

3880010 (16)
PD-AAF.022

Grant dated April 27, 1977
Grant Agreement No. AID/ASIA-6-1224

224

AMENDMENT NO. 2

TO

GRANT BETWEEN A. I. D.

AND

COMMUNITY DEVELOPMENT FOUNDATION
(Save the Children Federation)

This amendment, dated JUNE 28, 1979 between Community Development Foundation ("CDF") and the Agency for International Development ("AID") amends the Grant Agreement between the Parties dated April 14, 1977.

WHEREAS, CDF has prepared a one year project extension proposal using the project evaluation conclusions and recommendations; and

WHEREAS, additional funds are available to continue project implementation:

Now therefore, the Grant Agreement is amended as follows:

1. The amount of the grant is increased by eighty-four thousand six hundred and eighty-six US Dollars (\$84,686) making the total grant two hundred and sixty-eight thousand six hundred and eighty-six US Dollars (\$268,686).
2. The term of the Grant Agreement is extended from July 1, 1979 through June 30, 1980 to provide time for the above mentioned activities to be completed.
3. Amended Attachment A, Project Description and Budget, are attached hereto and made a part hereof.

Except as hereby amended the Grant Agreement shall remain in full force and effect.

Community Development Foundation/
Save the Children Federation

Agency for International
Development

BY: [Signature]

BY: [Signature]

TITLE: [Signature]

TITLE: Acting Director

DATE: 22 June 1979

DATE: 6/28/79

AMENDED ATTACHMENT A

APPLICATION TO USAID FOR EXTENSION OF PHASE ONE OF
OPERATIONAL PROGRAM GRANT IN BANGLADESH

BY
SCF/CDF

JUNE 4, 1979

PROJECT DESIGN

Statement of Project Purpose

Replicating CDF's approach to Community Based Integrated Rural Development (CBIRD) in four thanas of Bangladesh: Rangunia in Chittagong District, Nasirnagar in Comilla District, Ghior in Dacca District, Mirjapur in Tangail District.

Each project village will constitute a real -life demonstration of a particular strategy for community development -- the CBIRD approach -- so that its suitability under prevailing conditions in rural Bangladesh may be candidly judged.

Major Components of the Project

The distinguishing characteristics of CDF's approach to community development, the CBIRD approach, are: (1) A community development worker (Field Coordinator) who lives and works in the villages that he serves. He is a generalist extension agent, a catalyst for change, who

is expected to be prepared to respond to the needs of the community, in whatever development sector those needs may lie. (2) A representative community committee composed of villagers -- the Village Development Committee. This Committee receives guidance and assistance from the Field Coordinator. It plans and assists with the implementation of development projects and activities in all appropriate sectors, according to the villagers' needs and priorities. The Committee is also charged with the responsibility of planning and implementing a village development program which benefits all members of the community, not just a selected few, with emphasis on the poor and disadvantaged. (3) A strong emphasis on the concept of community self-help. Village resources in the form of land, labor, money, or materials are seen as a major component of most, if not all, development projects undertaken. (4) Funds from CDF. These funds, plus field staff, constitute CDF's major inputs at village level. Funds are given to the Village Development Committee according to project requirements, and include a revolving "development fund" which is utilized for production loans to groups and individuals. (5) A system for reporting, financial record-keeping, monitoring, and evaluation of a village's development program which is effective, yet simple, so that villagers themselves can participate in operating it. (6) Village development workers. These workers, whose number varies according to the needs of a particular village, are recruited and paid by the Village Development Committee

to carry out assigned duties in such areas as health, nutrition and family planning. (7) Support from the staff located at CDF's program base in Dacca. This support is provided to CDF field staff as well as Village Development Committees, and includes: arranging for technical inputs to projects, in-service training for field staff, back-stopping field staff when problems arise, administrative and financial support, and identifying other resources within the country (funds, expertise) that could be tapped to help the program.

In action, the major components of the CBIRD approach described above present a picture of a multi-sectoral, whole-village approach to development, in which village communities are encouraged to plan and manage their own development through a program of aided self-help, where village inputs are matched by funds and personnel provided by CDF. The institution of Village Development Committee, and village workers with assigned development tasks, are examples of CDF's intention that, when the agency phases out of a village, viable institutions will be left behind which will enable the community to continue along the road to development under its own power.

Conditions Expected at the End of the Project

Achievement of the project purpose established for this program will be verified by the existence, at the end of the three-year period

covered by ~~the~~ one of the grant, of the following conditions in each of the four project areas, and at CDF county headquarters in Dacca:

1. At least one Bangladeshi Field Coordinator (male) in each project area, who will be CDF's principal field representative in the area. The FC will be a trained, experienced, generalist rural development agent, who will live and work in the villages he serves.
2. A representative community development committee in each village, with the responsibility for planning and facilitating the implementation of development projects and activities in all appropriate sectors, with the aim of achieving integrated development for the village as a whole.
3. At least one Social Development Coordinator (female) in each project area who works cooperatively with the Field Coordinator, giving particular attention to development projects and activities which involve and benefit village women.
4. A sound financial procedure at village level whereby the funds provided by CDF are managed by the Village Development Committee and utilized for financing projects, and for development loans to groups and individuals within the village.

5. An appropriate system for record keeping, reporting and data gathering at village level and country office level, so that basic data on project progress and financial expenditures will be easily available for evaluation by the Government, USAID, and by CDF itself.
6. A self-help component in a majority of the projects in each village, wherever it can be appropriately included, in combination with the financial assistance provided through CDF.
7. A provision for phased progression, built into the development program of each project village. Each phase will represent the stage that a particular village has reached in its movement towards planning and managing its own development. Criteria will exist by which it will be possible to determine the stage a particular village has reached. Those villages will be identified which have reached the last stage, in which the withdrawal of CDF funds and personnel is indicated.
8. Demonstrated capability for introducing technical inputs (expertise, skills training for project participants or CDF staff, equipment) into projects at the appropriate time and in the appropriate manner.

9. Adequate support staff, based in the project area or at the country office, so that CDF's field staff receive the guidance, training, program support and technical support they need in order to perform their duties effectively.
10. A plan for giving legal status to the credit facility and other financial functions of the Village Development Committee so that they will have the status of independent, Government-recognized institutions when CDF phases out of the village.

Means of Verification

The means of verification of the end-of-project status will vary according to which one of the above elements is being assessed. It will include one or more of the following: on-site observation, account books and other records kept by the Village Development Committees, reports and other program progress documents available at CDF's office in Dacca, personal accounts of CDF field staff and program staff.

Assumptions for Achieving Purpose

1. Interest and cooperation from the Government of Bangladesh, including permission for CDF to establish a demonstration of this nature.
2. Interest and cooperation from the villagers in the selected project areas.

3. Adaptability of the CBIRD model to the conditions prevailing in rural Bangladesh.

Other Conditions

Other conditions, which are expected to exist at the end of Phase One of the grant period, but which are not included among those conditions selected by CDF for verification are:

1. A fully operative Primary Health Care Center in one project area (Mirjapur), providing a combination of public health education and medical services in the area of maternal - child health, nutrition and family planning. This Center will rely on the services of locally-recruited, locally-trained village health workers, under the supervision of a qualified medical practitioner. It will serve the villagers in all the four project villages and will be under the control of a Health Committee, made up of representatives from each of the four project villages. This Center, if its performance clearly shows that it is meeting the communities' needs, will be used by CDF as a model for establishing similar centers in the other project areas.
2. A strategy and implementation plan for a women's program, which fits into the general CDF program and which includes some or all of the following components:

- (a) a Women's Program Officer, who will be based in Dacca and have overall responsibility for women's programs in all four project areas;
- (b) a woman field worker (Social Development Coordinator) in each project area, who will be on CDF's staff and will be the person responsible, at project area level, for all development activities relating to women;
- (c) selected women from each community who will assist and serve as village counterparts to CDF's woman field workers;
- (d) projects and activities aimed at increasing women's income-earning capacity, reducing the drudgery in food processing and household tasks through appropriate technology, making the family more nearly self-sufficient in food and daily necessities, better child care.

OTHER CONSIDERATIONS

Relationship with Government

CDF's primary objective is to demonstrate the CBIRD approach in several different areas, so that it is possible to see this approach in action and judge whether it is appropriate for Bangladesh. The Government of Bangladesh is, of course, the principal agency with which CDF is concerned in this endeavor. The association will be close between CDF and the Government, in particular the Ministry of Local Government, Rural Development and Cooperatives, which is the supporting ministry for CDF. This association will take a variety of forms, including:

1. Frequent informal contacts between CDF staff and Government officials at all levels -- thana, district, subdivision and national. Such contacts serve a dual purpose. They:
 - (a) facilitate cooperation between Government and CDF development programs;
 - (b) provide an opportunity for CDF to explain the CBIRD approach to Government staff at all levels.
2. Invitations to Government officials to visit CDF project villages.
3. Keeping informed and up-to-date regarding Government's development plans and programs for the country, so that CDF's activities can constantly be viewed in relation to them.

4. Utilizing Government resources (funds, technical expertise) whenever possible to supplement CDF's inputs in project areas.
5. Providing information to Government about CDF's program in various forms, including progress reports and project documentation.

Outside Resources

In Bangladesh, where there are so many agencies -- Government, private and international -- working in the field of rural development, it is important that CDF be aware of the work that others are doing, and view them as resources. CDF has begun systematically to identify those organizations with experience and expertise in rural development from which CDF's program might benefit. This exercise will continue during the third year of Phase One.

Technical Inputs

In an integrated rural development program such as CDF's, every village reaches the point, sooner or later, when technical inputs are required in one aspect or another of the village's development program. Since CDF is a generalist organization, and does not wish to increase the size of its country headquarters staff by hiring full-time specialists in various fields, this means borrowing or hiring locally available technicians and consultants on a short-term basis,

as the need arises. Also, CDF staff and Village Development Committees, must know when technical inputs should be brought into a particular project, and how. This aspect of CDF's program will receive more attention during the third year of Phase One.

Training

CDF regards training as an essential component of the CBIRD approach, and sees it as being multi-level and multi-purpose in nature. Training activities during the third year of Phase One will include:

1. In-service training of CDF field staff. This will be mainly in the form of Field Staff Conferences at regular six-weekly intervals. These conferences will be devoted mainly to subject matter training in different development fields.
2. Training for selected program support staff. This training is tailored to fit the needs of a particular individual, giving him/her knowledge, experience and insights which will enable him to do his job better. Such training will usually consist of formal courses in an institution, visits to other projects -- in Bangladesh or abroad -- or both.

3. Training for selected villagers. Training is required for the village workers employed by Village Development Committees to carry out specified development tasks, and also for selected participants in specific projects, such as poultry, fish raising, tubewell maintenance, etc. CDF will arrange appropriate training in these instances. It will usually consist of formal, practical courses in recognized training institutions, on-the-job training by CDF staff, and/or visits to other project villages.

Expansion

Expansion of the program into new villages during the third year of Phase One, if it occurs at all, will be on a small scale. It will be limited to one or two of the existing project areas (Nasirnagar, and possibly Mirzapur) and will not exceed three new villages. There is no intention to move into a fifth thana at this time. More emphasis will be given to consolidating and strengthening CDF's program in the existing 17 villages than on venturing into new ones.

Some increase in field staff is expected as part of the plan for strengthening the women's program during the third year of Phase One. Two women Social Development Coordinators will be assigned to work in Nasirnagar project areas (there are none at present). One additional Field Coordinator may be hired, to work either in Nasirnagar or Mirzapur.

No additional support staff is planned at the country headquarters level, beyond the Women's Program Officer, who joined the staff in May, 1979.

The following table shows the strength of CDF field staff and program support staff for the year before the beginning of the grant period, the first two years of Phase One, and projected staff strength for the third year of Phase One.

SIZE OF CDF'S PROGRAM:
PAST, PRESENT AND FUTURE

	<u>Prior to OPG (1976)</u>	<u>Projected for first two years OPG (1977-79, total)</u>	<u>Actual Position April/79</u>	<u>Projected for End of Phase One OPG (June 1980, total)</u>
Project Villages	9	*	17	20 (tentative)
Field Coordinators	5	8	6	7
Social Development Coordinators	0	4	3	5
Agriculture Advisor	0	1	0	0
Women's Program Officer	0	0	0	1

* Add 5 more villages in existing four thanas (total: 14), or in a fifth thana.

PROJECT FINANCIAL PLAN

Project expenditure for the three-year period covered by Phase I (1977-80), actual and estimated, including both funding sources -- USAID and CDF -- are presented below:

COMBINED OPG AND CDF PROJECT BUDGET

	<u>1977-79</u>		<u>1979-80</u>	
	<u>USAID</u>	<u>CDF</u>	<u>USAID</u>	<u>CDF</u>
<u>Personnel Compensation</u>				
Salaries for national staff	\$ 34,662	\$ 74,210	\$ 16,000	\$ 75,004 ⁽³⁾
Consultancy services			\$ 5,000	
<u>Travel</u>	\$ 5,766	\$ 6,692	\$ 3,200	\$ 3,132
<u>Operation and Office Expenses</u>	\$ 14,127	\$ 37,392	\$ 9,200	\$ 24,170
<u>Fixed Assets</u>		\$ 14,483		\$ 10,000
<u>Training</u>	\$ 14,597		\$ 7,500	
<u>Direct Village Benefits</u>	\$ 85,074	\$ 170,475	\$ 50,000	\$ 70,794
	\$ 154,226	\$ 303,251	\$ 90,900	\$ 183,100
Agency overhead (1)	14,652		8,908	
TOTAL:	\$ 168,878	\$ 303,251	\$ 99,808	\$ 183,100
Percentage of combined budget	(35.8%)	(64.2%)	(35.3%)	(64.7%)
OPG for first 2 years of Phase I	\$ 184,000			
Unspent balance from first 2 years of Phase I OPG	-\$ 15,122 ⁽²⁾		-\$ 15,122 ⁽²⁾	
New OPG funds for third year, Phase I			\$ 84,686	
Estimated total for 3 year of Phase I			\$ 268,686	\$ 486,351 = 755,037

(1) 9.5% in 1977-79; 9.8% in 1979-80 (2) Estimate based on expenditures upto 5/31/79
(3) Includes \$1200 COL for Director.

Grant dated July 26, 1978
(Grant Agreement No. 388-0010-02)

AMENDMENT NO. 2
TO
GRANT BETWEEN A.I.D.
AND
INTERNATIONAL VOLUNTARY SERVICES, Inc.

This amendment, dated JUNE 28, 1979 between International Voluntary Services, Inc. ("IVS") and the Agency for International Development ("AID") amends the Grant Agreement between the Parties dated July 26, 1978, as amended, ("Grant Agreement").

WHEREAS USAID has approved one final year extension of the project; and

WHEREAS IVS has prepared a budget and Implementation Plan for a final year extension of the project.

NOW therefore, the Grant Agreement is amended as follows:

1. The amount of the grant is increased by one hundred and eighty thousand U.S. Dollars (\$180,000) making the total grant three

hundred and sixty five thousand five hundred and thirty one U.S. Dollars (\$365,531). This additional \$180,000 is the final AID contribution to this Project.

2. The terms of the Grant Agreement are extended from July 1, 1979 through June 30, 1980.

3. Amended Attachment A, Project Budget and Expenditure are attached hereto and made a part hereof.

Except as hereby amended the Grant Agreement shall remain in full force and effect.

International Voluntary Services, Inc.

Agency for International
Development

BY: David G. Fawcett

BY: R. P. Puder

TITLE: Country Director

TITLE: Acting Director

DATE: June 29, 1979

DATE: June 28, 1979

USAID Grant No. 388-0010-1

AID Project No. 388-0010

AMENDMENT NO. 2
TO
PROJECT GRANT AGREEMENT
BETWEEN
THE
UNITED STATES OF AMERICA
AND
MAP INTERNATIONAL INC.

AMENDMENT NO. 2

This amendment dated JUNE 27, 1979 between MAP International, Inc. ("MAP" or "Grantee") and the United States of America, acting through the Agency for International Development ("AID").

WHEREAS, MAP and AID entered into a Project Grant Agreement dated July 8, 1977 as amended June 26, 1978; and

WHEREAS, MAP and AID agree that said Project Grant Agreement needs to be further amended;

NOW THEREFORE, MAP and AID hereby amend said Project Grant Agreement as follows:

1. Article 2. The Project - Scope of Work

Section 2.1. Definition of Project

Add an Annex II Implementation Plan for the period July 1 - December 31, 1979.

2. Article 3. Financing. Section 3.1. The Grant
Delete in its entirety and add a new Section 3.1 as follows:

"To assist the Grantee to meet the costs of carrying out the Project, AID agrees to grant the Grantee under the terms of this Agreement thirty nine thousand five hundred United States (US) dollars (39,500) for the period July 1, 1979 through December 31, 1979 in addition to the amount of one hundred and twenty seven thousand United States (US) dollars (127,000) previously granted to Grantee."

3. Section 3.3. Project Assistance Completion Date.

Change the "Project Assistance Completion Date (PACD) in the second line of Section 3.3(a) from June 30, 1979 to December 31, 1979.

4. Except for the changes listed above the Project Grant Agreement dated July 8, 1977 as amended on June 26, 1978 between MAP and AID remains in full force and effect.

IN WITNESS WHEREOF, the Grantee and the United States of America, each acting through its duly authorized representatives,

have caused this Amendment No.2 to be signed in their names
and delivered as of the day and year first above written.

MAP INTERNATIONAL, INC.

By: W Meredith Long
Title: MAP/NEED Representative

UNITED STATES OF AMERICA

By: RL Pichard
Title: Acting Director

3880010 (17)
PD-AAF-022

Grant Dated August 23, 1979
Grant Agreement AID/ASIA-388-0010-04

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AMENDMENT NO. 2
TO
GRANT BETWEEN A.I.D.
AND
THE ASIA FOUNDATION

This amendment dated November 26, 1979 between The Asia Foundation ("TAF") and the Agency for International Development ("AID") amends the grant agreement between the parties dated August 23, 1979 as amended by Amendment No. 1 dated September 11, 1979.

WHEREAS, the payment procedures under the Grant as amended need to be further clarified;

NOW THEREFORE the Grant Agreement as amended is further amended as follows: Under Item I of Annex A "Program Description," the following is inserted: Item 5 of Annex B, Payment - Periodic Advance, shall be interpreted to require the attached financial reporting format in lieu of forms SF 272 and SF 269."

Except as hereby amended the Grant Agreement as amended by Amendment No. 1 shall remain in full force and effective.

THE ASIA FOUNDATION

AGENCY FOR INTERNATIONAL
DEVELOPMENT

BY: James M. Dilland

BY: Frank B. Knobel

TITLE: Representative

TITLE: Director

DATE: 26 Nov 1979

DATE: Nov 26, 1979

STATEMENT OF EXPENDITURE AS OF _____
 AND
 REQUEST FOR ADVANCE OF FUNDS FOR _____
 GRANTEE: _____
 GRANT NO: _____

Description	Budget period		Expenditures		Unexpended Advance As of _____ 6	Budget for Period		Advance Requested	
	to _____ 2	to _____ 3	Current period to _____ 4	Cumulative Total 5		to _____ 7	to _____ 8		
1									

TOTAL: _____

The undersigned hereby certifies (1) that the expenditure reported under the cited grant is proper; and such detailed supporting information as AID may require will be furnished on request; (2) that appropriate refund to AID will be made promptly upon request of AID, in the event of any expenditure disallowed under the terms of the grant, or for any breach of the terms of the grant; (3) that advances requested herein are the best estimates of funds needed for expenditure to be incurred over the period described; (4) that refund to the grant will be made in the event funds are not expended; (5) that any interest accrued on the funds made available hence will be refunded to AID.

*Delete clause 3 and 4 if not applicable.

CONT:ar
7/5/79

By _____
 Title _____ Date _____

Grant Dated August 10, 1979
Grant Agreement No. AID/ASIA-388-0010-03

AMENDMENT NO. 2
TO
GRANT BETWEEN A. I. D.
AND
THE ASIA FOUNDATION

This amendment dated November 26, 1979 between the Asia Foundation ("TAF") and the Agency for International Development ("AID") amends the grant Agreement between the parties dated August 10, 1979 as amended by Amendment No. 1 dated September 11, 1979.

WHEREAS, the payment procedures and the reporting procedures under the Grant as amended need to be further clarified;

NOW THEREFORE, the Grant Agreement as amended is further amended as follows:

1. On page 7, paragraph B of Article V, the first sentence has the following phrase added at the beginning thereof:

"In order to permit evaluation to occur prior to end of each year of the grant agreement."

2. On page 7, paragraph C of Article V is deleted and the following is inserted in lieu thereof:

"C. Financial Reporting

Financial statements and requests for reimbursements will be submitted by TAF to the USAID/Dacca Controller on a quarterly basis."

3. Standard Provision, Attachment B, Section 11. "PAYMENT - PERIODIC ADVANCE" shall be interpreted to require the attached financial reporting format in lieu of forms SF 272 and SF 269.

Except as hereby amended the Grant Agreement as amended by Amendment No. 1 shall remain in full force and effect.

THE ASIA FOUNDATION

AGENCY FOR INTERNATIONAL DEVELOPMENT

BY: Sam M.illard

BY: Frank B. Kuntz

TITLE: Representative

TITLE: Director

DATE: 26 Nov. 1979

DATE: Nov. 26, 1979

