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REPORT SUMMARY
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- A. 1. World Fertility Survey, Grant AID/csd - 3606
- 2. International Statistical Institute
Oostduinlaan 2
The Hague, Netherlands
- 3. May 1972 - June 1974
- 4. May 1972 - December 1972
- 5. \$ 1,043,000
- 6. - 0 -
- 7. Expenditures \$ 100,000 Obligations \$ 105,000
- 8. Expenditures, 1973:
including funds from United Nations Fund for
Population Activities and other sources.

B. Summary of Accomplishments and Utilization

- 1. The first part of calendar year 1972 was devoted to planning of the programme of the World Fertility Survey and evaluation of these plans in particular by two Ad Hoc Technical Advisory Group Meetings held in The Hague, The Netherlands, in July 1972.
- 2. The Project-Development Phase began in May 1972 (thus partly overlapping with the Pre-Project Planning period and anticipating favourable outcome of the evaluation of the plans by the Technical Advisory Groups) and is expected to last through June 1974.
- 3. The main activities in 1972 included (a) establishment of the central organization (professional centre in London, administrative centre in The Hague, and supporting committees), (b) establishment of working relations with international and regional organizations, particularly the United Nations and the International Union for the Scientific Study of Population, (c) announcement of the envisaged programme, (d) preparation of the methodological work to be undertaken and (e) preparation of the programme of approaches to enlist country participation in the World Fertility Survey.

A. GENERAL BACKGROUND

Although a number of well designed and carefully executed fertility and demographic surveys have been conducted over the past three decades, many other surveys carried out in the same period have failed to follow some of the basic principles of sound survey design and management. Objectives have frequently been vague, and the content and design of surveys correspondingly diffuse and imprecise. Experts agree that, in spite of the progress which has been made in fertility research in recent years, there is a definite need for a concerted international effort within the framework of a World Fertility Survey in order to achieve high quality internationally comparable surveys in as many countries as possible.

The basic aim of the World Fertility Survey is to provide information which will permit each participating country to describe and interpret its fertility. Within the broad area of fertility research the survey will strive to identify meaningful differentials or patterns of fertility as well as fertility regulation, and to help in the clarification of factors affecting fertility. Improved data on these topics would clearly facilitate national efforts in economic, social, and health planning for development. Employing analytical techniques now available, the survey would also provide useful indications of fertility levels for countries where such information is now lacking.

In addition to providing data for individual countries, a further value of the World Fertility Survey programme is its international nature and hence the opportunities it provides for the international comparability of data. The interpretation of national data on fertility is greatly enhanced when put into a comparative framework. There is also considerable scientific interest in the availability of comparative data on fertility for populations which differ widely with respect to their socioeconomic character.

B. PROJECT OBJECTIVES, PROJECT DEVELOPMENT PHASE

1. To recruit a person of internationally recognized stature in the fields of population and statistics for the position of Director of the World Fertility Survey.
2. To recruit a central professional staff of experts in fields related to the World Fertility Survey and a roster of consultants available for individual assignments with the Survey.
3. To establish permanent and ad hoc committees to further the scientific program and administrative development of the World Fertility Survey.
4. To establish an administrative services staff within the International Statistical Institute to service the professional staff in the operation of the Survey including the administration of the grants programs for subsidizing country surveys.
5. To complete detailed procedures and regulations for the establishment of a World Fertility Survey organization and for the operation of the program.

C. CONTINUED RELEVANCE OF OBJECTIVES

At the current time the project objectives as stated in the contract do not appear to require modification.

D. ACCOMPLISHMENTS IN 1972

I. PRE-PROJECT ACTIVITIES

The preparatory work for the World Fertility Survey was mainly carried out in the first half of 1972.

Major activities in this period included:

1. Consultations on collaboration in the WFS, especially with the United Nations and the International Union for the Scientific Study of Population. Participation of these agencies in the WFS was discussed at a number of meetings:
 - (a) two visits to the U.S. by the ISI President and the Director of the Permanent Office (in February and June 1972, respectively);
 - (b) an informal meeting of the ISI Secretary General and the Director of the Permanent Office with representatives of IUSSP, UN Population Division and Regional Population Officers in the UN Economic Commission in Geneva (March 1972);
 - (c) Representation at the ECA meeting on non-UN organizations interested in the African Population Programme in Addis Ababa (April 1972);
 - (d) Representation at the ECE Working Group on Social Demography, London (April 1972);
 - (e) Representation at the Inter Agency Working Group on Finances and Co-ordination of UNFPA sponsored activities for World Population Year 1974, Geneva (April 1972).

2. Consultations on the contents of the envisaged WFS programme:

- (a) through correspondence with several organizations and individual experts;
- (b) in a meeting of consultants convened in The Hague (March 1972).

3. Announcement of the proposed WFS to the ISI membership, to national statistical agencies and other organizations and to individual experts.

4. Submission of applications for funding of the WFS to UNFPA and the US Agency for International Development. This has resulted in:

- (a) award of a grant of \$54,225 from UNFPA for the Pre-Project Phase,
- (b) award of a grant of \$1,043,000 from US AID for the Project-Development Phase.

5. The Pre-Project Phase was completed by the convening of two Ad Hoc Technical Advisory Meetings. These meetings also marked the beginning of the Project-Development Phase.

II. AD HOC TECHNICAL ADVISORY MEETINGS

Two Ad Hoc Technical Advisory Meetings on the WFS were convened by the ISI in The Hague, July 1972. The first meeting (July 11-14) was in particular asked to provide technical guidance on the desirability and the feasibility of the programme and to make recommendations on needs and objectives, contents and methods, methodological research, organization and functioning of the

WFS, and the relationship with other activities. The meeting was attended by 28 experts, including participants, consultants and representatives of collaborating agencies.

The second meeting (July 18 - 21) was asked to discuss the technical requirements for the survey, including such questions as organization at the national level, survey methodology, sampling, quality control, training programme, analysis, publications and technical and financial assistance. The second meeting was attended by 25 experts.

Details of the discussions and the recommendations of the meetings have been published in two reports, copies of which are attached.

III. ESTABLISHMENT OF CENTRAL ORGANIZATION

1. Project Director

Dr. Maurice G. Kendall (UK) agreed to accept the position of Project Director of the WFS in the summer of 1972 and joined the organization on a full-time basis effective 1 October 1972. Prior to October, however, Dr. Kendall contributed a significant amount of time to WFS problems.

2. Professional Centre, London

(a) The first task was to set up office in London. It has taken some time before funds from the AID grant began flowing. No actual cash was transferred until early October and until then there was no financial credibility to negotiate the rent of an office. Moreover, since the grant was only up to 30 June 1974, it was not possible to enter into a

lease beyond that date and appropriate space in London could not be obtained for about 1½ years. This delayed the establishment of the Office. Fortunately, accommodation was ultimately found which will hopefully be completed for occupancy in January 1973. A single room has been rented in the same building to be used until the WFS offices are ready.

(b) A core support staff has been appointed at the London Office.

(c) The acquisition of office equipment was delayed until a waiver had been received from AID to purchase furniture and equipment in the U.K. This is now in the process of delivery.

3. Professional Staff, London

A roster of possible candidates to fill professional staff functions at the London Centre has been established as well as a list of possible short-term consultants.

In order to ensure an optimal situation both as regards recruiting the best talents and obtaining proper representation from, and expertise in, the various regions of the world, priority has been given to the selection of experts who will come to London for specific tasks of short duration. Several leading experts have already made firm commitments to participate during the first half of 1973.

Consultations and interviews have also taken place with a number of individuals who might be considered for a more permanent position at the London Centre. It is expected that the first appointments can be made in early 1973.

4. Administrative Centre, The Hague

- (a) By courtesy of the Netherlands Central Bureau of Statistics, the space available for the ISI Permanent Office was extended to permit housing of the administrative staff for the WFS.
- (b) The Permanent Office has been reorganized to enable the proper operation of the administrative aspects of the WFS. The following offices have been established: Director's Office; Programme Liaison and Information Office; General Services Office; Finance Office.
- (c) Additional staff have been appointed, including some consultants who, on a temporary basis, are co-operating in the establishment of the administrative set-up. It is expected that existing vacancies will be filled in early 1973.
- (d) Arrangements have further been made for continuous expert legal, tax, and accounting advice in The Hague and London.

5. Procedures and Regulations

A relatively large part of the time of the administrative staff has been devoted to the establishment of a Manual of Organization and Procedures under which the WFS will be operated. The Manual was submitted to and discussed with AID in the Fall of 1972 and ISI has received informal approval to apply the regulations, pending final formal review. In addition, detailed internal operating instructions have almost been completed with respect to personnel processing, travel, leave, and other administrative affairs. The regulations are designed to apply both to the London and The Hague staffs taking into account the different national laws and regulations.

6. Committees

Three supporting committees will advise the central organization of the WFS.

- (a) Programme Steering Committee (PSC). The principal function will be to provide overall substantive guidance and review of WFS programme policies and their implementation. The PSC will be composed of representatives of the major collaborating and donor agencies and individual experts. The Committee has been established. Its composition is as follows:

Chairman : P.J. Bjerve

Representatives

UN Population Division : L. Tabah
: M.A. El-Badry (alternate)

UN Statistical Office : L.H. Day

UN Fund for Population
Activities : H. Gille

US Agency for
International Development : R.T. Ravenholt
: J.T. Sprehe (alternate)

IUSSP : C. Chandrasekaran
: E. Grebenik (alternate)
: B. Remiche (alternate)

Individual Expert members : M. Concepción
: K.T. de Graft-Johnson
: F.E. Linder
: M. Macura.

The first meeting of the Programme Steering Committee will be held on January 22 - 23, 1973.

(b) **Regional Co-ordinating Committee (RCC)**. The principal function will be to advise the Programme Steering Committee on the Co-ordination and exchange of information with intergovernmental and nongovernmental organizations sponsoring population programmes, especially field surveys.

The Committee has been established. Its composition is as follows:

<u>Chairman</u>	: M.G. Kendall
<u>Representatives:</u>	
UN Population Division	: R.O. Carleton : G.Z. Johnson (alternate)
ECA	: R.K. Som : P.O. Ohadike (alternate) : J. Diakite (alternate) : D.K. Ghansah (alternate)
ECAFE	: C.M. Frisén : Sultan Hashmi (alternate)
ECE	: J. Berent : V.C. Chidambaram (alternate)
ECLA	: G. Martine
UNESOB	: J.C. Chasteland
CELADE	: Carmen A. Miro : A.M. Conning (alternate)

The first meeting of the Regional Co-ordinating Committee will be held on January 24 - 25, 1973.

(c) **Technical Advisory Committee (TAC)**. The principal function will be to advise the Project Director on technical aspects of the WFS.

The Committee will consist of some 15 experts. In consultation with the President of the IUSSP and with the UN Population Division, it has been agreed not to establish the full committee at once but to start with a nucleus 6 to 7 members. It is anticipated that in the course of time there will be need to add committee members with special skills; the adopted procedure will permit expansion of the Committee in due time without danger of it becoming an unwieldy body.

Dr. C. Chandrasekaran (India) will be the Chairman of the Committee. At the time of writing this report membership in the Committee has been accepted by Dr. Carmen Miro (Panama), Dr. R. Chander (Malaysia), Professor D.V. Glass (UK) and Professor J. Harewood (Trinidad & Tobago).

It is expected that the Committee will be initially convened around April 1973.

Note: An amendment in the arrangements for the Technical Advisory Committee has been proposed by the IUSSP Council and will be submitted for comment and possible approval to the Programme Steering Committee at its forthcoming meeting in January 1973 (cf. also page 14).

7. Finances

A grant of over \$1 million has been awarded by the US Agency for International Development for the Project-Development Phase.

An application for co-funding of the activities in the same period has been submitted to UNFPA. Negotiations on the possible award of this grant took place in New York during a visit of the ISI President and Director of the Permanent

Office in November 1972. UNFPA has promised to inform ISI on their decision within a few weeks.

IV. PROGRAMME DEVELOPMENTS

1. Announcing the WFS

The dissemination of information about the WFS which was started in the Pre-Project Phase, has been continued in the Project-Development Phase.

- (a) A press release was sent out to major mass communication media in August 1972;
- (b) A 6-page announcement was sent to some 65 professional journals in October 1972;
- (c) The reports of the July Ad Hoc Meetings were submitted to the directors of national statistical agencies, of demographic research institutes and to a selected list of other organizations and individual experts.
- (d) A Brochure on the WFS has been prepared and is being printed. At the same time an extensive mailing list is being established.
- (e) Preparations have also started to establish a WFS Newsletter. The first issue is expected to appear in March 1973.
- (f) During the 39th Session of the International Statistical Institute in Vienna, August 1973, a report on the present status of the WFS will be presented.

2. Co-operation with United Nations

Consultations with the UN Population Division and the Statistical Office in New York have resulted in close

collaboration with these offices. The UN Headquarters are represented in the Programme Steering Committee and in the Regional Co-ordinating Committee, and were also represented at the Ad Hoc Technical Advisory Meetings in July 1972.

- (a) The WFS has been included in the programme of activities to observe World Population Year 1974 submitted by the Secretary General to the UN Population Commission at its special session in August 1972 (Doc. E/CN 9/266). The WFS was referred to as a major international statistical programme "which is being undertaken by International Statistical Institute in collaboration with the International Union for the Scientific Study of Population and the United Nations".
- (b) The WFS was presented by the ISI representative to the UN Statistical Commission at its 17th Session in Geneva, November 1972.
- (c) The WFS was included in the agenda of the 20th Session of the UN Conference of European Statisticians in Geneva, June 1972. The ISI representative submitted a statement and the Conference offered support in the execution of the programme in the ECE region.
- (d) The WFS was also included in the agenda of the 11th Session of the UN Conference of Asian Statisticians in Manila, July 1972. The ISI representative presented a statement on the WFS . 1)

1) One of the results of the discussion by the Conference of Asian Statisticians has been an offer received from the Bureau of Statistics of Fiji to conduct a WFS pilot survey in Fiji.

3. Co-operation with IUSSP

In the early stages of the programme, close working relations were established between ISI and IUSSP.

The IUSSP Council discussed the WFS at its meeting in Liège on 4 December 1972. The WFS Project Director and the Director, ISI Permanent Office, attended part of the meeting. In particular the institutional role of IUSSP was discussed. The IUSSP Council expressed the wish that IUSSP play a larger role in the technical work of the Survey. It was proposed that the Technical Advisory Committee be established as a joint ISI/IUSSP Committee. This proposal will be submitted for comment and possible approval by the Programme Steering Committee at its meeting in January 1973.

In August 1973, during the General Assembly and General Conference of the IUSSP at Liège, a report on the WFS will be presented.

4. Programme of promoting country participations

- (a) The promotional activities of announcing the WFS, have already resulted in positive reactions from several countries, some of which indicated their interest in the survey while others informed ISI about existing plans to conduct a fertility survey and their willingness to collaborate in the framework of the WFS.
- (b) A systematic programme of approaches to individual countries to negotiate their participation in the WFS has not yet started. This programme will begin in 1973 as soon as staffing is adequate to support such action.
- (c) Prior to the systematic approach to individual countries, a programme of consultations with regional bodies has been started. Information is being gathered about the

country situation in each geographic region. The role that regional agencies may play in promotion of the programme is also being explored.

EUROPE

The Project Director and the Director, ISI Permanent Office, visited the ECE in Geneva, on November 25-26, 1972 *).

Discussions were held with Dr. Jerzy Berent (Regional Population Office) and Dr. Barry Davies (Regional Statistician), while a concluding meeting took place with the ECE Executive Secretary, Dr. J. Stanovnik.

Considering that (i) a comparative fertility study in European countries is already being carried out under the auspices of ECE, and (ii) that the WFS will not be able to provide financial or technical assistance to developed countries, it was agreed in principle that the European countries will be invited to participate in the WFS as a second round of the present European study, and that the ECE secretariat will act as a focal point in organizing and co-ordinating the surveys in the ECE region within the framework of the WFS.

In addition, and following the recommendation of the Conference of European Statisticians at its 20th Session in June 1972, the Conference will be asked to co-operate in the European surveys by convening in 1973 a meeting of experts who would include the members of the ECE Working Group on Social Demography to consider the questionnaire for use in Europe.

*) During this visit to Geneva, a visit was also paid to WHO to discuss the WFS.

The details of the proposed form of co-operation with ECE will be submitted for comment and possible approval by the Programme Steering Committee at its meeting in January 1973.

LATIN AMERICA

The Project Director visited Santiago in November 1972 for discussions with CELADE and ECLA about the Latin American Region.

As regards ECLA it has been established that, while interested in the WFS, the primary concern of ECLA in population questions is in fields other than fertility research. In this field CELADE has a more direct interest. Although communication with ECLA will be continued, the (Latin American regional focal point would be CELADE.

The meeting of the Project Director with CELADE served as a useful first orientation on the regional problems and possibilities. and approaches to be made to individual countries in the region.

From the discussions it has become evident that in enlisting the participation of countries in the Latin American region (and probably also in other regions) initial approaches must be made at the professional level and only after the professionals have expressed favourable interest, may a démarche at the political level be made.

CELADE has offered participation in the promotional and technical work of the survey with special reference to the region.

The meetings were, in addition, useful in extending the roster of candidates for professional staff functions

at the London Centre, to include some who have experience in Latin American countries.

OTHER REGIONS

Visits by the Project Director to other regional centres, particularly ECA, ECAFE and UNESOB for similar consultations are envisaged to take place in early 1973.

5. Inventory of fertility surveys

- (a) The US Bureau of the Census has agreed to contribute to the WFS by preparing an inventory of fertility surveys. Preliminary reports were submitted to the Ad Hoc Meetings in July 1972 and the final document is expected to be available by the end of June 1973.
- (b) The Bureau of the Census is collating information about the cost of fertility surveys in various countries which will be of great value for the WFS organization.

6. Questionnaire and Tabulation Programme

- (a) Professors Charles Westoff and Norman Ryder have agreed to prepare a draft questionnaire to be available by the end of March 1973. This draft will then be submitted for examination to regional (groups of) experts and to the Technical Advisory Committee.
- (b) IUSSP has submitted to ISI a proposal for funding a project to prepare a Manual of Recommended Guidelines for Coding and Tabulation of Comparative Fertility Surveys.

7. Documentation Service

The organization of a documentation and information service for the WFS is being undertaken at the ISI Permanent Office in The Hague.

8. Other Developments

(a) In addition to the collaboration with international and national organizations referred to in the present report several other contracts have been established. In particular should be mentioned the valuable support received from the US Bureau of the Census and the Population Council. Other contacts were established in personal meetings of ISI officials with the World Bank, UNESCO, WHO, ILO, CICRED, IPPF, Roper Public Opinion Research Center, etc.

In November 1972, the ISI President visited the University of California, Berkeley, and Stanford University to discuss the WFS and to enlist the co-operation of statisticians and demographers at these institutions.

The Project Director visited Washington and Princeton University in November 1972 and established valuable contacts.

The WFS was discussed at several meetings and conferences, e.g. at the Inter-American Statistical Conference held in Santiago (6-14 November 1972). The Conference expressed support for the programme.

(b) The co-operation and support from the US Agency for International Development, in addition to providing substantial financial support, merits special recognition. In particular Dr. J. Timothy Sprehe, has actively taken part in the development of the programme and in presenting the WFS at numerous meetings and conferences in the US and Canada.

E. DISSEMINATION AND UTILIZATION OF RESEARCH RESULTS

Inasmuch as Phase II is officially the Development Phase, no research results are expected. However, the reports and documents from the first two Ad Hoc Technical Advisory Group Meetings, held in The Hague in July 1972, did contain some work of interest to demographers and the like. These reports have been widely circulated among interested, or potentially interested experts.

F. STATEMENT OF EXPENDITURES AND OBLIGATIONS TO 31 DECEMBER 1972

Funds were not transferred from AID to the ISI for the World Fertility Survey until October 1972; consequently, fiscal data for 1972 operations of the Project Development Phase are somewhat distorted as an indication of future spending rates. The work on the Pre-Project Planning Phase, including the meetings of the Ad Hoc Technical Advisory Groups, was largely financed through a grant of \$ 54 thousand from the United Nations.

The two major categories of expenditure, staff and consultants, reflect the accomplishments in obtaining staff to establish the administrative section and organize the London Professional Center. This staff and consultants completed the Manual of Organization and Procedures and convened the two Ad Hoc Group meetings (including payment of a portion of the costs of those meetings).

	1972 Expenditures (000)		
	<u>PLAN</u>	<u>OBLIGATED</u>	<u>EXPENDED</u>
Staff	\$ 143	\$ 52	\$ 47
Travel	55	7	7
Consultants	55	34	34
Honorariums	12	(Incl. in Consultants)	
Office Requirements	43	8	8
Contracts	-0-	-0-	-0-
Other Direct Costs	<u>-30-</u>	<u>4</u>	<u>4</u>
Total	\$ 338	\$ 105	\$ 100

G. WORK PLAN AND BUDGET FOR COMING YEAR

BUDGET

<u>Account</u>	1973 Planned Expenditures (000)		
	<u>U.S. AID</u>	<u>UNFPA</u>	<u>TOTAL</u>
Staff	\$ 350	\$ 151	\$ 501
Travel	10	230	240
Consultants	40	60	100
Honoraria	10	-0-	10
Office Requirements	-0-	70	70
Contracts	100	-0-	100
Other	<u>90</u>	<u>9</u>	<u>99</u>
Total	\$ 600	\$ 520	\$ 1120

WORK PLAN FOR 1973

The main activities anticipated to be undertaken in 1973 are:

1. Completion of the establishment of the central WFS organization.
2. Drafting of a questionnaire, and a tabulation and sampling programme for the WFS.
3. Arranging for pretests and pilot surveys.
4. Completion of an inventory of past, ongoing and planned fertility surveys.
5. Study of the cost of fertility surveys in various countries.
6. Preparation of a report on outstanding methodological matters requiring further research.
7. Continuation of consultations with regional bodies, particularly ECA, ECAFE and UNESOB, and establishing contacts with other international or regional institutions whose collaboration can be useful for the WFS.

8. Organization and implementation of a programme of systematic approaches to individual countries aiming at the conclusion of basic agreements for their participation in the WFS, and to establish the nature and extent of assistance required by the countries.
9. Initiate the preparation of a full international and national training programme, including seminars, manuals, etc.
10. Preparation of a selected critical bibliography on fertility, indicating for whom the books may be most useful.
11. Establishment of a programme to disseminate information about the WFS through the publication of a Newsletter and other means.
12. Establishment of a reference library.
13. Organization of regional conferences, the object of which will be to bring together a representative group of officials and other professionals likely to be concerned with the WFS, so as to acquaint them with the plans, enlist their co-operation and seek their advice.
 - (a) European group (possibly Geneva). In conjunction with the Conference of European Statisticians, ECE and the Working Group on Social Demography. To include USA, Canada, and possibly Israel.
 - (b) Latin American group (possibly Santiago). In conjunction with ECLA and CELADE.
 - (c) Caribbean group (possibly Jamaica).
 - (d) ECA countries (possibly Addis Ababa or Accra).
In conjunction with ECA.
 - (e) ECAFE countries (possibly New Delhi). In conjunction with ECAFE and to coincide with the Conference of Asian Statisticians in December.
14. Exploration of the need to organize an international conference of experts connected with the WFS.