

3860366 (15)

AID 1020-28 (7-68)				SECURITY CLASSIFICATION				001 PROJECT NUMBER			
PROJECT APPRAISAL REPORT (PAR)				Unclassified				386-11-110-366.7			
(U-446) See M.O. 1026.1											
002 PAR		MO.	DAY	YR.	003 U.S. OBLIGATION SPAN		004 PROJECT TITLE				
AS OF:		2	3	11	FY 67	Thru FY 70	PD-AAD-106-F1				
005 COOPERATING COUNTRY - REGION - AID/W OFFICE						Agricultural Production - Maharashtra					
India NESAs						M. I. D. S. Center					

12 p.

AIO DOLLAR FINANCING-OBLIGATIONS (\$000)	TOTAL	CONTRACT (NON-ADD)	PERSONNEL SERVICES			PARTICIPANTS		COMMODITIES		OTHER COSTS	
			AID	PASA	CONTRACT	DIR. PASA	CONTRACT	DIR. PASA	CONTRACT	DIR. PASA	CONTRACT
			CUMULATIVE NET THRU ACTUAL YEAR (FY 1969)	470	438	-	-	419	32	-	-
PROPOSED OPERATIONAL YEAR (FY 1970)	155	136	-	-	135	19	-	-	1	-	-

CCC VALUE OF P.L. 480 COMMODITIES (\$000) → Thru Actual Year : Operational Year Program :

007 IMPLEMENTING AGENCY TABLE

If contractors or participating agencies are employed, enter the name and contract or PASA number of each in appropriate spaces below; in the case of voluntary agencies, enter name and registration number from M.O. 1551.1, Attachment A. Enter the appropriate descriptive code in columns b and c, using the coding guide provided below.

TYPE CODE b	TYPE CODE c	a. IMPLEMENTING AGENCY	TYPE CODE		d. CONTRACT/PASA/VOLAG NO.	e. LEAVE BLANK FOR AID/W USE
			b.	c.		
1. U.S. CONTRACTOR	0. PARTICIPATING AGENCY	Pennsylvania State University	1	1	AID/Nesa-295	
2. LOCAL CONTRACTOR	1. UNIVERSITY					
3. THIRD COUNTRY CONTRACTOR	2. NON-PROFIT INSTITUTION					
4. PARTICIPATING AGENCY	3. ARCHITECTURAL & ENGINEERING					
5. VOLUNTARY AGENCY	4. CONSTRUCTION					
6. OTHER:	5. OTHER COMMERCIAL					
	6. INDIVIDUAL					
	7. OTHER:					

PART I - PROJECT IMPACT

I-A. GENERAL NARRATIVE STATEMENT ON PROJECT EFFECTIVENESS, SIGNIFICANCE & EFFICIENCY.

This summary narrative should begin with a brief (one or two paragraph) statement of the principal events in the history of the project since the last PAR. Following this should come a concise narrative statement which evaluates the overall efficiency, effectiveness and significance of the project from the standpoint of:

- (1) overall performance and effectiveness of project implementation in achieving stated project targets;
- (2) the contribution to achievement of sector and goal plans;
- (3) anticipated results compared to costs, i.e., efficiency in resource utilization;
- (4) the continued relevance, importance and significance of the project to country development and/or the furtherance of U.S. objectives.

Include in the above outline, as necessary and appropriate, significant remedial actions undertaken or planned. The narrative can best be done after the rest of PART I is completed. It should integrate the partial analyses in I-B and I-C into an overall balanced appraisal of the project's impact. The narrative can refer to other sections of the PAR which are pertinent. If the evaluation in the previous PAR has not significantly changed, or if the project is too new to have achieved significant results, this Part should so state.

008 NARRATIVE FOR PART I-A (Continue on form AID 1020-25 I as necessary):

This activity seeks to institutionalize a pattern of cooperation between the State Department of Agriculture which is responsible for services to the cultivators and the State Agriculture Research Agencies to enable them to coordinate their responses to the needs of the cultivator in the efforts of all concerned to increase agricultural production. Contd...

MISSION DIRECTOR APPROVAL →	SIGNATURE	DATE
	<i>[Signature]</i>	5/11/70

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FAR CONTINUATION SHEET

This sheet is to be used for any Narrative Sections for which sufficient space has not been provided on the form. Identify each narrative by its Part and Section Designation.

008 Narrative for Part I-A (contd.)

Good working relationships continue to be developed, especially in the field of plant protection where the State Department of Agriculture has responded to its duties to agriculture by adopting demonstrated methods to carry on programs in rat control, insect surveillance, and insect control programs.

Field Programs have been initiated on testing High Yield Varieties of Faddy, Hybrid Jowar and Hybrid Bajra. This effort probably would have had more impact if the position of Seed Production Advisor had not been vacant for five months. The Chief of Party has been reminded continuously that this position should have been filled at the earliest possible time. The Indian counterpart in the Seed Improvement FFU did a very creditable job in supervising the trial-cum-demonstrations of new varieties.

The team efforts are improving working relations and coordination between agricultural agencies and the Department of Agriculture; this effort was exemplified by the continuation of program plans during the five-months absence of the American Seed Advisor.

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PART I-B - PROJECT EFFECTIVENESS

009

I-B-1 - OUTPUT REPORT AND FORECAST - (See detailed instructions)

1. CODE NO. AID/W USE ONLY	2. This section is designed to record progress toward the achievement of each project output target which was scheduled in the PIP, Part II. Where progress toward a target is significantly greater or less than scheduled. describe reason(s) beneath the target.	ACTUAL AND PLANNED OUTPUTS (ALL DATA CUMULATIVE)				6. PROJECT TOTAL FOR PROJECT LIFE
		3 ACTUAL CUM. TO DATE	4. AS OF PRIOR JUNE 30		5 PLANNED BY NEXT JUNE 30	
			a. PLANNED	b. ACTUAL		
	<p>1. To promote the development and use of State institutions and service organizations which can identify production problems in the field that are retarding agricultural progress and to initiate appropriate methods for their solution.</p> <p>2. To institutionalize a pattern of cooperation between the Directors of Agriculture, the Agricultural Universities or State research facilities, and the extension field staff which will involve them directly in the development program of the State, orient their research programs towards the immediate problems of production, and clearly establish the University or State research facility as an institution that helps the Department of Agriculture to carry out its responsibilities for development the agriculture of the State.</p> <p>3. Through the FPU provide technical knowledge verified through applied research for further refinement of the package of practices to be recommended for each of the HYVAs.</p> <p>4. Through the FPU develop demonstration and training programs necessary to acquaint agricultural workers, at district, block, and village levels, and farmers, with the package of practices technologies to assure their understanding and acceptance of the concepts and the ultimate implementation by the farm family.</p>		NOT	APPLICABLE		

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PAR CONTINUATION SHEET

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009 Narrative for Part I-B-I (Contd.)

5. Through the FFU establish close communications and working relationships between the field agricultural staff of the HYVA program and those public and private agencies and facilities responsible for providing the necessary inputs.

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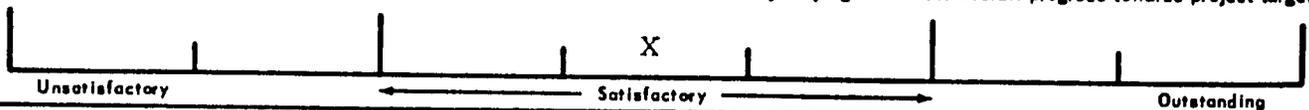
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PART I-B - Continued

010

B.2 - OVERALL ACHIEVEMENT OF PROJECT TARGETS

Place an "X" within the bracket on the following seven-point scale that represents your judgment of the overall progress towards project targets:



PART I-C - PROJECT SIGNIFICANCE

011

C.1 - RELATION TO SECTOR AND PROGRAM GOALS (See detailed instructions M.O. 1026.1)

This section is designed to indicate the potential and actual impact of the project on relevant sector and program goals. List the goals in col. b and rate potential and actual project impact in cols. c and d.

a. CODE NO. (AID/W USE ONLY)	SCALE FOR COLUMN c: 3= Very Important; 2= Important; 1= Secondary Importance SCALE FOR COLUMN d: 3= Superior/Outstanding; 2= Adequate/Satisfactory/Good; 1= Unsatisfactory/Marginal	c. POTENTIAL IMPACT ON EACH GOAL IF PROJECT ACHIEVES TARGETS	d. ACTUAL IMPACT ON GOAL TO DATE RELATIVE TO PROGRESS EXPECTED AT THIS STAGE
b. SECTOR AND PROGRAM GOALS (LIST ONLY THOSE ON WHICH THE PROJECT HAS A SIGNIFICANT EFFECT)			
	(1) Assist India to achieve self-sufficiency in food production	3	2
	(2) Help develop the indigenous institutions, technical skills and management capability necessary to assure the full application of agricultural inputs.	3	2
	(3) Help develop appropriate processing, marketing and distribution mechanisms for the agricultural products.	1	2
	(4) Help achieve adequate supply and distribution of essential agricultural inputs, accompanied by the appropriate technology.	2	2

For goals where column c. is rated 3 or 2 and column d. is rated 1, explain in the space for narrative. The narrative should also indicate the extent to which the potential impacts rated 3 or 2 in column c. are dependent on factors external to the achievement of the project targets, i.e., is there a substantial risk of the anticipated impact being forestalled by factors not involved in the achievement of project targets. If possible and relevant, it also would be useful to mention in the narrative your reading of any current indicators that longer-term purposes, beyond scheduled project targets, are likely or unlikely to be achieved. Each explanatory note must be identified by the number of the entry (col. b) to which it pertains.

012 NARRATIVE FOR PART I-C.1 (Continue on form AID 1020-25 I):

The accomplishment of goal 2 will have a significant impact on the accomplishment of the other three goals.

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PART I-C - Continued

C.2 - GENERAL QUESTIONS

These questions concern developments since the prior PAR. For each question place "Y" for Yes, "N" for No, or "NA" for Not Applicable in the right hand column. For each question where "Y" is entered, explain briefly in the space below the table.	MARK IN THIS COL.
013 Have there been any significant, unusual or unanticipated results not covered so far in this PAR?	N
014 Have means, conditions or activities other than project measures had a substantial effect on project output or accomplishments?	N
015 Have any problems arisen as the result of advice or action or major contributions to the project by another donor?	N
016 If the answer to 014 or 015 is yes, or for any other reason, is the project now less necessary, unnecessary or subject to modification or earlier termination?	NA
017 Have any important lessons, positive or negative, emerged which might have broad applicability?	N
018 Has this project revealed any requirement for research or new technical aids on which AID/W should take the initiative?	N
019 Do any aspects of the project lend themselves to publicity in newspapers, magazines, television or films in the United States?	Y
020 Has there been a lack of effective cooperating country media coverage? (Make sure AID/W has copies of existing coverage.)	N
021 <u>NARRATIVE FOR PART I-C.2</u> Identify each explanatory note by the number of the entry to which it pertains. (Continue on form AID 1020-25 I as necessary):	

019 Possibly some publicity could be given to the rat control activity and a scientific paper on insect control or some plant protection related subject could be prepared.

PART II - IMPLEMENTATION REPORT

II-A - STATUS OF SCHEDULE

022 A-1 - INDIVIDUAL ACTIONS (See detailed instructions M.O. 1026.1). This is a listing of major actions or steps which were scheduled for physical start or continuing implementation in the reporting period as reflected in the Project Implementation Plan, Part I.

(a) PIP ITEM NO.	MAJOR ACTIONS OR STEPS; CAUSES AND RESULTS OF DELAYS; REMEDIAL STEPS	(b) STATUS - PLACE AN "X" IN ONE COLUMN		
		(1) BEHIND SCHEDULE	(2) ON SCHEDULE	(3) AHEAD OF SCHEDULE
A	1. The establishment of two Agricultural Universities and their individual responsibilities to the State's Agricultural development makes it essential to establish the High Level Coordinating Committee to take over functions of coordination which cannot be handled by the local Poona Coordinating Committee.	X		
	2. On basis of field observations FPU's make recommendations to change or refine package of practices or recommend research to investigate problems.		X	
	Develop and Coordinate State Plant Protection Programs			
	1. Light trapping system to identify insect pests and to prepare releases to press and radio to inform farmers when and with what chemical to spray (69 reporting stations).			X
	2. Continue investigations on shoot-fly control on Jowar.		X	
	3. Develop effective rat control program in fields and villages (will soon be taken over by State officials).			X
	4. Develop methods and test materials for Paddy stem-borer control.		X	
	Attempt to introduce new High Yielding Varieties and to improve efficiency of operation of state seed processing plants.			
	1. Train Agricultural officers and their assistants in techniques for conducting new variety trials-cum-demonstrations (Bajra, Paddy, Jowar).		X	

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PART II - IMPLEMENTATION REPORT

II-A - STATUS OF SCHEDULE

022 A-1 - INDIVIDUAL ACTIONS (See detailed instructions M.O. 1026.1). This is a listing of major actions or steps which were scheduled for physical start or continuing implementation in the reporting period as reflected in the Project Implementation Plan, Part I.

(a) PIP ITEM NO.	MAJOR ACTIONS OR STEPS; CAUSES AND RESULTS OF DELAYS; REMEDIAL STEPS	(b) STATUS - PLACE AN "X" IN ONE COLUMN		
		(1) BEHIND SCHEDULE	(2) ON SCHEDULE	(3) AHEAD OF SCHEDULE
	2. Develop better processing methods and seed flow in seed plants.		X	
	3. Demonstrate improved type of seed cleaning equipment.		X	
	4. Help develop trained personnel for seed certification and Seed Act enforcement.		X	
D	Expand soil and water research program to provide needed information for better soil and water management.		X	
	1. Set up soil moisture laboratory at Golegaon Trial-cum-demonstration farm.		X	
	2. Test the soil moisture characteristics of the four major soils as basis for irrigation requirements and also train agricultural officers in laboratory and field techniques.		X	
E	3. Establish facilities for soil salinity laboratory to furnish basic data for reclamation procedures for saline and alkali soils.		X	
	Promote adoption of improved farm implements and machines.		X	
	1. Demonstrate to farmer effective use of improved agricultural equipment bullock drawn and machine powered.		X	
	2. Involve local machinery manufacturers and dealers in demonstration of use and maintenance of farm machinery	X		
	3. Correlate engineering features of Seed Processing and irrigation and drainage with the appropriate FPU's		X	

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PART II - Continued

023

II-A.2 - OVERALL TIMELINESS

In general, project implementation is (place an "X" in one block):

(a) On schedule	X
(b) Ahead of schedule	
(c) Behind schedule	
(1) AID/W Program Approval	
(2) Implementing Agency (Contractor/Participating Agency/Voluntary Agency)	
(3) Technicians	
(4) Participants	
(5) Commodities (non-FFF)	
(6) Cooperating Country	
(7) Commodities (FFF)	
(8) Other (specify):	

BLOCK (c): If marked, place an "X" in any of the blocks one thru eight that apply. This is limited to key aspects of implementation, e.g., timely delivery of commodities, return of participants to assume their project responsibilities, cooperating country funding, arrival of technicians.

II-B - RESOURCE INPUTS

This section appraises the effectiveness of U.S. resource inputs. There follow illustrative lists of factors, grouped under Implementing Agency, Participant Training and Commodities, that might influence the effectiveness of each of these types of project resources. In the blocks after only those factors which significantly affect project accomplishments, write the letter P if effect is positive or satisfactory, or the letter N if effect is negative or less than satisfactory.

1. FACTORS-IMPLEMENTING AGENCY (Contract/Participating Agency/Voluntary Agency)

024 IF NO IMPLEMENTING AGENCY IN THIS PROJECT. PLACE AN "X" IN THIS BLOCK:		032 Quality, comprehensiveness and candor of required reports	F
		033 Promptness of required reports	F
025 Adequacy of technical knowledge	F	034 Adherence to work schedule	
026 Understanding of project purposes	F	035 Working relations with Americans	F
027 Project planning and management		036 Working relations with cooperating country nationals	F
028 Ability to adapt technical knowledge to local situation	F	037 Adaptation to local working and living environment	F
029 Effective use of participant training element		038 Home office backstopping and substantive interest	F
030 Ability to train and utilize local staff	F	039 Timely recruiting of qualified technicians	F
031 Adherence to AID administrative and other requirements	F	040 Other (describe):	

2. FACTORS-PARTICIPANT TRAINING

041 IF NO PARTICIPANT ELEMENT IN PROJECT. PLACE AN "X" IN THIS BLOCK:	*	TRAINING UTILIZATION AND FOLLOW UP	
		052 Appropriateness of original selection	
PREDEPARTURE		053 Relevance of training for present project purposes	
042 English language ability		054 Appropriateness of post-training placement	
043 Availability of host country funding		055 Utility of training regardless of changes in project	
044 Host country operational considerations (e.g., selection procedures)		056 Ability to get meritorious ideas accepted by supervisors	
045 Technical/professional qualifications		057 Adequacy of performance	
046 Quality of technical orientation		058 Continuance on project	
047 Quality of general orientation		059 Availability of necessary facilities and equipment	
048 Participants' collaboration in planning content of program		060 Mission or contractor follow-up activity	
049 Collaboration by participants' supervisors in planning training		061 Other (describe):	
050 Participants' availability for training		*	
051 Other (describe):			

*To date only 3 participants have returned from training in the U. S. and have had little effect except that all three still are in the field of agriculture for which their training fitted them.

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PART II-B - Continued**3. FACTORS-COMMODITIES**

PLACE AN "X" IN APPROPRIATE BLOCK:	062 FFF	063 NON-FFF	064 NO COMMODITY ELEMENT	X	
					072 Control measures against damage and deterioration in shipment.
065 Timeliness of AID/W program approval (i.e., PIO/C, Transfer Authorization).					073 Control measures against deterioration in storage.
066 Quality of commodities, adherence to specifications, marking.					074 Readiness and availability of facilities.
067 Timeliness in procurement or reconditioning.					075 Appropriateness of use of commodities.
068 Timeliness of shipment to port of entry.					076 Maintenance and spares support.
069 Adequacy of port and inland storage facilities.					077 Adequacy of property records, accounting and controls.
070 Timeliness of shipment from port to site.					078 Other (Describe):
071 Control measures against loss and theft.					

Indicate in a concise narrative statement (under the heading a. Overall Implementation Performance, below) your summary appraisal of the status of project implementation, covering both significant achievements and problem areas. This should include any comments about the adequacy of provision of direct hire technicians as well as an overall appraisal of the comments provided under the three headings (b, c & d) which follow. For projects which include a dollar input for generation of local currency to meet local cost requirements, indicate the status of that input (see Detailed Instructions).

Discuss separately (under separate headings b, c & d) the status of Implementing Agency Actions, Participants and Commodities. Where above listed factors are causing significant problems (marked N), describe briefly in the appropriate narrative section: (1) the cause and source of the problem, (2) the consequences of not correcting it, and (3) what corrective action has been taken, called for, or planned by the Mission. Identify each factor discussed by its number.

079 NARRATIVE FOR PART II-B: (After narrative section a. Overall Implementation Performance, below, follow, on form AID 1020-25 I as needed, with the following narrative section headings: b. Implementing Agency, c. Participants, d. Commodities. List all narrative section headings in order. For any headings which are not applicable, mark them as such and follow immediately below with the next narrative section heading.)

a. Overall Implementation Performance.

The team has worked closely with the College of Agriculture technicians at Foona, with their assigned full time State Department of Agriculture counterparts and with the University Staff members in the respective subject matter areas. Adequate activities have been initiated by the FPU's and especially by the Plant Protection Unit and the Irrigation/Drainage Units.

B. Implementing Agency

Pennsylvania State University has furnished well qualified technical personnel including one short term, Soil Salinity Consultant in 1969; however, the position of Seed Improvement Specialist was unfilled from August to December 1969 with still no nomination at the beginning of 1970.

c. Participants

Three participants have returned from training in the U.S. One each on Production Management, in Irrigation/Drainage and in Seed Production. One man was receiving training in the U.S. in Seed Production and Processing with two other participants leaving within the next two months. Returned participants are working in their fields of training.

d. Commodities

No commodities are contemplated.

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PART III - ROLE OF THE COOPERATING COUNTRY

The following list of illustrative items are to be considered by the evaluator. In the block after only those items which significantly affect project effectiveness, write the letter P if the effect of the item is positive or satisfactory, or the letter N if the effect of the item is negative or less than satisfactory.

SPECIFIC OPERATIONAL FACTORS:	
080 Coordination and cooperation within and between ministries.	*
081 Coordination and cooperation of LDC gov't. with public and private institutions and private enterprise.	*
082 Availability of reliable data for project planning, control and evaluation.	*
083 Competence and/or continuity in executive leadership of project.	*
084 Host country project funding.	
085 Legislative changes relevant to project purposes.	
086 Existence and adequacy of a project-related LDC organization.	
087 Resolution of procedural and bureaucratic problems.	
088 Availability of LDC physical resource inputs and/or supporting services and facilities.	*
089 Maintenance of facilities and equipment.	*
090 Resolution of tribal, class or caste problems.	*
091 Receptivity to change and innovation.	
092 Political conditions specific to project.	
093 Capacity to transform ideas into actions, i.e., ability to implement project plans.	
094 Intent and/or capacity to sustain and expand the impact of the project after U.S. inputs are terminated.	*
095 Extent of LDC efforts to widen the dissemination of project benefits and services.	
096 Utilization of trained manpower (e.g., participants, counterpart technicians) in project operations.	
097 Enforcement of relevant procedures (e.g., newly established tax collection and audit system).	
098 Other:	
HOST COUNTRY COUNTERPART TECHNICIAN FACTORS:	
099 Level of technical education and/or technical experience.	
100 Planning and management skills.	
101 Amount of technician man years available.	
102 Continuity of staff.	
103 Willingness to work in rural areas.	
104 Pay and allowances.	
105 Other:	

In the space below for narrative provide a succinct discussion and overall appraisal of the quality of country performance related to this project, particularly over the past year. Consider important trends and prospects. See Detailed Instructions for an illustrative list of considerations to be covered.

For only those items marked N include brief statements covering the nature of the problem, its impact on the achievement of project targets (i.e., its importance) and the nature and cost of corrective action taken or planned. Identify each explanatory note.

106 NARRATIVE FOR PART III (Continue on form AID 1020-25 I):

*The activity was started in response to a recognition of the effect of the above cited deficiencies upon food production in India. Since the Indian states are responsible for agricultural operations, the Center urged that U. S. technical assistance be concentrated on those problems identified by an asterisk. The project is organized to help the Indian officials overcome traditional and inherited procedures and for this reason specifically points its essential efforts in this direction.

The situation calls for a coordinated effort by all the FPU's in Maharashtra to involve all agencies concerned in order to develop a formal pattern of working relationships which will continue to function after the project is terminated. The pest control survey and the Ag Information Council are examples of the results of the operations of the two FPU's involved. The pest control survey has been expanded by the state and is now a regular operating procedure.

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PART IV - PROGRAMMING IMPLICATIONS

IV-A - EFFECT ON PURPOSE AND DESIGN

Indicate in a brief narrative whether the Mission experience to date with this project and/or changing country circumstances call for some adjustment in project purposes or design, and why, and the approximate cost implications. Cover any of the following considerations or others that may be relevant. (See Detailed Instructions for additional illustrative considerations.) Relevant experience or country situations that were described earlier can simply be referenced. The spelling out of specific changes should be left to the appropriate programming documents, but a brief indication of the type of change contemplated should be given here to clarify the need for change. For example, changes might be indicated if they would:

1. better achieve program/project purposes;
2. address more critical or higher priority purposes within a goal plan;
3. produce desired results at less cost;
4. give more assurance of lasting institutional development upon U.S. withdrawal.

107 NARRATIVE FOR PART IV-A (Continue on form AID 1020-25 I):

We consider the purpose and design of this project still valid under current conditions and results to date justify continuing as planned.

IV-B - PROPOSED ACTION

108 This project should be (Place an "X" in appropriate block(s)):

1. Continued as presently scheduled in PIP.	
2. Continued with minor changes in the PIP, made at Mission level (not requiring submission of an amended PIP to AID/W).	
3. Continued with significant changes in the PIP (but not sufficient to require a revised PROP). A formally revised PIP will follow.	
4. Extended beyond its present schedule to (Date): Mo. ___ Day ___ Yr. ___. Explain in narrative, PROP will follow.	
5. Substantively revised. PROP will follow.	
6. Evaluated in depth to determine its effectiveness, future scope, and duration.	X
7. Discontinued earlier than presently scheduled. Date recommended for termination: Mo. ___ Day ___ Yr. ___	
8. Other. Explain in narrative.	

109 NARRATIVE FOR PART IV-B:

6. The (Sloan-Davis) evaluation made in the spring of 1969 strengthens our opinion that the design and activities of this project are sound.