

PD-AAF-538

93702425

TAB E

AGENCY FOR INTERNATIONAL DEVELOPMENT <b>PROJECT AUTHORIZATION AND REQUEST          FOR ALLOTMENT OF FUNDS PART I</b>		1. TRANSACTION CODE <input checked="" type="checkbox"/> A    A ADD <input type="checkbox"/> C    C CHANGE <input type="checkbox"/> D    D DELETE	PAF 2. DOCUMENT CODE 5
3. COUNTRY ENTITY DS/AGR/F; RDA-27		4. DOCUMENT REVISION NUMBER <input type="text" value="3"/>	
5. PROJECT NUMBER (7 digits) <input type="text" value="931-0242"/>	6. BUREAU OFFICE A SYMBOL    B CODE DSB <input type="text" value="10"/>	7. PROJECT TITLE (Maximum 40 characters) <input type="text" value="NOAA Advisory Service"/>	
8. PROJECT APPROVAL DECISION ACTION TAKEN <input checked="" type="checkbox"/> A    A APPROVED <input type="checkbox"/> D    D DISAPPROVED <input type="checkbox"/> DE    DE AUTHORIZED		9. EST. PERIOD OF IMPLEMENTATION YRS. <input type="text" value="0"/> <input type="text" value="1"/> QTRS <input type="text" value="0"/>	

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)									
A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE							
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) FN	199 I	077		902				200	
(2)									
(3)									
(4)									
TOTALS				902				200	

A. APPROPRIATION	N. 4TH FY		O. 5TH FY		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED	12. LIFE OF PROJECT
	P. GRANT	R. LOAN	S. GRANT	T. LOAN	U. GRANT	V. LOAN		
(1) FN	199 I	077			1102			2
(2)								
(3)								
(4)								
TOTALS					1102			811

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000):			13. FUNDS RESERVED FOR ALLOTMENT		
A. APPROPRIATION	B. ALLOTMENT REQUEST NO		TYPED NAME (Char, SER, FM, FSD)		
	C. GRANT	D. LOAN			
(1)	1102		SIGNATURE		
(2)			DATE		
(3)					
(4)					
TOTALS		1102			

14. SOURCE/ORIGIN OF GOODS AND SERVICES     000     341     LOCAL     OTHER \_\_\_\_\_

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

The \$200,000 approved in this PAF amendment provides the funding to cover the services of the NOAA RSSA through September 30, 1981. The objectives of the project scope of work are described on the attachment to the PAF.

FOR PPC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
	DS/AGR	MM DD YY 02 11 81		MM DD YY

PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS

PART II

ENTITY : Development Support Bureau

PROJECT: NOAA Advisory Service

NUMBER : 931-0242

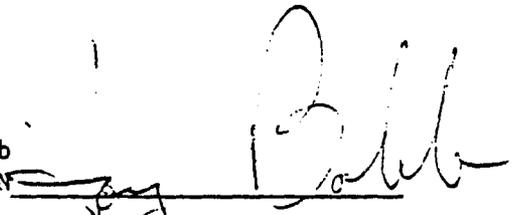
I hereby authorize grants funds not to exceed \$200,000 for a one-year extension of the RSSA agreement COM/NOAA-180-2-76 with the National Oceanic and Atmospheric Administration (NOAA) of the Department of Commerce. This project provides professional qualified personnel to advise and assist the DS/AGR Fisheries Division, the regional bureaus, the missions and the LDCs on problems relating to project development, management and evaluation.

Personnel will work under the supervision of and be responsible to A.I.D. direct hire staff. This funding is for FY 1981 services.

Tony Babb

DAA/DS/FN

Date

  
\_\_\_\_\_  
Date 2/27/80

Clearance:

DS/AGR/, RSolem RSolem Date 2/13/80  
DS/AGR, MZozynski MZozynski Date 2/7/80  
DS/AGR/F, TO'Hare TO'Hare Date 2-7/80  
DS/PO, BChapnick BChapnick Date 2/27/80  
DS/MGT, MThome MThome Date 2-7-80

FEB 7 1980

ACTION MEMORANDUM FOR THE DEPUTY ASSISTANT ADMINISTRATOR FOR FOOD AND NUTRITION, BUREAU FOR DEVELOPMENT SUPPORT

FROM: DS/AGR, Richard W. Solem

SUBJECT: NOAA ADVISORY SERVICES Project 931-0242.11

Problem: Your approval is requested to authorize extension of the RSSA with NOAA for an additional year from October 1, 1980, through September 30, 1981.

Discussion: The Fisheries Division of DS/AGR utilizes RSSA-COM/NOAA 18-2-76 as a means to procure the services of expert advisors to assist the Division in the implementation of its responsibilities related to program management, field support and technical representation. Three COM/NOAA staff members are assigned to the Fisheries Division on a full-time basis and short-term expertise is procured from the NOAA direct-hire staff as required. The arrangement has proved beneficial as it makes a technical staff available to provide necessary services in a specialized field in which A.I.D. lacks direct-hire employees.

In an evaluation of the RSSA just completed (the recent PES is attached) it is recommended that the RSSA be extended without a substantive change in form from its present terminal date September 30, 1980, through September 30, 1981.

A scope of work outlining activities and budget proposed for FY 1981 is also attached hereto.

Recommendation: That you indicate your approval to extend the RSSA with NOAA through September 1981, at a cost of \$200,000 additional funds, by signing part II of the attached PAF.

Attachments: A/S

Clearance:

DS/AGR, MZozynski MZ Date 2/7/80  
DS/PO, BChapnick BCh Date 2/7/80  
DS/PO, ASilver AS Date 2/7/80  
DS/MGT, MThome MT Date 2/7/80  
DS/AGR, TO'Hare T.H. Date 2-8-80

NOAA Advisory Services, Project 931-0242, BY 1981Objective:

The objective of the project is to continue to provide the Fisheries Division of DS/AGR with adequate professional and technical expertise to be able to respond to the operational needs of the Division and to provide support to meet specific requests from missions, regional bureaus and host countries.

Planned Response:

It is proposed that the Fisheries Division continue the RSSA Agreement (RSSA-COM/Noaa 180-2-76) with the National Oceanographic and Atmospheric Agency during FY 1981 in the same basic form as in the past. Currently, this Agreement provides for the services of a Senior Fisheries Advisor, an Aquaculture Advisor, and a Fisheries Development Advisor, all on a full-time basis. These advisors are essential to the operation of the Division, performing program oriented functions relating to project development, management and evaluation and assisting regional bureaus and missions in identifying problems, formulating programs, and designing projects.

Additionally, the RSSA provides for limited short-term assistance to help meet the Division's needs in responding to requests from regional bureaus, missions and A.I.D. recipient countries for specialized short-term services. It is proposed to continue to rely on NOAA staff for such short-term requirements whenever appropriate.

Scope of Work:

Various tasks to be undertaken by personnel provided under this agreement include the following:

- a. Provide professional expertise to A.I.D. in formulating appropriate programs and responses relating to small-scale fisheries and aquaculture in developing countries.
- b. Design specific projects for implementation by the Fisheries Division of DS/AGR and carry out necessary management and monitoring functions as may be appropriate.
- c. Give professional and technical advice and assistance to other bureaus and offices within A.I.D. missions and host countries on matters concerning fisheries and aquaculture.

- d. Participate, when called upon by other entities within A.I.D., in reviews and evaluation of proposed or ongoing projects and give advise on technical sufficiency and appropriateness of project design.
- e. Represent A.I.D., at appropriate levels, in meeting and discussions of fisheries and aquaculture matters with officials of other agencies.
- f. Coordinate with representatives of other U.S. government agencies and bilateral and multilateral donor agencies on matters concerning fisheries and aquaculture development to insure exchanges of information which will avoid duplication of effort and reinforce mutual development objectives.

Implementation:

All NOAA personnel will work under the supervision of and be responsible to A.I.D. Direct Hire staff. Funds for travel of the NOAA personnel have been included within the attached budget.

TAB C

RSSA-COM/NOAA 2-76  
 Projected Budget FY 1981

I. Personal Services

A. Staff Salaries

1. Senior Fisheries Advisor (Roedel) GS-16-18 @ \$50,113	\$50,113
2. Aquaculture Advisor (Neal) GS-14-7 @ \$41,655 + 6.0%	44,155
3. Fisheries Development Specialist (Osborn) GS-14-7 @ \$41,655 + 6.0%	44,155
4. Short-term Experts (six months) GS-14-7 @ \$41,655 + 6.0%	<u>22,077</u>

Sub-total \$160,500

B. Personnel Benefits @ 10.1% of salaries 16,212

Sub-total for Personal Services \$176,712

II. Travel and Transportation

A. Airline Transportation

1. Domestic - 12 trips @ \$250	3,000
2. International - 16 trips @ \$1500	<u>24,000</u>

Sub-total Airline Travel 27,000

B. Per Diem and Other Reimbursable Expenses

1. Domestic @ 5 days per trip X 12 X \$50 daily average	3,000
2. International @ 15 days per trip X 12 X \$60 daily average	<u>10,800</u>

Sub-total 13,800

Sub-total for Travel & Transportation \$40,800

III. Miscellaneous Aquisitions of Publications, Reference Material and Duplication	\$ 2,000
IV. NOAA Overhead on Personal Services 27.3% of \$176,712	<u>48,243</u>
Sub-total Items I - IV	\$267,755
V. Department of Commerce Overhead on Budget .5% X \$267,755	<u>\$ 1,339</u>
TOTAL ESTIMATED BUDGET	\$269,094
Negotiating Contingency	<u>\$ 5,906</u>
	\$275,000
Projected Pipeline (Savings in FY 1980 budget largely resulting from the lack of a Senior Fisheries Advisor and of an Aquaculture Advisor during part of the year)	\$75,000
ESTIMATED TOTAL FY 1981 REQUIREMENT	<u>\$200,000</u>

UNITED STATES GOVERNMENT

# Memorandum

ACTION MEMO

21p

TO : AA/TA, Mr. Curtis Farrar

DATE: February 4, 1976

FROM : TA/AGR, Leon F. Hesser *LH*

SUBJECT: RSSA Project with NOAA.

Problem: The planned PASA from TAB operational funds for Mr. Roedel of NOAA was not acceptable to NOAA as this AD position could not be filled during Mr. Roedel's absence. NOAA will agree to continue Mr. Roedel's service until June 30, 1976 if AID executes a RSSA to pay the costs involved. This will permit time for a more lasting solution by July 1, 1976.

Discussion: For the past nine months, the services of Mr. Philip Roedel have been made available to TA/AGR by the National Oceanic and Atmospheric Administration (NOAA) of the Department of Commerce. His professional knowledge in the fisheries area has been most beneficial to our program development and management efforts. It was intended that he would be detailed to AID on a PASA funded by operational funds and fill a position slot in the TA/AGR staffing pattern.

When the papers were being processed for this step, difficulties developed. NOAA would show him in one of their slots and AID would carry him in one of their position slots. NOAA would not be able to back-fill this AD position and would be left with a gap in their staffing. In the meantime, they have been paying the costs for Mr. Roedel.

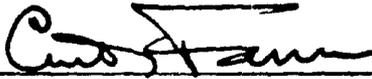
Mr. Reeder of the NOAA Personnel Section has indicated that they will acquiesce to making Mr. Roedel available to AID on a RSSA until June 30, 1976 if AID will provide the funds to cover the costs. This amounts to \$24,000 for salary, benefit contributions and overhead. By July 1, 1976, new arrangements will be needed or NOAA will insist that Mr. Roedel again resume his assigned functions at NOAA.

To cover this period through June a RSSA is needed. Funds are provided in the KPA-2 budget for FY-1976.

Should this RSSA not be approved, NOAA would most likely recall Mr. Roedel and TA/AGR would have to start a new search for professional skills in fisheries. Also an extended time gap would result until a new source of assistance could be obtained.



Recommendation: That you approve the RSSA with NOAA as a program development and support activity.

Approved 

Disapproved \_\_\_\_\_

Date 2/24/76

AID 1350-1X (7-71)	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country TAB <u>3. 8634</u>	Page 1 of 5 Pages
		2. PIO/T No. <u>931-11-180-242-72</u>	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
		4. Project/Activity No. and Title 931-11-180- Fisheries Advisory Services NOAA	

DISTRIBUTION	5. Appropriation Symbol <u>72-11X1023</u>		6.A. Allotment Symbol and Charge <u>402-31-099-00-20-61</u>		6.B. Funds Allotted to: <input checked="" type="checkbox"/> A.I.D./W <input type="checkbox"/> Mission	
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document				8. Funding Period (Mo., Day, Yr.) From <u>1/1/76</u> To <u>6/30/76</u>	
	9.A. Services to Start (Mo., Day, Yr.) Between <u>1/1/76</u> and _____				9.B. Completion date of Services (Mo., Day, Yr.) <u>6/30/76</u>	
	10.A. Type of Action <input type="checkbox"/> A.I.D. Contract <input type="checkbox"/> Cooperating Country Contract <input type="checkbox"/> Participating Agency Service Agreement <input checked="" type="checkbox"/> Other <u>RSSA</u>					
	10.B. Authorized Agent <u>AID/W</u>					

Estimated Financing		(1)	(2)	(3)	(4)
\$1.00=		Previous Total	Increase	Decrease	Total to Date
11. Maximum A.I.D. Financing	A. Dollars		24,000		24,000
	B. U.S.-Owned Local Currency				
12. Cooperating Country Contributions	A. Counterpart				
	B. Other				<u>3/2/76</u>

13. Mission References	14. Instructions to Authorized Agent The PASA Office is requested to arrange with the National Oceanic and Atmospheric Administration (NOAA) of the Department of Commerce to furnish the personal services of a senior fisheries staff member as listed in block 19C. Services will be with TA/AGR.
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15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.	
A. The specifications in the scope of work are technically adequate TA/AGR, NKonnerup <u>WB</u> Date: <u>2/5/76</u>	B. Funds for the services requested are available TA/PPU, MZozynski <u>MEM</u> Date: <u>2/25/76</u>
C. The scope of work lies within the purview of the initiating and approved Agency Programs TA/AGR, LHesser <u>WB</u> Date: <u>2/5/76</u>	D. TA/PPU, CMolfetto <u>OK</u> Date: <u>2/25/76</u>
E. TA/AGR, KBrundage <u>WB</u> Date: <u>2/5/76</u>	F.

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to Signature and date: Date:	17. For the Agency for International Development Signature: <u>John Gunning</u> Title: <u>TA/PPU, Chief Program Division</u>	18. Date of Signature <u>2/25/76</u>
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AID 1350-1X (9-70)	Cooperating Country TAB	PIO/T No.	Page 2 of 5 Pages
PIO/T	Project/Activity No. and Title 931-11-180- Fisheries Advisory Services NOAA		

**SCOPE OF WORK**

**19. Scope of Technical Services**

**A. Objective for which the Technical Services are to be Used** To provide professional fisheries guidance for the development and implementation of AID fisheries activities. Assist in the evaluation of ongoing activities and develop a coordinated strategy for future efforts of the TA/AGR fisheries program.

**B. Description**

- (1) Advise TA/AGR on the professional aspects of fisheries projects.
- (2) Review the activities of project contractors to insure that desired objectives will be met.
- (3) Provide planning assistance for AID activities in fisheries at AID/W and develop means to repond to Mission requests for assistance.
- (4) Assist AID in the coordination of fisheries activities in its relation with other donor countries and agencies.

**C. Technicians**

(1) (a) <u>Number</u>	(b) <u>Specialized Field</u>	(c) <u>Grade and/or Salary</u>	(d) <u>Duration of Assignment (Man-Months)</u>
Sr.	Fisheries Specialist	AD 18 \$921/wk.	5 1/2

**(2) Duty Post and Duration of Technicians' Services**

Washington, D.C.

**(3) Language requirements**

None required, Spanish and/or French helpful.

**(4) Access to Classified Information**

Secret on need to know basis.

**(5) Dependents**     Will     Will Not    Be Permitted to Accompany Technician

**D. Financing of Technical Services**

(1) By AID - \$24,000

(2) By Cooperating Country -

AID 1350-1X (9-70)	Cooperating Country <b>TAB</b>	PIO/T No.	Page 3 of 5 Pages
PIO/T	Project/Activity No. and Title <b>931-11-180- Fisheries Advisory Services NOAA</b>		

20. Equipment and Supplies (Related to the services described in Block 19 and to be procured outside the Cooperating Country by the supplier of these services)

A. <u>(1) Quantity</u> <u>(2) Description</u>	<u>(3) Estimated Cost</u>	<u>(4) Special Instructions</u>
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B. Financing of Equipment and Supplies

(1) By AID, - \$

(2) By Cooperating Country -

21. Special Provisions

- A. This PIO/T is subject to AID (contracting) (PASA Implementation) regulations.
- B. Except as specifically authorized by AID, or when local hire is authorized under the terms of a contract with a U.S. Supplier, services authorized under this PIO/T must be obtained from U.S. sources.
- C. Except as specifically authorized by AID/W, the purchase of commodities authorized under this PIO/T will be limited to the U.S. under Geographic Code 000.
- D. Other (specify): (Standard paragraph on LDC visits).

AID 1350-1X (9-79)	Cooperating Country TAB	PIO/T No.	Page 4 of 5 Pages
PIO/T	Project/Activity No. and Title 931-11-180- Fisheries Advisory Services NOAA		

22. Reports by Contractor or Participating Agency (Indicate type, content and format of reports required, including language to be used if other than English, frequency or timing of reports, and any special requirements)

No specific reports required under this RSSA. Incumbent is expected to prepare memoranda from time to time on issues arising through his duties with TA/AGR. Trip reports, meeting resumes, etc. are expected.

23. Background Information (Additional information useful to Authorized Agent and Prospective Contractors or Participating Agency; if necessary cross reference Block 19.C(4) above.)

Available from TA/AGR.

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities

B. Cooperating Country Liaison Official

C. AID Liaison Officials

Dr. Leon F. Hesser, Director TA/AGR and/or his designee.



UNITED STATES DEPARTMENT OF COMMERCE  
National Oceanic and Atmospheric Administration  
Rockville, Md. 20852

*[Handwritten signature]*

January 29, 1976

FEB 4 1976

Dr. Leon F. Hesser  
Acting Director, Office of Agriculture  
Bureau for Technical Assistance  
U.S. Department of State  
Agency for International Development  
Washington, D.C. 20523

Dear Dr. Hesser:

This will confirm a recent conversation between Ralph Reeder, NOAA's Director of Personnel, and Mr. Brundage concerning costs for reimbursement for Philip M. Roedel's services. This assignment is limited to the period January 1 to June 30, 1976 but may be terminated prior to that date if it should become possible for your activity to pick him up on your rolls. It is our understanding that AID is actively seeking to appoint Mr. Roedel to its rolls. If this appointment is not accomplished by June 30, 1976, we must request that he be returned to NOAA to carry out functions we consider necessary to fulfill the Agency's mission.

We compute Mr. Roedel's weekly rate for reimbursement to NOAA to be \$921.01. At this rate the 26 weeks involved (1/1 - 6/30/76) totals \$23,960, including base salary, plus overhead charges.

Billing will be submitted quarterly to reimburse NOAA for the costs incurred under this agreement.

Sincerely,

*David H. Wallace*

David H. Wallace  
Associate Administrator for  
Marine Resources



AID 1350-1X (9-70)	Cooperating Country <b>TAB</b>	PIO/T No.	Page 5 of 5 Pages
PIO/T	Project Activity No. and Title <b>931-11-180- Fisheries Advisory Services NOAA</b>		

**LOGISTIC SUPPORT**

25. Provisions for Logistic Support  A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in C. "Comments")	In Kind Supplied By		From Local Currency Supplied By	
	AID	Cooperating Country	AID	Cooperating Country
(1) Office Space				
(2) Office Equipment				
(3) Housing and Utilities				
(4) Furniture				
(5) Household Equipment (Stoves, Refrig., etc.)				
(6) Transportation in Cooperating Country				
(7) Interpreter Services				
Other: (8)				
(Specify) (9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

B. Additional Facilities Available From Other Sources

C. Comments

To be provided Office Space by TA/AGR.

UNITED STATES GOVERNMENT

# Memorandum

ACTION MEMORANDUM

DATE: September 27, 1976

TO : AA/TA, Mr. Curtis Barrar  
THRU : TA/PPU, John Gunning  
FROM : TA/AGR, Leon F. Hesser *pk.*

SUBJECT: Approval of Utilization of Funds for RSSA with NOAA

- REF : A. Memorandum, Fritz-Levick dated November 21, 1975  
B. Memorandum, Fritz-Hesser & Forman dated December 3, 1975

Problem: Funds in the amount of \$150,000 are needed to continue support for the RSSA with NOAA to cover program development and support activities in fisheries and aquaculture.

Discussion: During FY 76 and through the interim quarter the RSSA with NOAA has provided program development and support services in fisheries and aquaculture. The services of Mr. Philip Roedel have been provided under this agreement. Continuation of this RSSA at an expanded level of input is required to support planned activities which are a part of TAB's new initiative in aquaculture and small scale fisheries development.

It is proposed in the FY 77 extension of the NOAA RSSA that in addition to Mr. Roedel's services, that we also provide for a full time aquaculturist, and 8 mm of short term assistance to handle TDY assignments as they arise. The aquaculturist will fill one of the two fisheries specialist positions in the new fisheries division and we have Dick Neal of the National Marine Fisheries Service in mind for this slot. Originally we had thought his assignment could be handled under an interagency detail to AID; but it appears that we can meet the same objective by including Neal under the RSSA in the same way as Roedel's services are being provided for.

It is also planned that all travel of Roedel, Neal and the short term consultants be included in the RSSA; thus program rather than administrative funds can be used to cover their transportation and travel expenses.

The attached budget in the amount of \$150,000 is our best estimate of the cost of this activity. You will note that this exceeds by \$25,000 the \$125,000 which initially had been programmed under core funding for this activity. This over run is equivalent to the estimated line item for travel but we believe adjustments can be made elsewhere within the RDA 27 to cover this.

The two RSSA professionals will be under the supervision of TA/AGR direct hire personnel. Project management responsibilities for fisheries projects will be assigned to TA/AGR direct hire personnel.



In accordance with the precedent followed several months ago regarding approval of RSSA's for program development and support activities (See Ref A.) and guidance given by TA/PPU no project paper is required. A copy of the draft PIO/T which includes a description of the services to be provided and a planning budget is attached for your review.

Recommendation: That you approve funding in the amount of \$150,000 to cover the RSSA with NOAA as a program development and support activity.

Approved

*M. B. L. de*  
*J. C. Faran*

Disapproved

Date

*Oct 6 '76*

Attachments: a/s

Clearance;  
TA/MGT, DMein (draft)

MEMORANDUM

DATE: November 21, 1975

TO : AA/TA, Mr. Kenneth Levick

FROM: TA/PPU, Carl R. Fritz

Problem: What documentation and approvals are required for the approval of RSSA-implemented "projects" which consist entirely of technical services of other Government agencies provided at TAB direction and under TAB control.

Discussion: The Technical Assistance Bureau is financing two RSSAs which provide skilled professionals to perform technical services for AID missions and AID/W under the direction of TAB technical offices. The Maternal and Child Health Project began in FY 1968; the Agricultural Planning and Support Services Project began in FY 1974. Both were initiated and have been continued as technical support activities.

About two years ago Dr. Bernstein decided that budget reviews held traditionally at the beginning of a fiscal year were not an appropriate forum to examine RSSAs and PASAs. He asked the Program Office to devise a new procedure which would permit them to be examined and approved sufficiently in advance of a fiscal year to permit any necessary changes prior to signature of a new annual agreement. The Program Office, in turn, requested that PROPs be drafted for each project being implemented through a RSSA or PASA. This process has proceeded slowly but we now have PROPs on all projects except the two discussed in this memo, Agriculture Information, the National Library of Medicine and OIH.

We believe there is a clear distinction between other RSSA/PASA projects and the two under discussion. Resources under other projects are managed by the participating U.S. government agency. The ERS and the PHS/CHS resources are managed respectively by TA/AGR and TA/N. We have no difficulty with this since the technical personnel involved are performing functions which clearly are program oriented, i.e. professional advice and services in the development, implementation and/or evaluation of technical assistance, research and development activities.

The question is the type of program documentation required in order to set levels of activity and to approve funding for these purposes. The Program Office had requested that PROPs (or FPs) be prepared and presented for review and comment by the R&DC as a way of determining Agency support.

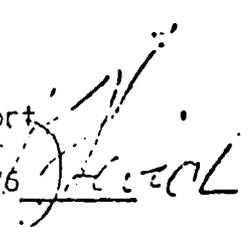
file "PILOP"

Project 931-11-1590

We will pass on your decision regarding this memorandum to TA/AGR and TA/N for their guidance in seeking approvals for the MCHS and proposed NOAA RSSAs.

Attachment:  
FP, Ag Planning and Support  
Services  
3 PIO/Ts

Agricultural Planning and Support  
approved as program development  
and support activity for FY 1976



Develop a more detailed Project  
Paper for submission to R&DC

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Other

CC: *L. J. ...*  
*J. Malcolm*  
*R. Holmes*  
*L. Hesser*

~~TA/AGR, Dr. Hesser~~  
*Oletcher*  
X

December 3, 1975

MEMORANDUM FOR: ✓ TA/AGR, Dr. Leon Hesser  
TA/N, Dr. Martin Forman  
FROM: TA/PPU, Carl R. Fritz *Cy*  
SUBJECT: Use of RSSAs for Program Development and Support

Mr. Levick, AA/TA, has approved continuation of small RSSA with the USDA Economic Research Service as a Program Development and Support activity requiring annual AA/TA review and approval rather than a Project Paper. You may wish to request approval of the following activities using this procedure as well:

1. Maternal and Child Health RSSA
2. Fertilizer RSSA with TVA
3. possible new RSSA with NOAA

Please note however that there are limitations as to what can be done in this type of RSSA:

- the RSSAs will be used only for program development and support activities;
- the personnel will be under the direct supervision and guidance of TA Technical Offices. (More self contained operations such as the USDA group under the Food and Nutrition Technical Support project or the Ag Information RSSA will continue to be projectized and require a project paper;
- an annual work plan and budget must be submitted to TA/PPU no later than March 31 to allow consideration and approval by AA/TA of the level of support in sufficient time to inform the RSSA agency of any desired changes well in advance of the new fiscal year. (FY 76 approval will suffice for the Interim Quarter this year).

Please submit any such requests for approval of program development and support RSSAs to AA/TA through TA/PPU.

Attachment: Fritz/Levick memorandum dated  
11/21/75

(The following discussion will cover the Ag. Planning and Support project only, but we wanted you to be aware of the implications for the MCHS project and also the proposed RSSA with NOAA for technical services in fisheries which would be directly analogous.)

The attached Project Paper was presented by the Office of Agriculture in support of services to be provided to the Economic and Sector Planning Division of TA/AGR at a cost of \$147,500. Additionally, EPP provides under this project the half-time services of Dr. Dana Dalrymple to the Assistant Director for Research, TA/AGR, (\$36,150) and the services of Dr. Ronald Innemeter to assist TA/DA in its technical assistance to the regional bureaus and missions (\$6,200). The total cost of this project in FY 1976 will be \$240,000.

We do not believe that the attached project paper is adequate in itself as justification for the services. Together with the three attached PIO/Ts, however, we believe that adequate justification is provided for services to be performed during FY 1976.

If you concur that a project paper is not required for this type of program development and support activity being performed under the direct supervision and guidance of TA technical offices, we recommend that you authorize Program Office signature of the attached three PIO/Ts with the following caveats:

1. The RSSA's will be used only for program development and support activities. Discrete research or technical assistance activities other than those which are short term in nature will be proposed and approved through normal program documentation procedures.
2. An annual plan should be submitted for approval to AA/TA through TA/PPU no later than March 31, 1976, for the following fiscal year for this project and any other projects approved in this fashion as program development and support activities.

UNITED STATES GOVERNMENT

# Memorandum

TO : TA/PPU, Mr. John Gunning

DATE: October 4, 1976

FROM : TA/AGR, Leon F. Hesser *lfh.*

SUBJECT: Transmittal, Documents for NOAA/RSSA

The attached Action Memo for AA/TA and PIO/T for the NOAA/RSSA are submitted for early action. The provisions submitted herewith are somewhat different than had been proposed at an earlier date. Major variations are:

1. Dr. Neal will be serving on the RSSA rather than in a TAB position slot as had been considered. TA/MGT concurs as indicated on the Action Memo yellow copy.
2. Travel for NOAA personnel will come from project funds rather than the tight TAB travel budget. TA/MGT also concurs on this provision.

Funds on the PIO/T are shown at \$150,000 as compared to \$125,000 in the cluster tables. With the savings to TAB operating funds shown in 1, and 2, above, it would seem that this modest increase could be provided from TAB sources other than project funds. In the unlikely chance that this course of action is unworkable we would agree to divert the needed funds from the \$58,000 shown in the budget for Auburn Advisory Services.

Again I would like to stress the need for processing these papers without delay. Since travel funds are involved, any delay beyond October 14 will cause disruption in project design, coordination and evaluation.

Clearance:  
TA/MGT, DMein (draft)



(2)

AID 1380-1X (9-73)  PIO/T	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT  PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	1. Cooperating Country TA Bureau <u>3, 8601</u>	Page 1 of 6 Pages
		2. PIO/ No. <u>931-0242-72</u>	3. <input checked="" type="checkbox"/> Original or Amendment No. _____ <i>ph</i>
		4. Project/Activity No. and Title 931-0242 NOAA Advisory Services RSSA-COM/NOAA-180-2-76	

DISTRIBUTION	5. Appropriation Symbol <u>72-11X1023</u>	6.A. Allotment Symbol and Charge <u>402-31-099-00-20-71</u>	6.B. Funds Allotted to: <input checked="" type="checkbox"/> A.I.D./W <input type="checkbox"/> Mission																																			
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Subobligation		8. Funding Period (Mo., Day, Yr.) From <u>10-1-76</u> to <u>9-30-77</u>																																			
	9.A. Service to Start (Mo., Day, Yr.) Between <u>Continuing</u> and _____		9.B. Completion date of Services (Mo., Day, Yr.) <u>9-30-77</u>																																			
	10.A. Type of Action <input type="checkbox"/> A.I.D. Contract <input type="checkbox"/> Country Contract <input type="checkbox"/> Service Agreement		Other: <u>RSSA</u>																																			
	10.B. Authorized Agent <u>AID/W</u>																																					
	<table border="1"> <thead> <tr> <th colspan="2">Estimated Financing</th> <th>(1)</th> <th>(2)</th> <th>(3)</th> <th>(4)</th> </tr> <tr> <th colspan="2">\$1.00 =</th> <th>Previous Total</th> <th>Increase</th> <th>Decrease</th> <th>Total to Date</th> </tr> </thead> <tbody> <tr> <td rowspan="2">11. Maximum A.I.D. Financing</td> <td>A. Dollars</td> <td></td> <td>150,000</td> <td></td> <td>150,000</td> </tr> <tr> <td>B. U.S.-Owned Local Currency</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td rowspan="2">12. Cooperating Country Contributions</td> <td>A. Counterpart</td> <td></td> <td><u>1645</u></td> <td></td> <td></td> </tr> <tr> <td>B. Other</td> <td></td> <td><u>150,000</u></td> <td></td> <td></td> </tr> </tbody> </table>				Estimated Financing		(1)	(2)	(3)	(4)	\$1.00 =		Previous Total	Increase	Decrease	Total to Date	11. Maximum A.I.D. Financing	A. Dollars		150,000		150,000	B. U.S.-Owned Local Currency					12. Cooperating Country Contributions	A. Counterpart		<u>1645</u>			B. Other		<u>150,000</u>		
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12. Cooperating Country Contributions	A. Counterpart		<u>1645</u>																																			
	B. Other		<u>150,000</u>																																			

13. Mission References	14. Instructions to Authorized Agent  The Contracts office is requested to extend RSSA COM/NOAA-180-2-76 with NOAA for the services described under block 19. Services requested here under are a continuation and expansion of similar services provided under RSSA, COM/NOAA-180-2-76.
------------------------	--

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in the scope of work are technically adequate TA/AGR/FD, DJones <u>[Signature]</u> date <u>9/20/76</u>	B. Funds for the services requested are available TA/PPU, MZozynski <u>[Signature]</u> date <u>10/7/76</u>
C. The scope of work lies within the purview of the initiating office and approved Agency Programs TA/AGR, LHesser <u>[Signature]</u> date <u>10/4/76</u>	D. TA/PPU, CMolfetto <u>[Signature]</u> date <u>10/6/76</u>
TA/AGR, DClark <u>[Signature]</u> date <u>9/29/76</u>	F. TA/AGR, KBrundage <u>[Signature]</u> date <u>9/29/76</u>

For the cooperating country: The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development <u>[Signature]</u> Signature: John Cunningham Title: TA/PPU, Chief, Program Division	18. Date of Issuance <u>10/7/76</u>
---	--	--

AID 1350-1X (19-70)	Cooperating Country <b>TA Bureau</b>	PIO/T No.	Page 2 of 6 Pages
PIO/T	Project/Activity No. and Title 932-0242 NOAA Advisory Services, RSSA - OOM/NOAA 180-2076		

**SCOPE OF WORK**

**19. Scope of Technical Services**

**A. Objective for which the Technical Services are to be Used** To provide professional and technical guidance for the development and implementation of AID fisheries activities. Assist in the evaluation of ongoing activities and provide assistance in carrying out a coordinated strategy for TA/AGR fisheries program.

**B. Description**

1. Provide advice and guidance on technical aspects of fisheries projects.
2. Review activities of Agency contractors, consultants to insure that desired objectives are being met.
3. Provide planning assistance for AID financed activities relating to fisheries and develop ways and means to respond to mission requests for assistance.
4. Monitor activities and programs of multilateral and other bilateral agencies and donor countries and advise AID on alternative strategies related to fisheries development.
5. Provide advise and assistance to AID direct hire staff in design, management and evaluating project activities.
6. Supply short term staff services in selected fields of professional expertise to meet AID and mission requests.

**C. Technicians**

(1) (a) Number	(b) Specialized Field	(c) Grade and/or Salary	(d) Duration of Assignment (Mon-Months)
1	Senior Fisheries Advisor	GS-18	12 mm
1	Fisheries Specialist-Aquaculture	GS-14	12 mm
6-10	Short term Fisheries Advisors	GS-13 thru GS-17	8 mm

SEE ATTACHED BUDGET ESTIMATE

**(2) Duty Post and Duration of Technicians' Services**

Duty post for long term personnel will be Wash., D.C. Short term advisors will be recruited from various duty stations within NOAA. Domestic and Int. travel will be required as needed.

(3) Language requirements

None required. Spanish, French Portuguese or other would be helpful.

**(4) Access to Classified Information**

Long term advisors only required access to classified information.

**(5) Dependents**     Will     Will Not    Be Permitted to Accompany Technician

**D. Financing of Technical Services**

**(1) By AID - \$150,000.**

**(2) By Cooperating Country -**



AID 1350-1X (19-70)	Cooperating Country TA Bureau	PIO/T No.	Page 4 of 6 Pages
PIO/T	Project/Activity No. and Title 931-0242 NOAA Advisory Service RSSA-COM/NOAA 180-2-76		

22. Reports by Contractor or Participating Agency (Indicate type, content and format of reports required, including language to be used if other than English, frequency or timing of reports, and any special requirements)

No regular reports are required under this RSSA. Incumbents are expected to prepare memoranda and reports on specific and routine matters as the need arises. Trip reports, meeting resumes, memoranda of conversations etc. are expected.

23. Background Information (Additional information useful to Authorized Agent and Prospective Contractors or Participating Agency; if necessary cross reference Block 19.C(4) above.)

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities

B. Cooperating Country Liaison Official

C. AID Liaison Officials

Dr. Leon F. Hesser, TA/AGR and/or his designee.

AID 1350-1X (9-70)	Cooperating Country TA Bureau	PIO/T No.	Page 5 of 6 Pages
PIO/T	Project/Activity No. and Title 932-0242 NOAA Advisory Service RBSA-COM/NOAA 180-2-76		

**LOGISTIC SUPPORT**

25. Provisions for Logistic Support  A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in C. "Comments")	In Kind Supplied By		TO BE PROVIDED OR ARRANGED BY SUPPLIER	
	AID	Cooperating Country	AID	↓
(1) Office Space	X			
(2) Office Equipment	X			
(3) Housing and Utilities				
(4) Furniture				
(5) Household Equipment (Stoves, Refrig., etc.)				
(6) Transportation in Cooperating Country				X
(7) <del>XXXXXXXXXXXX</del>				
Other: (Specify) (8) Transportation to and from Country				X
(9) Interpreter Services/Secretarial	X			
(10) Travel arrangements/Tickets				X
(11)				
(12)				
(13)				
(14)				
(15)				

**B. Additional Facilities Available From Other Sources**

**C. Comments**

Administrative Support services will be available from AID.

CONTINUATION SHEET

DEPARTMENT OF STATE  
AGREEMENT FOR  
INTERNATIONAL DEVELOPMENT

Worksheet  Invoice

PAGE 6 OF 6 PAGES

FORM SYMBOL

TITLE OF FORM

1. Cooperating County

2.a. Code No.

TA Bureau  
2.b. Effective Date

2.c.  Original OR  Amendment  
No.

PIO/T

PROJECT IMPLEMENTATION  
ORDER/TECHNICAL  
SERVICES

3. Project/Activity No. and Title

932-0242  
NOAA Advisory Services OCM/NOAA-180-2-76  
RSSA

Indicate block numbers.

Use this form to complete the information required in any block of a PIO or PA/PR form.

PLANNING BUDGET

1. Personal Services
  - Senior Fisheries Advisor (Roedel)  
12 mm @ GS18 \$37,800 base salary + 9.3% personal  
Benefits = \$41,315
  - Fisheries Specialist - Aquaculture (Neal)  
12 mm @ GS-14 (5) \$32,725. estimated  
base salary + 9/3% personal benefits = \$35,770
  - Short term Advisory Services  
8 mm @ GS-15(3) Estimated Annualized base salary (\$36,075 X 2/3 year) +  
9.3% personal benefits \$26,300

(\$103,385)
2. Travel and Transportation
  - a. Domestic Travel 15 trips @ \$200 = \$3,000.
  - b. International Travel 10 trips @ \$1200 = \$12,000
  - c. Per diem and other travel expenditures  
250 days @ \$40 = \$10,000

(\$25,000)
3. Transfer Expenses (Neal) (\$4,000)
4. Miscellaneous printing, duplication,  
aquisition of reference materials, etc. (\$1,000)
5. Overhead
  - a. NOAA (15.3% of personal services )  
\$103,415 X .153. = \$15,825
  - b. Dept. of Commerce (0.5% of budget)  
\$149,240 X .005 = \$ 746

(\$16,571)
6. TOTAL \$149,986  
Rounded to \$150,000

A O 1360-1X 1-78  PIO/T	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country DS Bureau	Page 1 of 1 Pages
	PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	2. PIO/T No. 931-0242.11 3606439	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
		4. Project/Activity No. and Title 931-0242.11 NOAA Advisory Service	

DISTRIBUTION	5. Appropriation Symbol 72-1101021.3		6. Allotment Symbol and Charge 043-36-099-00-20-01	
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document		8. Project Assistance Completion Date (Mo., Day, Yr.) 9/30/81	
	9. Authorized Agent AID/Washington		10. This PIO/T is in full conformance with PRO/AG N/A Date _____	
	11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> AID Grant (HB 13) <input type="checkbox"/> Other		11b. Contract/Grant/PASA/RSSA Reference Number (If this is an Amendment) RSSA:COM/NOAA 180-2-76	

12. Estimated Financing (A detailed budget in support of column (2) is attached as attachment no. 1)

Maximum AID Financing	A. Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
					\$200,000
	B. U.S.-Owned Local Currency				

13. Mission References

14a. Instructions to Authorized Agent

SER/CM/PAS is requested to extend RSSA-COM/NOAA 180-2-76 through 30 September 1981 to cover continuation of current services during FY 1981. Affixed as attachment No. 2 is a proposed budget. All other provisions of this RSSA remain unchanged.

Attachment No. 1 is the unchanged Scope of Work.

*DS/AGR/FP: MEGAN 2/24/80*

14b. Address of Voucher Paying Office  
Address SF 1034 submissions to SER/FM/PAO, Rm. 601 SA-12, AID, Washington, D.C. 20523 and include RSSA COM/NOAA-180-2-76, Project 931-0242.11 and DS/AGR/Fisheries

15. Clearances—Include typed name, office symbol, telephone number and date for all clearances.

A. The project officer certifies that the specifications in the statement of work are technically adequate <i>C. Breitenbach</i> DS/AGR/F, CBreitenbach	Phone No. 58993	B. The statement of work lies within the purview of the initiating and approved agency programs DS/AGR, RAY SOLEM	Date 2-11-80
C. DS/AGR/D, TO'Hare DS/AGR/C, M Mozynski	Date 2-5-80 2-7-80	D. Funds for the services requested are available DS/PO/FP: MEGAN	
E. DS/PO, ASilver	Date		

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to	17. For the Agency for International Development
Signature _____ Date _____	Signature <i>Kenneth Milow</i> Date <i>2/24/80</i>
Title _____	Title Chief of Program Division

10-1X	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country DS Bureau	Page 1 of 1 Pages
		2. PIOT No. 931-0242.11-3698394	3. <input checked="" type="checkbox"/> Original or Amendment No. _____
PIOT	PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	4. Project Activity No. and Title 931-0242.11 NOAA ADVISORY SERVICES (RSSA/COM/NOAA (80-2-76) (National Oceanic and Atmospheric Administration- NOAA)	

DISTRIBUTION	5. Appropriation Symbol 72-1191021.3	6. Allotment Symbol and Charge 545-36-099-00-20-91
	7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document	8. Project Assistance Completion Date (Mo., Day, Yr.) 30 September 1980
	9. Authorized Agent AID/ Washington	10. This PIOT is in full conformance with PRO/AG N/A Date _____
	11a. Type of Action and Governing AID Handbook <input type="checkbox"/> AID Contract (HB 14) <input checked="" type="checkbox"/> PASA/RSSA (HB 12) <input type="checkbox"/> AID Grant (HB 13) <input type="checkbox"/> Other	11b. Contract/Grant/PASA/RSSA Reference Number (If this is an Amendment) RSSA: COM/NOAA 180-2-76

12. Estimated Financing (A detailed budget in support of column (2) is attached as attachment no. 1)

Maximum AID Financing	A. Dollars	(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
					\$260,000
	B. U.S. Owned Local Currency				

13. Mission  
References

14a. Instructions to Authorized Agent

SER/CM/PAS is authorized to extend RSSA-COM/NOAA 180-2-26 through 30 September 1980 to cover continuation of current services during Fy 1980. Attached is a statement indicating work to be performed, level of effort and proposed budget (Attachment 1). All other provisions of this RSSA remain unchanged.

14b. Address of Voucher Paying Office  
Address SF 1034 submissions to SER/FM/PAO, Rm 601 SA-12, AID, Washington, D.C. 20523 and include RSSA COM/NOAA-180-2-76, Project 931-0242.11, and DS/AGR/Fisheries.

15. Clearances: Include typed name, office symbol, telephone number and date for all clearances.

A. The project officer certifies that the specifications in the statement of work are technically adequate DS/AGR/F DJones <i>[Signature]</i>	Phone No. 202-4993 Date 6-11-79	B. The statement of work lies within the purview of the initiating and approved agency programs DS/PO/FN, PGage <i>[Signature]</i>	Date 6/26/79
DS/AGR/D, M. McCluskey <i>[Signature]</i> DS/AGR/D M. Mozynski <i>[Signature]</i>	Date June 79 6/21/79	D. Funds for the services requested are available FUNDS RESERVED BY <i>[Signature]</i>	
E. DS/AGR/D. DFPeterson <i>[Signature]</i>	Date 6/21/79	POSTED SER/FM/OSD 6/26/79	

16. For the cooperating country. The terms and conditions set forth herein are hereby agreed to  Signature _____ Date _____ Title _____	17. For the Agency for International Development  Signature <i>Kenneth A. Milow</i> Date <i>6/25/79</i> Title Kenneth A. Milow Chief, DS/PO/PPA
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imp 6

*Raigal*

June 23, 1976

9310.2478  
At-Tara Tall  
FO-NAF-538  
CC PPD  
MGT

INFORMATION MEMORANDUM FOR THE ADMINISTRATOR

JUN 24 1976

THRU : ES

FROM : AA/SER, Charles A. Mann

*CAH*

SUBJECT: An Expanded Program in Fisheries and Aquaculture

With memorandum of May 17, 1976 the Assistant Administrator, Technical Assistance Bureau, requested that you intervene with the Office of Management and Budget to obtain an increased personnel ceiling of two (2) for the National Oceanic and Atmospheric Administration (NOAA).

Pursuant to your instruction both Stark Biddle and I followed up with the Office of Management and Budget. When Mr. DuSault of OMB asked whether two were enough I stated that three (3) would be better because it would allow us to be more flexible.

I am pleased to inform you that Mr. DuSault informed me 1500 hours, June 23, 1976 that they will increase the personnel ceiling of the National Oceanic and Atmospheric Administration by three. This will now permit us to enter into new PASA agreement with NOAA.

Mr. Farrar has been informed.

cc: AA/TA, Mr. Curt Farrar

RECEIVED  
JUN 2 1976  
*F. Farrar*

*Handwritten notes and stamps at bottom right*