

4970236 (8)

PD-4AD-813

File = 248

Proy

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

Issuance Date: April 12, 1976
Closing Date : May 14, 1976

Subject: Request for Proposal No. 60072
Brackish Water Fisheries Production in Indonesia

Gentlemen:

The Agency for International Development ("A.I.D.") is seeking proposals for conducting the Brackish Water Fisheries Production Program described herein.

To this end, we are issuing this Request for Proposals, consisting of this cover letter and the following subparts:

- I. Program Description.
- II. Selection Criteria.
- III. Instructions to Offerors.
- IV. Representations, Certifications, Forms and Notices.
- V. Proposed Contract Format.

If you have any questions regarding this Request for Proposals, they may be directed to Mr. P. J. Howley at (703) 235-9146.

This RFP in no way obligates A.I.D. to award a contract, nor does it commit A.I.D. to pay any cost incurred in the preparation and submission of the proposal.

If you decide to submit a Proposal, an original and two copies of such proposal must be received no later than the close of business at 5:30 P.M. local time at the place of opening on the closing date indicated on the first page hereof. Proposals and modifications thereof, submitted in sealed envelopes with the name and address of the Offeror and Request for Proposal Number inscribed thereon, must be addressed to:

Department of State
Agency for International Development
Washington, D. C. 20523

ATTN: Contracting Officer
Regional Operations Division-ASIA/NE
Office of Contract Management
Room 726- SA-14

Offerors should retain for their records, copies of any and all enclosures which accompany this Proposal. If you are unable to submit a Proposal, you are requested to so advise the issuing office promptly.

Sincerely yours,

A handwritten signature in dark ink, appearing to read "F. H. Moulton", written in a cursive style.

Franklin H. Moulton
Contracting Officer
Regional Operations Division-ASIA/NE
Office of Contract Management

Enclosures: a/s

I. Program Description

BEST AVAILABLE DOCUMENT

A. Scope of Work

1. Objective

To assist the Government of Indonesia to increase brackish water/inland fisheries (tambak) production in seven kabupatens in the provinces of Aceh and North Sumatra and the creation of an infrastructure base upon which tambak expansion can take place. The conditions to be expected as at the end of the project are as follows:

a. Increased fisheries production in the project area, amounting to 4,600 tons per year.

b. GOI physical or organizational infrastructure in place and functional to handle intensification of the remaining 12,300 ha. of current tambak, and capable of providing extensification guidance and program support to bring into production the remaining lands in the project area that are zoned for tambak culture.

c. Increased farmer net income and added opportunities for productive farm labor, and opportunities provided for non-farm laborers in the project areas.

2. Scope of Services

The Contractor is to assist the Directorate General of Fisheries in efforts to accomplish the outputs listed above.

a. Fry surveys are in progress at this time but will continue for an additional year. In Aceh, it is known that fry abound along the coast, and these appear to be adequate to support current consumption. With the new project, an additional 30 million fry per year will be required and future expansion will require larger numbers. Little is known of the fry numbers or location in North Sumatra. Data

is needed as well as a plan for marketing improvement of the fry in order that survival rates may be higher.

b. The Government of Indonesia (GOI) has recently determined that fertilizer should be made available to fish farms at a nonsubsidized rate of Rp. 120 per kg. Fertilizer is required to maximize production. Yearly programs of demand must be in the hands of planners in September of each year. Programs for distribution will be required as well as an analysis of acceptability, and the needed educational material designed and produced.

c. The Bank Rakyat Indonesia (BRI) relies on the provincial fishery officials for recommendations on capital development and operating loan amounts and terms. Yearly work plans are required and continual monitoring of loans is a responsibility of the fishery service. Specific data on selected farm operations must be developed in order that the recommendations on loan terms can be provided to the BRI.

d. Provincial Fisheries Development Units (PFDU) will be under construction by the time the advisors arrive at post. Design is as left by the Feasibility team. These units will function as: (1) demonstration units for surrounding farmers, (2) training centers for Tambak Intensification Agents (TIA), and (3) headquarters for the TIA extension effort with the farmers. Yearly work plans are required by the Directorate General of Fisheries (DGF) and the provincial governments.

e. Long-term and short-term participant training will be programmed by the time the advisors arrive. In-country training for the PFDU staff in Jepara will require design and programming. TIAs are to be trained at the PFDU's. The advisors may also be required to participate in the teaching of these courses.

f. Production goals are only as good as the follow-up. Extension material is required and must be designed, reproduced, and circulated. Continual monitoring of the TIA work is essential in order that feed-back from the producers be incorporated in the project.

g. Employment generation by the project must be monitored by the project staff. While specific records are not required, the amount and availability of farmer and outside labor will affect holding size recommendations and affect the speed of development of the project. This information must be available when developing yearly work plans.

h. Farmer associations are required as vehicles to transmit production information to the producers. A dynamic program must be developed to educate associations as to their responsibilities and, further, to assist them with information and programs that the associations of value to the farmers.

i. Designs for the expansion program need development. This will require zoning, methodology for title and use-latter issuance, and input determination. These plans must be in the form of feasibility studies acceptable to GOI sources for funding purposes.

j. The contractor is not expected to participate in the evaluations except as a technical resource. These evaluations will be conducted by an independent team and must be objective.

B. Personnel

1. The Contractor will bear full responsibility for adequate staffing to meet contract objectives. The AID estimate of team composition and estimated duration of assignments are as follows:

<u>Number</u>	<u>Position</u>	<u>Man-Months in Location</u>
2	Aquaculture Production Specialists	27 months each
3	Consultants	4 months each

2. As indicated in the above table, funds have been provided for two aquaculture production specialists and several consultants in unspecific fields. It is expected that the two long-term specialists will enter into agreement for a two-year assignment to the project. In addition to the two-year assignment, each long-term specialist will spend three months in Indonesian language training and orientation.

3. Minimum education level of the advisors should be at the M.Sc. level, and experience in tambak fisheries in Southeast Asia would greatly enhance their value to the project.

4. These advisors will be counterparted by an employee of the Government of Indonesia, with education at the B.Sc. or Ir. level. The advisors will work with these counterparts on program development, technology introduction, and monitoring of the PFDUs and TIAs.

5. Short-term consultants are required. They will be programmed by the contractor and the Government of Indonesia, with USAID concurrency, and the consultancies must be designed to answer specific questions. During the first year, consultancies are expected to be required in the fields of extension material production, marketing and processing requirements for the project, and assisting private companies or local banks in feasibility study review. Consultancies that call for less than

30 days' service in Indonesia will not be approved; and it is expected that longer times may be needed to adequately address the problems encountered.

C. Estimated Term of Contract

It is anticipated that the contract will cover a total of 30 months (June 1, 1976 - November 30, 1978). The services of personnel will be required during the 27-month period June 1, 1976 - August 31, 1978. The remaining three months of the contract period will be required for the preparation of final reports, the return of personnel and their effects to the U.S., and other project completion functions.

D. Logistic Support

1. The following items will be provided in kind by the Cooperating Country without charge to the Contractor:

- a. Office space and office equipment.
- b. Transportation in the Cooperating Country.
- c. Interpreter and secretarial services.
- d. Duty-free import privileges.

2. The following items will be provided by the Cooperating Country, which in turn shall be a Grant in Aid from USAID (trust funds):

- a. Household furniture and equipment (including stoves, refrigerators, etc.).
- b. Vehicles for official use in Indonesia. The Contractor will be given control over the vehicles and will be responsible for procurement of fuel and other operating requirements including maintenance. The USAID will maintain a supply of spare parts for use in

the maintenance of USAID-provided vehicles.

3. The Contractor will be responsible for the following logistic support, with the cost thereof being paid in local currency by USAID:

a. Office space and office equipment, if not available in the Cooperating Country facilities and if approved by USAID.

b. Housing and utilities in accordance with Standardized Regulations (Government Civilians - Foreign Areas).

c. Transportation in the Cooperating Country if not furnished in kind by the Cooperating Government.

d. Interpreter and secretarial services, if not provided in kind by the Cooperating Government.

e. Travel arrangements, tickets, etc.

f. Necessary local support staff as approved by USAID.

g. Office expenses.

h. Such other costs, necessary allowable and allocable to the project, as may be approved in writing by the Mission Director.

4. Those contract costs which are specified above as local currency costs, if not furnished in kind, by AID or the Cooperating Country, shall be paid to the Contractor in dollars or in such other manner adapted to the local situation and as agreed to by the Mission Director and the Contractor. The documentation for such costs shall be on such forms and in such manner as the Mission Director shall prescribe.

E. Commodities and Supplies

1. General

Commodities, as specified on the attached list, will be procured by the contractor in accordance with AID-approved procurement procedures. The control and utilization of these commodities during the term of contract shall be determined jointly by the USAID, the Contractor, and the Department of Agriculture (GOI).

2. Commodity Planning, Approval, and Purchasing

A supporting commodity program has been planned by the GOI, USAID, and the prior feasibility study team. A list of contractor-supplied commodities is attached. Additionally, the USAID, through its direct procurement channels, will procure other transportation items, shown on the attached commodity list as items 20 to 22. In all cases, the GOI is responsible for port and customs charges at the port of disembarkation.

The procurement and distribution of commodities to the project will be handled as follows:

a. Requirements Analysis and Approval

An agreed-upon list of commodities has been prepared. This determination of commodity needs was based on 1) technical objectives served; 2) availability of local funding for clearance and transportation; 3) existence of or plans for facilities to house equipment, including budgetary support for facilities; 4) availability of trained personnel and funds to operate and maintain equipment; 5)

provision for essential spare parts, not available locally, to be delivered concurrently with the equipment; 6) provision for financing follow-up spare parts and replacement components; and 7) provisions outlined in the Commodity Annex of the Project Agreement.

While the attached list is presently considered adequate and comprehensive, changes can be made with written concurrence of the USAID and the GOI. The contractor should start procurement as soon as the contract is signed to insure timely delivery.

The contractor will supply a list of specifications in sufficient detail to USAID to permit competitive bidding for the commodities being ordered. Procurement will be consolidated to the extent practical. These lists and specifications will be reviewed by the USAID and formal notification given to the contractor of its findings prior to the contractor's placing orders. This will apply to all commodities except those procured under terms for the miscellaneous commodities.

b. Purchasing, Shipment, and Delivery

Purchasing and shipping arrangements will be made by the contractor or by an entity acting on his behalf. Procurement will be undertaken according to the instructions stated above. The Directorate General of Fisheries has been designated as the office responsible for receiving commodities at the port of arrival and transporting them to their final destination.

3. Shipping Instructions

All materials shipped by airfreight will be shipped to Belawan, the port of Medan, North Sumatra. Airfreight (shipped in through

airway bills, to Medan, North Sumatra) may be used when analysis shows that the material is of such a nature that it requires special handling. All cartons and boxes will be addressed as follows:

a. Personal Effects:

Consign shipment to: Name:
c/o American Consulate
Jalan Imam Bonjol
Medan, North Sumatra

AID Contract No.:

<u>Airmail All Documents</u> Address	<u>Ocean Bill of</u> <u>Lading</u> Negotiable Copy		<u>Airfreight</u> B/L	<u>Packing</u> List	<u>Export</u> Invoice
USAID, American Embassy Agricultural Division APO San Francisco 96356	1	2	3	3	3
USAID, American Embassy, Controller APO San Francisco 96356	1	2	2	2	2

b. Project Commodities:

Consign shipment to: Directorate General of Fisheries
Jalan Batu Gingging No. 8
Medan, North Sumatra
Project No: 497-11-110-189.2

Mark for Final Destination:

Directorate General of Fisheries
Jalan Batu Gingging No. 8
Medan, North Sumatra
Project No: 497-11-110-189.2

<u>Airmail All Documents</u> Address	Ocean Bill of Lading		Airfreight B/L	Packing List	Export Invoice
	Negotiable Copy				
USAID, American Embassy Agricultural Division APO San Francisco 96356	1	2	3	3	3
USAID, American Embassy Controller APO San Francisco 96356	1	2	2	2	2

4. Commodity Management

The Director General of Fisheries, under the contractor's guidance, will maintain records of receipt and distribution to the project sites for all commodities procured by the contractor. The contractor's procurement documents will be made available to the Directorate General of Fisheries for clearance through the port, and for reporting loss or damage of each item procured. The contractor will communicate directly to the DGF, with copies to the USAID, the contents of each shipment as soon as the contents are known. This communication should clearly state that the intent of the communication is to alert the DGF of the arrival of the commodities in order that clearance funds will be available in Medan. Upon receipt of equipment, accountability and inventory records will be established for major items, supplies, and parts. It is recommended that the contract personnel keep duplicate sets of records and that the system include a stock record card for each item

of equipment, and line items of replenishable supplies and parts. The USAID Agriculture and Supply Management Divisions will assist the GOI and the Contractor in establishment of the supply management system if requested. Adequacy of the system will be reviewed by the USAID.

5. Miscellaneous Fund

Funds in the amount of \$3,400 have been designated miscellaneous commodities. Purchase authorized for procurement from this fund must not exceed \$500 for any single item without USAID's prior written approval.

6. Technical Support Commodities

Vehicles for the technicians' use are provided from direct USAID procurement. Indonesia prohibits the importation of private vehicles for contract employees. Local cost of private vehicles is high. It is suggested that the advisors assume that they will have only project vehicles for private use. A system of charges will be developed for the use of project vehicles (comparable to the U.S. standard presently in effect in Indonesia) and the employee will be responsible for reimbursing the Trust Fund for this employment of vehicles for private use.

Housing and local hire staff are provided for in the attached Trust Fund Budget.

TRUST FUND BUDGET RP 000		
	<u>GOI FY 76/77</u>	<u>GOI FY 77/78</u>
1. Salaries and Wages		
2 Secretaries @ 70,000/mo	1680	1680
2 Drivers @ 50,000/mo (including PD)	1200	1200
2. Vehicles/Generator Operations		
2 Vehicles - 2 generators (POL - Repairs - Insurance)	2500	2500
3. Supplies		
50,000/yr/man	100	100
4. Housing (2 yr, 3 months)		
a. Rent - Aceh @ \$ 500	2520	3150
Medan @ \$ 750	3720	4650
b. Repairs/Renovation/Furniture		
Rent & Repairs	2000	100
Generators	1700	
Furniture & AC	11290	
Utilities 160,000 month (2 houses)	1920	1920
5. Travel		
a. Staff		
2 trips to site @ 120,000	240	240
2 Jakarta @ 240,000	240	240
b. Per Diem		
Consultants		
2/yr Jakarta/site	240	240
6. P.D.		
a. Staff	2500	2500
b. Consultants	2988	2748
30 day Hotel before house occupancy	960	-
7. Equipments	20	20
8. Miscellaneous	100	100
	<u>35918</u>	<u>21388</u>

US\$ = 138

Total Rp. 57,306

COMMODITY LIST

Item	Unit	Estimated Unit Price - \$
<u>PIO/T Items</u>		
1. Typewriter, manual office type wide carriage	4	250
2. Mimeograph machine hand operated	2	400
3. Microscope, stereo dissecting	2	500
4. Microscope, compound with built in illuminator and trinocular photohead and camera back		
5. Projector, slide with extension cord, screen, and extra strap and lamps	10	350
6. Generator, portable gas 110v 500W cap	10	350
7. Calculator, hand, battery operated	12	50
8. Hydrometer to measure salinity 0-60 rpt	96	50
9. Plain table, tripod and simple alidade	8	300
10. Plain meter, polar compensating	8	100
11. Camera, 135 or 126 mm automatic	16	75
12. Triple beam balance and accessories	48	75
13. Thermometers, pocket	96	5
14. Hand lens	96	10
15. Megaphones, hand, battery operated	16	75
16. Hand tools	8	10
17. Type measures 50-50 mm	80	30
18. Miscellaneous	10	540
19. TA: Equipment		

Air Direct Procurement

20. Outboard motor	2
21. 4x4 Scout	9
22. 2x4	2

GOI Costs

23. Port charges	
24. Motorcycles	28

BEST AVAILABLE DOCUMENT

CRITERIA FOR EVALUATION OF PROPOSALS TO ASSIST INDONESIA'S BRACKISH WATER
FISHERIES PRODUCTION

11. Selection Criteria

Weight

A. Proposer's Understanding of scope of work required: 15%

- responsive to need for effort and time phasing of activities
- understands dimensions of problems to be addressed and AID/
host government overall strategies
- proposed operational strategy of contractor, logical and
thorough

B. Qualifications and experience of proposed staff: 50%

1. Field Operations Specialists: 35%

- experience in overseas consultation or advisory role,
particularly in South and East Asia
- relevant professional fisheries production training and
experience
- work experience in fisheries production
- experience in assisting in or managing field operations
of a fisheries production project.

*Break
these
down*

2. Short-term consultants and in-house resources with demon-
strated experience/capability in: 10%

- evaluating fisheries production problems and planning/
designing at micro and macro levels
- evaluating benefits and costs of fish production and elements
of programs, including training, specific services, admini-
stration, processing, storage and marketing.

3. Project office and other support staff: 5%

- coordinator has relevant fisheries training and experience
- experience in managing and backstopping field operations
- qualified staff persons identified and organizational linkages
to coordinator and field staff specified

C. Contractor Experience and Capacity:

25%

- management and administration of support elements for LDC field team, including logistics support, procurement of necessary commodities, and selection and training of key counterpart staff
- administration and management of fisheries field demonstrations
- experience in selecting, orientating and fielding fisheries consultants and technical advisors in support of LDC field programs
- assistance to LDCs in design and critique of integrated fisheries programs
- provision of in-depth technical assistance designed to strengthen LDC institutions involved in planning, implementation, evaluation and fiscal and manpower resource management
- management of long range fisheries action-research and analysis and synthesis of such experience for wide spread application
- assess training needs and provide assistance to fisheries manpower training institutions to design curriculums, develop instructional capacity and implement pre-service and in-service and follow-up programs responsive to service requirements in fisheries production

D. Cost Factors:

5%

- necessity of budget items shown
- reasonableness of cost figures quoted

E. General Quality and Responsiveness

5%

1. completeness
2. responsiveness to all terms and conditions
3. acceptability of proposed contract format
4. firm performance in previous contracts

III. Instructions to Offerors

The offeror should follow the instructions contained herein and supply all information as required. Failure to comply with these instructions may serve to disqualify a proposal.

1. Offerors must set forth full, accurate, and complete information as required by this Request for Proposal (including attachments). The penalty for making false statements in proposals to the U.S. Government is prescribed in 18 U.S.C. 1001.

2. Your proposal should indicate a minimum acceptance by the Government of not less than 60 days from date of receipt thereof. Failure to do so may result in proposal rejection.

3. Government-furnished Property

No material, labor, or facilities will be furnished by the Government unless otherwise provided for in this solicitation.

4. Preparation of Proposal

You are requested to submit a proposal directly responsive to the terms, conditions, specifications and clauses of this Request for Proposal. Proposals not conforming to this Request for Proposal may be categorized as nonresponsive, thereby eliminating them from further consideration.

You must propose for the entire program; proposals for only part of the program will not be accepted.

This Request for Proposal does not commit the Government to pay any costs incurred in the preparation of a proposal nor to procure or contract for services or supplies.

Offerors are advised that:

In the event award is made to other than an educational institution, AID Forms 1420-41C and D (7-1-75) General Provisions will apply.

In the event award is made to an educational institution, AID Forms 1420-23C and D (7-1-75) General Provisions will apply.

The proposal should contain the following as a minimum:

A. Technical Proposal

This section should contain the Contractor's analysis of the proposed statement of work and a detailed work plan based on the statement of work.

B. Management Proposal

The management proposal section should give details concerning the organization's experience, facilities and personnel with particular emphasis laid on experience in fields similar to or related to the program as described in this Request for Proposal. The following specific items should be included in the proposal:

(1) The management and team that will be provided to this specific program and its position in the overall organization;

(2) Detailed biographical information for key project personnel (including present position and foreign language capability: fluency, technical terminology, methods of rating, etc.);

(3) A list of contracts similar to this that you have or have had, with the Government or other parties.

C. Plan of Implementation

This section should contain the Contractor's proposed plan

of implementation which is responsive to the scope of services set forth in Part I above.

This plan of implementation should clearly indicate how the offeror proposes to provide the services called for in the resulting contract, if any; how he proposes to implement the elements of the scope of services, and a sequential time phase plan for these services. (See also the orientation requirement of the Additional General Provisions).

D. Resources and Facilities

The offeror should include in some detail, its technical assistance experience capability in Less Developed Countries (LDC). Also included should be an indication of the offeror's overall administrative experience to initiate, administer, and direct activities such as described.

The offeror should show in his submission, how he proposes to administer the contract both stateside and in Indonesia.

E. Miscellaneous

You should include the following:

a. Copy of your standard Administrative Policies and Procedures including those on organization salary and wage scales (basis for computation), merit increases, vacation holiday and sick leave.

b. Identification and explanation of any of your policies which are not covered by or are at variance with the General Provisions, copies attached.

F. Cost Proposal

Your cost proposal should be prepared on the forms provided with this RFP.

You must include sufficient backup information to enable A.I.D. to determine the basis of your cost estimate. Your cost proposal should include:

- (1) Completed Offerors Analysis of Cost Proposal (sample provided with this RFP).
- (2) Completed Certificate of Current Cost or Pricing Data,
- (3) Contractor Employee Bio-Data Sheet (sample provided with this RFP) to support all direct salary, and if applicable, consultant fee costs. Our main concern is with blocks 6 and 16 of this form. If you are unable to specify individual technicians, you should indicate the position title and the maximum salary which you would pay for the position.
- (4) Letter from the offeror's cognizant Government Audit Agency stating your latest audited indirect cost rates, the base to which such rates are applied, and method of application. If you cannot obtain such a letter, you should submit sufficient information to allow an A.I.D. auditor to determine the reasonableness of your rates (for example, breakdown of labor base and overhead pool, method of determining rate, profit and loss statement, etc.).
- (5) Detailed itinerary for all travel.
- (6) Details of all other items of direct cost (equipment, communications, insurance, etc.).
- (7) Copy of your personnel policy, especially regarding salary, promotions, leave, differentials, etc.

G. Other Items to be Included in the Proposal

Please make sure that your proposal includes:

- (1) Identification of the negotiator or administrator with

whom the Government may discuss this RFP as requested in Section III, Item 8 (Instructions to Offerors - Negotiation and Administration) of this RFP;

(2) Completed and signed copies of the Representations and Certifications (Item 4 of Section IV of this RFP);

(3) Security and equal employment information as requested in Item 6 of Section IV of this RFP;

(4) A Disclosure Statement, if required by Item 7 of Section IV of this RFP;

(5) A completed and signed Price Stabilization Certification as requested in Section IV, Item 8 of this RFP; and

(6) A statement regarding acceptability of the proposed contract format as requested in Section V of this RFP.

5. Alternate Proposals

If you desire to submit a proposal on other terms which you believe are advantageous to the Government, price or other factors considered you should submit, in addition to a responsive proposal, an alternate proposal reflecting such advantages. A proposal directly responsive to this Request for Proposal must be submitted before consideration can be given to an alternate proposal.

6. Award

It is contemplated that a contract will be awarded to that responsible offeror whose proposal will be most advantageous to the Government, price and other factors considered. The Government reserves the right to award to more than one offeror for the services described

herein. Award may be made without discussion of proposals received. Consequently, all proposals should be submitted initially on the most favorable terms, from a price and technical standpoint, which the offeror can submit to the Government.

The Government reserves the right to award to other than the lowest offeror and to reject any or all proposals.

7. Disclosure of Information

The Offeror is advised that, pursuant to the Freedom of Information Act, the public is entitled to request information from Agency contract files. As a general rule, information will be disclosed except:

- 1) Information submitted in response to a request for proposal, prior to award of the contract or other instrument, or amendments thereto;
- 2) Information properly classified or administratively controlled by the Government;
- 3) Information specifically exempted from disclosure under the Freedom of Information Act.

Upon award of the contract(s) resulting from this solicitation the Government will disclose, use, or duplicate any information submitted in response to the solicitation to the extent provided in the contract and as required by the Freedom of Information Act.

8. Negotiation and Administration

The offeror is requested to provide the name and telephone number of personnel cognizant in negotiation and administration in connection with the offer submitted in response to this Request for Proposal.

9. Late Proposals

- (a) Any proposal received at the office designated in the

solicitation after the exact time specified for receipt will not be considered unless it is received before award is made, and:

(1) It was sent by registered or certified mail not later than the fifth calendar day prior to the date specified for receipt of offers (e.g., an offer submitted in response to a solicitation requiring receipt of offers by the 20th of the month must have been mailed by the 15th or earlier);

(2) It was sent by mail (or telegram if authorized) and it is determined by the Government that the late receipt was due solely to mishandling by the Government after receipt at the Government installation; or

(3) It is the only proposal received.

(b) Any modification of a proposal, except a modification resulting from the Contracting Officer's request for "best and final" offer, is subject to the same conditions as in (a)(1) and (a)(2) of this provision.

(c) A modification resulting from the Contracting Officer's request for "best and final" offer received after the time and date specified in the request will not be considered unless received before award and the late receipt is due solely to mishandling by the Government after receipt at the Government installation.

(d) The only acceptable evidence to establish:

(1) The date of mailing of a late proposal or modification sent either by registered or certified mail is the U.S. Postal Service postmark on the wrapper or on the original receipt from the U.S. Postal Service. If neither postmark shows a legible date, the proposal or modification shall be deemed to have been mailed late. (The term "postmark" means a printed,

stamped, or otherwise placed impression that is readily identifiable without further action as having been supplied and affixed on the date of mailing by employees of the U.S. Postal Service).

(2) The time of receipt at the Government installation is the time-date stamp of such installation on the proposal wrapper or other documentary evidence of receipt maintained by the installation.

(e) Notwithstanding (a), (b), and (c) of this provision, a late modification of an otherwise successful proposal which makes it's terms more favorable to the Government will be considered at any time it is received and may be accepted.

(f) Proposals may be withdrawn by written or telegraphic notice received at any time prior to award. Proposals may be withdrawn in person by an offeror or his authorized representative, provided his identity is made known and he signs a receipt for the proposal prior to award.

10. Privacy Act Notification

This procurement action requires the Contractor to do one or more of the following: design, develop, or operate a system of records on individuals to accomplish an agency function in accordance with the Privacy Act of 1974, Public Law 93-579, December 31, 1974 (5 U.S.C. 552a) and applicable agency regulations. Violation of the Act may involve the imposition of criminal penalties.

IV. Representations, Certifications, Forms, and Notices

The attached representations, certifications, forms, and notices should be carefully reviewed and must be completed as applicable, executed, and returned with your proposal.

Please note that we have attached only one copy of each form. We request that you reproduce additional copies as necessary to accompany your proposal.

Attachments:

1. Solicitation Notice.
2. Offeror's Analysis of Cost Proposal.
3. Contractor Employee Bio-Data Sheet.
4. Representations, Certifications and Acknowledgements.
5. Certificate of Current Cost or Pricing Data.
6. Security and Equal Employment Opportunity Notice.
7. Notice - Disclosure Statement - Cost Accounting Practices and Certification.
8. Price Stabilization Certification.
9. Clean Air and Water Certification and Clause.
10. Minority Business Enterprises Subcontracting Program.
11. Labor Surplus Area Subcontracting Program.
12. Small Business Subcontracting Program.
13. Proposed Contract Format.

V. Proposed Contract Format

A.I.D. intends to utilize the attached cost reimbursement type contract format for any contract which may be awarded hereunder. Your proposal must

contain a statement concerning the acceptability of the proposed format. If you take exception to the format, you must specify the exceptions and the basis therefor.

The proposed format applicable to commercial concerns and non-profit organizations consists of the following:

- A. AID Forms 1420-41A and B, Cover Page and Schedule, Cost Reimbursement type Contract (7-72);
- B. AID Forms 1420-41C and D, General Provisions and Additional General Provisions, Cost Reimbursement Type Contract (7-1-75);
- C. Modifications to General Provisions for Cost Reimbursement Type Contract.

The proposed format applicable to Educational Institutions consists of the following:

- A. Cover Sheet (AID 1420-23A) (4-73).
- B. Schedule, Cost Reimbursement Contract With An Educational Institution (AID 1420-23B) (10-73).
- C. General Provisions, Cost Reimbursement Contract With An Educational Institution (AID 1420-23C) (7-1-75).
- D. Additional General Provisions, Cost Reimbursement Contract With An Educational Institution (AID 1420-23D) (7-1-75).
- E. Additional Clauses and Modifications to the General Provisions for Educational Institutions.

1-12-77
APPROVED

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

PROJECT IMPLEMENTATION
ORDER/TECHNICAL
SERVICES

1. Cooperating Country
INDONESIA

2. PIO/T No.
497-0236-3-70036

3. Original or
Amendment No.

4. Project/Activity No. and Title 497-0236

PD-AAD-813
BRACKISH WATER FISHERY PRODUCTION

DISTRIBUTION

5. Appropriation Symbol
72-11-1023

6.A. Allotment Symbol and Charge
402-50-497-00-69-71

6.B. Funds Allotted to
☐ A.I.D. ☒ Mission

7. Obligation Status

☐ Administrative Reservation ☒ Implementing Document

8. Funding Period (Mo., Day, Yr.)
From 1/77 To 11/79

9.A. Services to Start (Mo., Day, Yr.) Oct. 1, 1976

9.B. Completion date of Services
(Mo., Day, Yr.) Nov. 1978

Between _____ and _____

10.A. Type of Action

☒ A.I.D. Contract ☐ Cooperating Country Contract ☐ Participating Agency Service Agreement ☐ Other

10.B. Authorized Agent

AID/Washington

Estimated Financing		(1)	(2)	(3)	(4)
\$1.00 = Rn. 414.5		Previous Total	Increase	Decrease	Total to Date
11. Maximum A.I.D. Financing	A. Dollars		90,708		90,708
	B. U.S.-Owned Local Currency				
12. Cooperating Country Contributions	A. Counterpart \$ Equivalent	Trust Funds	19,059		19,059
	B. Other \$ Equivalent		292,000		292,000

13. Mission References

Project Paper

PIO/T
497-189.2-3-
60072

STATE 257952

14. Instructions to Authorized Agent AID/Washington is requested to provide FY-77 funding to ongoing Auburn Contract No. AID/ASIA-C-1177. Initial year funding of US\$ 248,448 in FY-76 was provided under PIO/T 497-189.2-3-60072. All provisions of PIO/T 497-189.2-3-60072 remain unchanged, except for funding levels, and Project/Activity Title, block # 4. FY-77 funding is required to provide sufficient fund to complete the contract.

* GOI budgets for FY 1977/1978 are shown for illustrative purposes. Amendment to this PIO/T will be issued no later than May 1, 1977.

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The scope of work are technically adequate

E.G. Walters

Project Manager

B. Funds for the services requested are available

Rob L. Barrett

CTR Controller

C. The scope of work lies within the review of the initiating and approved Agency Program

Ralph M. Singleton

Program Officer

E.

Lester M. Hunt

Executive Officer

F.

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to

Signature and date:

Title: Director General of Fisheries

17. For the Agency for International Development

Walter G. Bollinger

Title: A/DD, USAID/Indonesia

18. Date of Signature

12/28/76

AID (220-1 (2-70)	Cooperating Country INDONESIA	FIG/T No. 497-0236-3-70036	Page 2 of 2 Pages
FIG/T	Project/Activity No. and Title BRACKISH WATER FISHMENT PRODUCTION		

SECTION OF WORK

18. Scope of Technical Services

A. Objective for which the Technical Services are to be Used

(See Contract AID/ASIA-C-1177)

B. Description

(See Contract AID/ASIA-C-1177)

BEST AVAILABLE DOCUMENT

C. Technicians

(i) (a) Number	(b) Specialized Field	(c) Grade and/or Salary	(d) Duration of Assignment (Max-Minimum)
2	Aquaculture production Spec.	NA	15 each
2	Consultants	NA	3 each

(2) Duty Post and Duration of Technicians' Services

One technician in Medan, North Sumatra. One technician in Banda Aceh, Aceh

(2) Language requirements

32, R2 level

(c) Access to Classified Information

NA

(5) Dependents

☒ Will

☐ Will Not

Be Permitted to Accompany Technician

D. Financing of Technical Services

AID Administered Trust Fund (GOI 77/78)

(1) By AID - \$ 90,708

(2) By Cooperating Country - \$ 19,059 equivalent

BEST AVAILABLE DOCUMENT

DEPARTMENT OF STATE
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR
BUREAU FOR ASIA

15 DEC 1975

THRU EXSEC

FROM ASIA/TD, T. C. Clark, Jr. *T.C. Clark*

SUBJECT Indonesia - Assistance to Agriculture - Brackish Water
Fishery Production Project - 497-11-110-189.2 - Project
Paper Approval (AID Funding Life of the Project:
Total \$599,000-Grant)

Problem:

Your approval is requested for this technical assistance project which involves a U.S. input of \$599,000 grant in technical assistance, commodities, and participant training beginning in FY 76 and concluding at the end of FY 77 (TAB A-PP).

Discussion:

This project represents USAID's initial efforts to assist the GOI in development of the fisheries sector. Recognizing the potentials of an estimated 600,000 hectares of land suitable for brackish water ponds, plus an undetermined area suitable for fresh water ponds, USAID considers this project the first discrete increment of assistance to a sector with which we may choose to develop continuing or additional project aid. (See PP, page 13 - TAB A)

The project emphasizes intensified production for existing ponds with a secondary emphasis on providing a base for replication. USAID loans and grants assistance, in future years, may be merited in additional project increments in the same and/or different geographic areas of the country.

The goal of this project is to increase fish production with benefits reflected in higher net income to producers, expansion of fish production areas, increased employment, and greater availability of pond-raised fish and shrimp.

During the first project year, it is estimated that new off-farm jobs will be created for 425 men, 425 women, and for additional indirect jobs for 2,550 people. In "year 2", this will increase to 5,960 full-time, farm-owner family members, and 2,397 outside hire.

In the project, hand labor will be used to level the land, build dikes and create fish-raising ponds. The soils will be treated with certain chemicals to control deleterious plant and animal life. (These chemicals have been determined to be short-lived and biodegradable.) Wild juvenile fish will then be introduced to the ponds. Through newly-developed feeding and management technology, these fish fingerlings will be raised to marketable size, harvested and sold on local markets.

The major U.S. inputs, over the life of the project, are 48 man-months of technical assistance assigned to the project; one individual will be stationed in each of two provinces for a two-year period. Additional short-term consultants (12 man-months) will be utilized by the project to provide advice to the two existing marketing facilities in such areas as marketing and processing. U.S. commodity inputs include transportation equipment (land and water) and office and scientific equipment.

In-country and off-shore training and short-course training will be provided to the provincial staff members of the Fisheries Department. Commodities, fertilizers, pesticides, and credit programs are to be provided by the GOI.

Previous action on this project is summarized:

1. The PRP was reviewed by the East Asia Advisory Committee on March 21, 1975, and preparation of the PP authorized.
2. The Asia Project Advisory Committee (APAC) review of the attached PP was held on December 4, 1975, and the project was approved.

The APAC feels that the project is in conformity with AID policy; that it is directed at assisting the rural poor; that the project emphasizes the role of the farm-family members including farm women. In the implementation, the fisheries staff will work with local authorities to insure the issuance of land certificate/use rights. It is also determined that implementation is within the capabilities of the GOI Ministry of Agriculture.

Program or Sector Goal: Increased agricultural production through technology introduction and intensified extension activities.

Project Purpose: Increased brackish water/inland fisheries (tambak) production in seven kabupatens in the provinces Aceh and North Sumatra and the creation of a physical and organizational structure base upon which tambak area expansion can take place.

Also in the review process, the environmental impact statement was discussed and determined adequate to support the project overall.

In evaluation, the project is to be judged primarily on its ability to provide employment, increase income, and increase high-quality food to the region.

Recommendation:

1. That you approve the attached Project Paper.
2. That you clear the attached approval cable to the Mission.

on-country and off-shore academic and short-course training will be

APPROVED

DISAPPROVED

DATE

December 17 1975

Attachments:

1. TAB A - Project Paper
2. TAB B - Cable for USAID/Manila *Djakarta*

UNITED STATES INTERNATIONAL DEVELOPMENT COOPERATION AGENCY
AGENCY FOR INTERNATIONAL DEVELOPMENT
WASHINGTON, D.C. 20523

February 22, 1980

ACTION MEMORANDUM FOR THE ASSISTANT ADMINISTRATOR, BUREAU FOR ASIA

FROM: ASIA/PD, Dennis J. Brennan *DB*

SUBJECT: INDONESIA: Brackish Water Fisheries Production Project (497-0236)

Problem: Your approval is requested to increase the authorized amount of the subject project and to request from the Non-Competitive Review Board approval of a proprietary procurement waiver.

Discussion: USAID/Indonesia has requested \$200,000 in FY 1980 to extend the subject project. What is involved is a technical assistance contract for approximately 20 months through September 1981 and to fund related activities. This extension of the Auburn contract will entail approval of a waiver by AID's Non-Competitive Review Board. The project began as an activity under the Assistance to Agriculture Project (497-0189) in FY 1976 with an obligation of \$388,000 under an approved LOP level of \$599,000. It continued in FY 1977 as a separately funded project (497-0236) with a further obligation of \$217,000. This amendment increases the authorized LOP funding to \$805,000.

This project is being extended to assist the GOI to continue expanding and improving Brackish Water Fisheries Production (Tambak) in the Province of North Sumatra and to create a physical and organization base upon which tambak area expansion can take place. The implementation of tambak area expansion in North Sumatra is still continuing. The Provincial Fisheries Service has prepared a plan for tambak development in the third five-year plan (Repelita III). USAID and the Directorate General of Fisheries (DGF) regard the plan as feasible, and feel that this amendment is essential to further development of tambak intensification on North Sumatra. The CN for this amendment expired February 14 without comment.

No statutory problems are perceived.

Recommendation: That you approve the attached Project Authorization Amendment. In doing so you will also be indicating your approval for requesting AID's Non-Competitive Review Board to approve a proprietary procurement waiver in the amount of \$150,000 to extend AID's contract with Auburn University.

Attachment:

A. Project Authorization Amendment

PROJECT AUTHORIZATION

AMENDMENT

Country: INDONESIA

Project: Brackish Water
Fisheries Production
Project No. 497-0236

Pursuant to Section 103 of the Foreign Assistance Act of 1961, as amended, the Brackish Water Fisheries project for Indonesia was authorized on Dec. 17, 1975, for life-of-project funding of \$599,000. (This project initially was an activity under the Assistance to Agriculture Project No. 497-0189).

The project was subsequently increased, and is hereby further amended to provide for a new total life-of-project funding of \$805,000.

The authorization cited above, as amended, remains in force except as hereby noted.

Clearances	Date	Initial
Herbert E. Morris, GC/Asia	<u>7/22</u>	<u>CS for HM</u>
Dennis Brennan, Asia/PD	<u>2/22/80</u>	<u>DB</u>
Robert Halligan, Asia/DP	<u>2/22/80</u>	<u>2/22</u>
Harry J. Petrequin, Asia/ISPA	<u>2/22/80</u>	<u>HP</u>
Frederick W. Schieck, DAA/Asia	<u>2/25/80</u>	<u>FW</u>

Signature

John H. Sullivan
Assistant Administrator
Bureau for Asia

2/27/80

Date

GC/Asia: CStephenson:hp:2/19/80

CS

UNCLASSIFIED
Department of State

INCOMING
TELEGRAM

PAGE 01
ACTION AID-35

JAKARTA 18411 220733Z

INFO OCT-01 /036 W

R 200311Z NOV 79
FM AMEMBASSY JAKARTA
TO SECSTATE WAHDC 1876

UNCLAS JAKARTA 18411

AIDAC

E.O. 12065: NA

SUBJ: BRACKISH WATER FISHERIES PRODUCTION ~~BWFP~~ PROJECT 02364;
AUBURN CONTRACT EXTENSION (AIS-C-1177)

REF: JAKARTA 12110

SUMMARY: GOI HAS REQUESTED 20 MONTH EXTENSION/ BEYOND
CURRENT DECEMBER 1979 EXPIRATION DATE TO AUBURN UNIVERSITY CON-
TRACT TO HELP ACHIEVE FULL PROJECT OBJECTIVES.

USAID CONCURS. END SUMMARY.

1. BASIC PURPOSES BWFP PROJECT AS INDICATED IN PP, ARE
TO INCREASE BRACKISH WATER/TAMBAK PRODUCTION IN SELECTED
PILOT/DEMONSTRATION AREAS IN SEVEN KABUPATEN IN ACEH
AND NORTH SUMATRA AND CREATE ORGANIZATIONAL/EXTENSION
CAPABILITY TO ASSIST IN EXPANDING FISH POND TECHNOLOGY
IN THE SEVEN KABUPATEN.

2. EXCELLENT PROGRESS BEING MADE IN ACHIEVEMENT PROJECT
PURPOSES. PILOT PONDS HAVE BEEN PLACED IN OPERATION AND
ARE BEING USED EFFECTIVELY IN DEMONSTRATING
APPROPRIATE FISH PRODUCTION TECHNOLOGY. SUBSTANTIAL
EXPANSION OF TAMBAK AREA HAS BEEN INITIATED WITH
INCREASED PRODUCTION WELL UNDERWAY. HOWEVER, AREA
EXPANSION AND PRODUCTION INCREASES STILL BENEATH
REALIZABLE LEVELS IN NORTH SUMATRA PROVINCE.
OPPORTUNITY EXISTS TO ACHIEVE FURTHER SIGNIFICANT
IMPROVEMENTS THESE AREAS AND ALSO TO DEVELOP THE
ORGANIZATIONAL/EXTENSION COMPONENT OF THE PROJECT TO
PLANNED LEVEL WITH RELATIVELY MODEST ADDITIONAL
RESOURCES. GOI HAS REQUESTED THAT PROJECT BE CONTINUED
SO THAT SUPPORT GATHERED AND MOMENTUM GENERATED DO
NOT RECEDE FOR LACK OF TECHNICAL ASSISTANCE THAT IS
CENTERPIECE OF PROJECT.

3. RECENT MISSION REVIEW OF PROJECT IMPLEMENTATION AND
FINANCIAL STATUS CONFIRM NEED FOR AND DESIRABILITY OF
CONTRACT EXTENSION. ACCORDINGLY, MISSION CONCURS IN
GOI REQUEST FOR ADDITIONAL 20 MONTHS EXTENSION OF
AUBURN CONTRACT TO SEPTEMBER 1981. FY 80 GRANT FUNDS
(\$200,000) BUDGETED FOR THIS PURPOSE. MISSION PREPARING
PIO/T FOR AID/W CONTRACT EXTENSION AT ESTIMATED COST OF
\$200,000. GOI CONTRIBUTION OF \$208,000 ALREADY AVAILABLE.
PIO/T WILL BE FORWARDED ASAP. WOULD APPRECIATE PRIORITY
PROCESSING ON RECEIPT AS CONSULTANT (DUNCAN) PLANS CALL
FOR DEPARTURE DECEMBER 20, 1979 UNLESS EXTENSION MADE.

4. REQUEST AUBURN BE NOTIFIED OF GOI AND USAID
DECISION TO EXTEND CONTRACT.
MASTERS

0963
4970236
PO-AAD-813
11/26
10

ACTION
call (497-)
CTR
INFO

6-8
ASSP
AAAS
ASEM
ASDP
ASTR
ASPD
UNIT
AIDS
ASAG
PPTB
YPIE
APDL
FM

BEST AVAILABLE DOCUMENT

599 gmv 8-475
OBL: 389 (75?)
217 (77)
606

20 NOV 1979

UNCLASSIFIED

UNCLASSIFIED
Department of State

INCOMING
TELEGRAM
8730

PAGE 01 JAKART 12110 311520Z
ACTION AID-31

INFO OCT-01 /032 W

-----060255 311540Z /51

P 310800Z JUL 79
FM AMEMBASSY JAKARTA
TO SECSTATE WASHDC PRIORITY 9424

UNCLAS JAKARTA 12110

AIDAC

FOR PETER HOWLEY SER/CM/ROD/ASIA AND ASIA PD

E. O. 12065: N/A

SUBJ: BRACKISH WATER FISHERIES PRODUCTION CONTRACT AID
AIS-C-1177

1. GOI HAS REQUESTED THAT AUBURN U. CONTRACT BE EX-
TENDED AND CONSULTANT REMAIN IN MEDAN FOR TWO MORE YEARS.
TERMINATION NOW SCHEDULED 6 SEPTEMBER 1979. RECENT
PROGRESS IN N. SUMATRA HAS BEEN EXCELLENT.

2. DURING RECENT PES REVIEWS ATTENDED BY USAID PERSONNEL,
CONTRACTOR, AND GOI OFFICIALS, THERE WAS UNANIMOUS AGREE-
MENT THAT PROJECT GOALS STAND EXCELLENT CHANCE OF BEING
FULLY MET BY SUMMER, 1981. LOCAL COMMUNITY AND PROJECT
STAFF HAVE SUCCESSFULLY GENERATED CONSIDERABLE MEMEONTUM
AND PILOT PROJECTS INVOLVING FAMILY UNITS, AFTER SLOW
START, ARE NOW WELL UNDERWAY. CREDIT, CONSTRUCTION PLANS,
LAND REGISTRATION HAVE STARTED. RESULTS WILL HAVE DECI-
SIVE EFFECT ON FUTURE TAMBAK FISHERIES IN N. SUMATRA.
USAID BELIEVES VTHAT CONDITIONS FULLY WARRANT THIS
EXTENSION.

3. D. MOSS, AUBURN U. ADVISES DOLS 53,000 STILL AVAIL-
ABLE IN CONTRACT, CONSDQUENTLY USAID REQUESTS THAT
CONTRACT BE EXTENDED AT THISTIME FOR SIX MONTHS.
IN THE INTERIM, USAID AN GOI WILL FURTHER EXPLORE
LONGER EXTENSION AND BEST MEANS FORACCOMODATING
ADDITIONAL 18 MONTHS OF AUBURN SERVICES.

4. DUNCAN, LONG-TERM RESIDENT CONSULTANT PRESENTLY
SCHEDULED TO DEPART COUNTRY ABOU 16 AUGUST.
IMPERATIVE HE BE ADVISED BY AUBURN PRIOR TO THIS DATE
IF HIS SERVICES ARE TO BE EXTENDED. SUGGEST TELEPHONE
MOSS FOR DETAILS. REGRET SHORT-TIME FRAME. RECENT
REVIEWS AND PES CONFIRM THIS ACTION DESIRABLE.

5. MISSION UNDERSTANDS AID/W CENTRAL CONTRACT WITH
AUBURN MIGHT BE AVAILABLE TO FUND GOI REQUEST PROVIDE
2 SHORT-TERM FISHERY CONSULTANTS 1 MONTH PERIOD EACH
FOR TECHNICAL ASSISTANCE MILKFISH MARKETING SURVEY AND
LAKE MANAGEMENT STUDY. THESE SERVICES REQUIRED ASAP.
PLEASE ADVISE.
MASTERS

497 - 0236
Grohn handling

1
Action

CTR

INFO

4-6-8

ASIA

ASIA

CMGT

DS/AGR

FM

8 AUG 1979

UNCLASSIFIED

UNCLASSIFIED
Department of State

INCOMING
TELEGRAM

PAGE 01 JAKART 09859 260611Z
ACTION AID-31

7357
Reading File Record Copy

INFO OCT-01 OES-07 IGA-02 EA-09 /050 W
-----076428 260613Z /23

R 260512Z JUL 77
FM AMEMBASSY JAKARTA
TO SECSTATE WASHDC 2688

UNCLAS JAKARTA 09859

AIDAC

E.O. 11652: N/A

SUBJ: BRACKISH WATER FISHERY PRODUCTION (497-0236)

REF: STATE 172284

1. PAR NO. 77-18 DATED 10 MAY 1977 MADE CASE FOR ONE YEAR EXTENSION OF PROJECT IN PROVINCE OF NORTH SUMATRA USING SERVICES OF INCUMBENT. NO NEW FY FUNDS REQUIRED.

2. ALTHOUGH 497-0236 IS ONE PROJECT, CONDITIONS IN THE TWO PROVINCES F ACEH AND NORTH SUMATRA ARE VERY DIFFERENT. IN ACEH THERE ARE THOUSANDS OF HECTARES OF EXISTING PONDS SO PROJECT THRUST IS PRIMARILY TOWARD INTENSIFICATION OF EXISTING INFRASTRUCTURE.

3. IN NORTH SUMATRA THERE ARE ONLY 365 HA OF PONDS, MOST OF WHICH ARE LESS THAN 2 YEARS OLD. THERE, THE THRUST IS TOWARD EXTENSIFICATION, AND THE PACE IS PERFORCE SLOWER THAN IN ACEH. A NUMBER OF FACTORS HAVE COMBINED TO SLOW THE PACE EVEN FURTHER, CHIEF AMONG THEM BEING THAT THE GOI UNDERESTIMATED THE COST OF CONSTRUCTION OF DEMONSTRATION PONDS, AN ERROR THAT SET THE NORTH SUMATRA TRAINING PROGRAM BACK BY SEVERAL MONTHS.

4. THE GOI FY 1977/78 BUDGET HAS ALLOCATED SUFFICIENT FUNDS TO CONSTRUCT THE DEMONSTRATION PONDS, BUT THEY WILL NOT BE COMPLETED UNTIL NEAR THE END OF CY-1977. A NEW GOI PROVINCIAL PROJECT MANAGER HAS BEEN ASSIGNED, BUT HE IS STILL IN TRAINING IN JEPARA AND WILL NOT BE ON THE JOB FOR ANOTHER MONTH. THE PROJECT WILL COMPLETELY ACCOMPLISH ITS PURPOSE IN ACEH WITHIN THE ALLOTTED TIME FRAME. IN NORTH SUMATRA THE PROJECT PURPOSE CANNOT BE ACHIEVED BY THE ORIGINAL DATE PROPOSED IN THE PP BUT SHOULD BE OBTAINABLE BY NOV. 1979 FOR REASONS STATED ABOVE.

5. REQUESTED PROJECT EXTENSION FOR ONE YEAR NEEDED TO OBTAIN OBJECTIVES FOR ONE OF TWO PROVINCES.
NEWSOM

UNCLASSIFIED

PRO AG

PROJECT AGREEMENT
 BETWEEN THE DEPARTMENT OF STATE, AGENCY FOR INTERNATIONAL DEVELOPMENT (AID)
 AN AGENCY OF THE GOVERNMENT OF THE UNITED STATES OF AMERICA, AND

DEPARTMENT OF AGRICULTURE

4970236 (10)
PD-ADD-813

AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA

Page 1.

Under the terms of the Economic Cooperation Agreement signed October 16, 1950, as amended, and the agreements and provisions noted below, it is agreed to carry out a project in accordance with the terms set forth herein.

☐ Agreement (Specify)
☒ Standard Provisions Annex. ☐ other (Specify)

1. Project No. 497-0236 2. Agreement No. PY 77-3 ob1. 70034 3. Original ☒ or Revision No. 101

4. Project/Activity Title BRACKISH WATER FISHERY PRODUCTION 5. Project Descrip. (Annex A)

6. Appropriation 72-11X1023 7. Allotment 402-50-497-00-69-71

B. AID DOLLAR FINANCING (Com Component)	PREVIOUS TOTAL (A)	INCREASE (B)	DECREASE (C)	TOTAL TO DATE (D)
a. PERSONNEL COSTS				
(1) U.S.				
PASA				
Contract		\$ 90,708		\$ 90,708
(2) LOCAL AND TCN				
PASA				
Contract				
b. PARTICIPANTS				
AID DIRECT		\$ 9,500		\$ 9,500
PASA/Contract				
c. COMMODITIES				
AID Direct				
PASA/Contract				
d. OTHER COSTS				
AID Direct				
PASA/Contract				
e. TOTAL (ALL COSTS)		\$ 100,208		\$ 100,208
9. LOCAL CURRENCY FINANCING (\$1.00 = Rupiah)	////	////	////	////
a. U.S. - OWNED RUPIAH				
b. *GOI TRUST FUND (AID ADM) (\$ equivalent)		\$ 19,059		\$ 19,059
c. *GOI - (SHOW SOURCE BELOW) (\$ equivalent)		\$ 292,000		\$ 292,000

10. REFERENCES AND REMARKS The cooperating Government Agency agrees to execute assignment to AID, upon request, of any cause of action which may accrue to the cooperating Government Agency in (con'd on page 2)

11. DATE OF ORIGINAL AGREEMENT December 28, 1976 12. DATE OF THIS REVISION 13. ESTIMATED FINAL CONTRIBUTION DATE December 28, 1979

14. FOR THE COOPERATING GOVERNMENT OR AGENCY
 Major General Panuel Joe

15. FOR THE AGENCY FOR INTERNATIONAL DEVELOPMENT
 Thomas C. Niblock

SIGNATURE *[Signature]*
 TITLE Sec. Gen. for Agriculture

SIGNATURE *[Signature]*
 TITLE DIR, USAID/Indonesia DATE 12/28/76

[Signature]
 Inan Sardjono
 Director General of Fisheries

USAID
 CLEARANCE
 PRO
 MGT

AGR
[Signature]

AID 1530-1A (8-70) PRO AG CONTINUATION SHEET ANNEX A	PROJECT AGREEMENT BETWEEN AID AND DEPARTMENT OF AGRICULTURE AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	1. Project/Activity No. 497-0236 2. Agreement No. FI 77-3 obl. 70034 3. Project/Activity Title BRACKISH WATER FISHERY PRODUCTION	PAGE 2 OF 5 PAGES 3. <input checked="" type="checkbox"/> Original or Revision No.
---	---	---	---

Block 10 Reference and Remarks (continued)

connection with or arising out of the contract performance or breach of performance by a party to the direct contract with AID, financed in whole or in part out of funds provided by the United States Government under this Agreement.

* GOI budgets for GOI fiscal year 1977/1978 are shown for illustrative purposes. An amendment to this ProAg will be issued May, 1977, and officially incorporate the funds for this Agreement.

PROJECT DESCRIPTION

I. Project Purpose

Increase brackish water/inland fisheries (tambak) production in seven kabupaten in the provinces of Aceh and North Sumatra and the creation of an infrastructure base upon which tambak area expansion can take place.

II. Status of Project

This Project is a former subproject under the Assistance to Agriculture project. This is the second year of funding for the project. The following actions were taken in FY-76:

- A. AID contracted for and obtained the services from Auburn University of a team of project technicians, who are in country.
- B. The Directorate General of Fisheries (DGF) negotiated a long and short term loan program with the Bank Rakyat Indonesia (BRI), and this program has been agreed to.
- C. The DGF received assurances from the GOI that fertilizer will be available for the tambak operators at commercial rates.
- D. The DGF held discussions with the provincial officials on the project content and has received assurances that support will be forthcoming on the question of land zoning and title (letters) issuance.

BEST AVAILABLE DOCUMENT

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
TITLE: _____

SIGNATURE: _____ DATE: _____
TITLE: _____

AID 1985-1A (2-70) PRO AG CONTINUATION SHEET ANNEX <u>A</u>	PROJECT AGREEMENT BETWEEN AID AND DEPARTMENT OF AGRICULTURE AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	1. Project/Activity No. 497-0236 2. Agreement No. FY 77-3 of L. 7003L 3. Project/Activity Title BRACKISH WATER FISHERY PRODUCTION	PAGE 3 OF 5 PAGES 3.1.1. Coordinator Revision 1
--	---	--	---

E. The DGF has received clearance for the project and the projected budget from the GOI Central Planning Bureau (BAPPENAS).

F. AID has procured necessary commodities for the project.

G. DGF has completed in-country training requirements.

H. Participants have been selected for U.S. training long term participants are in training in the Philippines, and short term participants have completed training in the Philippines.

III. Conditions to be Expected at the End of Project

A. Increased fisheries production in the project area, amounting to 4,600 tons per year.

B. GOI physical or organizational infrastructure in place and functional to handle intensification of the remaining 12,300 ha of current tambak and capable of providing extensification guidance and program support to bring into production the remaining lands in the project area that are zoned for tambak culture.

C. Increased farmer net income and added opportunities for productive farm labor and opportunities provided for non-farm laborers in the project areas.

IV. Inputs

A. The Government of the Republic of Indonesia agrees to provide the following GOI FY 1977/1978 budget items and/or actions:

1. Staff: Two provincial program supervisors with education level of Ir. or B.Sc. One man will be stationed in each province and will counterpart the technical advisors. Sixteen PFUD staff and Forty TIA staff.

2. PFUD construction expansion costs.

3. Budget for operations, including offices, warehouse, and equipment operations.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
 TITLE: _____

SIGNATURE: _____ DATE: _____
 TITLE: _____

AID 1220-1A (2-72) PWD AG CONFIRMATION 3 ANNEX A	PROJECT AGREEMENT BETWEEN AID AND DEPARTMENT OF AGRICULTURE AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	1. Project/Activity No. 497-0236 2. Agreement No. NY 77-3 051. 7003b 3. Project/Activity Title BRACKISH WATER FISHERY PRODUCTION	PAGE 4 OF 5 PAGES 3. <input checked="" type="checkbox"/> Original or Revision No.
---	---	---	---

4. Provide budget and arrange for evaluations and support of short term advisors.

5. Maintain negotiations with the BRI, provincial government, and the chemical industry to insure required inputs are available to the farmers in a timely manner.

6. International travel for U.S. and third-country participant training.

B. The U.S. Government, through the Agency for International Development, agrees to provide:

1. Technical Assistance

USAID will provide, through Auburn University, technical services of two aquaculture fishery specialists on a full time basis, and up to 12 man/months of consulting services, as required in the fields of programming, marketing, and communications materials.

2. Participant Training

The USAID agrees to continue the participant training grants for the final semester of four M.Sc. degree programs started in prior years and provide second year academic training grants for two additional M.Sc. candidates for training in the U.S. and two for training in the Philippines. (see attachment C for details).

3. Project Manager

The USAID Rural Development Division will designate one of its staff project manager for this project, with responsibility for administration of USAID's support to the project in order to accomplish the overall project objectives through achievement of specific targets. The project manager will maintain continued liaison with the contractor's designated team leader and appropriate Department of Agriculture officials.

For the Cooperating Government or Agency

SIGNATURE: _____ DATE: _____
 TITLE: _____

For the Agency for International Development

SIGNATURE: _____ DATE: _____
 TITLE: _____

AID 1530-1A (U-70) PRO AG CONTINUATION SHEET ANNEX A	PROJECT AGREEMENT BETWEEN DEPARTMENT OF AGRICULTURE	1. Project/Activity No. 497-0236	PAGE 5 OF 5 PAGES
	AN AGENCY OF THE GOVERNMENT OF THE REPUBLIC OF INDONESIA	2. Agreement No. PY 77-3 sub. 7C034	3. 21 Original or Revision No. _____
	3. Project/Activity Title BRACKISH WATER FISHERY PRODUCTION		

C. GOI Rupiah Trust Funds Administreated by USAID

* In accordance with established procedures between the GOI and USAID, trust funds in the amount of Rp. 7,900,000 (\$ 19,059 equivalent) will be provided in GOI fiscal year 1977 - 1978 (See Attachment B for details):

1. To provide for the operation and maintenance of vehicles assigned to the advisors by the project.
2. To provide for the upkeep, renovation, and maintenance of two houses.
3. To provide for the in-country, common-carrier transportation and per diem for the contractor's specialist/consultants.
4. To provide local administrative costs and drivers for the advisors.

* Rp. 17,000,000 of GOI 1976-1977 funds have been carried forwarded and will be utilized in FY-1977.

For the Cooperating Government or Agency

For the Agency for International Development

SIGNATURE: _____ DATE: _____
 TITLE: _____

SIGNATURE: _____ DATE: _____
 TITLE: _____

BEST AVAILABLE DOCUMENT

Attachment A

CONTRACTOR BUDGET (U.S. \$)

	<u>USAYD FY 77</u>
1. Salaries	
a. Long term (2) 36 mo	36,000
b. Consultants 12 mo	18,000
2. Fringe benefits 16%	9,720
3. Post differential 25%	9,000
4. Cost of living (class 1 post)	4,428
5. International travel	13,560
	<hr/>
Total * \$	90,708

* FY-76 funds in the amount of US\$ 248,448 were provided toward a total contract cost of US\$ 339,156. The amount of US\$ 90,708 represents the additional funding required to complete the contract.

BEST AVAILABLE DOCUMENT

Attachment B

THREE YEAR BUDGET (Rp 000)

	GOI FY 77/78
1. Salaries and Wages	
2 secretaries @ 55,000/month	1,980
2 drivers @ 20,000/month (including PD)	720
2. Vehicles/Generators Operations	
2 vehicles - 2 generators (POL - repairs - insurance)	2,500
3. Supplies	
50,000/year: misc	150
4. Housing	
a. Furnishings	3,100
b. repair/maintenance	540
c. utilities 180,000 month (2 houses)	2,880
5. Travel	
a. Staff	
9 trips to site @ 120,000	1,080
4 Jakarta @ 240,000	960
b. Per diem Consultants 4 Jakarta/site	960
6. Per Diem	
a. Staff	2,500
b. Consultants	3,316
7. Equipment	1,000
8. Contingencies	3,214
	<u>24,900</u>
	* Total

* Rp. 17,000,000 brought forward from GOI FY 1976-1977. An additional
Rp. 7,900,000 to be budgeted in GOI FY 1977-1978

PARTICIPANT TRAINING

A. Continuing Participants (Long Term)

1. Dadi Hidayat Jusuf	M.Sc. Development Economics	\$ 4,750	
2. Soetanto Hardjoloekito	M.Sc. Marketing		(11,400)
3. Firdaus Maharuddin	M.Sc. Development Economics		(5,700)
4. Bambang Wahyudi	M.Sc. Agriculture Statistics	4,750	
		<hr/>	<hr/>
	Subtotal	\$ 9,500	(*17,100)

B. New Academic

1. Untung Wahjono	M.Sc. Economic Resources (U.S.)		(11,400)
2. Hoediono Hediwardaya	M.Sc. Marketing (U.S.)		(11,400)
3. Saleh Samsi	M.Sc. Aquaculture (Phil.)		(5,300)
4. Foad Cholik	M.Sc. Extension Materials (Phil.)		(5,300)
			<hr/>
	Subtotal		(*33,400)

* Funds to be obligated in the third and fourth quarters, FY-1977

**PROAC
STANDARD
PROVISIONS
ANNEX**

**PROJECT AGREEMENT
BETWEEN AID AND
The Department of Agriculture
AN AGENCY OF THE GOVERNMENT OF
THE REPUBLIC OF INDONESIA**

1. Project/Activity No.
497-0236

2. Agreement No.
FY 77-3 Obj. 70034

3. ☒ Original or Revision No.

A. As used herein, the term "AID" refers to the Agency for International Development, any component agency, or any successor agency. References to "this Project Agreement" shall mean the original Project Agreement as modified by any revisions which have entered into effect.

B. (1) AID will make available the amounts specified in Block 8 of this Project Agreement, as necessary for the project, for use for the designated purposes and as may be further described in Annex A, as required by Block 5 hereof. In addition, as may be further specified in Annex A, AID will, subject to the availability of funds and (where required by AID procedures) as provided for in Project Implementation Orders (PIOs) issued by AID in accordance with its procedures, make available funds (a) to pay costs of furnishing technical services to be performed by United States Government employees in connection with the project, (b) to pay a share of the costs of providing training outside the cooperating country in connection with the project for qualified persons from the cooperating country, and (c) to pay such additional costs as may be specified.

(2) The Cooperating Government Agency will make available the amounts specified in Block 9 of this Project Agreement, as necessary for the project, for use for the designated purposes and as may further be described in Annex A. The Cooperating Government Agency will also make, or arrange to have made, additional contributions of property, services, facilities and funds required for carrying out the project as may be specified in Annex A, or as may subsequently be agreed upon by the two parties.

C. AID and the Cooperating Agency may obtain the assistance of other public and private agencies in carrying out their respective obligations under this Project Agreement. The two parties may agree to accept contributions of property, services, facilities and funds for purposes of this Project Agreement from other public and private agencies, and may agree upon the participation of any such third party in carrying out activities under this Project Agreement.

D. AID shall not be required to make any contribution after the expiration of six months following the estimated final contribution date (Block 13 of the Project Agreement form AID 1330-1) or any amended final contribution date specified herein. Except as otherwise specified herein or subsequently agreed

by the parties, all contributions of the Cooperating Agency pursuant to this Project Agreement shall be made on or before said estimated termination date, or amended date. A contribution of goods or services shall be considered to have been made when the goods or services, provided or financed by the contributing party, are delivered in accordance with commercial practice.

E. The procurement of commodities and contract services to be financed in whole or in part by AID may (where so required by AID procedures) be undertaken only pursuant to PIOs issued by AID in accordance with its procedures.

F. Unless otherwise specified in the applicable PIO, the procurement of commodities financed with the AID contribution referred to in Block 8 of this Project Agreement shall be subject to the provisions of AID Regulation 1.

G. Unless otherwise specified in the applicable PIO, title to all property procured through financing by AID pursuant to Block 8(c) of this Project Agreement shall be in the Cooperating Agency, or such public or private agency as it may authorize. This provision is inapplicable to any property which may be used in connection with the project but is not financed pursuant to said Block 8(c).

H. Any property furnished to either party through financing by the other party pursuant to this Project Agreement shall, unless otherwise agreed by the party which financed the procurement, be devoted to the project until completion of the project, and thereafter shall be used so as to further the objectives sought in carrying out the project. Either party shall offer to return to the other, or to reimburse the other for, any property which it obtains through financing by the other party pursuant to this Project Agreement which is not used in accordance with the preceding sentence.

I. (1) If AID and any public or private organization furnishing commodities through AID financing for operations hereunder in the cooperating country, is, under the laws, regulations or administrative procedure of the cooperating country, liable for customs duties or import taxes on commodities imported into the cooperating country for purposes of carrying out this Project Agreement, the Cooperating Agency will pay such duties and taxes unless exemption is otherwise provided by an applicable international agreement.

(2) If any personnel (other than citizens and residents of the cooperating country), whether United

States Government employees, or employees of public or private organizations under contract with, or individuals under contract with, AID, the Cooperating Agency or any agency authorized by the Cooperating Agency, who are present in the cooperating country to provide services which AID has agreed to furnish or finance under this Project Agreement, or, under the laws, regulations or administrative procedures of the cooperating country, liable for income and social security taxes with respect to income upon which they are obligated to pay income or social security taxes to the Government of the United States of America, for property taxes on personal property intended for their own use, or for the payment of any tariff or duty upon personal or household goods brought into the cooperating country for the personal use of themselves and members of their families (not including such personal or household goods as may be sold by any such personnel in the cooperating country), the Cooperating Agency will pay such taxes, tariff, or duty unless exemption is otherwise provided by any applicable international agreement.

J. Any personnel (other than citizens and residents of the cooperating country), whether United States Government employees, or employees of public or private organizations under contract with, or individuals under contract with, AID, the Cooperating Agency or any agency authorized by the Cooperating Agency, who are present in the cooperating country to provide services which AID has agreed to furnish or finance under this Project Agreement shall be subject to the approval of the Cooperating Agency and AID, and shall be under the general direction of the Director of the Mission to the cooperating country.

K. If any commodity is furnished to the Cooperating Agency, or any public or private agency authorized by the Cooperating Agency, on a grant basis through financing by AID pursuant to this Project Agreement under arrangements which will result in the accrual of proceeds to the Cooperating Agency or any authorized agency and if the applicable agreement between the two governments referred to on the first page of this Project Agreement does not provide for the establishment of a Special Account and the deposit therein of currency of the cooperating country, the Cooperating Agency will make such arrangements as may be necessary to establish a Special Account and to deposit therein currency of the cooperating country in amounts equal to such proceeds, in accordance with such terms and conditions as may be agreed upon. Funds in the Special Account may be used only as agreed upon by AID and the Cooperating Agency; provided, that such portion of the funds in the Special Account as may be designated by AID shall be made available to AID to meet the requirements of the United States.

L. The Cooperating Agency will make such arrangements as may be necessary so that funds intro-

duced into the cooperating country by AID or any public or private agency for purposes of carrying out obligations of AID hereunder shall be convertible into currency of the cooperating country at the highest rate which, at the time the conversion is made, is not unlawful in the cooperating country.

M. AID shall expend funds and carry on operations pursuant to this Project Agreement only in accordance with the applicable laws and regulations of the United States Government.

N. The two parties shall have the right at any time to observe operations carried out under this Project Agreement. Either party during the term of the Project and three years after the completion of the project, shall further have the right (1) to examine any property procured through financing by that party under this Project Agreement, wherever such property is located, and (2) to inspect and audit any records and accounts with respect to funds provided by, or any properties and contract services procured through financing by, that party under this Project Agreement, wherever such records may be located and maintained. Each party, in arranging for any disposition of any property procured through financing by the other party under this Project Agreement, shall assure that the rights of examination, inspection and audit described in the preceding sentence are reserved to the party which did the financing.

O. Upon completion of the project, a Completion Report shall be drawn up, signed by appropriate representatives of AID and the Cooperating Agency, and submitted to AID and the Cooperating Agency. The Completion Report shall include a summary of the actual contributions by both AID and the Cooperating Agency to the project, and shall provide a record of the activities carried out, the objectives achieved, and related basic data. AID and the Cooperating Agency shall each furnish the other with such information as may be needed to determine the nature and scope of operations under this Agreement and to evaluate the effectiveness of such operations.

P. The present Agreement shall enter into force when signed. Either party may terminate this Project Agreement by giving the other party 30 days written notice of intention to terminate it. Termination of this Project Agreement shall terminate any obligations of the two parties to make contributions pursuant to Blocks 8 and 9 of this Project Agreement, except for payments which they are committed to make pursuant to non-cancellable commitments entered into with third parties prior to the termination of the Project Agreement. It is expressly understood that the obligations under paragraph H relating to the use of property shall remain in force after such termination.