

PD-006-849-A1

931-1114  
931114004901

(il. 5.6)  
App 9A, Ch 9, PB 3

*Design already  
already processed  
checked*

AGENCY FOR INTERNATIONAL DEVELOPMENT  
PROJECT AUTHORIZATION AND REQUEST  
FOR ALLOTMENT OF FUNDS PART I

1. TRANSACTION CODE  
 A - ADD  
 C - CHANGE  
 D - DELETE

2. DOCUMENT CODE  
PAF  
5

3. COUNTRY/ENTITY

4. DOCUMENT REVISION NUMBER

5. PROJECT NUMBER (7 digits)  
[ 931-1114 ]

6. BUREAU/OFFICE  
A. SYMBOL [ DSB ] H. CODE [ 0 ]

7. PROJECT TITLE (Maximum 40 characters)  
[ Science & Technology Info. Transfer ]

8. PROJECT APPROVAL DECISION  
ACTION TAKEN  
 A = APPROVED  
 D = DISAPPROVED  
 DE = DEAUTHORIZED

9. EST. PERIOD OF IMPLEMENTATION  
YRS. [ ] QTRS. [ ]

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY		H. 2ND FY		K. 3RD FY	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) S.D.	754	874		120		200		500	
(2)									
(3)									
(4)									
TOTALS									

A. APPROPRIATION	N. 4TH FY		Q. 5TH FY		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED (ENTER APPROPRIATE CODE(S)) 1: LIFE OF PROJECT 2: INCREMENTAL LIFE OF PROJECT	A. GRANT	B. LOAN
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN			
(1) S.D.	75		300		1,195		1		
(2)									
(3)									
(4)									
TOTALS								311	

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)

A. APPROPRIATION	B. ALLOTMENT REQUEST NO.	
	C. GRANT	D. LOAN
(1)		
(2)		
(3)		
(4)		
TOTALS		

13. FUNDS RESERVED FOR ALLOTMENT

TYPED NAME (CHIEF, SER/RES/PS/D)

SIGNATURE

DATE

14. SOURCE/ORIGIN OF GOODS AND SERVICES  
 CCC  941  LOCAL  OTHER

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED  
 This amount represents the balance of funding needed to meet the FY 1981 project budget of \$375,000.

A detailed Program Description/Budget covering all of FY 1981 was submitted with PAF, and allied PIO/T, covering the first increment (\$115,000) of FY 1981 funds for project funding, and subsequently fully incorporated into Amendment 4 of PASA Number CZ/DS-114-2-77 with the National Technical Information Service.

FOR PPC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

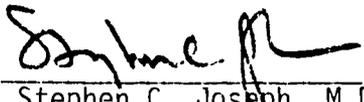
PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

ENTITY : Bureau for Development Support  
PROJECT : Science and Technology Information Transfer (NTIS)  
PROJECT NUMBER: 931-1114

I hereby authorize FY 1981 AID grant funding in the amount of \$185,000 as the final increment towards meeting the FY 1981 \$375,000 budget of this project. Total authorized life-of-project funding is now \$1,195,000.

NTIS will not initiate any new cooperating Agency agreements with FY 81 funds.

Signature:   
Stephen C. Joseph, M.D.  
AA/DS (Acting)

Date: 4/21

Clearances:

DS/DIU: LLA11en  Date 4/1/81

DS/PO : BChapnick  Date 4/14/81

ACTION MEMORANDUM

April 6, 1981

TO : AA/DS, Mr. Stephen Joseph (Acting)  
FROM : DS/DIU, Lida L. Allen, Director  
SUBJECT: Authorization of FY 1981 Funds Towards FY 1981 Requirements of Science and Technology Information Transfer Project (931-1114).

Your authorization of \$185,000 of FY 1981 funds is requested to complete the \$375,000 funding of the FY 1981 PASA with the National Technical Information Service (NTIS).

A full-scale extended effort involving NTIS, DIU, and the Africa, Asia and Near East bureaus (and 50 allied field missions) is underway to obtain a wider familiarization with the project's operation and eventually ascertain the magnitude of any post-FY 1981 region/mission supplemental project funding which may be desirable to enhance project effectiveness in some areas.

Approved: Stephen Joseph

Disapproved: \_\_\_\_\_

Date: 4/21

PD-AAG-849-B1

931114

(2)

*4 this is the Evaluation file @*

1. INITIAL STARTING DATE (Mo., Day, Yr.) October 1, 1976	PASA PARTICIPATING AGENCY SERVICE AGREEMENT BETWEEN THE AGENCY FOR INTERNATIONAL DEVELOPMENT AND Department of Commerce National Technical Information Service	6. <input type="checkbox"/> PASA ORIGINAL <input checked="" type="checkbox"/> PASA AMENDMENT <u>1</u>
2. PROJECTED COMPLETION DATE (Mo., Day, Yr.) September 30, 1979		7. PASA NUMBER CZ/TAB-1114-2-77
3. CATEGORY <input checked="" type="checkbox"/> TOY <input type="checkbox"/> ASSIGNED		8. COUNTRY/AID/W OFFICE AID/W-Worldwide
4. DURATION OF FUNDING <input type="checkbox"/> CURRENT YEAR <input checked="" type="checkbox"/> FORWARD FUNDING	5. PROJECT NO. AND TITLE 931-1114 Science and Technology Information Transfer	9. TYPE <input type="checkbox"/> REIM. <input checked="" type="checkbox"/> GRANT <input type="checkbox"/> LOAN
10. YEAR FY 19 <u>79</u>		

11. FUNDING				
A. CITATIONS	(1) APPROPRIATION NO. 72- 1191021.6	(2) ALLOTMENT NO. 946-36-099-00-20-91	(3) PIO/T/OBLIGATION NO. 931-1114-3698015	
B. AMOUNT	(1) INITIAL OR CURRENT \$200,000	(2) CHANGE (+ OR -)	(3) NEW TOTAL \$200,000	
C. TOTAL PROJECT COSTS	(1) PRIOR YEARS (FOR CONTINUOUS PROJECTS)	(2) FUTURE YEARS (INCLUDE CURRENT FUNDING BLOCKS B(1) OR B(3))	(3) ESTIMATED TOTAL	
D. PRINCIPAL COST COMPONENTS (BLOCK B)	(1) SALARIES, DIFFERENTIAL AND BENEFITS \$85,853	(2) TRANSPORTATION INCLUDING PER DIEM \$12,508	(3) MISCELLANEOUS \$49,677	(4) OVERHEAD \$44,063
E. SUPPLEMENTAL AID SUPPORT	(1) INITIAL OR CURRENT	(2) CHANGE (+ OR -)	(3) NEW TOTAL	

12. STATEMENT OF PURPOSE

A. Summary

The overall objective of this project is to create an operating network of trained and effective cooperating local agencies for transfer of U.S. scientific and technical information to approximately 10-14 developing countries in Asia, Africa, and the Near East, which is expected to provide a three-fold increase in the flow of U.S. Science and Technology Information to project countries.

B. Scope of Work

This PASA provides funding for FY 79 for approximately 34 man-months of professional and approximately 16 man-months of secretarial and administrative services. In particular the PASA technician will provide the following services:

13. GOVERNING PROVISIONS: PURSUANT TO THE GENERAL AGREEMENT DATED Jan. 30, 1967 BETWEEN AID AND THE COM/NTIS, THE AGENCY NAMED ABOVE AGREES TO PROVIDE THE SERVICES OUTLINED IN BLOCK 12 AMPLIFIED AS NEEDED BY APPENDIX A UNLESS OTHERWISE AUTHORIZED BY AID, ALL SERVICES SHALL BE OF U.S. ORIGIN. ANY APPENDICES ATTACHED HERETO ARE CONSIDERED PART OF THIS PASA.

14. SIGNATURES

NAME: Terrance L. Lindeman  
TITLE/OFFICE: Foreign Affairs Administrator  
DATE: December 27, 1978

NAME: J. William Auer  
TITLE/OFFICE: CHIEF, PARTICIPATING AGENCY BRANCH CONTRACT MANAGEMENT, AID  
DATE: December 27, 1978

15. APPENDICES

- APPENDIX A - SCOPE OF WORK
- APPENDIX B - BUDGET PLAN
- OTHER/REFERENCE \_\_\_\_\_

16. NEGOTIATING OFFICERS

AID: CM/SOD/IIA:W Schroeder  
AGENCY: COM/NTIS:DSonyo

APPENDIX B SCOPE OF WORK  PAGE <u>1</u> OF <u>2</u>	PARTICIPATING AGENCY SERVICE AGREEMENT BETWEEN THE AGENCY FOR INTERNATIONAL DEVELOPMENT AND Department of Commerce, National Technical Information Service	<input type="checkbox"/> ORIGINAL	<input checked="" type="checkbox"/> AMEND NO. <u>1</u>
		PASA NO.	
		CZ/TAB-1114-2-77	
		FISCAL YEAR 1979	

(1) Select and appoint agents willing and capable of actively promoting acquisition of US STI through NTIS, while developing and implementing appropriate marketing strategies, and serving as depository and distribution center for US STI.

(2) Sponsor STI awareness seminars in project countries.

(3) Conduct workshops at NTIS for cooperating agency officers to acquaint them with US STI systems and NTIS operations.

(4) Provide cooperating agencies with technical advisory services, including user education and marketing support which will strengthen institutional capabilities.

(5) Publish and distribute special English - and french language versions of an announcement bulletin, "Application of Modern Technology to International Development (AMTID)".

(6) Give priority handling to LDC STI requests and administer air mail postal subsidy.

(7) Provide special information materials and equipment compatible with cooperating agency capabilities.

(8) Develop selected case studies for assessment of program benefits.

(9) Abstract in French and microfiche subject wide-interest appropriate technology (AT) documents written in French and where necessary, translate AT documents written in English and Spanish into French.

C. Relationship

AID/W - Kenneth Lee White, DS/DIU  
NTIS - Terrance L. Lindermann, Foreign Affairs Administrator

D. Reports

A. NTIS will prepare and submit the following reports to DS/DIU and such A.I.D. officers as DS/DIU shall designate.

1. Semi-annual statistical reports including (a) number and value of STI purchased by agent reps and (b) dollar volume of gross income in toto and by country.
2. A review of training workshops at NTIS and of NTIS visits to each country relative to fulfillment of project purposes.
3. At several intervals in the project year a progress report indicating, on a country by country basis, progress in appointing and training agents with the objective of eventual unsubsidized commercial contracts. Also case histories demonstrating STI application.

APPENDIX B SCOPE OF WORK  PAGE <u>2</u> OF <u>2</u>	PARTICIPATING AGENCY SERVICE AGREEMENT BETWEEN THE AGENCY FOR INTERNATIONAL DEVELOPMENT AND Department of Commerce, National Technical Information Service	<input type="checkbox"/> ORIGINAL <input checked="" type="checkbox"/> AMEND. NO. <u>1</u>
		PASA NO. CZ/TAB-1114-2-77
		FISCAL YEAR 1979

4. PASA team shall submit three copies of all reports listed as being a product of the contract (administrative, progress, final and technical reports containing R&D findings) to the Documentation Coordinator, DS/SIU, Agency for International Development, Washington, DC 20523, or his designee. Such reports shall include a title page showing the title of the report, project title as set forth in this contract (or grant) and the contract number. One copy of each report shall be clearly typed or printed on white paper so that it may be photographed to produce a microfilm master. Technical reports shall be accompanied by an author-prepared abstract.

E. International Travel

No international travel originating in the U.S. will be undertaken unless prior approval has been obtained from CM/SOD/IIA.

Prior to making visits to LDCs, the PASA technicians will review plans with DS/DIU. Travel has to be cleared at least 30 days prior to undertaking the travel. Upon arrival at USAIDs, the PASA technicians will keep Mission personnel fully informed, ask them to participate in discussions if desired, and will inform Missions of the outcome of consultations.

F. Special Provisions

Voucher Identification. In each instance of voucher (SF1034) submission made by the contractor for payment hereunder, the following identification data will appear on the face of the voucher:

PASA: CZ/TAB-1114-2-77  
Project 931-1114  
Project Office DS/DIU

Sub-contracting: In order to fulfill the requirements of this PASA the Department of Commerce, National Technical Information Service may contract for services or continue contracts already engaged in under non-personal services contracts with the following contractors: Frank Post for case studies and preparation of audio-visual materials; Dorothy Kerr & Associatés - translation into French and typeset 1 issue of AMTID; Francisco J. Pardo - conduct and prepare case studies.

BUDGET PLAN  
APPENDIX  
B  
1 OF 1

PARTICIPATING AGENCY SERVICE AGREEMENT BETWEEN  
THE AGENCY FOR INTERNATIONAL DEVELOPMENT AND  
Department of Commerce  
National Technical Information Service

4. APPROPRIATION  
72- 1191021.6

5. ALLOTMENT  
946-36-099-00-10-91

FUNDED BY	PIO/T-OBLIG. NO.	AMOUNT	PIO/T-OBLIG. NO.	AMOUNT	PIO/T-OBLIG. NO.	AMOUNT
		931-1114-3698015				

8. PASA BUDGET PLAN - FUNDING

9. STAFFING

DESCRIPTION	AMOUNT	NAME AND TITLE	GRADE	PERIOD (PEOPLE-DAYS/MONTHS)	AMOUNT
SALARIES	\$ 85,853		GS		
BENEFITS ( 9.2 % OF SALARIES)	7,899	Office Director, T. Lindemann	15/2	4	\$ 13,144
DIFFERENTIAL ( _____ % OF SALARIES)		Project Manager, D. Shonoyo	12/7	12	27,707
LEAVE FACTOR (TDYs ONLY)		Information Specialist, V. Dowd	13/8	6	16,929
_____ % OF SALARIES & BENEFITS)		Africa Specialist, J. Hounsell	7/1	12	13,014
TRAVEL (EXPLAIN BELOW)	9,250 <sup>1/</sup>	Admin. Assistant, M. Janake	5/10	4	4,522
PER DIEM (EXPLAIN BELOW)	3,258 <sup>2/</sup>	Secretary	5/1	12	10,507
MOVEMENT EFFECTS (INTERNATIONAL)					
(NORMALLY ONLY MOVEMENT TO POST)					
MOVEMENTS/STORAGE OF EFFECTS (DOMESTIC)					
OTHER: (SPECIFY BELOW)	49,063				
OVERHEAD <input checked="" type="checkbox"/> SALARIES & BENEFITS					
47 % <input type="checkbox"/> PERSONNEL COSTS <input type="checkbox"/> ALL COSTS	44,063				
TOTAL (INCLUDES _____ TO BE FUNDED BY FUTURE PASA ADMENTS)	\$200,000				

BEST AVAILABLE DOCUMENT

EXPLANATORY COMMENTS

- 1/ Includes travel to Bangladesh, Nepal, Pakistan, Morocco, Tunisia, Sudan, Upper Volta, Cameroon, Ghana, Thailand, Sri Lanka, Kenya, Sudan, Senegal
- 2/ Includes in-country per diem, in-transit and miscellaneous expenses for above travel
- 3/ Includes \$6,000 French language AMTID, \$2,000 Documentation Translations, \$4,500 Case Studies, \$10,000 English language AMTID, \$10,000 Workshop/Training Program, \$5,000 In-country Seminar, \$8,677 Postage, \$3,500 tele-communications