

PROJECT APPRAISAL REPORT (PAR)

PD-AAD-941-A1

1. PROJECT NO. <b>498-11-690-198</b>	2. PAR FOR PERIOD: <b>July 1967 TO Dec. 1974</b>	3. COUNTRY <b>East Asia Regional</b>	4. PAR SERIAL NO. <b>75-2</b>
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5. PROJECT TITLE:  
**Regional Educational Development (BIOTROP)**

6. PROJECT DURATION: Began FY <b>68</b> Ends FY <b>78</b>	7. DATE LATEST PROP <b>April 1, 1970</b>	8. DATE LATEST PIP <b>None</b>	9. DATE PRIOR PAR <b>None</b>
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10. U.S. FUNDING	a. Cumulative Obligation (000) Thru Prior FY: \$ <b>1,336</b>	b. Current FY Estimated Budget: \$ <b>339,600</b>	c. Estimated Budget to completion After Current FY: \$ <b>416,000</b>
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11. KEY ACTION AGENTS (Contractor, Participating Agency or Voluntary Agency)

a. NAME	b. CONTRACT, PASA OR VOL. AG. NO.
<b>1. Southeast Asian Ministers of Education Organization</b>	
<b>2. BIOTROP</b>	
<b>3. Office of Regional Economic Development (AID/RED)</b>	

I. NEW ACTIONS PROPOSED AND REQUESTED AS A RESULT OF THIS EVALUATION

A. ACTION (X)			B. LIST OF ACTIONS	C. PROPOSED ACTION COMPLETION DATE
USAID	AID/W	HOST		
		<b>x</b>	<b>BIOTROP/SEAMES to submit request for revised operational and capital budgets for FY76, FY77, and FY78.</b>	<b>Feb 15, 1975</b>
<b>x</b>			<b>RED to request funds from AID/W for continued USG support of Biotrops FY 76 Operational Budget.</b>	<b>March 1, 1975</b>
<b>x</b>			<b>RED to continue close monitoring of project development.</b>	<b>Continuans</b>
		<b>x</b>	<b>Incoming SEAMES Director to review BIOTROP program as first order of priority.</b>	<b>February 1975</b>
		<b>x</b>	<b>Current SEAMES Director will provide consultative service to BIOTROP on his return to Indonesia.</b>	<b>February-March 1975</b>
		<b>x</b>	<b>SEAMES to convene training session for all Governing Board Members at First Joint Meeting of SEAMEO Center Governing Boards in September, 1975.</b>	<b>September, 1975.</b>

D. REPLANNING REQUIRES

REVISED OR NEW:  PROP  PIP  PRO AG  PIO/T  PIO/C  PIO/P

E. DATE OF MISSION REVIEW  
**December 30, 1974**

PROJECT MANAGER: TYPED NAME, SIGNED INITIALS AND DATE

**Robert W. Smal** *RS* **12/30/74**

MISSION DIRECTOR: TYPED NAME, SIGNED INITIALS AND DATE

**Kenneth M. Rabin**

**II. PERFORMANCE OF KEY INPUTS AND ACTION AGENTS**

A. INPUT OR ACTION AGENT CONTRACTOR, PARTICIPATING AGENCY OR VOLUNTARY AGENCY	B. PERFORMANCE AGAINST PLAN							C. IMPORTANCE FOR ACHIEVING PROJECT PURPOSE (X)				
	UNSATISFACTORY		SATISFACTORY			OUTSTANDING		LOW		MEDIUM		HIGH
	1	2	3	4	5	6	7	1	2	3	4	5
1. <b>RED</b>				<b>X</b>								<b>X</b>
2. <b>SEAMES/SEAMEC</b> (Institution)						<b>X</b>						<b>X</b>
3. <b>BIOTROP (Governing Board)</b>		<b>X</b>										<b>X</b>

Comment on key factors determining rating 1. **RED's** role has been one of providing some initial organizational assistance, serving as a grant awarding agency, and approving agency for expenditures. Slowness in approving certain activities has retarded progress somewhat.  
 2. **SEAMES/SEAMEC** responsibilities have generally been discharged in a timely fashion.  
 3. **BIOTROP Institution:** While Institution Building is the purpose, the Institution has had to play a role in its own development. Training programs and research projects have been established in a reasonably timely fashion, and the staff has provided barely adequate leadership and ability to address the tasks at hand.  
**BIOTROP Governing Board:** Tends to suffer from unequal participation, and lack of consistency of policy.

4. PARTICIPANT TRAINING	<b>N.A.</b>	1	2	3	4	5	6	7	1	2	3	4	5
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Comment on key factors determining rating

5. COMMODITIES	1	2	3	4	5	6	7	1	2	3	4	5
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Comment on key factors determining rating **Included in the rating above is the development of permanent physical facilities for the center, which have been subject to delays due to needed GOI actions. Other commodities and supplies have generally been supplied or procured in reasonably timely fashion,**

6. COOPERATING COUNTRY	a. PERSONNEL	1	2	3	4	5	6	7	1	2	3	4	5
	b. OTHER			<b>X</b>							<b>X</b>		

Comment on key factors determining rating

- a. Cooperating Country provides project Director, *inter alia*, and the frequent change of Director in the early years of the project retarded progress.
- b. Cooperating country's primary role is to provide the physical plant and capital equipment. Delays in funding have caused some retardation in physical plant development.

7. OTHER DONORS	1	2	3	4	5	6	7	1	2	3	4	5
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(See Next Page for Comments on Other Donors)

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II. 7. Continued: Comment on key factors determining rating of Other Donors

Other donor contributions are voluntary; commitments have been positive and timely as have the implementation of the commitments. One potential donor, Japan, has been slow in making a firm commitment for the capital costs of a research wing; it is presumed that once the commitment is made the Japanese will follow up with rapid implementation.

III. KEY OUTPUT INDICATORS AND TARGETS

A. QUANTITATIVE INDICATORS FOR MAJOR OUTPUTS		TARGETS (Percentage/Rate/Amount)					
		CUMU-LATIVE PRIOR FY	CURRENT FY		FY 76	FY 77	END OF PROJECT
			TO DATE	TO END			
Training Scholarships (No. Per Fiscal Year)	PLANNED	72	48	48	48	64	256
	ACTUAL PERFORMANCE	66	45				
	REPLANNED			0			
Research Scholarships (No. Per Fiscal Year)	PLANNED	-	15	15	20	22	108
	ACTUAL PERFORMANCE		20				
	REPLANNED			0			
Physical Facilities (No. Staff Houses/Dorms Constructed)	PLANNED	0	4	4	9	1	13
	ACTUAL PERFORMANCE	0	4				
	REPLANNED			-	6	-	10
Staffing: (No. Professionals and Admin Staff employed)	PLANNED	12 16-23	19 27-46	24 37-59	25 38-63	29 38-67	29 38-67
	ACTUAL PERFORMANCE	9 16-25	12 17-20				
	REPLANNED			15 20-35	18 20-38	18 20-38	18 20-38
B. QUALITATIVE INDICATORS FOR MAJOR OUTPUTS		COMMENT: Most standard operating procedures complete; Staff rules/regulations, Handbook for participants, Financial reporting. Needs work on control/monitoring of contracting out procedures.					
1. Admin/management practices institutionalized							
2. Technical/professional outputs		COMMENT: Has followed approved five year plan of research and training. Inflation and isolation of Biotrop have militated against development of complete staffing pattern. Staffing replanned in FY75. Reporting of research improving with employment of Clearing House Manager.					
3. Institution Building		COMMENT: Above comments also apply. Biotrop lacks some of SEAMEO <u>esprit de vivre</u> found in other centers. This probably results from inarticulated doctrine and unimaginative leadership. Overall progress for first year permanent operation behind schedule largely due to factors beyond control of Center: Gov. Bd. inconsistency on policy, and delayed ISG approvals on contracting procedures.					

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**IV. PROJECT PURPOSE**

1. Statement of purpose as currently envisaged. 2. Same as in PROP?  YES  NO

To establish a SEAMEO Regional Center for training and research in biological sciences, and to disseminate information about the biological sciences within the region. (This language paraphrases the purposes as described in the PROP)

B. 1. Conditions which will exist when above purpose is achieved.	2. Evidence to date of progress toward these conditions.
<p>An institution established with:</p> <ul style="list-style-type: none"> <li>a) Courses offered to regional trainees in Tropical Forestry, Tropical Pests, &amp; Aquatic Biology.</li> <li>b) Ongoing research of regional importance conducted by Biotrop and other SEAsian institutions.</li> <li>c) High quality regional staff supplemented by non-regional consultants.</li> <li>d) Assured funding by GOI and non-USG donors.</li> <li>e) High quality reputation reinforced by seminars, conferences, and publications.</li> </ul>	<p>Charter, doctrine, and programs approved by SEAMEC and BIOTROP Governing Board:</p> <ul style="list-style-type: none"> <li>a) During FY74, six short term courses held in which 7 of 8 countries participated.</li> <li>b) Five research projects contracted out to Indonesian institutions, one planned with U. of Malaysia.</li> <li>c) 3 of twelve professional positions filled by non-Indonesians. Short term consultants available on ad-hoc basis. 2 Danish technicians served 2 years.</li> <li>d) Indonesia has subscribed to principle of host-country responsibility for funding after initial five year period.</li> <li>e) Only one regional seminar held to date. Publications of irregular quality with limited distribution. Employment of reputable Clearing House Manager will improve this item.</li> </ul>

**V. PROGRAMMING GOAL**

- A. Statement of Programming Goal  
To raise the level of cooperation among SEAsian nations on common educational problems

- B. Will the achievement of the project purpose make a significant contribution to the programming goal, given the magnitude of the national problem? Cite evidence.

While BIOTROP is less related to the educational systems of Southeast Asia than are other SEAMEO institutions, its contribution results from providing a facility in Southeast Asia where the techniques and practical applications of biological research can be taught at reasonably low cost and where developmental problems related to Biology may be investigated in situ. To train SEAsian research biologists outside the region would strain most national and developmental agencies budgets.

# AIRGRAM

# DEPARTMENT OF STATE

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CLASSIFICATION

For each address check one ACTION | INFO

DATE REC'D.

TO - AID /W TOAID A-07

498-11-690-198.5

JAN 10 PM 1 25

DISTRIBUTION

ACTION

INFO.

MAIL ROOM  
35w

FROM - BANGKOK/RED  
E.O. 11652

.05

DATE SENT

1-6-75

SUBJECT - RED:SEAMES : Transmittal of PAR on BIOTROP

REFERENCE - A. N. O. 1026.1 B. Bangkok TOAID A 282 (8/21/74)

Attached hereto are pages 1 to 4 containing the Project Appraisal Report for BIOTROP for the period July 1967 to December 1974.

The PAR has been discussed with the Director of SEAMES who concurs in its findings, and who has agreed to the Course of Action on Page 1 of the PAR.

KINTNER

Attachments: AID Report U-446

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DRAFTED BY McNeill/RS

OFFICE Education

PHONE NO.

DATE 12/31/74

APPROVED BY: Kenneth M. Rabin

A. I. D. AND OTHER CLEARANCES

AMB, DCM, EA, USOM, RED-6, FILES  
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