

3860367 (13)

AID 1020-25 (7-68)		SECURITY CLASSIFICATION		001 PROJECT NUMBER	
PROJECT APPRAISAL REPORT (PAR) (U-446) See M.O. 1026.1		Unclassified		386-11-190-367.5 A.I.D. Reference Center	
002 PAR	MO.	DAY	YR.	003 U.S. REGULATION SPAN	004 PROJECT TITLE
AS OF:	12	11	68	FY 67	PD-AAD-113-165 113-815
005 COOPERATING COUNTRY			REGION		AID/W OFFICE
India			NESAS/SA		Ag Inputs Dev. (Oilseeds Processing)

006 FUNDING TABLE

AID DOLLAR FINANCING OBLIGATIONS (\$000)	TOTAL	CONTRACT (NON-ADD)	PERSONNEL SERVICES			PARTICIPANTS		COMMODITIES		OTHER COSTS	
			AID	PASA	CONTRACT	DIR PASA	CONTRACT	DIR PASA	CONTRACT	DIR PASA	CONTRACT
CUMULATIVE NET THRU ACTUAL YEAR (FY 1968)	55	55	-	-	55	-	-	-	-	-	-
PROPOSED OPERATIONAL YEAR (FY 1969)	12	12	-	-	12	-	-	-	-	-	-

000 VALUE OF P. 1.450 COMMODITIES (\$000) → Thru Actual Year: Operational Year Program

007 IMPLEMENTING AGENCY TABLE

If contractors or participating agencies are employed, enter the name and contract or PASA number of each in appropriate spaces below; in the case of voluntary agencies, enter name and registration number from M.O. 1051.1 Attachment A. Enter the appropriate descriptive code in columns b and c, using the coding guide provided below.

TYPE CODE a	TYPE CODE b	c. IMPLEMENTING AGENCY	TYPE CODE		d. CONTRACT// T.O. NO.	e. LEAVE BLANK FOR AID/W USE
			b.	c.		
1. U.S. CONTRACTOR	0. PARTICIPATING AGENCY	CLUSA	1	2	AID/csd.2677 T.O.16	
2. LOCAL CONTRACTOR	1. UNIVERSITY					
3. THIRD COUNTRY CONTRACTOR	2. NON-PROFIT INSTITUTION					
4. PARTICIPATING AGENCY	3. ARCHITECTURAL ENGINEERING					
5. VOLUNTARY AGENCY	4. CONSTRUCTION					
6. OTHER:	5. OTHER COMMERCIAL					
	6. INDIVIDUAL					
	7. OTHER:					

PART I - PROJECT IMPACT

I-A. GENERAL NARRATIVE STATEMENT ON PROJECT EFFECTIVENESS, SIGNIFICANCE & EFFICIENCY.

This summary narrative should begin with a brief (one or two paragraph) statement of the principal events in the history of the project since the last PAR. Following this should come a concise narrative statement which evaluates the overall efficiency, effectiveness and significance of the project from the standpoint of:

- (1) overall performance and effectiveness of project implementation in achieving stated project targets,
- (2) the contribution to achievement of sector and goal plans;
- (3) anticipated results compared to costs, i.e., efficiency in resource utilization,
- (4) the continued relevance, importance and significance of the project to country development and/or the furtherance of U.S. objectives.

Include in the above outline, as necessary and appropriate, significant remedial actions undertaken or planned. The narrative can best be done after the rest of PART I is completed. It should integrate the partial analyses in I-B and I-C into an overall balanced appraisal of the project's impact. The narrative can refer to other sections of the PAR which are pertinent. If the evaluation in the previous PAR has not significantly changed, or if the project is too new to have achieved significant results, this Part should so state.

008 NARRATIVE FOR PART I-A (Continue on form AID 1020-25 if as necessary):

This project was initiated at the request of the GOI to assist with the improvement and expansion of oilseeds processing in the cooperative sector. When the project began in November 1966, there was one operating cooperative oilseeds processing plant and three under construction. Four plants are now in operation, six are in the late planning or early construction stage, and six more are being planned. Thus, there has been a surge of interest and productive activity in oilseeds

MISSION DIRECTOR APPROVAL →	SIGNATURE	DATE
	DD - John Funari	2/12/69

SECURITY CLASSIFICATION UnclassifiedPROJECT NUMBER 186-11-190-967.5PAR CONTINUATION SHEET

This sheet is to be used for any Narrative Sections for which sufficient space has not been provided on the form. Identify each narrative by its Part and Section Designation.

008 Narrative for Part I-A (Contd.)

processing in the cooperative sector; however, this is just a beginning, about what was planned and expected. It will be sometime before sufficient plants are functioning in the cooperative sector to have a significant impact on the oilseeds processing industry in India. The results have been reasonable in relation to costs. The advisor, the only input cost, has beneficially influenced the operations of four operating plants and 24 others in either the construction or planning stage.

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PART I-B - PROJECT EFFECTIVENESS

009

I-B-1 - OUTPUT REPORT AND FORECAST - (See detailed instructions)

1. CODE NO. AID/W USE ONLY	2. This section is designed to record progress toward the achievement of each project output target which was scheduled in the PIP, Part II. Where progress toward a target is significantly greater or less than scheduled, describe reason(s) beneath the target.	ACTUAL AND PLANNED OUTPUTS (ALL DATA CUMULATIVE)				6. PROJECTED TOTAL FOR PROJECT LIFE
		3 ACTUAL CUM. TO DATE	4. AS OF PRIOR JUNE 30		5 PLANNED BY NEXT JUNE 30	
			a. PLANNED	b. ACTUAL		
	1. Improve the efficiency of cooperate oilseeds processing plants.	3	4	3	6	10
	2. Increase the number of new processing plants in the cooperative sector.	3	3	3	6	9

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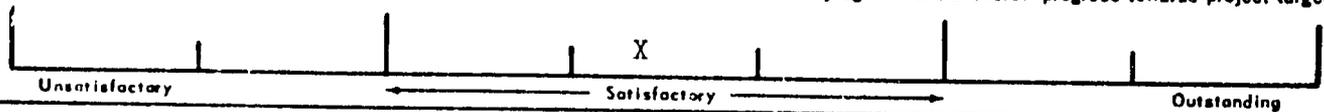
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PART I-B - Continued

010

B 2 - OVERALL ACHIEVEMENT OF PROJECT TARGETS

Place an "X" within the bracket on the following seven-point scale that represents your judgment of the overall progress towards project targets:



PART I-C - PROJECT SIGNIFICANCE

011

C.1 - RELATION TO SECTOR AND PROGRAM GOALS (See detailed instructions M.O. 1026.1)

This section is designed to indicate the potential and actual impact of the project on relevant sector and program goals. List the goals in col. b and rate potential and actual project impact in cols. c and d.

a. CODE NO. (AID W USE) (ONLY)	SCALE FOR COLUMN c: 3- Very important; 2- Important; 1- Secondary Importance SCALE FOR COLUMN d: 3- Superior/Outstanding; 2- Adequate/Satisfactory/Good; 1- Unsatisfactory/Marginal	c. POTENTIAL IMPACT ON EACH GOAL IF PROJECT ACHIEVES TARGETS	d. ACTUAL IMPACT ON GOAL TO DATE RELATIVE TO PROGRESS EXPECTED AT THIS STAGE
	b. SECTOR AND PROGRAM GOALS (LIST ONLY THOSE ON WHICH THE PROJECT HAS A SIGNIFICANT EFFECT)		
(1)	Help to develop appropriate processing, marketing and distribution mechanisms for agricultural projects.	2	2
(2)	Help achieve adequate supply and distribution of essential agricultural inputs, accompanied by the appropriate technology.	1	NA
(3)	Help develop the indigenous institutions, technical skills and management capability necessary to assure the full application of agricultural inputs.	1	NA
(4)	Help develop appropriate processing, marketing and distribution mechanisms for the agricultural products.	1	NA

For goals where column c. is rated 3 or 2 and column d. is rated 1, explain in the space for narrative. The narrative should also indicate the extent to which the potential impacts rated 3 or 2 in column c. are dependent on factors external to the achievement of project targets, i.e., is there a substantial risk of the anticipated impact being forestalled by factors not involved in the achievement of project targets. If possible and relevant, it also would be useful to mention in the narrative your reading of any current indicators that longer-term purposes, beyond scheduled project targets, are likely or unlikely to be achieved. Each explanatory note must be identified by the number of the entry (col. b) to which it pertains.

012 NARRATIVE FOR PART I-C.1 (Continue on form AID 1020-25 I):

Oilseed cake is an important foreign exchange earner for India; thus, this project which is directed towards increasing the quantity and efficiency of oilseeds processing by cooperatives can and, as cooperative processing increases, will have a significant impact on the drive to increase export earnings. The project is influenced by external factors, such as, oilseeds production, domestic and foreign markets for oil cake and oils, government price and export policies, competition of the private sector, etc. The probable increasing emphasis to be placed on oilseed production for proteins favors an expansion of oilseeds processing in India.

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PART I-C - Continued

C.2 - GENERAL QUESTIONS

These questions concern developments since the prior PAR. For each question place "Y" for Yes, "N" for No, or "NA" for Not Applicable in the right hand column. For each question where "Y" is entered, explain briefly in the space below the table.	MARK IN THIS COL.
013 Have there been any significant, unusual or unanticipated results not covered so far in this PAR?	N
014 Have means, conditions or activities other than project measures had a substantial effect on project output or accomplishments?	N
015 Have any problems arisen as the result of advice or action or major contributions to the project by another donor?	N
016 If the answer to 014 or 015 is yes, or for any other reason, is the project now less necessary, unnecessary or subject to modification or earlier termination?	N
017 Have any important lessons, positive or negative, emerged which might have broad applicability?	N
018 Has this project revealed any requirement for research or new technical aids on which AID/W should take the initiative?	N
019 Do any aspects of the project lend themselves to publicity in newspapers, magazines, television or films in the United States?	Y
020 Has there been a lack of effective cooperating country media coverage? (Make sure AID/W has copies of existing coverage.)	N
021 <u>NARRATIVE FOR PART I-C.2</u> identify each explanatory note by the number of the entry to which it pertains. (Continue on form AID 1020-25 I as necessary):	

- 019 A successful peanut drying plant associated with a cooperative processing plant, has been designed and constructed. This plant demonstrates how the proper drying of peanuts will prevent poisonous aflatoxins from developing and thereby increase the quantities of peanuts and peanut products available for human consumption.

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PART II - IMPLEMENTATION REPORT

II-A - STATUS OF SCHEDULE

022 A-1 - INDIVIDUAL ACTIONS (See detailed instructions M.O. 1026.1). This is a listing of major actions or steps which were scheduled for physical start or continuing implementation in the reporting period as reflected in the Project Implementation Plan, Part I.

(a)		(b) STATUS - PLACE AN "X" IN ONE COLUMN		
		(1) BEHIND SCHEDULE	(2) ON SCHEDULE	(3) AHEAD OF SCHEDULE
PIP ITEM NO.	MAJOR ACTIONS OR STEPS, CAUSES AND RESULTS OF DELAYS; REMEDIAL STEPS			
NA	<p>1. Improve the operating efficiency of oilseeds processing cooperatives affiliated with the National Cooperative Development Corporation (NCDC):</p> <p>a. Sangli Plant (Maharashtra)</p> <p>b. Latur Plant (Maharashtra)</p> <p>* c. Gadag Plant (Mysore)</p> <p>d. Khanna Plant (Punjab)</p> <p>2. Assist with surveys and feasibility studies of proposed plants at:</p> <p>a. Bundaun, U.P. (Groundnuts)</p> <p>b. Rajkot, Gujarat (Groundnuts)</p> <p>c. Ahmedabad, Gujarat (Cottonseed)</p> <p>d. Anand, Gujarat (Soybeans)</p> <p>e. Mandya, Mysore (Rice Bran)</p> <p>f. Bhilai, M.P. (Rice Bran)</p> <p>3. Survey oilseeds production and processing in India.</p> <p>4. Assist with the construction, testing and operating of a pilot groundnut drying plant.</p> <p>* The bottlenecks in the operation of the Gadag plant currently are being removed by the oilseeds advisor in cooperation with the plant's management.</p>	X	X	X

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PART II - Continued

023

II-A.2 - OVERALL TIMELINESS

In general, project implementation is (place an "X" in one block):

(a) On schedule	X
(b) Ahead of schedule	
(c) Behind schedule	
(1) AID/W Program Approval	
(2) Implementing Agency (Contractor/Participating Agency/Voluntary Agency)	
(3) Technicians	
(4) Participants	
(5) Commodities (non-FFF)	
(6) Cooperating Country	
(7) Commodities (FFF)	
(8) Other (specify):	

BLOCK (c): If marked, place an "X" in any of the blocks one thru eight that apply. This is limited to key aspects of implementation, e.g., timely delivery of commodities, return of participants to assume their project responsibilities, cooperating country funding, arrival of technicians.

II-B - RESOURCE INPUTS

This section appraises the effectiveness of U.S. resource inputs. There follow illustrative lists of factors, grouped under Implementing Agency, Participant Training and Commodities, that might influence the effectiveness of each of these types of project resources. In the blocks after only those factors which significantly affect project accomplishments, write the letter P if effect is positive or satisfactory, or the letter N if effect is negative or less than satisfactory.

1. FACTORS-IMPLEMENTING AGENCY (Contract/Participating Agency/Voluntary Agency)

024	IF NO IMPLEMENTING AGENCY IN THIS PROJECT. PLACE AN "X" IN THIS BLOCK:		032 Quality, comprehensiveness and candor of required reports	P
			033 Promptness of required reports	P
025	Adequacy of technical knowledge	P	034 Adherence to work schedule	P
026	Understanding of project purposes	P	035 Working relations with Americans	P
027	Project planning and management	P	036 Working relations with cooperating country nationals	P
028	Ability to adapt technical knowledge to local situation	P	037 Adaptation to local working and living environment	P
029	Effective use of participant training element		038 Home office backstopping and substantive interest	P
030	Ability to train and utilize local staff	P	039 Timely recruiting of qualified technicians	
031	Adherence to AID administrative and other requirements	P	040 Other (describe):	

2. FACTORS-PARTICIPANT TRAINING

041	IF NO PARTICIPANT ELEMENT IN PROJECT. PLACE AN "X" IN THIS BLOCK:	X	TRAINING UTILIZATION AND FOLLOW UP	
			052 Appropriateness of original selection	
	PREDEPARTURE		053 Relevance of training for present project purposes	
042	English language ability		054 Appropriateness of post-training placement	
043	Availability of host country funding		055 Utility of training regardless of changes in project	
044	Host country operational considerations (e.g., selection procedures)		056 Ability to get meritorious ideas accepted by supervisors	
045	Technical/professional qualifications		057 Adequacy of performance	
046	Quality of technical orientation		058 Continuance on project	
047	Quality of general orientation		059 Availability of necessary facilities and equipment	
048	Participants' collaboration in planning content of program		060 Mission or contractor follow-up activity	
049	Collaboration by participants' supervisors in planning training		061 Other (describe):	
050	Participants' availability for training			
051	Other (describe):			

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PART II-B - Continued

3. FACTORS-COMMODITIES

PLACE AN "X" IN APPROPRIATE BLOCK:	062 FFF	063 NON-FFF	064 NO COMMODITY ELEMENT	X	
					072 Control measures against damage and deterioration in shipment.
065 Timeliness of AID/W program approval (i.e., PIO/C, Transfer Authorization).					073 Control measures against deterioration in storage.
066 Quality of commodities, adherence to specifications, marking.					074 Readiness and availability of facilities.
067 Timeliness in procurement or reconditioning.					075 Appropriateness of use of commodities.
068 Timeliness of shipment to port of entry.					076 Maintenance and spares support.
069 Adequacy of port and inland storage facilities.					077 Adequacy of property records, accounting and controls.
070 Timeliness of shipment from port to site.					078 Other (Describe):
071 Control measures against loss and theft.					

Indicate in a concise narrative statement (under the heading a. Overall Implementation Performance, below) your summary appraisal of the status of project implementation, covering both significant achievements and problem areas. This should include any comments about the adequacy of provision of direct hire technicians as well as an overall appraisal of the comments provided under the three headings (b, c & d) which follow. For projects which include a dollar input for generation of local currency to meet local cost requirements, indicate the status of that input (see Detailed Instructions).

Discuss separately (under separate headings b, c & d) the status of Implementing Agency Actions, Participants and Commodities. Where above listed factors are causing significant problems (marked N), describe briefly in the appropriate narrative section: (1) the cause and source of the problem, (2) the consequences of not correcting it, and (3) what corrective action has been taken, called for, or planned by the Mission. Identify each factor discussed by its number.

079 NARRATIVE FOR PART II-B: (After narrative section a. Overall Implementation Performance, below, follow, on form AID 1020-25 I as needed, with the following narrative section headings: b. Implementing Agency, c. Participants, d. Commodities. List all narrative section headings in order. For any headings which are not applicable, mark them as such and follow immediately below with the next narrative section heading.)

a. Overall Implementation Performance.

The Oilseeds Processing Advisor, a contract employee of the Cooperative League of the U.S.A., works directly with oilseeds processing cooperatives affiliated with the National Cooperative Development Corporation. The Advisor is highly competent, active person who has been extremely effective in assisting existing plants improve their operating efficiency and with those cooperatives planning new plants.

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PART III - ROLE OF THE COOPERATING COUNTRY

The following list of illustrative items are to be considered by the evaluator. In the block after only those items which significantly affect project effectiveness, write the letter P if the effect of the item is positive or satisfactory, or the letter N if the effect of the item is negative or less than satisfactory.

SPECIFIC OPERATIONAL FACTORS:	
080 Coordination and cooperation within and between ministries	
081 Coordination and cooperation of LDC gov't. with public and private institutions and private enterprise.	
082 Availability of reliable data for project planning, control and evaluation.	
083 Competence and/or continuity in executive leadership of project.	
084 Host country project funding.	P
085 Legislative changes relevant to project purposes.	P
086 Existence and adequacy of a project-related LDC organization.	P
087 Resolution of procedural and bureaucratic problems.	
088 Availability of LDC physical resource inputs and/or supporting services and facilities.	P
089 Maintenance of facilities and equipment.	P
090 Resolution of tribal, class or caste problems.	P
091 Receptivity to change and innovation.	
092 Political conditions specific to project.	P
093 Capacity to transform ideas into actions, i.e., ability to implement project plans.	N
094 Intent and/or capacity to sustain and expand the impact of the project after U.S. inputs are terminated.	N
095 Extent of LDC efforts to widen the dissemination of project benefits and services.	P
096 Utilization of trained manpower (e.g., participants, counterpart technicians) in project operations.	
097 Enforcement of relevant procedures (e.g., newly established tax collection and audit system).	
098 Other:	P
HOST COUNTRY COUNTERPART TECHNICIAN FACTORS:	
099 Level of technical education and/or technical experience.	
100 Planning and management skills.	P
101 Amount of technician man years available.	N
102 Continuity of staff.	
103 Willingness to work in rural areas.	
104 Pay and allowances.	
105 Other:	N

In the space below for narrative provide a succinct discussion and overall appraisal of the quality of country performance related to this project, particularly over the past year. Consider important trends and prospects. See Detailed Instructions for an illustrative list of considerations to be covered.

For only those items marked N include brief statements covering the nature of the problem, its impact on the achievement of project targets (i.e., its importance) and the nature and cost of corrective action taken or planned. Identify each explanatory note.

106 NARRATIVE FOR PART III (Continue on form AID 1020-25 I):

The contract Oilseeds Processing Advisor works through National Cooperative Development Corporation (NCDC) with affiliated oilseeds processing cooperatives. In practice the advisor is in direct contact with the management of the cooperatives. Favorable working relationships exist between the cooperatives and the Advisor; the cooperatives are generally eager and anxious for assistance; their performances are compromised somewhat by inexperience and limited talent. Overall and balance, however, the quality of performance of the NCDC and the cooperatives is judged satisfactory.

- 092 Management decisions in the operation of the cooperatives is sometimes adversely influenced by existing political factors.
- 093 With respect to one plant, general inexperience and the lack of first rate people result in operational problems and thwart and delay the introduction of improvements. Low salaries bar the employment of good people.
- 100 See 093.
- 104 See 093.

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PART IV - PROGRAMMING IMPLICATIONS

IV-A - EFFECT ON PURPOSE AND DESIGN

Indicate in a brief narrative whether the Mission experience to date with this project and/or changing country circumstances call for some adjustment in project purposes or design, and why, and the approximate cost implications. Cover any of the following considerations or others that may be relevant. (See Detailed Instructions for additional illustrative considerations.) Relevant experience or country situations that were described earlier can simply be referenced. The spelling out of specific changes should be left to the appropriate programming documents, but a brief indication of the type of change contemplated should be given here to clarify the need for change. For example, changes might be indicated if they would:

1. better achieve program/project purposes;
2. address more critical or higher priority purposes within a goal plan;
3. produce desired results at less cost;
4. give more assurance of lasting institutional development upon U.S. withdrawal.

107 NARRATIVE FOR PART IV-A (Continue on form AID 1020-25 I):

This project was scheduled to terminate at the end of FY 1969; however, the looming importance of increasing protein for human diets through oilseeds suggests a review of the project before termination. Plans are to make a thorough review in the next few months.

IV-B - PROPOSED ACTION

108 This project should be (Place an "X" in appropriate block(s)):

- | | |
|--|---|
| 1. Continued as presently scheduled in PIP. | |
| 2. Continued with minor changes in the PIP, made at Mission level (not requiring submission of an amended PIP to AID/W). | |
| 3. Continued with significant changes in the PIP (but not sufficient to require a revised PROP). A formally revised PIP will follow. | |
| 4. Extended beyond its present schedule to (Date): Mo. ___ Day ___ Yr. ___. Explain in narrative, PROP will follow. | |
| 5. Substantively revised. PROP will follow. | |
| 6. Evaluated in depth to determine its effectiveness, future scope, and duration. | X |
| 7. Discontinued earlier than presently scheduled. Date recommended for termination: Mo. ___ Day ___ Yr. ___ | |
| 8. Other. Explain in narrative. | |

109 NARRATIVE FOR PART IV-B:

See Part IV-A.