

PD-AAH-618
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AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS PART I		1. TRANSACTION CODE <input checked="" type="checkbox"/> A ADD <input type="checkbox"/> C CHANGE <input type="checkbox"/> D DELETE	PAF 2. DOCUMENT CODE 5
3. COUNTRY/ENTITY DS/RAD		4. DOCUMENT REVISION NUMBER <input type="text" value="2"/>	
5. PROJECT NUMBER (7 digits) <input type="text" value="931-1137"/>	6. BUREAU/OFFICE a. SYMBOL DSB	b. CODE <input type="text" value="08"/>	7. PROJECT TITLE (Maximum 40 characters) <input type="text" value="Participation and Rural Development"/>
8. PROJECT APPROVAL DECISION <input type="checkbox"/> A APPROVED <input type="checkbox"/> D DISAPPROVED <input type="checkbox"/> DE DEAUTHORIZED		9. EST. PERIOD OF IMPLEMENTATION YRS. <input type="text" value="05"/> QTRS. <input type="text" value="0"/>	

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)									
A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY <u>77</u>		H. 2ND FY <u>79-80</u>		K. 3RD FY <u>81</u>	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) FN	249J	290		722		1275		225	
(2)									
(3)									
(4)									
TOTALS				722		1275		225	

A. APPROPRIATION	N. 4TH FY <u>81/82</u>		Q. 5TH FY		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED (ENTER APPROPRIATE CODE(S)) 1 - LIFE OF PROJECT 2 - INCREMENTAL LIFE OF PROJECT	A. GRANT	B. LOAN
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN			
(1)	280				2502			2	
(2)									
(3)									
(4)									
TOTALS	280				2502				

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)			13. FUNDS RESERVED FOR ALLOTMENT		
A. APPROPRIATION	B. ALLOTMENT REQUEST NO. _____		TYPED NAME (Chief, SER/FM/FSD)		
	C. GRANT	D. LOAN			
(1)			SIGNATURE _____		
(2)					
(3)			DATE _____		
(4)					
TOTALS					

14. SOURCE/ORIGIN OF GOODS AND SERVICES
 000 941 LOCAL OTHER _____

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

BEST AVAILABLE DOCUMENT

FOR PPC/PIA: USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

PROJECT AUTHORIZATION AND REQUEST FOR
ALLOTMENT OF FUNDS
PART II

ENTITY: Development Support Bureau
PROJECT: Participation and Rural Development
PROJECT NUMBER: 931-1137

I approve an increase in the Rural Development and Participation project (931-1137) authorization by \$280,000, from \$2,222,320 to \$2,502,320, and an extension of the completion date until the end of FY 1983.

Signature Curtis Farnsworth
Date Jul 2, 1981

Clearances: (on copy)
DS/RAD: JFrench _____ Date: 6/24/81
DS/RAD: NNicholson _____ Date: 6/24/81
DS/RAD: JGelb JG _____ Date: 6/22/81
DS/PO: BChapnick BChapnick _____ Date: 6/26/81
DS/PO: ASilver _____ Date: 6/26/81

June 22, 1981

ACTION MEMORANDUM FOR THE ACTING ASSISTANT ADMINISTRATOR,
BUREAU FOR DEVELOPMENT SUPPORT

FROM: DS/RAD, Jerome T. French 

Problem: To extend the life of the Rural Development Participation Project (931-1137) for one additional year, FY 82, and to increase the authorized level of project funding from \$2,222,320 to \$2,502,320. These actions are required to enable the project to fulfill commitments to missions for in-depth applied research and consulting, final state-of-the-art papers, and additional knowledge dissemination work proposed by the project evaluation team.

Discussion: The attached budget explains the dollar implications of the activities to be completed with additional funding under an extended life of project. The current four year project required the cooperator, the Cornell University Rural Development Committee, to undertake at least four in-depth applied research and consulting programs to promote mission and host country capacity for participatory rural development. Further, the project called for at least four state of the art papers and special studies as well as short term consulting, knowledge dissemination work, and network building. The evaluation of the project found that the cooperator had performed at a high level based on the responses to questionnaires sent missions and on its own investigations. However, delays in establishing these in-depth relationships has resulted in the need for an extension of the project in order to complete the work. In Sri Lanka some central funding (\$27,325) is required to complement the mission's commitment (\$200,000) to additional applied research and consulting to help implement local management of water user associations. In Costa Rica the mission has requested continued Cornell collaboration, begun only a year ago, in the planning, training and local institution building work of an Atlantic Basin resettlement effort. In Yemen, the mission has requested that one of three parts of a socioeconomic baseline research activity, dropped for lack of time, be restored in FY 82. This is some of the first research of its kind in Yemen and is critical to guiding the implementation of the mission's Local Resources for Development Project which relies on the capability of local development associations individually and in confederation with one another.

The project evaluation was critical of the lack of resources devoted in the project to knowledge dissemination, a substantial provision for such work having earlier been dropped for reasons of financial stringency in order to save commitments to mission service. Extension of the life of the project with additional funding will permit knowledge dissemination of the findings of applied research and consulting work on local management of water points in Botswana and the use of local associations in integrated rural development in Jamaica. It will permit completion of state of the art papers on local organizations and local leadership in rural development and the summation and dissemination of project findings from all the papers and field activities through the Rural Development Participation Review, an executive summary of the project's work, and Rural Development Participation Abstracts digesting

the findings of individual components of the project.

Recommendation: That you approve the extension of the Rural Development Participation Project (931-1137) for one year, FY 82, and an increase in the authorized level of funding from \$2,222,320 to \$2,502,320 by signing the attached PAF.

Attachment

Drafted by:DS/RAD:JHarbeson:bh Ext. 235-8902

<u>Budget</u>				
<u>Category</u>	<u>Activity</u>	<u>Funding Required</u>	<u>Explanation of DS/RAD Funding</u>	<u>Mission Contribution</u>
Applied Research & Consulting	Sri Lanka	\$ 27,325	3 P.M. short term consulting divided among Norman Uphoff, Gil Levine, & Randy Barker. 3 P.M. of Hammond Murray-Rust	\$207,000 (FY 82-3)
	Costa Rica	22,400	3 P.M. consulting divided between Vernon Jantzi & Mitchel Seligson	110,000
	Yemen	14,025	1.5 P.M. divided between John Cohen & David Lewis	30,000
Knowledge Dissemination	Botswana	10,760	3 P.M. for Emery Roe	60,000
	Jamaica	11,530	3 P.M. for Harvey Blustain	40,000
Knowledge Dissemination	Rural Development Participation Review	103,450	Uphoff 3 P.M. \$17,810 Editor 12 P.M. 35,570 Staff 15 P.M. 22,490 RDPR 10,100 Reports 5,000 Publications 12,500	
	SOAPs - Local Organization - Local Leadership			
	Rural Development Participation Abstracts			
Networking		6,000	Graduate Assistant half-time	
Administration		84,510	Uphoff 3 P.M. \$17,810 Staff 18 P.M. 35,840 Assistant 6 P.M. 11,330 Supplies and Equipment 20,980	
TOTAL		\$ 280,000		