

AIRGRAM

DEPARTMENT OF STATE.

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CLASSIFICATION

For each address check one ACTION | INFO

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A.I.D. Reference Center
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TO - AID/W TOAID A- 738 x

FROM - NEW DELHI

SUBJECT - Agricultural Production - 386-11-110-366 PROP Revision

REFERENCE - NON-CAPITAL PROJECT (PROP) TOAID A-578, 6/24/69

DATE SENT
9/2/1970

19p.

Country: **India**
Submission Date: **August 31, 1970**
Project Title: **Agricultural Production**
U.S. Obligation Spans: **FY 1966 through FY 1974**
Physical Implementation Span: **FY 1966 through FY 1975**

NESA ROUTING
ACT. INFO

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Gross life-of-project financial requirements:

U.S. Dollars: **7,179**

U.S.-owned Local Currency: **Not Applicable**

Cooperating Country Cash Contribution (Trust Fund): **Rs 33,158,800 (\$Equiv.) 4,363**

Fourth 5-year Plan Budget: **Not Applicable**

Total: \$11,542

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DRAFTED BY JJoldersma:tdg	OFFICE DF/P	PHONE NO. x 530	DATE 8/31/70	APPROVED BY: MINAID:LJ Saccio
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AID AND OTHER CLEARANCES
 AC/P:ARDownie (in draft) AG:OABauman (in draft) AG:ROOlson (in draft)
 DF/P:AReich (in draft) **UNCLASSIFIED** CLASSIFICATION DD(A):CSGulick (in draft)

1. Project Target and Course of Action

The aim of the project is 1) to solve field agricultural problems which reduce potential yields of new varieties and 2) to build links between agricultural institutions responsible for research and for extension. Establishing a cooperative problem solving approach as a normal working pattern not only makes an impact on food production but also on the successful development and transfer of knowledge and technique for other agriculture development activities at the farm level.

In selected Indian States A.I.D. provides four to six subject matter specialists in such fields as seed production, plant protection, soil fertility, water management and drainage, and agricultural implements. Each U.S. technician joins with his technical Indian counterparts from the State Department of Agriculture and the local Agricultural University or other State facility responsible for conducting research. These three persons constitute a Field Problem Unit (FPU) to identify production problems, encourage coordinated work of Indian organization to find solutions, and subsequently disseminate corrective measures determined through research. The FPUs work under the guidance of a State High-Level Coordinating Committee, composed of representatives of the Center Government, the State Department of Agriculture, the State Agricultural University or research facility, the leader of the American team in the State, and the A.I.D. Project Coordinator. Initially the American specialist emphasizes demonstrations of improved techniques and materials to demonstrate to his counterparts how the new approaches to problem solving will yield greater food production. As the project matures, the U.S. specialist stresses the strengthening of the institutional arrangements that are necessary so that cooperation in problem solving will continue after the U.S. has terminated its assistance.

Evaluation of current progress in each of the seven Indian States being assisted indicates that, in general, 1) patterns of cooperation are being established and the States being assisted will be able to carry out the coordinating interdisciplinary approach to problem solving after the Americans depart, and 2) many current field problems which have been limiting increases in agricultural production are being solved. USAID policy, therefore is to adhere to its plan to complete its assistance to each State within the planned period of two tours per technician (usually achieved within a span of five years); any extension would be an exception to be justified fully by the circumstances.

By adhering to this plan, the last man on each of the teams will have completed his tour of duty on the date shown below:

Andhra Pradesh	September 1971
Orissa	July 1972
Tamil Nadu	November 1972
Mysore	December 1972

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Maharashtra	December 1972
Bihar	April 1973
Gujarat	October 1973

2. Policy on Expansion into New States

The GOI has expressed a continuing interest in expanding this project into new States. USAID proposed in the original PROP that "an additional state may be added in FY 1971 and another by 1972. Beyond that, future possible expansion is unknown at this time." AID/W responded on December 22, 1969: "It is our understanding that the GOI is not expected to request assistance for starts in new states until teams presently operating are ready to phase out. If assistance to new states is proposed in the future, each case will be considered on its merit."

It is important that this project be introduced into new states because it provides a unique type of input; it is the kind of input which most effectively can be provided ~~only~~ by foreign assistance, not by the government of a host country. If such assistance were to come from Indian sources, it would go to either the University or the Ministry of Agriculture, whereas the presupposition behind this project is that, in states lacking coordination, a team of ~~agricultural~~ specialists, dependent upon neither the Ministry nor the University, can stand half way between them to initiate and stimulate coordination between them and to focus their joint efforts on field problems. If these teams play their role effectively (as they are in the presently assisted states), increased cooperation and a focus on immediate blocks to production thru the process of applied research will yield not only present production increases but will establish continuing benefits. (In any case, other States and the Center presently do not have trained personnel who could be made available to provide assistance to new States.)

However, USAID policy on expanding this project into new states is not to consider GOI requests for assistance to new states until present teams are ready to phase out, and until new states meet the criteria as follows:

- a. The State has the basic organizations necessary to do problem oriented research and to carry out field operations which spread the package of practices to farmers.
- b. These research and extension organizations are operating at a lower degree of efficiency than necessary and have the potential for rapid improvement through technical assistance.
- c. Coordination between these research and extension organizations on current field problems is less than required and can be significantly increased through assistance from this project.

- d. Significant potential for introduction of new practices and for rapid increase in agricultural production exists in the State.
- e. The political and administrative climate in the State is conducive to the introduction of an Ag Production team, to the spread of new varieties and the package of practices, and to increased coordination between the research and extension organizations.

3. Proposed Expansion into Rajasthan and M.P.

Following a careful review of all of the Indian states which have not yet been assisted by this project, it has been determined that Rajasthan and Madhya Pradesh meet the criteria and have top priority for assignment of new Ag Production teams. The GOI has requested informally that USAID send new Agricultural Production teams to these two states.

The USAID proposes to contract to provide the following services (giving consideration to contracting with the same universities--Ohio State University and University of Illinois--which are the present Agricultural University Development contractors in Rajasthan and Madhya Pradesh, respectively):

<u>Rajasthan</u>	<u>FY 72</u>	<u>FY 73</u>	<u>FY 74</u>	<u>FY 75</u>	<u>FY 76</u>
A. Technicians (areas to be specified in PIP)	5/60	5/60	5/60	5/60	
B. Consultants (areas to be specified in PIP)	1/3	1/3	1/3	1/3	
<u>Madhya Pradesh</u>					
A. Technicians (areas to be specified in PIP)	5/30	5/60	5/60	5/60	5/30
B. Consultants (areas to be specified in PIP)	1/3	1/3	1/3	1/3	1/3

Participant training will be as follows:

Rajasthan

Agricultural Admin. Coordination	1/3	1/3	1/3	1/3	
Areas to be determined	3/27	3/27	3/27	3/27	

	<u>FY 72</u>	<u>FY 73</u>	<u>FY 74</u>	<u>FY 75</u>	<u>FY 76</u>
<u>Madhya Pradesh</u>					
Agr. Admin. Coordination		1/3	1/3	1/3	1/3
Areas to be determined		3/27	3/27	3/27	3/27

The budget for these two new sub-projects will be as follows:

	<u>FY 71</u>	<u>FY 72</u>	<u>FY 73</u>	<u>FY 74</u>	<u>TOTAL</u>
<u>Dollars</u> (In 000)					
<u>Obligations:</u>					
Rajasthan	203	60	176	302	741
Madhya Pradesh	-	222	180	335	737
Total:	203	282	356	637	1,478

Rupees (In 000's dollar equivalent)

<u>Obligations:</u>					
Rajasthan	147	34	133	209	523
Madhya Pradesh	-	151	136	232	519
Total:	147	185	169	441	1,042

On the basis of the five criteria listed in section 2, second priority for assignment of new Agricultural Production teams presently goes to the Indian States of Kerala, West Bengal, and Assam (including its sub-states, where one team would be based at Assam Agricultural University, which serves all of this area). Lower priority is given presently to the remaining States.

4. Timing

In order to avoid interruption of the contribution which this project is making to the agricultural development program of India, it will be advantageous to start in the two new States as the individual technicians complete their tours in other States, although, of course, the departing technicians will not be necessarily reassigned, but rather the new States will have different contractors with their own personnel. Most of the members of the Agricultural Production teams in Andhra Pradesh and Orissa will have completed the planned two tours by July 1971 and January 1972 respectively. Using these dates as the target dates for the arrival of the

first members of the new teams in Rajasthan and Madhya Pradesh, respectively, a supplemental Operational Work Plan should be signed during October 1, 1970 for the Rajasthan subproject and by April 1971 for the Madhya Pradesh subproject. (Past USAID experience indicates that approximately nine months are required between signing a supplemental OWP and the arrival of a team. This time is needed for the GOI to sign an amended Project Agreement, for the contract universities and AID to negotiate and sign a contract, for the universities to recruit teams, for the GOI to clear nominations, and for the team members to make arrangements to travel.) This means that USAID should receive a formal approval of this proposed PROP revision from AID/W by October 1, 1970 to clear the way for the completion of discussions among USAID, the Ministry of Food and Agriculture, and the State Ministries of Agriculture to prepare plans, submit the lists of specialists needed, and send the proformas for these positions. On the basis of these discussions, a supplemental OWP and an amended ProAg then can be signed for these two new subprojects.

The first year's activities of the Rajasthan contract would be funded during FY 1971. This project envisions two two-year tours for each team member (plus a few months sabbatical between the two tours for home leave or recruitment); therefore, the final year of funding for the Rajasthan contract would be in FY 1974. Since contracts are forward funded for 18 months, the final year of funding for the Madhya Pradesh contract also will be in FY 74; this means that the termination date which has been given to Congress for this project will not have to be revised.

USAID strongly urges AID/W to approve both of these new subprojects. If the Madhya Pradesh subproject is approved now instead of later, we will have more adequate lead time for project planning and implementation.

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ACTION AID-45

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AIDAC

SUB: AGRICULTURAL PRODUCTION PROJ REVISION

info
REF: STATE 165573

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FOLLOWING ARE REPLIES TO UNNUMBERED QUESTIONS IN REFTEL,
IN SAME SEQUENCE:

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THE AGRICULTURAL PRODUCTION PROJECT IS PRIMARILY CONCERNED WITH HELPING THE INDIANS DEVELOP AND ESTABLISH WITHIN THE STATE A PATTERN OF WORK THAT WILL LINK AGRICULTURAL RESEARCH FACILITIES WITH FIELD EDUCATION AND SERVICE ACTION ORIENTED GROUPS. AS INDICATED IN THE SLOAN/DAVIS EVALUATION AND THE REPORTS, THE WORKING RELATIONSHIPS, PROBLEMS ENCOUNTERED, AND METHODS OF APPROACH, WHILE BASICALLY SIMILAR, VARY FROM STATE TO STATE.

THE PROBLEMS IN RAJASTHAN AND MADHYA PRADESH ARE PECULIAR TO THOSE STATES AND WHILE THE ULTIMATE GOAL IS THE SAME AS IN OTHER STATES WHERE THE PROJECT IS BEING IMPLEMENTED, THE APPROACH WILL DIFFER. THE USAID CONSIDERS THE RAPID DEVELOPMENT OF MORE FLEXIBLE AND MEANINGFUL WORKING RELATIONSHIPS WITHIN THE STATES AMONG THE INSTITUTIONS CONCERNED ESSENTIAL TO THE ACHIEVEMENT OF A RAPID AND SUSTAINED GROWTH RATE IN AGRICULTURAL PRODUCTION. THE LOCAL EXPERTISE BEING DEVELOPED UNDER THIS PROJECT IS STILL TOO LIMITED TO EXPECT TRANSFER TO OTHER STATES, HENCE, CONTINUED ASSIGNMENT OF U S TECHNICAL SPECIALISTS IS REQUIRED.

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MADHYA PRADESH IS PLAGUED WITH AN^AGRICULTURAL UNIVERSITY HAVING SEVEN CAMPUSES, EACH COMPETING FOR STATURE AND EACH DEFICIENT IN SOME AREA, ESPECIALLY IN QUALITY OF STAFF AND SCOPE OF PROGRAM. AN AP TEAM WORKING WITH THE DEPARTMENT MAY WELL BE THE CATALYST REQUIRED IN BRINGING THESE CAMPUSES TO FOCUS ON LOCAL PRODUCTION PROBLEMS WITH THEIR ULTIMATE EVOLVEMENT INTO MAJOR EXPERIMENTAL STATIONS, RESTRICTING THEIR ACADEMIC PROGRAMS TO THE JUNIOR COLLEGE LEVEL.

RAJASTHAN ON THE OTHER HAND HAS A STRONG RESEARCH PROGRAM IN THE STATE DEPARTMENT WHICH IS IN THE PROCESS OF BEING TRANSFERRED TO THE UNIVERSITY. THE PROBLEMS FACED HERE ARE SOMEWHAT SIMILAR TO THOSE THAT EXISTED IN ORISSA WHEN THE PROJECT WAS STARTED THERE.

2. THE SITUATION EXISTING IN EACH INDIAN STATE IS SUFFICIENTLY DIFFERENT THAT EACH COULD BE CONSIDERED TO PRESENT A NEW CHALLENGE.
3. WHILE THE MAJOR ADVANTAGE OF EXTENDING THE PROJECT TO TWO ADDITIONAL STATES WILL BE TO SPREAD THE COVERAGE THERE WILL BE OPPORTUNITIES TO APPLY LESSONS LEARNED PREVIOUSLY AND TO ENCOUNTER NEW PROBLEMS IN DIFFERENT SITUATIONS, THE SOLUTIONS OF WHICH MAY HAVE APPLICATION IN OTHER STATES.
4. GOI HAS EXPRESSED DESIRE THAT M P AND RAJASTHAN BE INCLUDED AND WE CONCUR THIS SELECTION SINCE BASIC CRITERIA FOR PARTICIPATION MET AND PROBABILITY OF SUCCESS GOOD. PRESENTLY AND FOR FORESEEABLE FUTURE, KERALA AND OTHER POTENTIAL RECIPIENTS HAVE LOWER PRIORITY THAN RAJASTHAN AND MP, BASED UPON CRITERIA PRESENTED IN PROP REVISION.
5. REPORTS OF AP TEAMS AT ANNUAL AGRICULTURAL CONFERENCE LAST MONTH CLEARLY INDICAE APPROACH HIGHLY EFFECTIVE IN SOME STATES SUCH AS AP AND MYSORE. REPORTS ALSO IDENTIFIED CHANGES OR REDIRECTION OF EFFORTS IN OTHER STATES TO IMPROVE PROGRAMS AND EXPEDITE ATTAINMENT OF OBJECTIVES. PROGRESS TO DATE CLEARLY INDICATES

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INTRODUCTION NEW TYPE OF RELATIONSHIPS HIGHLY EFFECTIVE
IN ENHANCING INTEGRATION AND CHANCES OF SUCCESS IN
OTHER STATES MEETING BASIC CRITERIA PROMISING.

6. THE USAID BELIEVES IT HAS BEEN DEMONSTRATED
THAT THE PROJECT OBJECTIVES ARE ATTAINABLE AND WILL
ESTABLISH A PATTERN OF OPERATION HIGHLY DESIRABLE IN
EACH INDIAN STATE IN WHICH THE PROJECT OPERATES.
WITH THE LOW LEVEL (FIVE TO SIX SPECIALISTS), SHORT-
TERM (UP TO FIVE YEARS) HIGH-IMPACT-CONCENTRATED-
APPROACH, WE FEEL A DESIRABLE RESULT CAN BE ACHIEVED
WITHIN THE CURRENT LIMITS OF PERSONNEL AND FUNDS,
I.E., WORK IN NEW STATES CAN BE STARTED AS THAT IN
PRESENT STATES IS PHASED OUT.

UPON RECEIPT AID/W CONCURRENCE SUBJECT REVISION
USAID WILL ENTER INTO FURTHER NEGOTIATIONS WITH
STATES AND CENTER WITH VIEW TO COMPLETING FY 72
BY END THIS CY.
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AIDAC

SUBJECTA AGRICULTURAL PRODUCTION PROP REVISION
REF: NEW DELHI 12366

PROP APPROVAL (AIDTO A-690) STATED THAT EXPANSION INTO ADDITIONAL STATES WOULD BE CONSIDERED ON MERITS EACH CASE. REVISED PROP OUTLINES CRITERIA OF ELIGIBILITY FOR ASSISTANCE AND INDICATES RAJASTHAN AND MADHYA PRADESH MEET CRITERIA. BEFORE NECA CAN MAKE DECISION, WOULD APPRECIATE ANSWERS TO FOLLOWING QUESTIONS: SPECIFICALLY WHAT DOES MISSION EXPECT WILL BE ACCOMPLISHED IN TERMS OF RELATIONSHIPS BETWEEN UNIVERSITIES AND STATE AGRICULTURAL DEPARTMENTS IN R. AND M. P. STATES? DO THESE STATES REPRESENT CHALLENGES NOT FACED IN EXISTING PROJECT? ARE THERE ADVANTAGES IN ASSISTING THESE TWO PARTICULAR STATES OTHER THAN SPREADING COVERAGE OF PROJECT? IF NO OTHER NEW STARTS ARE UNDERTAKEN, DOES THE MISSION PREFER THESE TWO STATES TO ANY OF THE OTHER POSSIBILITIES SUCH AS KERALA? DO WE NOW HAVE SUFFICIENT EXAMPLES OF WHERE APP TEAMS HAVE SIGNIFICANTLY IMPROVED RESEARCH/EXTENSION RELATIONS IN A WAY LIKELY TO LAST AFTER WITHDRAWAL OF US TECHNICIANS TO MAKE US

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CONFIDENT OF REPLICATION OF THIS SUCCESS IN OTHER STATES?

WE WILL CABLE DECISION ASAP AFTER RECEIPT MISSION COMMENTS ON ABOVE. ROGERS.



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ORIGIN AID-40

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AIDAC

SUBJECT-AGRICULTURAL PRODUCTION. PROP. REVISION.

REFERENCE-

- 1 ND.D. 14030
- B) N.D. 12745
- C) STATE 165573
- D) N.D. 14211

1. NESA STAFF PREPARED RECOMMEND TO AA/NESA APPROVAL OF PROP. REVISION FOR EXTENSION PROJECT TO RAJASTHAN AND MADHYA PRADESH. HOWEVER, WE STILL CONCERNED ABOUT HOW PROJECT CAN OVERCOME APPARENT DIFFICULTIES (WHICH NOTED IN SLOAN-DAVIS REPORT) IN BUILDING STRONG LINKS BETWEEN RESEARCH AND EXTENSION THAT WILL LAST BEYOND WITHDRAWAL ADVISORS. ONE POSSIBILITY

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WOULD BE TO ASK RAJASTHAN AND MP TO TAKE MORE STEPS TO ASSURE COOPERATION RESEARCH AND EXTENSION THAN WE HAVE ASKED OTHER STATES TO TAKE, PRIOR TO SENDING IN TEAM. SUCH STEPS MIGHT INCLUDE MORE FIELD PROBLEM UNITS, EVEN WHERE THERE IS NO US ADVISOR, OR INCREASED BUDGETING FOR FPU OPERATION (INDEPENDENT OF EITHER UNIVERSITY OR AG DEPARTMENT BUDGETS). ANOTHER APPARENT PROBLEM ON THIS PROJECT WE BELIEVE THE MISSION SHOULD CONSIDER IS RELATED TO THE ATTITUDE OF SOME US AUD TEAMS AND SOME UNIVERSITY VC'S ON RESTRICTING THE FUNCTIONS OF STATE AGRICULTURE DEPARTMENTS TO NON-EXTENSION FUNCTIONS. DOESN'T THIS ATTITUDE LIMIT ABILITY OF APP TEAMS TO STRENGTHEN THE EXTENSION FUNCTION OF STATE DEPARTMENT? WOULD APPRECIATE MISSION THOUGHTS THESE POINTS BEFORE REVISED PROP PUT TO AA/NESA.

2. PERHAPS BRIEF EVALUATION APP PROJECT MENTIONED REF D WILL ASSIST OUR UNDERSTANDING THIS PROJECT.
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APPROVED BY: AA/NESA,D.G. MACDONALD
NESA/DP:JGOVAN(DRAFT) NESA/SA:CHREES
NESA/TECH:JBLUME(SUBS.) NEWA/DP:RBIRNBERG
NESA/ID:JYOUNG(SUBS.)
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AIDAC

SUBJECT- PROP AMENDMENT: EXPANSION OF APP PROJECT

REFERENCE- TOAID A-578

1. APP APPROACH (WORKING THROUGH FPU'S) HAS DEMONSTRATED SUCCESS IN SOLVING FIELD PROBLEMS AND THEREBY RAISING AGRICULTURAL OUTPUT. HOWEVER, PROGRESS ON RELATED FRONT, VIZ. TO INSTITUTIONALIZE WORKING RELATIONSHIPS BETWEEN RESEARCH AND EXTENSION, IS LESS CERTAIN.

2. RE INSTITUTIONALIZATION, OUR UNDERSTANDING OF MISSION VIEW IS THAT AU'S SHOULD BE WHATEVER FEASIBLE IN EXTENSION, BUT WHERE STATE AGRICULTURE DEPARTMENT WILL NOT TURN OVER EXTENSION FUNCTION TO AU, AU SHOULD COMPLEMENT AGRICULTURE DEPARTMENT BY PROVIDING TECHNICAL ADVISOR TO STATE EXTENSION AGENTS, TRAINING EXTENSION AGENTS, AND CONDUCTING DEMONSTRATIONAL/INNOVATIONAL EXTENSION WORK. IMPLICIT IS IDEA, WHICH WE SUPPORT, THAT ROLE OF THE AU IN EXTENSION SHOULD

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VARY STATE BY STATE, DEPENDING ON STATUS/ATTITUDES OF AGRICULTURE DEPARTMENT IN PARTICULAR STATE. APP PROJECT MUST ADAPT TO STATE SITUATIONS IN OTHER ASSURE THAT (IN KEEPING WITH BASIC GOALS LAND GRANT CONCEPT) FARMERS GET MAXIMUM BENEFIT FROM BOTH RESEARCH AND EXTENSION.

3. IT MAY BE THAT MOST STATES WILL NOT ADOPT CONCEPT CONSOLIDATION OF RESEARCH AND EXTENSION UNDER AU. IF THAT IS CASE, SUGGEST THAT MISSION HAS STILL NOT DEVELOPED CRITERIA FOR DESCRIBING KIND OF AU/STATE RELATIONSHIP THAT SHOULD PREVAIL WHEN APP TEAMS DEPART. "COOPERATION" IS KEY, BUT MISSION SHOULD BE ABLE TO MEASURE DEGREE ON COOPERATION THAT EXISTS AT A GIVEN POINT IN TIME. WHETHER COOPERATION IS EMBODIED EVENTUALLY IN PERMANENT FPU'S, OR SIMPLY MANIFEST IN GOOD INFORMAL TIES, MISSION AND AID/W SHOULD HAVE SOME WAY TO GAUGE EXTENT AND KIND OF COOPERATION ACHIEVED, E.G. STATIONING OF AU SPECIALISTS AS BACK-UP FOR STATE EXTENSION AGENTS; AU CONDUCTING ACTUAL TRAINING PROGRAMS FOR STATE EXTENSION AGENTS. MISSION HAS LISTED SOME INDICATORS OF PROGRESS IN PROJECT LOGICAL FRAMEWORK DEVELOPED DURING RECENT PAR INSTALLATION SESSIONS IN NEW DELHI. HOWEVER, WE URGE MISSION GO BEYOND THESE CRITERIA AND DEVELOP ADDITIONAL BENCHMARKS, PARTICULARLY IN SUBTLE AREA OF INFORMAL COOPERATION. DRAFT PAR, JHST RECEIVED, STATES NEED FOR "IMPROVED SOURCES OF VERIFICATION" OF PROGRESS. WE AGREE THIS IS ESSENTIAL MEASURE DEGREE REALIZATION OF PROJECT GOALS.

4. WE HAVE SOME EVIDENCE AU/STATE LINKAGES STILL LEAVE MUCH TO BE DESIRED. FRANK PARKER SAYS THIS IS MAJOR PROBLEM FOR VIRTUALLY ALL AU'S. HE ADDS EVEN IN PUNJAB, WHERE AU DOES MUCH EXTENSION WORK COMPLEMENTARY TO STATE INPUTS, V.C. RANDHAWA HAS STATED THAT LINKAGE STILL NEEDS IMPROVEMENT. IN SOME CASES FAULT FOR POOR LINKAGES MAY LIE HEAVILY WITH AU. ENSMINGER AND LOOMIS (MSU), RECENTLY HERE FOR IDEA SESSION, CITED WEAK CONCEPT OF SERVICE TO RURAL SOCIETY AT MANY AU'S. DR. HANNAH MADE RELATED POINT THAT SERVICE WAS ESSENCE OF ORIGINAL LAND GRANT CONCEPT AND THAT UNIVERSITIES IN THIS TRADITION (IN U.S. AND ABROAD) MUST STRIVE TO RETAIN STRONG SERVICE ORIENTATION. SOLUTION TO LINKAGE PROBLEMS MOSTLY UP TO AU'S AND STATE LEADERSHIP, BUT OUR TEAMS CAN AND SHOULD HAVE INFLUENCE. WE WONDER IF IT IS ALWAYS AS CONSTRUCTIVE AS IT COULD BE.

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5. PURPOSE OF ABOVE REMARKS IS TO HIGHLIGHT INSTITUTIONAL FACTORS WHICH WE SEE AS CRITICAL IN MISSION'S TWO BIGGEST TC PROJECTS. REQUEST VIEWS OF SENIOR MISSION MANAGEMENT ON THESE FUNDAMENTAL ISSUES. REPLY SHOULD ALSO SUGGEST PROGRESS CRITERIA RE PARA 3 THIS MESSAGE, WHICH WILL SERVE AS BENCHMARKS IN PERIODIC PROJECT EVALUATION.

6. REQUEST MISSION PUT ON AGENDA AUD EXEC VISITORS MEETING, NEXT CONTRACT TEAM CHIEFS' MEETING, AND MAKE STRONG TRY GET ON AGENDA APRIL AIAU MEETING THE ENTIRE EXTENSION/LINKAGES ISSUE. U. S. UNIVERSITIES AND AU'S NEED RECOGNIZE THAT SOLUTIONS WHICH WORKED IN PAST IN U.S. (ON WHICH SOME PARTIES STILL APPEAR TO BE "HUGH UP") MAY REQUIRE ADAPTATION TO INDIAN (STATE) CONDITIONS. OVERRIDING INTEREST MUST BE BUILD WORKABLE SYSTEM TO SERVE FARMER AND RURAL SOCIETY.

7. EXTENSION TO RAJASTHAN AND MADHYA PRAHESH APPROVED WITH UNDERSTANDING ABOVE ISSUES WILL BE TAKEN INTO ACCOUNT IN IMPLEMENTATION OF THESE SUBPROJECTS, AS WELL AS IN EXISTING SUBPROJECTS. REQUEST FEEDBACK ON STEPS TO BE TAKEN TO HANDLE ISSUES. PER REPAIR, ASSISTANCE TWO NEW STATES WILL COMMENCE AS EXISTING SUBPROJECTS TERMINATE. EXPANSION BEYOND TWO NEW STATES SUBJECT CASE BY CASE AID/W APPROVAL.
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PROJECT AUTHORIZATION

1. PROJECT NUMBER 386-11-130-3	3. COUNTRY INDIA	4. AUTHORIZATION NUMBER
2. PROJECT TITLE Agriculture Production Promotion PROP Amendment (TOAID A-578)		5. AUTHORIZATION DATE January 29, 1971
7. LIFE OF PROJECT a. Number of Years of Funding: <u>5</u> Starting FY 19 <u>73</u> ; Terminal FY 19 <u>74</u>		6. PROP DATED September 2, 1970
		b. Estimated Duration of Physical Work After Last Year of Funding (in Months): <u>12 mos.</u>

FUNDING BY FISCAL YEAR (in U.S. \$ or \$ equivalent)	DOLLARS (\$000)		P.L. 480 CCC + FREIGHT	LOCAL CURRENCY Exchange Rate: \$1 = 7.60 Rs.			
	GRANT	LOAN		U.S. OWNED		HOST COUNTRY	
				GRANT	LOAN	JOINTLY PROGRAMMED	OTHER
Prior through Actual FY	-	-				-	
Operational FY	200					147	
Budget FY	250					185	
B + 1 FY	275					169	
B + 2 FY	275					441	
B + 3 FY							
All Subsequent FY's							
TOTAL	1,000					942	(\$000 equiv.)

9. DESCRIBE SPECIAL FUNDING CONDITIONS OR RECOMMENDATIONS FOR IMPLEMENTATION, AND LIST KINDS AND QUANTITIES OF ANY P.L. 480 COMMODITIES

Funding shown only for expansion element of project, vis. for activities in Rajasthan and Madhya Pradesh states.

10. CONDITIONS OF APPROVAL OF PROJECT

Approval subject to guidance contained in AID/W cable re this PROP action.
(See State 016478)

(Use continuation sheet if necessary)

11. Approved in substance for the life of the project as described in the PROP, subject to the conditions cited in Block 10 above, and the availability of funds. Detailed planning with cooperating country and drafting of implementation documents is authorized.

This authorization is contingent upon timely completion of the self-help and other conditions listed in the PROP or attached thereto.

This authorization will be reviewed at such time as the objectives, scope and nature of the project and/or the magnitudes and scheduling of any inputs or outputs deviate so significantly from the project as originally authorized as to warrant submission of a new or revised PROP.

A.I.D. APPROVAL		CLEARANCES	DATE
 SIGNATURE		NESA/DP:RGBirnberg	1/29/71
AA-NESA, Donald G. MacDonald	1/29/71	A/CONT	
TITLE	DATE		



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AIDAC

SUBJECT : PROP AMENDMENT - EXPANSION OF APP PROJECT

REFERENCE: STATE 016478

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1. PARA TWO REFTEL IS ACCURATE SUMMARY OF USAID VIEWS.
2. LAND GRANT CONCEPT AS DEVELOPED IN THE U.S. IS NOT BASIC TO SUCCESS OF THIS ACTIVITY SINCE, UNDER INDIAN CONDITIONS, INFORMAL RELATIONSHIPS DEVELOPING AS A RESULT OF THIS ACTIVITY ARE CONTRIBUTING TO ACHIEVEMENT OF OBJECTIVES. THEREFORE, DO NOT CONSIDER CONSOLIDATION OF RESEARCH AND ALL EXTENSION FUNCTIONS AT AU OF PARAMOUNT IMPORTANCE. DIFFERENT AUPS WILL EXERCISE VARYING DEGREES OF CONTROL OF EXTENSION AND EXTENSION EDUCATION. DEVELOPMENT OF AU/STATE LINKAGES CONTINUES TO BE AN IMPORTANT FOCUS OF THIS PROJECT. HOWEVER, CONSIDER ARRANGEMENT IN PUNJAB HIGHLY EFFECTIVE UNDER INDIAN CONDITIONS. WHILE THIS IS NOT IN COMPLETE ACCORD WITH THE LAND GRANT CONCEPT, EXCEPT THIS ARRANGEMENT TO BE MOST ACCEPTABLE AND EFFECTIVE HERE SINCE PATTERN OF DEVELOPMENT DIFFERENT FROM U.S. AUPS HAVE CONTINUED TO EMPHASIZE THE INDIVIDUAL AND THE COLLECTIVE SERVICE ROLES OF AU, STATE DEPARTMENT OF AGRICULTURE AND PRIVATE INDUSTRY.

3. AGREE THAT WORKING RELATIONS BETWEEN RESEARCH AND EXTENSION INSTITUTIONS DIFFICULT TO MEASURE, SINCE DECEMBER PAR EXERCISE WE HAVE REVISED LOGICAL FRAMEWORK TO MORE CLEARLY IDENTIFY CONDITIONS EXPECTED AT THE END OF PROJECT AND PROVIDE FOR ADDITIONAL MEANS OF VERIFICATION WHICH WILL ASSIST IN ANNUAL EVALUATIONS AND FUTURE PLANNING.

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FURTHER, PAR RECOMMENDS USAID PREPARE PROPOSAL FOR STUDY WHICH WOULD ATTEMPT TO DEVELOP ADDITIONAL AND MORE PRECISE INDICATORS AND MEANS OF VERIFICATION. *

4. RESEARCH-EXTENSION-EDUCATION-STATE DEPARTMENT LINKAGES ISSUE IS ALREADY ON EXECUTIVE VISITORS' MEETING AGENDA. WE WILL SUGGEST TO IAAU AGENDA COMMITTEE THAT THEY ALSO INCLUDE THIS ON THEIR PROGRAM. HOWEVER, USAID CAUTIONS AID/W NOT TO CONFUSE OBJECTIVES OF AUD AND APP PROJECTS. WE BELIEVE THAT IN DEALING WITH THIS ISSUE UNDER AID PROJECT HOLD FRONTAL ATTACK IS APPROPRIATE ON ISSUES INVOLVED WHILE MUCH MORE SUBTLE APPROACH IS REQUIRED IN DEALING WITH STATE/AU RELATIONSHIPS UNDER AP PROJECT.

5. ABOVE ISSUES ARE BEING TAKEN INTO ACCOUNT IN NEGOTIATIONS WITH RAJASTHAN AND MADHYA PRADESH. WE WILL KEEP AID/W INFORMED AS NEGOTIATIONS AND IMPLEMENTATION PROCEED.
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