

9320863(2)
PO-ADD-399-81

NONCAPITAL PROJECT PAPER (PROP)

COUNTRY: WORLDWIDE

PROJECT NO: 931-11-570-863

SUBMISSION DATE: May 14, 1970

REVISION: No. 1

8p

PROJECT TITLE: PROGRAMMATIC GRANT TO THE POPULATION COUNCIL
GRANT No. AID/csd-2508

U. S. OBLIGATION SPAN: FY 1969 - FY 1973

PHYSICAL IMPLEMENTATION SPAN: FY 1969 - FY 1974

GROSS LIFE- OF- PROJECT FINANCIAL REQUIREMENTS:

U. S. DOLLARS.....

SUMMARY

The purpose of this document is to revise the original PROP, dated May 23, 1969 to incorporate a slight revision of the categories of activities eligible for funding under the grant, to present a new budget and to extend the life of the project to June 30, 1973.

FY 1969 Funds

The Programmatic Grant to the Population Council has been in operation since June 30, 1969. The list of nine categories for which the Population Council has allocated FY 1969 funds, together with the list of projects approved, deferred and disapproved, is shown on Table A. It also shows the funds allocated to the various categories in calendar years 1969 and 1970. Funds were tentatively budgeted for nine categories of activities in 1969 but projects were proposed, approved, and implemented in only four during this initial period; these four categories were: (1) Information and Communication, (2) Education and Population, (3) Graduate Fellowship and (4) Information Service.

Progress in 1969

As shown on Table A nine projects were approved during the year, one was deferred, one was disapproved (the NESA Bureau recommended it be funded under its proposed Programmatic Grant to the Population Council) and another was disapproved because the project was primarily focused on the training of Americans. The cost of the nine approved projects amounted to \$827,938 plus an overhead charge of \$81,966 for a total of \$909,904 of the \$1,000,000. The Council intends to submit a proposal to utilize available funds by June 30, 1970 authorized under the FY 1969 Grant to the Population Council. / Details are shown on Table A.

FY 1970 Funds

For 1970, six of the nine categories approved for funding in FY 1969 will be re-funded and a new category "Evaluation Studies" is added. As in 1969, these categories identify illustrative types of activities eligible for funding under the grant.

The new category "Evaluation Studies" includes such subjects as effectiveness of the population/family planning program as regards the number of births prevented and the cost of births prevented; relative effectiveness of different categories of family planning workers; the relative effectiveness of the different types of contraceptives in a given environment and culture; etc. Activities in these areas are considered important to the program because information and experience are needed to assure that AID assistance is given for high priority purposes and used in the most efficient manner.

Recommendations for 1970 and the Future

The Population Council has requested funds amounting to \$1,000,000 for FY 1970 and has requested that the Grant be extended to June 30, 1973. A copy of this request is attached.

TA/POP recommends that this project be approved for the \$1,000,000 as requested and that the project be extended as requested. The Council has demonstrated that it can ably carry out its responsibilities under the Grant. There have been some delays in implementing the projects because the Council is guided by policies and programs established by its Board of Trustees which meets four times a year but this has not impaired project objectives. The Council did request approval of projects to the full extent of FY 1969 funds available. However, because A.I.D. disapproved one proposal and A.I.D. decided to consider the funding of two other proposals under the NESA Bureau Programmatic Grant to the Council, AID's approval was delayed. Under the FY 1970 revised grant, the areas of activity by the Council will be changed to the extent that 3 of the 9 categories approved for funding in FY 1969 are eliminated (Economic Aspects of Population, Institutional Support, Production and Distribution of Contraceptive Materials) since they will be supported by other sources of funds, and a new category, "Evaluation Studies", is added because of the importance of this area of activities to our program.

TABLE A
TABLE OF POPULATION COUNCIL PROJECTS SHOWING
BUDGET ALLOCATIONS BY CATEGORIES FOR 1969 and 1970 and
APPROVED, DEFERRED AND DISAPPROVED PROJECTS FOR 1969 FUNDS

CATEGORY AND PROJECT	1969 Funds			1970 Funds
	Budgeted	Apprvd	Defer'd	Dis-Apprvd
1. <input checked="" type="checkbox"/> <u>Information and Communication</u>	\$150,000			
<input checked="" type="checkbox"/> a. Cornell Univ - evaluate and create educational motivational materials		25,000		
b. Iran project to test means of communications			58,300	
2. <u>Economic Aspects of Population</u>	150,000	-	-	-
<input checked="" type="checkbox"/> 3. <u>Education and Population</u>	150,000			150,000
a. Incorporate population materials into curriculum of LDCs		26,500		
b. IIEP study - relation of family planning to education		47,500		
c. Cornell Univ to develop "game" as means of instruction				27,687
d. Univ of Chicago IIEC workshop		18,000		
e. Columbia Univ to develop materials for population instruct		38,600		
<input checked="" type="checkbox"/> 4. <u>Graduate Fellowship</u>	200,000	174,338	-	-
5. <u>Institutional Support</u>	100,000	-	-	-
<input checked="" type="checkbox"/> 6. <u>Population Policy</u>	75,000	-	-	75,000
a. Study by Dr. Weiner in India				10,983
<input checked="" type="checkbox"/> 7. <u>Demographic Study</u>	75,000	-	-	-
50,000				50,000
<input checked="" type="checkbox"/> 8. <u>Information Service</u>	50,000			
a. Support of Series: Studies in Family Planning		30,000		
b. Basic Book Program		50,000		
c. French Translations		418,000		
9. <u>Production and Distribution of Contraceptive Materials</u>	50,000	-	-	-
<input checked="" type="checkbox"/> 10. <u>Evaluation Studies</u>				150,000
Sub-total	\$1,000,000	\$827,938	\$58,300	\$38,670
Overhead (9.9%)		81,966		*
TOTAL	\$1,000,000	\$909,904	\$58,300	\$38,670
Balance **		\$ 90,096		\$1,000,000

*Overhead charges to be included and part of the \$1,000,000 grant.

** The Council intends to submit a proposal to utilize this balance.

*Proj. 9320863
PA-*

PROJECT AUTHORIZATION

1. PROJECT NUMBER 931-11-570-863	3. COUNTRY Technical Assistance Bureau	TA 0086 Amend. No. 2
2. PROJECT TITLE Programmatic Grant to the Population Council		5. AUTHORIZATION DATE
		6. PROP DATED May 14, 1970

7. LIFE OF PROJECT

a. Number of Years of Funding: 2
Starting FY 19 69; Terminal FY 19 71

b. Estimated Duration of Physical Work
After Last Year of Funding (in Months): 12 months

FUNDING BY FISCAL YEAR (in U.S. \$ or \$ equivalent)	DOLLARS (in 000s)		P.L. 480 CCC + FREIGHT	LOCAL CURRENCY Exchange Rate: \$1 =			
	GRANT	LOAN		U.S. OWNED		HOST COUNTRY	
				GRANT	LOAN	JOINTLY PROGRAMMED	OTHER
Prior through Actual FY 1969	1,000						
Operational FY 1970							
Budget FY 1971	1,000						
B + 1 FY							
B + 2 FY							
B + 3 FY							
All Subsequent FY's							
TOTAL	2,000						

9. DESCRIBE SPECIAL FUNDING CONDITIONS OR RECOMMENDATIONS FOR IMPLEMENTATION, AND LIST KINDS AND QUANTITIES OF ANY P.L. 480 COMMODITIES

10. CONDITIONS OF APPROVAL OF PROJECT

Amendment No. 1 to this Project Authorization approved \$1,000,000 for funding in FY 1970. Amendment No. 2 extends this authorization into FY 1971. Prior to any future commitment of funds (beyond FY 1971) to Population Council, a Life-of-Project PROP must be prepared.

(Use continuation sheet if necessary)

11. Approved in substance for the life of the project as described in the PROP, subject to the conditions cited in Block 10 above, and the availability of funds. Detailed planning with cooperating country and drafting of implementation documents is authorized.

This authorization is contingent upon timely completion of the self-help and other conditions listed in the PROP or attached thereto.
This authorization will be reviewed at such time as the objectives, scope and nature of the project and/or the magnitudes and scheduling of any inputs or outputs deviate so significantly from the project as originally authorized as to warrant submission of a new or revised PROP.

A.I.D. APPROVAL  Joel Bernstein SIGNATURE AA TA, Assistant Administrator TITLE	CLEARANCES		DATE
	Regional Bureaus (project review)		4/7/70
	TA/POP Backlund (P. Kean)		7/14/70
	TA/PM Kean/KLevick		9/14/70
	A/CONT		

Project file

PROJECT AUTHORIZATION

1. PROJECT NUMBER 931-11-570-863	3. COUNTRY Technical Assistance Bureau	4. AUTHORIZATION NUMBER TA 0086 Amend No. 1
2. PROJECT TITLE Programmatic Grant to the Population Council		5. AUTHORIZATION DATE
7. LIFE OF PROJECT a. Number of Years of Funding: <u>2</u> Starting FY 19 <u>69</u> ; Terminal FY 19 <u>70</u>		6. PROP DATED May 14, 1970
A.I.D. Reference Center Room 1656 NS		
b. Estimated Duration of Physical Work After Last Year of Funding (in Months): <u>eleven</u>		

FUNDING BY FISCAL YEAR (in U.S. \$ or \$ equivalent)	DOLLARS (in 000s)		P.L. 480 CCC + FREIGHT	LOCAL CURRENCY Exchange Rate: \$1 =			
	GRANT	LOAN		U.S. OWNED		HOST COUNTRY	
				GRANT	LOAN	JOINTLY PROGRAMMED	OTHER
Prior through Actual FY 1969	1,000						
Operational FY 1970	1,000						
Budget FY							
B + 1 FY							
B + 2 FY							
B + 3 FY							
All Subsequent FY's							
TOTAL	2,000						

9. DESCRIBE SPECIAL FUNDING CONDITIONS OR RECOMMENDATIONS FOR IMPLEMENTATION, AND LIST KINDS AND QUANTITIES OF ANY P.L. 480 COMMODITIES

10. CONDITIONS OF APPROVAL OF PROJECT

In accordance with the recommendations of the Project Review Committee as approved by Acting Deputy AA/TA, \$1.0 million is approved for FY 1970 funding only. TA/POP is to prepare a long-term life-of-the project PROP prior to any future commitments of funds to Population Council. See the approval memorandum for FY 1970 funding attached.

(Use continuation sheet if necessary)

11. Approved in substance for the life of the project as described in the PROP, subject to the conditions cited in Block 10 above, and the availability of funds. Detailed planning with cooperating country and drafting of implementation documents is authorized.

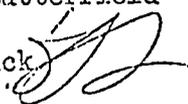
This authorization is contingent upon timely completion of the self-help and other conditions listed in the PROP or attached thereto.

This authorization will be reviewed at such time as the objectives, scope and nature of the project and/or the magnitude and scheduling of any inputs or outputs deviate so significantly from the project as originally authorized as to warrant submission of a new or revised PROP.

A.I.D. APPROVAL <i>Joel Bernstein</i> Joel Bernstein SIGNATURE	CLEARANCES		DATE
	Regional Bureaus (Project review)	<i>ESL</i>	4/7/70
AA: Technical Assistance TITLE	TA/POP (Ravenhill)	<i>[Signature]</i>	5/27/70
	TA/POP (Kean/Leitch)	<i>[Signature]</i>	5/27/70
	A/CONT		

MEMORANDUM

Date: April 17, 1970

TO : AA/TA, Mr. Samuel H. Butterfield
FROM : TA/PM, Kenneth S. Levick 
SUBJECT: Programmatic Grant to the Population Council

A.I.D.
Reference Center
Room 1656 NS

This project was reviewed on April 7, with Regional Bureau Population technicians attending with the exception of the Africa Bureau. However, the Africa Population office has indicated its approval, as certain sub-activities will benefit this region.

The Population Council enjoys a unique reputation throughout the world as a technical, scientific and professional agency with a whole range of skills devoted to population problems. It received approximately 25% of its funds from A.I.D. through grants or contracts and 75% from other sources such as foundations, etc.

Last June a one year \$1.0 million grant was extended to the Population Council to: a) support public information, education and communication activities to stimulate large scale voluntary participation in family planning; and b) obtain greater knowledge and insight into such questions as political, legal, ethical, social and religious factors. All activities under this grant must be approved by A.I.D. prior to their implementation. To date proposals amounting to approximately \$350,000 have been approved and \$570,000 are in process of approval. All sub-activities are in category a) above. It is hoped that Population Council can develop programs in the other areas of political, legal, ethical, social and religious problems.

A discussion was held regarding the total program being implemented by the Population Council, regardless of the source of funding or whether domestic or overseas. The question was asked whether our contribution to Population Council was just funding activities which would be funded anyway from other sources or whether it was providing the necessary funds to expand into other areas. Dr. Boynton assured the group that the contribution was additive.

O/IT questioned whether TAB should support training through the Population Council which is sending participants to Columbia University at a somewhat higher cost than if funded directly through USAID/PIO/P procedures. The L.A. representatives stated they would prefer to have Population Council continue the training program for L.A. countries. It was agreed that A.I.D. should continue to support training through the Population Council to meet a variety of situations where A.I.D. training is not feasible.

AA/TA, Mr. Samuel H. Butterfield - 2 -

Concern was expressed because TAB had approved sub-grants without Bureaus' or Missions' clearance. A grant was made last August to Dr. Stycos of Cornell involving L.A. countries which was not in accord with the present A.I.D. policy. Mr. Butterfield instructed TA/POP to clear this matter up with the L.A. Bureau. Currently all sub-grants are being approved by the appropriate Regional Bureau(s).

As stated above, the original PROP was for only one year at \$1.0 million. The agreement expires in June and if the project is to continue, a revised PROP must be approved by AA/TA prior to the signing of the PIO/T. There is not enough time before the May 18 deadline (the date for receipt of PIO/Ts in A/PROG/CSD) to prepare a detailed life-of-the-project PROP; therefore, I submit the following recommendations for your approval:

1. That an amended PROP be approved covering a period of one year at a cost of \$1.0 million to allow for the preparation of the PIO/T covering FY 1970 funds.
2. That after the PIO/T is issued and the Grant Agreement signed, TA/POP will obtain the necessary data for a long-term life-of-the project PROP by:
 - a. Notifying the Missions of the programs being undertaken by Population Council and the possible areas of future programs. The Missions will be requested to submit comments on the current program and recommendations for new areas to be covered.
 - b. Reviewing the total program (domestic and overseas) of the Population Council to analyze the degree to which the A.I.D. grant in fact permits program expansion into activities the Population Council would not otherwise be able to undertake. TA/POP will prepare a statement regarding the degree to which A.I.D. should participate in the program decisions of the Council.
 - c. Prepare a revised PROP for the full projected life of the project with realistic phase-out targets and covering a comprehensive evaluation system.

Approved: *S. H. Butterfield*

Disapproved: _____

Date: 4/17/70.