

duplicate

932-0-976

93209/96 (2) S

I. PROJECT TITLE
 PD-AAD-448-61
 International Seminars on Population Policy Analysis
 A.I.D. Reference Center

APPENDIX ATTACHED
 YES NO
 PROJECT NO. (M.O. 1095.2)
 931-11-570-976
 SUBMISSION ORIGINAL DATE 3/13/72
 DATE
 CONTRACT NO. 16p

8. RECIPIENT (specify)
 COUNTRY WORLDWIDE
 REGIONAL INTERREGIONAL

4. LIFE CYCLE
 BEGINS FY 1972
 ENDS FY 1975

II. FUNDING (\$000) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$ (thou)	C. PERSONNEL		D. PARTICIPANTS		E. COMMODITIES \$	F. OTHER COSTS \$	G. PASA/CONTR.		H. LOCAL EXCHANGE CURRENCY RATE: \$ 1.00 (U.S. OWNED)		
		(1) \$	(2) MM	(1) \$	(2) MM			(1) \$	(2) MM	(1) U.S. GRANT LOAN	(2) COOP COUNTRY	(A) JOINT
1. PRIOR THRU ACTUAL FY												
2. OPRN FY												
3. BUDGET FY '72	\$317											
4. BUDGET +1 FY												
5. BUDGET +2 FY												
6. BUDGET +3 FY												
7. ALL SUBQ. FY												
8. GRAND TOTAL	\$317											

9. OTHER DONOR CONTRIBUTIONS

(A) NAME OF DONOR	(B) KIND OF GOODS/SERVICES	(C) AMOUNT

III. ORIGINATING OFFICE CLEARANCE

1. DRAFTER TA/POP, Thomas C. Lyons, Jr.	TITLE Deputy Chief, A & E Division	DATE 4/10/72
2. CLEARANCE OFFICER TA/POP, R. T. Ravenholt	TITLE Director, Office of Population	DATE 4/11/72

IV. PROJECT AUTHORIZATION

1. CONDITIONS OF APPROVAL

Sites for seminars must meet Regional Bureau and Mission clearances prior to implementation.

2. CLEARANCES

BUR/OFF.	SIGNATURE	DATE	BUR/OFF.	SIGNATURE	DATE
SA/IR/TECH	Robert R. Johnson (memo)	3/30/72	SA/VN/ND/HA	Gerald P. Patrick (memo)	4/3/72
ASIA/EA/FP	James K. Shafer	4/6/72	AFR/TAC	Princeton Lyman	
ASIA/TECH	James M. Blume (memo)	4/4/72	AFR/TAC	Julius Prince (memo)	3/30/72
LA/DP	Jack I. Heller (memo)	4/4/72	TA/PM	Steen/Klevick	5/4/72
			AFR/DP	VAL R. Mahon (memo)	n.d.

3. APPROVAL BY DIRECTORS

SIGNATURE	DATE	SIGNATURE	DATE
<i>[Signature]</i>	<i>[Date]</i>		

4. APPROVAL A/AID (See M.O. 1025.1 VIC)

SIGNATURE	DATE
<i>[Signature]</i>	

TITLE: Associate Asst. Adm., TAB

ADMINISTRATOR, AGENCY FOR INTERNATIONAL DEVELOPMENT

~~SECRET~~ FINAL
~~SECRET~~ (2)

PROP -- International Seminars on Population Policy Analysis

A. The Project Goal

1. Goal Statement. To assist less-developed, aid-receiving countries which have opted for social and human development to consider in their national planning the impact of population dynamics on their development, and to design and implement population policies which will contribute to development goals. This requires adequate knowledge and understanding of the multidimensional aspects of population size, growth rate and distribution.

2. Measurements of Goal Achievement. The objectively verifiable indicators of goal achievement are:

a. an increase in the number of countries which have designed and implemented population policies appropriate to their developmental aspirations.

b. among those countries which have already enunciated population policies, an increase in the number of population-influencing policies and programs.

3. Assumptions about Goal Achievement

a. Increased understanding of population dynamics will result in the creation of population policies where none exist and the improvement or rationalization of policies/programs where they already exist.

b. Wide-spread discussion and dissemination of knowledge about population dynamics will make relevant political elites and policy-makers more knowledgeable, hence, more likely to create new policies or improve existing policies.

B. The Project Purpose

1. Statement of Purpose. To organize and report the results of several (approximately six) international population policy seminars in developing countries for the purpose of broadening the scope of LDC elite involvement in the international population movement. The results of the seminars will be published as a book in time for the August 1974 United Nations World Population Conference.

2. Conditions Expected at End of Project

a. an increased awareness and understanding on the part of political elites and policy-makers of the implications of population dynamics and an increased ability to frame policies for the resolution of implications which inhibit the realization of developmental goals.

b. new insights into the subtle variations among less developed countries with respect both to the socio-economic implications of population policy dynamics and their/responses to those implications.

c. the beginning of the development of new population-influencing policies in several countries, within regions, and through the United Nations, perhaps world-wide.

3. Basic Assumptions about Achievement of Purpose

a. that discussion of and preparation of papers concerning population dynamics and population policy will lead to increased concern on the part of elites and policy-makers about their countries' future development.

b. that such concern will be manifested in attempts to frame policies appropriate to the resolution of newly-perceived problems.

c. that publication of the proceedings of the seminars will result in increased awareness and understanding among the groups which were not involved in the seminars themselves.

d. that AID and the donor community will benefit from increased understanding of the subtleties and complexities of the policy process as it relates to population, and that this community will, as a result, improve its capacity to lend technical and financial assistance in the population field.

C. Project Outputs

1. Outputs and Output Indicators

a. Approximately six seminars will be held. Possible sites are Lagos or Nairobi, ^{new} Delhi, Djakarta, Japan, Colombia, Costa Rica and Turkey. Most of these seminars will be regional, including representatives from countries located in the general area of the seminar site. Participants will come from many different fields, e.g., government policy-makers, scholars, journalists, administrators, businessmen, professionals, ~~et al.~~. Several of the participants in each case will be asked to write papers which will serve as the basis of discussion in some cases and as points of departure in others.

b. The best papers, in most cases revised on the basis of points raised during the seminars, will be collected and incorporated in a very readable, sober, pragmatic and sometimes speculative book on population policy analysis - a sort of handbook of population policies. This book, which is a sequel to Rapid Population Growth, will be timed to appear in conjunction with the United Nations World Population Conference, August 1974.

c. A small fraternity of population analysts in LDCs will have been created. It will provide the nucleus for scholarly and technical follow-up in forthcoming years.

2. Basic Assumptions about the Production of Outputs

a. The proposed contractor, the National Academy of Sciences, has extensive contacts in both the DCs and LDCs that will enable it to recruit the most appropriate seminar participants.

b. The Academy's record in organizing conferences of the sort anticipated here is well-known and uniformly excellent.

c. The publication and distribution of Rapid Population Growth, and its widespread positive reception is testimony to the capacity of the Academy to accomplish the publication task outlined in C.1.b.

D. Statement of Project Inputs

1. AID inputs include a contract with a scientific institution of strong international standing and prestige, a sharing of institutional methodology from AID experience, regular ~~monitoring~~ ^{monitoring} by AID, the designation of overall priorities by PHA/POP, and individual seminar approvals by PHA, POP and the USAIDs.

2. The contractor's inputs will consist of:

a. establishment of a steering committee to oversee the project, composed of (1) eminent LDC and DC scholars and statesmen; (2) staff personnel associated with the Office of the Foreign Secretary, NAS;

(3) the AID Project Manager

b. selection of seminar sites, selection of participants, preparation of background materials, organization and administration of the seminars, reporting of the seminar discussions (transcripts)

c. selection of the best seminar papers, editing of those papers, and production and publication of the volume for World Population Year, 1974.

INTERNATIONAL SEMINARS ON POPULATION POLICY ANALYSIS

Budget - June 1972 - December 1974

	<u>FY 1972</u> <u>7/1/72-</u> <u>6/30/73</u>	<u>FY 1973</u> <u>7/1/73-</u> <u>6/30/74</u>	<u>FY 1974</u> <u>7/1/74</u> <u>12/31/74</u>
<u>Personal Services</u>			
<u>Professional</u>			
Project Director @ 25%	\$ 2,600	\$ 7,750	\$ 7,750
Staff Coordinator @ 100%	5,000	15,000	15,000
<u>Secretarial</u>			
Admin Assistant @ 10%	450	1,350	1,350
Secretary @ 100%	3,000	9,000	9,000
Fringe Benefits @ 12%	1,350	4,000	4,000
<u>Other Personal Services</u>			
Editorial		5,000	500
Translation/Interpreters (\$2,000/seminar)	2,000	8,000	2,000
<u>Travel</u>			
NAS Staff - 6 man trips/year @ \$200	400	1,200	1,600
Steering committee (6 foreign, 6 U.S.) (meet 2-3 days following ea seminar; 6 @ \$225 & 6 @ \$1000)	7,350	29,400	7,350
Project Director & NAS coordinator to seminars (15 days @ ea. seminar site - travel estimate based on seminar location)	3,500	11,900	1,600
Travel Grants for LDC participants (\$500/person)	10,000	35,000	5,000
Communications and Shipping	400	2,000	3,200
Printing and Duplicating	200	1,000	19,000
Materials and Services	200	1,000	2,000
Indirect costs @ 35% (travel grants for LDC participants @ 5%)	<u>9,500</u>	<u>34,600</u>	<u>25,500</u>
TOTAL	\$ 45,900	\$ 166,200	\$ 104,850
GRAND TOTAL		\$317,000	<i>(Rounded)</i>

3. Basic Assumptions About Management of Inputs

- a. that the contractor has established a strong record in the management of similar programs.
- b. that an active and close working relationship through the life of the project will exist between AID and the contractor.
- c. that competent, qualified individuals will occupy key positions in the management of the program, and that AID will approve their selection.
- d. that all associated with the program have broad international experience.

E. Rationale

The hypothesis underlying this proposal is the following: A major obstacle to the creation of adequate population policies and programs is the relative ignorance on the part of many key decision-makers regarding the socio-economic and political consequences of demographic change. It follows that a broader understanding of these relationships on the part of decision-makers would lead to their determination to develop policies which take account of demographic variables more systematically than is the case now. The proposed program aims to provide a forum for the education of political elites and policy-makers in a manner that will not cause them to feel "preached at." Rather, they will be active participants in an intellectual environment in which their opinions will be actively sought and carefully received. Moreover, a certain aura of moral suasion will be associated with the seminars and the subsequent book that will cause nonparticipating elites to think anew about their countries' population environments.

In broadest terms, it is believed that a bringing together of many of the social and political leaders of the Third World to discuss population in an environment that is free of ideological tensions will have an educative effect that will be manifested in more adequate population-influencing policies and programs than presently exist.

F. Course of Action

1. Implementation Plan

- a. Create a Steering Committee including members from less developed countries.
- b. Devise a policy analysis checklist agenda for all participants.
- c. Solicit informal papers for each seminar.
- d. Later, solicit papers to identify areas not covered or adequately reported/discussed in the seminars.
- e. Enlist LDC members of the Steering Committee to serve as chairmen of the seminars in LDCs.
- f. Report the results of each seminar through a thoroughly competent rapporteur-writer-staff member.
- g. Present post-mortem reports of each seminar prepared by the chairman and the Steering Committee to fill gaps in the book and augment the skeleton chapters in draft as seminars proceed.
- h. Produce the book, entitled Population Policy Analysis. It will be a compendium of case histories; speculative ideas; evaluations of existing, proposed and terminated programs; needs and hopes for the future.
- i. Give the book maximum feasible intensive (to policy-makers and "influentials") and extensive distribution prior to the World Population Conference.

The contractor will evaluate each seminar by observation, verbal and written comments at the close of each seminar, by follow-up visits and written communications, and by comments made to the contractor by AID field and Washington personnel. A select number of participants will over a period of time be contacted by the contractor to estimate and evaluate the usefulness of the seminars. This evaluation will be an on-going process undertaken and carried out by the contractor, whose findings will be reported to the AID Project Manager. Following the World Population Conference in 1974, the contractor will selectively evaluate the use of the book prepared under this contract by participants at the conference.

2. Narrative Statement

a. The following attachments to this PROP may prove useful in the review/clearance process:

- (1) Draft Outline of AID Population Policy Analysis Seminars
- (2) December 15, 1971 letter to NAS from AA/TA, J. Bernstein.

RAFT OUTLINE OF AID POPULATION POLICY ANALYSIS SEMINARS

Ref: Letter of December 15, 1971 from Joel Bernstein, AID, to Harrison Brown, NAS

OBJECTIVES:

- 1 - Stimulate thinking about population policy in less developed countries.
- 2 - Identify people and institutes with long term potential for population policy research and analysis.
- 3 - Prepare a book for World Population Year '74.

CONSTRAINTS:

- 1 - The project will require multi-level participation, i. e. government policy makers, executors, scholars, journalists et al. It has a high potential for embarrassment of any or all participants but only to a degree of inconvenience. It can be a whitewash of policy failures, or support old shibboleths. The image of white rich people promoting family planning among black/yellow and poor people is more unpleasant.
- 2 - Non-comparability of data among countries, the mix of scholars, administrators, policy makers, critics, the time, language and nationalism factors weigh against a coherent report unless it is carefully controlled.
- 3 - AID and we agree on the desirability of a low U. S. profile - heavy reliance on less developed country participants.
- 4 - Problems of book and paper production plus the above suggest the need for a unique and unconventional approach.

STRUCTURE AND PROCESS:

- Create an NAS Steering Committee including members from less developed countries.
- Devise a policy analysis checklist agenda for all participants. Use the policy analysis checklist as the chapter headings of "the book."
- Solicit informal papers for each seminar.
- Later solicit papers to identify areas not covered or adequately reported on in the seminars.
- Use the less developed country members of the Steering Committee to chair the seminars in less developed countries.
- Reporting of each seminar should be by thoroughly competent rapporteur - writer-staff member.
- The seminar chairman and Steering Committee should with post-mortem reports of each seminar seek to fill the gaps in the book - and augment the skeleton chapters in draft as seminars proceed.

THE PRIMARY PRODUCT:

The Book - "Population Policy Analysis"

A handbook of case histories, speculative ideas, evaluations of existing, proposed and terminated programs, needs and hopes for the future.

Its Purpose

To assist policy makers and scholars analyze the population policy needs of their own countries - and to make clear the inter-related nature of population with much of the development process.

Its Audience (in order of importance)

- 1) Less developed country policy makers and executors
- 2) Aid and technical assistance donor administrators and institutions
- 3) The political leaders of less developed countries
- 4) Scholars of the population policy process

Its Format and Style

A carefully, very readable, cheaply produced and widely distributed book for the layman; sober, not pedantic - pragmatic, not scholarly, impressionistic and speculative when necessary, not a weighty statistical tome. Use of high quality graphics, photos, simple charts, figures and tables.

THE SECONDARY PRODUCT:

- The creation of a fraternity of population policy analysts who will provide a nucleus for both technical and scholarly follow-up in forthcoming years.
- Stimulation of critical interest in the '74 conference.

THE CONTRIBUTION OF OTHER INSTITUTIONS:

Around the world the project will require 3-4 key institutional collaborators - (e.g. Indonesia - LIPI, Africa - the East African Academy, India - INSA) which will sponsor local seminars. Geographic regions and specific countries for consideration are:

Lagos or Nairobi
(to include e.g.)

Ghana, Nigeria, Congo,
Ethiopia, East Africa,
(Egypt ?), Malawi,
Tanzania

Delhi

India only

Djakarta

Indonesia only

Japan	Thailand, Korea, Taiwan, Japan
Colombia	Colombia, Peru, Chile, Bolivia, Argentina, Brazil
Costa Rica	Guatemala, Mexico, Panama, Costa Rica, Jamaica, Trinidad
Turkey	Turkey, (Egypt?), or Yugoslavia, Pakistan, Iran, Israel

The Canadian International Development Research Center, IPPF and other international family planning institutions can also be enlisted as collaborators to identify people and institutions working in the policy area.

TIMING AND STEPS:

- 1 - Identify and invite the Steering Committee including its international members.
- 2 - Identify and recruit the junior staff member.
- 3 - Construct the policy analysis checklist-cum-book outline. This is probably the Steering Committee 's most critical task - a draft from which to take off is in preparation.
- 4 - Begin negotiating arrangements with a publishing house.
- 5 - Correspond with and visit selected collaborating institutions to set the stage for seminars.
- 6 - Identify seminar participants, correspond with them, solicit their participation and develop the theme each will address, request the informal paper.

Note: This approach should stress the potential for anonymous evaluative analysis or the possibility of publication (either in

the book or a journal) whichever the recipient of the invitation prefers. It will be necessary to make arrangements with some journals to consider manuscripts from unknowns.

- 7 - Schedule the seminars so as to have post-mortem meetings after each and apply the results to the next seminar.
- 8 - Commence writing the book using material from each seminar and informal papers; the Steering Committee will be expected to participate as well as oversee.
- 9 - Encourage collaborating institutions to engage in their own population year policy programs. This should be carefully dovetailed to UNESCO - WHO - UNDP - UN projects and programs.
- 10 - Have a finished manuscript by January '74 - assuming publishers are prepared to handle it in six months or back off to September 30, 1973 at the earliest.

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May 1, 1972

MEMORANDUM TO: AA/TA, Mr. S. H. Butterfield

FROM: TA/PM, K. S. Levick S/

SUBJECT: PROP Approval and Project Authorization --
International Seminars on Population Policy Analysis

This project proposes the funding of international population seminars (approximately six) in LDCs to broaden the scope and understanding on the part of LDC policy makers and political elites of the population policy needs of their own countries and the socio-economic implications of population dynamics. The proceedings of these seminars will be published in a book to be used in conjunction with the United Nations World Population Conference in August 1974. These conferences will be held in various regions over a period of 18 months and will include participants from varied fields, e.g., government policy makers, scholars, journalists, administrators, et. al. This project will be implemented through a contract with the National Academy of Sciences which will be responsible for organizing and implementing the conferences and the production and publication of the book. The proposed contractor will also undertake a follow-up evaluation of selected participants at the termination of the seminars.

Regional bureaus have approved the PROP subject to a variety of conditions which are described in attached memoranda. TA/POP Thomas Lyons memo of April 11 (also attached) summarizes actions which either have been taken or will be taken pursuant to the various conditions of regional bureau approval.

TA/PM recommends approval of this project. Your signature on the attached Project Authorization will indicate approval of this project.

Attachments: a/s

5 R/C
TA/PM:SRSharp:alr:5/1/72