully described in Attachment I to this Grant, entitled "Program Description". Additional amounts of up to \$162,000 for a total AID contribution of \$362,000 will be granted in subsequent increments to the SCF to support the Project, subject to the availability of funds and to the mutual agreement of AID and SCF to proceed at the time of a subsequent increment.

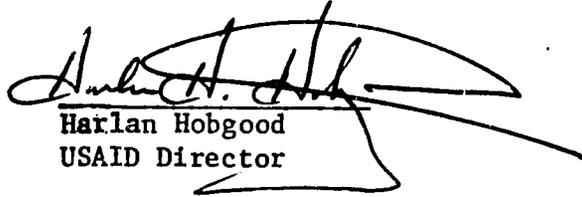
This Grant is effective and obligation is made as of the date of this letter and shall apply to commitments made by the Grantee in furtherance of program objectives through December 31, 1984.

This Grant is made to SCF, on condition that the funds will be administered in accordance with the terms and conditions as set forth in Attachment I entitled "Program Description", and Attachment II entitled "Standard Provisions", which have been agreed to by your organization.

Please sign the Statement of Assurance of Compliance (Attachment III) enclosed herein, and the original and seven (7) copies of this letter to acknowledge your acceptance of the conditions under which these funds have been granted.

Please return the Statement of Assurance of Compliance and the original and six (6) copies of this Grant to USAID/Haiti.

Sincerely yours,


Harlan Hobgood
USAID Director

Attachments:

1. Program Description
2. Standard Provisions
3. Statement of Assurance of Compliance

ACCEPTED:

Save the Children Federation

By: 
John Grant

Title: Regional Director For Latin America

Date: August 28, 1981

FISCAL DATA

Appropriation	:	<u>72-11110216</u>
Allotment	:	<u>146-50-521-00-69-11</u>
PIO/T No.	:	<u>521-0151-3-10025</u>
Project No.	:	<u>521-0151</u>
Total Grant Amount	:	<u>\$200,000</u>

CHAMBELLAN COMMUNITY DEVELOPMENT PROJECT

Project Agreement

Attachment I

PROGRAM DESCRIPTION

A. Purpose of Grant

The purpose of this Grant is to provide partial support for a program of community development to be carried out by Save the Children (SCF) Alliance and the Department of Agriculture, Natural Resources and Rural Development (DARNDR).

The Chambellan Community Development Project (PDCC) is designed to enable the local community to take control of those social, economic and cultural aspects of life that most directly impinge on their existence. Specifically, the project's goal is to set in motion a self-sustaining process whereby the poor peasant population in the western end of the Haitian southern peninsula can both improve and exercise more control over the social and economic aspects of their own lives.

B. Specific Objective

The specific objective of this Grant is as follows:

Through the creation and support of local self-help groups, to design and implement a series of small-scale development projects that will result in significant economic and social improvement for the majority of the population residing within the Chambellan Commune.

To accomplish this objective a strategic group approach will be used. Strategic groups are composed of eight to fifteen individuals with similar back-

grounds and interests. Relationships developed among group members become the basis for analyzing and resolving one or more shared problems. Groups also serve as a tool for consciousness raising and a growing awareness among members concerning the causes of their poverty and the ways in which they can better their lives. Group members are helped to develop the capacity to analyze in critical fashion a given situation, to determine priorities and to plan concrete actions. Many of these concrete actions are small-scale development projects in agriculture, education, health and nutrition. Members also develop skill in organizing themselves to utilize available resources, and to mobilize new resources. It is planned that a minimum of 30 local self-help groups will be formed and involved in activities designed to improve community social and economic conditions.

C. Implementation

1. The Chambellan Community Development Project began operating in 1980. During the first year of operation, the following activities were undertaken: Training in community development; agricultural demonstration plots; agriculture education in primary schools; nutrition education; tree nursery establishment; tool bank establishment; small craft promotion; small project assistance; community center construction; potable water system; school construction and renovation; road construction; upgrading of Chambellan town square.

2. Grantee, through the Project Team, will implement the project during the next three years as follows:

A. During the first year Grantee will undertake to:

- * - Construct a penetration road to connect three villages with the national highway.

- Use the capping of the Ariche spring (completed last year) to install a turbine and generator to supply electricity to the village.
- Organize and offer courses in agriculture and animal husbandry to children at two Chambellan schools and to 4-C groups.
- Organize with community participation, two tree nurseries that will produce 80,000 seedlings annually.
- Offer training to women in the organization of strategic groups to resolve selected shared problems.
- Permit teachers who possess less than a normal school diploma to receive an in-service normal school equivalency program.
- Construct five social centers to be used for meetings and instruction.
- Offer basic instruction to 90 people in community development emphasizing the organization of strategic groups.
- Demonstrate on peasants' plots more productive agricultural techniques, both short- and long-term, including soil conservation, use of hybrid seeds, insecticides, and row cropping.
- Make tools and seeds available to peasants who have difficulty in obtaining these items. Monies received from seed sales will be used to capitalize a revolving fund.
- Offer a 6-month training program in animal husbandry to two "encadreurs" who will work with peasants.
- With community participation, construct a new building with four classrooms and renovate another building with three classrooms.
- Train monitors to offer literacy classes to interested peasants.
- Construct, with community participation, a building for instruction in home economics to young women.

- * - Contribute to the construction of a potable water system in Moron, a village near Chambellan.
- Offer instruction in nutrition for parents of children suffering from malnutrition and provide nutritional recuperation programs.
- * - Participate, with the help of the community, in the completion of a public dispensary in Chambellan.
- * - Construct, with community participation, a slaughterhouse for pigs and cows at the market place in Chambellan.
- * - Construct, with community participation, public latrines and encourage interested individuals in the construction of private latrines.
- Provide instruction in sewing and embroidery to women's groups interested in income-generating activity.
- * - Construct a metal workshop; provide training in this area to artisans and apprentice artisans.

Of the foregoing activities, nine are new; eight are expansions and four are continuation of first year activities.

B. Given the nature of the strategic group approach, it is difficult to develop a detailed implementation plan for field activities a year or two in advance. However, implementation of activities for the remaining two years of the project will be guided by the principles listed below.

1. Emphasis will be placed on consolidating activities, rather than on developing a very extensive project portfolio. In succeeding years, there will be less need for construction of schools and water systems, activities of great importance in year one.

* Construction costs will not be financed under the Grant.

2. Projects that represent expansion or continuation of year one activities will generally be preferred over those that are entirely new.
3. Increasing responsibility for development of implementation plans will be given to the strategic groups.
4. Implementation plans will be treated as projections; flexibility to modify them will be maintained.
5. Implementation plans for years two and three will include a precise schedule for carrying out both a midpoint and end-of-project evaluation.
6. Implementation plans will provide adequate time for inter-agency collaboration to encourage replication and, to the extent possible, institutionalization.

Save the Children will submit, during the last quarter of each project year, a detailed implementation plan for the following year to AID. The project management team will meet with AID personnel to discuss the plan in detail, and the relationship between the plan proposed and the concrete accomplishments of the preceding year.

The implementation plan will serve as the basis for project monitoring activities. Field activities will be tracked against projections to detect discrepancies between them. Where such discrepancies exist, project staff and local strategic group members will analyze them to determine their cause. The result will be a series of highly modifiable plans that are revised periodically to reflect the reality of Chambellan.

D. Reporting and Evaluation

1. Quarterly progress reports will be submitted to AID.

2. Evaluation activities will be carried out throughout the life of the project. Three kinds of evaluation can be discerned. The first, feasibility evaluation, is conducted prior to initiating a given project activity. It is concerned with determining whether the project meets specific criteria. A second type of evaluation is ongoing assessment. This kind of evaluation is concerned with the extent to which project activities are being implemented as they were planned. The third kind of evaluation to which the activities in this project will be subjected is impact evaluation. The purpose of this type of evaluation is to determine the extent to which project activities are having a measurable impact on the lives of those whom they are designed to help.

The logical Framework Matrix (see OPG proposal page 24) contains a concise listing of the types of benchmarks that will become the bases for data collection. It is envisioned that data collection for impact assessment will occur two times over the life of this project, at the midpoint and again at the project's conclusion. Baseline data has already been collected on Chambellan. Save the Children would welcome the participation of AID personnel or external evaluators in any impact assessment activity.

Given the nature of this project, PDCC considers that community participation in evaluation activities is of paramount importance. Therefore, project staff will work to train strategic groups in evaluation. Ultimately, the chief evaluators of project activities must be those whom the projects

have been designed to serve, the peasants of Chambellan. Over the life of the project, PDCC staff will transfer increasing responsibility to peasants for determining project feasibility and monitoring activities.

Quarterly reports submitted to AID will present an analysis of all evaluation data for the period covered by the report.

F. Budget

A detailed project budget is provided in the OPG proposal. Attached are four Summaries: Summary by Source and three Yearly Budget summaries. The Grantee may not exceed the total amount of the budget. Reasonable adjustments of budget line items may be made without prior approval by USAID/Haiti. Proposed adjustments of more than 15% of any component subtotal should receive prior USAID/Haiti approval.

<u>Summary by Source</u>	<u>\$</u>	<u>% of Total</u>
AID	362,000	36.1%
SCF/Alliance	526,803	52.7%
Government of Haiti	79,652	8.0%
Estimated Community Input	32,000	3.2%
Total	1,000,455	100.0%

F. Overhead Rate

Payment on account of indirect costs will be made based on a provisional overhead rate of 7.48% applied to total direct cost less commodities, and equipment, in accordance with Standard Provision 5 of Attachment 2.

G. Source and Origin

The source and origin of all goods and services under this grant will be as set forth in Standard Provision 10 of Attachment 2, as amended by Standard Provision 28.

H. Special Provisions

Of the attached Standard Provisions (Attachment 2), delete the following: 7B, 7C, 12B, 12C.

SUMMARY BUDGET

	<u>First Year</u>				
	<u>AID</u>	<u>SCF Alliance</u>	<u>GOH</u>	<u>Community</u>	<u>Yearly Total</u>
A. Field Office Services	<u>12,550</u>	<u>90,693</u>	<u>-0-</u>	<u>-0-</u>	<u>103,243</u>
1) Salaries		48,498			48,498
2) Living Expense/Benefits		17,925			17,925
3) Staff Training		1,000			1,000
4) Services	12,550	23,270			35,820
B. Capital Assets	<u>-0-</u>	<u>42,900</u>	<u>-0-</u>	<u>-0-</u>	<u>42,900</u>
1) Building		20,000			20,000
2) Transportation		18,400			18,400
3) Commodities		3,000			3,000
4) Land		1,500			1,500
C. Program	<u>79,400</u>	<u>21,250</u>	<u>9,200</u>	<u>-0-</u>	<u>109,850</u>
1) Salaries	31,400	7,440	9,200		48,040
2) Living Expense/Benefits		13,310			13,310
3) Program Support	48,000	500			48,500
D. Direct Aid	<u>15,849</u>	<u>15,851</u>	<u>16,400</u>	<u>8,500</u>	<u>56,600</u>
1) Income Generation	8,499	8,501	8,500	4,500	30,000
2) Social Development	1,350	1,350	1,500	1,000	5,200
3) Nutrition/Education	2,250	2,250	4,400	500	9,400
4) Sanitation/Health	1,250	1,250	2,000	500	5,000
5) Rural Public Works	2,500	2,500		2,000	7,000
Sub-Total	107,799				
Overhead (7.48 percent)	8,063				8,063
Contingency	961				961
TOTALS	<u>116,823</u>	<u>170,694</u>	<u>25,600</u>	<u>8,500</u>	<u>321,617</u>

	<u>Second Year</u>				<u>Yearly Total</u>
	<u>AID</u>	<u>SCF Alliance</u>	<u>GOH</u>	<u>Community</u>	
A. Field Office Services	<u>12,550</u>	<u>96,755</u>	<u>-0-</u>	<u>-0-</u>	<u>109,305</u>
1) Salaries		53,348			53,348
2) Living Expenses/Benefits		19,137			19,137
3) Staff Training		1,000			1,000
4) Services	12,550	23,270			35,820
B. Capital Assets	<u>-0-</u>	<u>27,000</u>	<u>-0-</u>	<u>-0-</u>	<u>27,000</u>
1) Buildings		20,000			20,000
2) Transportation		7,000			7,000
3) Commodities					
4) Land					
C. Program	<u>82,540</u>	<u>27,965</u>	<u>10,120</u>	<u>-0-</u>	<u>120,625</u>
1) Salaries	34,540	8,184	10,120		52,848
2) Living Expenses/Benefits		14,281			14,281
3) Program Support	48,000	500			48,500
4) Evaluation		5,000			5,000
D. Direct Aid	<u>26,684</u>	<u>14,516</u>	<u>16,400</u>	<u>10,500</u>	<u>68,100</u>
1) Income Generation	18,084	5,916	8,500	5,500	38,000
2) Social Development	1,350	1,350	1,500	1,500	5,700
3) Nutrition/Education	2,750	2,750	4,400	500	10,400
4) Sanitation/Health	1,500	1,500	2,000	500	5,500
5) Rural Public Works	3,000	3,000	-0-	2,500	8,500
Sub-Total	121,774				
Overhead (7.48 percent)	9,109				9,109
Contingency	1,601				1,601
TOTALS	<u>132,484</u>	<u>166,236</u>	<u>26,520</u>	<u>10,500</u>	<u>335,740</u>

Third Year

	<u>AID</u>	<u>SCF Alliance</u>	<u>GOH</u>	<u>Community</u>	<u>Yearly Total</u>	<u>Summary Percent</u>	<u>Total</u>
A. Field Office Services	<u>11,750</u>	<u>103,424</u>	<u>-0-</u>	<u>-0-</u>	<u>115,174</u>	<u>32.8</u>	<u>327,722</u>
1) Salaries		58,683			58,683		
2) Living Expenses/ Benefits		20,471			20,471		
3) Staff Training		1,000			1,000		
4) Services	11,750	23,270			35,020		
B. Capital Assets	<u>-0-</u>	<u>10,000</u>	<u>-0-</u>	<u>-0-</u>	<u>10,000</u>	<u>8.0</u>	<u>79,900</u>
1) Buildings		10,000			10,000		
2) Transportation							
3) Commodities							
4) Land							
C. Program	<u>75,994</u>	<u>42,851</u>	<u>11,132</u>	<u>-0-</u>	<u>129,977</u>	<u>36.0</u>	<u>360,452</u>
1) Salaries	37,994	9,002	11,132		58,128		
2) Living Expenses/ Benefits		15,349			15,349		
3) Program Support	38,000	10,500			48,500		
4) Evaluation		8,000			8,000		
D. Direct Aid	<u>16,202</u>	<u>33,598</u>	<u>16,400</u>	<u>13,000</u>	<u>79,200</u>	<u>20.4</u>	<u>203,900</u>
1) Income Generation	6,302	23,698	8,500	7,000	45,500		
2) Social Development	1,350	1,350	1,500	2,000	6,200		
3) Nutrition/Education	3,250	3,250	4,400	500	11,400		
4) Sanitation/Health	1,800	1,800	2,000	500	6,100		
5) Rural Public Works	3,500	3,500	-0-	3,000	10,000		
Sub-Total AID	103,946						
Overhead (7.48 percent)	7,775				7,775	2.5	<u>24,947</u>
Contingency	972				972	0.3	3,534
TOTALS	<u>112,693</u>	<u>189,873</u>	<u>27,532</u>	<u>13,000</u>	<u>343,098</u>	<u>100.0</u>	<u>1,000,455</u>

ASSURANCE OF COMPLIANCE WITH THE AGENCY FOR
INTERNATIONAL DEVELOPMENT REGULATION UNDER TITLE VI
OF THE CIVIL RIGHTS ACT OF 1964

Save the Children Federation (SCF)

(Name of Grantee)

(hereinafter called the "Grantee")

HEREBY AGREES THAT it will comply with title VI of the Civil Rights Act of 1964 (P.L. 88-352) and all requirements imposed by or pursuant to the Regulation of the Agency for International Development (22 CFR Part 209, 30 FR 317) issued pursuant to that title, to the end that, in accordance with title VI of that Act and the Regulation, no person in the United States shall, on the ground of race, color, religion, sex or national origin, be excluded from participation in, be denied the benefits of, or be otherwise subjected to discrimination under and program or activity for which the Grantee receives Federal financial assistance from the Agency; and HEREBY GIVES ASSURANCE THAT it will immediately take any measures necessary to effectuate this agreement.

If any real property or structure thereon is provided or improved with the aid of Federal financial assistance extended to the Grantee by the Agency, this assurance shall obligate the Grantee, or in the case of any transfer of such property, any transferee, for the period during which the real property or structure is used for a purpose for which the Federal financial assistance is extended or for another purpose involving the provision of similar services or benefits. If any personal property is so provided, this assurance shall obligate the Grantee for the period during which it retains ownership or possession of the property. In all other cases, this assurance shall obligate the Grantee for the period during which the Federal financial assistance is extended to it by the Agency.

THIS ASSURANCE is given in consideration of and for the purpose of obtaining any and all Federal grants, loans, contracts, property, discounts or other Federal financial assistance extended after the date hereof to the Grantee by the Agency, including installment payments after such date on account of applications for Federal financial assistance which were approved before such date. The Grantee recognizes and agrees that such Federal financial assistance will be extended in reliance on the representations and agreements made in this assurance, and that the United States shall have the right to seek judicial enforcement of this assurance. This assurance is binding on the Grantee, its successors, transferees, and assignees, and the person or persons whose signatures appear below are authorized to sign this assurance on behalf of the Grantee.

Save the Children Federation (SCF)

(Grantee)

BY (Signature)

John P. Grant

TYPED NAME

John Grant

TITLE

Director Latin America

DATE

August 28, 1981