

AID 1730-IX
(7-1-76)
PIO/T

DEPARTMENT OF STATE
AGENCY FOR
INTERNATIONAL DEVELOPMENT

PROJECT IMPLEMENTATION
ORDER/TECHNICAL
SERVICES

1. Cooperating Country
TA Bureau 3168635
2. PIO/T No.
931-140-244-73
4. Project/Activity No. and Title
Korean Simulation Model-Advisory Service

Page 1 of 5 Pages
3. Original or
Amendment No.

DISTRIBUTION

5. Appropriation Symbol
92-11X1023

6.A. Allotment Symbol and Charge
902-31-099-00-20-6A

6.B. Funds Allotted to:
 A.I.D./W Mission

7. Obligation Status
 Administrative Reservation Implementing Document

8. Funding Period (Mo., Day, Yr.)
From 7-1-76 To 12-31-77

9.A. Services to Start (Mo., Day, Yr.)
Between 7-1-76 and 8-1-76

9.B. Completion date of Services
(Mo., Day, Yr.) 12-31-77

10.A. Type of Action
 A.I.D. Contract Cooperating Country Contract Participating Agency Service Agreement Other

10.B. Authorized Agent

Estimated Financing		(1)	(2)	(3)	(4)
\$1.00=		Previous Total	Increase	Decrease	Total to Date
11. Maximum A.I.D. Financing	A. Dollars		99,000		99,000
	B. U.S.-Owned Local Currency				
12. Cooperating Country Contributions	A. Counterpart				
	B. Other				

13. Mission References

14. Instructions to Authorized Agent
The Contract Office is requested to negotiate a new contract with Michigan State University to provide an additional 18 months of technician services to the Korean Simulation Model activities. These general technical services are the final A.I.D. inputs during a transition period as the Korean staff assumes increasing control and responsibility for the activity.

Funds are provided for this PIO/T under a new project which covers the gap between the completion of its predecessor research project and the assumption by the GOK of full responsibility.

15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in the scope of work are technically adequate TA/AGR, W. Merrill <i>W.C.M.</i> Date: 2/23/76	B. Funds for the services requested are available TA/PPU, M. Poyzinski Date: 2/23/76
C. The scope of work lies within the purview of the initiating and approved Agency Programs TA/AGR, L. Hesser <i>L.H.</i> Date: 2/23/76	D. TA/PPU, C. Molfatto <i>C.M.</i> Date: 2/23/76
E. TA/AGR, K. Brundage <i>K.B.</i> Date: 2/23/76 TA/AGR, R. Holmes <i>R.H.</i> Date: 2/23/76	F. A/EAA/K, Bernadetta <i>B.</i> Date: 2/24/76

16. For the cooperating country: The terms and conditions set forth herein are hereby agreed to

Signature and date:

17. For the Agency for International Development

Signature: John Gunning
Title: TA/PPU, Chief Program Div.

18. Date of Signature
2/23/76

I. PROJECT IDENTIFICATION

1. PROJECT TITLE Korean Simulation Model Advisory Service		APPENDIX ATTACHED <input checked="" type="checkbox"/> YES <input type="checkbox"/> NO
2. RECIPIENT (specify) <input type="checkbox"/> COUNTRY _____ <input checked="" type="checkbox"/> REGIONAL _____ <input checked="" type="checkbox"/> INTERREGIONAL TA Bureau		2. PROJECT NO. (M.O. 10)
4. LIFE OF PROJECT BEGIN FY 76 ENDS FY 76		3. SUBMISSION <input checked="" type="checkbox"/> ORIGINAL 3/5/76 DATE <input type="checkbox"/> REV. NO. _____ DATE CONTR./PASA NO. _____

II. FUNDING (\$000) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$	C. PERSONNEL		D. PARTICIPANTS		E. COMMODITIES \$	F. OTHER COSTS \$	G. PASA/CONTR.		H. LOCAL EXCHANGE CURRENCY RATE: \$ US _____ (US C=100)		
		(11) \$	(12) MM	(11) \$	(12) MM			(11) \$	(12) MM	(11) U.S. GRANT	(12) COOP COUNTRY	(13) BUDGET
1. PRIOR THRU ACTUAL FY												
2. OPN FY 76	99	45	18				54	99	18			
3. BUDGET FY												
4. BUDGET 41 FY												
5. BUDGET 42 FY												
6. BUDGET 43 FY												
7. ALL SUBQ. FY												
8. GRAND TOTAL	99	45	18				54	99	18			

9. OTHER DONOR CONTRIBUTIONS	(A) NAME OF DONOR	(B) KIND OF GOODS/SERVICES	(C) AMOUNT
	AID		

III. ORIGINATING OFFICE CLEARANCE

1. MANAGER K. Brundage, TA/AGR	TITLE Program Analyst	DATE 2/24/76
2. CLEARANCE OFFICER L. Hesser, TA/AGR	TITLE Chief/TA/AGR	DATE

IV. PROJECT AUTHORIZATION

1. CONDITIONS OF APPROVAL

2. CLEARANCES					
BUR/OFF.	SIGNATURE	DATE	BUR/OFF.	SIGNATURE	DATE
PPC	A. Handley	1/11/76	TA/PPU	C. Molfetto	2/12/76
EA/EAA	B. Bundy	2/24/76	TA/PPU	J. Gunning	2/23/76

3. APPROVAL AAS OR OFFICE DIRECTORS			4. APPROVAL A AID (See M.O. 1025.1 VI C)		
SIGNATURE Curtis Farrar	DATE 3/3/76	TITLE AA/TA	SIGNATURE	DATE	

I. PROJECT IDENTIFICATION

PROJECT TITLE Korean Simulation Model-Advisory Service		APPENDIX ATTACHED <input type="checkbox"/> YES <input type="checkbox"/> NO	
RECIPIENT (Specify) <input type="checkbox"/> COUNTRY _____ <input type="checkbox"/> REGIONAL _____ <input checked="" type="checkbox"/> INTERREGIONAL (IAP) _____		4. LIFE OF PROJECT BEGINS FY <u>TQ</u> ENDS FY <u>1977</u>	
		5. SUBMISSION <input checked="" type="checkbox"/> ORIGINAL _____ DATE _____ <input type="checkbox"/> REV. NO. _____ DATE _____ CONTR./RASA NO. _____	

FUNDING (USD) AND MAN MONTHS (MM) REQUIREMENTS

A. FUNDING BY FISCAL YEAR	B. TOTAL \$	C. PERSONNEL		D. PARTICIPANTS		E. COMMODITIES \$	F. OTHER COSTS \$	G. RASA/CONTR.		H. LOCAL EXCHANGE CURRENCY RATES VS USD. GAINED	
		MM \$	MM	MM \$	MM			MM \$	MM	U.S. GRANT LOAN	U.S. GRANT
ACTUAL FY											
GRN FY <u>76</u>	<u>99</u>							<u>99</u>	<u>18</u>		
BUDGET FY											
BUDGET FY											
BUDGET FY											
BUDGET FY											
BUDGET FY											
ALL SUPP. FY											
GRAND TOTAL	<u>99</u>							<u>99</u>	<u>18</u>		

OTHER DONOR CONTRIBUTIONS

Government of Korea	Staff, facilities, equipment rental	600,000
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III. ORIGINATING OFFICE CLEARANCE

DRAFTER <u>KPR/bridge</u>	TITLE Program Analyst	DATE <u>11/20/76</u>
CLEARANCE OFFICER <u>Leon F. Hesser</u>	TITLE Director, SA/AG	DATE

IV. PROJECT AUTHORIZATION

CONDITIONS OF APPROVAL

V. CLEARANCES

BUR/CHG.	SIGNATURE	DATE	BUR OFF	SIGNATURE	DATE
EA/MA	<i>[Signature]</i>	<u>1/20/77</u>			

AGENCY SIGNATURE	DATE	AGENCY SIGNATURE	DATE

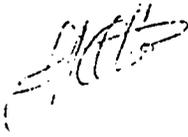
ADMINISTRATOR, AGENCY FOR INTERNATIONAL DEVELOPMENT

UNITED STATES GOVERNMENT

Memorandum

TO : SER/CM/COD/TAB, Ms. V. Perelli

DATE: February 24, 1976

FROM : IA/AG, Leon F. Hesser 

SUBJECT: Justification for Non-Competitive Procurement

I recommend that we negotiate only with Michigan State University (MSU) for the services requested in the activity "Korean Simulation Model-Advisory Services."

This contract will be a continuation of an activity that has been provided by MSU under a research project. This advisory service is of a general technical assistance type of project. The previous investment of MSU in this project will eliminate duplication and orientation costs that would occur should another contractor be selected at this time. The personal relations established with Korean counterparts would be difficult to reestablish by a new contractor. The proposal includes some economies resulting from extending people now in Korea that are not available from other sources. All of these points are in the best interest of the U. S. Government.

I recommend that you negotiate with Michigan State University for the required services on a non-competitive basis as provided AIDPR 7-3. 101-50.

A.I.D. 1950-IX (9-71)	DEPARTMENT OF STATE AGENCY FOR INTERNATIONAL DEVELOPMENT	1. Cooperating Country TA Bureau	Page 1 of 5 Pages bp 3. <input checked="" type="checkbox"/> Original or Amendment No. _____
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PIO/T	PROJECT IMPLEMENTATION ORDER/TECHNICAL SERVICES	4. Project/Activity No. and Title Korean Simulation Model-Advisory Service
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DISTRIBUTION	5. Appropriation Symbol	6.A. Allotment Symbol and Charge	6.B. Funds Allotted to: <input checked="" type="checkbox"/> A.I.D./W <input type="checkbox"/> Mission
7. Obligation Status <input checked="" type="checkbox"/> Administrative Reservation <input type="checkbox"/> Implementing Document		8. Funding Period (Mo., Day, Yr.) From 7-1-76 To 12-31-77	
9.A. Services to Start (Mo., Day, Yr.) Between 7-1-76 and 8-1-76		9.B. Completion date of Services (Mo., Day, Yr.) 12-31-77	
10.A. Type of Action <input checked="" type="checkbox"/> A.I.D. Contract <input type="checkbox"/> Cooperating Country Contract <input type="checkbox"/> Participating Agency Service Agreement <input type="checkbox"/> Other			
10.B. Authorized Agent			

Estimated Financing		(1) Previous Total	(2) Increase	(3) Decrease	(4) Total to Date
11.					
Maximum A.I.D. Financing	A. Dollars		99,000		99,000
	B. U.S.-Owned Local Currency				
12. Cooperating Country	A. Counterpart				
	B. Other				

13. Mission References	14. Instructions to Authorized Agent The Contract Office is requested to negotiate a new contract with Michigan State University to provide an additional 18 months of technician services to the Korean Simulation Model activities. These general technical services are the final A.I.D. inputs during a transition period as the Korean staff assumes increasing control and responsibility for the activity.
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15. Clearances - Show Office Symbol, Signature and Date for all Necessary Clearances.

A. The specifications in the scope of work are technically adequate TA/AGR, W. Merrill Date: _____	B. Funds for the services requested are available TA/PPU, M. Mozynski Date: _____
C. The scope of work lies within the purview of the initiating and approved Agency Programs TA/AGR, L. Besser Date: 4/14/76	D. _____ TA/PPU, C. Molfetto Date: _____
E. _____ TA/AGR, M. Brundage Date: _____	F. _____ A/EAA/K, Bernadette Bundo Date: 2/10/76

16. For the Appropriation Officer, Title, Office and Signature	17. For the Agency for International Development Signature: John Gunning Title: IA/PPU, Chief Program Div.	18. Date of Signature
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AID 1350 1X
701

Cooperating Country
TA Bureau

PIO/T No.

Page 2 of 5 Pages

PIO/T

Project/Activity No. and Title

Korean Simulation Model-Advisory Service

SCOPE OF WORK

19. Scope of Technical Services

A. Objective for which the Technical Services are to be Used To advise and assist Korean staff in computer model operation, up-dating of data, testing various policy alternatives and evaluating results and use of the model.

B. Description

1. Further model development to keep results current and meet needs of GOK decision makers.
2. Insure that new information is entered into the model in a timely and regularly scheduled manner.
3. Advise in procedural techniques and routines.
4. Advise on organizational arrangements for accomplishing required functions.
5. Review the results obtained in terms of logical expectations.
6. Continue in-service training to refine model operation.
7. Evaluate model results and utilization of output.

C. Technicians

(1) (a) Number	(b) Specialized Field	(c) Grade and/or Salary	(d) Duration of Assignment (Man-Months)
1	Systems Scientist	FSR-4	18

(2) Duty Post and Duration of Technicians' Services

Seoul Korea - 18 months

(3) Language requirements

None

(4) Access to Classified Information

U.S. - None

(5) Dependents

Will Will Not

Be Permitted to Accompany Technician

D. Financing of Technical Services

(1) By AID - \$99,000

(2) By Cooperating Country -

AID 1350-1X
(9-70)

Cooperating Country

PIO T No.

Page 3 of 5 Pages

PIO T

TA Bureau

Project Activity No. and Title

Korean Simulation Model-Advisory Service

20. Equipment and Supplies (Related to the services described in Block 19 and to be procured outside the Cooperating Country by the supplier of these services)

A. (1) Quantity (2) Description

(3) Estimated
Cost

(4) Special Instructions

B. Financing of Equipment and Supplies

(1) By AID - \$

(2) By Cooperating Country -

21. Special Provisions

A. This PIO T is subject to AID (contracting) (PASA implementation) regulations.

B. Except as specifically authorized by AID, or when local hire is authorized under the terms of a contract with a U.S. Supplier, services authorized under this PIO T must be obtained from U.S. sources.

C. Except as specifically authorized by AID, the purchase of commodities authorized under this PIO T will be limited to the U.S. under Geographic Code 000.

D. Other (specify): Prior to making any visits to LDCs, the Contractor will review his plans with TA/AGR. He will keep AID Missions in countries to be visited fully informed of proposed visits, ask them to provide any advice they wish regarding timing and content of the visits and to participate if they desire, and will inform the Missions of the outcomes of consultations. He will make his own appointments and logistics arrangements directly. Upon completion of any project funded travel, a copy of the trip report will be provided to the TA/AGR Project Manager. The report format will be established jointly by the Contractor and the Project Manager."

AID 1350-1X
(9-75)

Cooperating Country

PIO/T No.

Page 4 of 5 Pages

PIO/T

TA Bureau

Project Activity No. and Title

Korean Simulation Model-Advisory Service

22. Reports by Contractor or Participating Agency (Indicate type, content and format of reports required, including language to be used if other than English, frequency or timing of reports, and any special requirements)

In addition to reports specified in contract provisions, TA/AGR will be provided a semi-annual report of activities and progress towards activity objectives.

Background Information (Additional information useful to Authorized Agent and Prospective Contractors or Participating Agency; if necessary cross reference Block 19.C(4) above.)

Available in AID/W, TA/AGR/BSP, Michigan State University, Simulation Model Project.

24. Relationship of Contractor or Participating Agency to Cooperating Country and to AID

A. Relationships and Responsibilities

USAID/Korea

B. Cooperating Country Liaison Official

Korean National Agricultural Economics Research Institute

AID Liaison Officials

Dr. Leon Hesser, Director TA/AGR and/or his designee

PIO/T

Korean Simulation Model-Advisory Service

LOGISTIC SUPPORT

25. Provisions for Logistic Support

A. Specific Items (Insert "X" in applicable column at right. If entry needs qualification, insert asterisk and explain below in C. "Comments")

	In Kind Supplied By		From Local Currency Supplied By	
	AID	Cooperating Country	AID	Cooperating Country
(1) Office Space				
(2) Office Equipment				
(3) Housing and Utilities				
(4) Furniture				
(5) Household Equipment (Stoves, Refrig., etc.)				
(6) Transportation in Cooperating Country				
(7) Interpreter Services				
Other: (8)				
(Specify) (9)				
(10)				
(11)				
(12)				
(13)				
(14)				
(15)				

B. Additional Facilities Available From Other Sources

Use of Korean computer services will require a waiver from AID for non-U.S. procurement.

C. Comments

UNITED STATES GOVERNMENT

Memorandum

: TA/PPU, John Gunning

DATE: February 20, 1976

: TA/AGR, Leon Hesser *JGH*

CT: Transmittal of PP and PIO/T Korean Simulation Model Advisory Service.

You will recall in December a letter was circulated by Mike Adler (copy attached) advocating that limited assistance be provided to the Korean Simulation Model activities when the Michigan State University (MSU) team pulls out of Korea this Spring and the project terminates. Also Mr. Levick favored providing this assistance as indicated on the attached router slip addressed to you and Dr. Fletcher.

Enclosed with this memo is a PP that was submitted to your office in draft in January. Following your office review, additional information has been obtained for inclusion in the final PP.

Since only \$99,000 is involved in the life of this general technical services activity, it becomes crystal clear that the expedited tract for small projects listed Par 7.B.2. of Handbook 3 becomes the guiding procedural standard in the approval process.

Funds for this activity can come from KPA-1. In the January 24, 1976 budget summary sheet, the Small Farmer Credit project shows \$70,000 allocated. Since this project is terminating, those funds are available. In the USDA-RSSA, the budget figure is \$184,000. Funds obligated to-date by KPA-1 amount to \$147,500 on PIO/T 3168613 which leaves a balance of \$36,500. At one time the problem of Dr. Dalrymple had been pushed towards KPA-1 when in fact his costs had been plotted in the KPA-6 allocations for USDA at the time of budget development. In the event of extreme budget pressure, the use of funds from the Expanded Program for Economic Analysis might even be considered.

COST REIMBURSEMENT CONTRACT WITH AN EDUCATIONAL INSTITUTION

18p

AGENCY FOR INTERNATIONAL DEVELOPMENT NEGOTIATED CONTRACT NO. AID/ ta-C-1322

NEGOTIATED PURSUANT TO THE FOREIGN ASSISTANCE ACT OF 1961, AS AMENDED, AND EXECUTIVE ORDER 11225	TOTAL ESTIMATED CONTRACT COST \$98,379
CONTRACT FOR: Korean Simulation Model-Advisory Service	CONTRACTOR (Name and Address) Michigan State University
PROJECT NO: 931-140-244-73	NAME Center For International Programs Agriculture Sector Analysis and Simulation
ISSUING OFFICE (Name and Address) Central Operations Division Office of Contract Management Agency for International Development Washington, D.C. 20523	STREET ADDRESS East Lansing, Michigan
	CITY, STATE, AND ZIP CODE 48824
ADMINISTRATION BY CM/COD/TAB	COGNIZANT SCIENTIFIC/TECHNICAL OFFICE TA/AGR
MAIL VOUCHERS (Original and 5 copies) TO: Office of Financial Management Agency for International Development Washington, D.C. 20523	ACCOUNTING AND APPROPRIATION DATA PIC/T NO. 931-140-244-73-1189635 APPROPRIATION NO. 72-11X1023 ALLOTMENT NO. 402-31-009-00-20-61
EFFECTIVE DATE Date of Execution by Contracting Officer	ESTIMATED COMPLETION DATE December 31, 1977

The United States of America, hereinafter called the Government, represented by the Contracting Officer executing this Contract and the Contractor, an educational institution chartered by the State of Michigan with its principal office in East Lansing, agree that the Contractor shall perform all the services set forth in the attached Schedule, for the consideration stated therein. The rights and obligations of the parties to this contract shall be subject to and governed by the Schedule and the General Provisions. To the extent of any inconsistency between the Schedule and the General Provisions and any specifications or other provisions which are made a part of this contract, by reference or otherwise, the Schedule or the General Provisions shall control. To the extent of any inconsistency between the Schedule and the General Provisions, the Schedule shall control.

CERTIFIED A TRUE COPY THIS
8:40 DAY OF July 1976

BY [Signature]

This Contract consists of this Cover Page, the Table of Contents, and the Schedule consisting of See Article pages, the General Provisions (Form AID 1420-23C), Jared See Article VIII, and an Appendix "A" (Operational Plan).

NAME OF CONTRACTOR <u>Michigan State University</u>	UNITED STATES OF AMERICA AGENCY FOR INTERNATIONAL DEVELOPMENT
BY (Signature of authorized individual) <u>[Signature]</u>	BY (Signature of Contracting Officer) <u>[Signature]</u>
TYPED OR PRINTED NAME STEPHEN H. FERRELL	TYPED OR PRINTED NAME V. C. Perelli
TITLE Assistant Vice President for Finance	CONTRACTING OFFICER
DATE <u>6/28/76</u>	DATE <u>7/30/76</u>

SCHEDULE
COST REIMBURSEMENT CONTRACT WITH
AN EDUCATIONAL INSTITUTION
TABLE OF CONTENTS
SCHEDULE

This Schedule, on pages 1 through 16, consists of this Table of Contents and the following Articles:

- Article I - Statement of Work
- Article II - Key Personnel
- Article III - Period of Contract Services and Level of Effort
- Article IV - Estimated Contract Cost and Financing
- Article V - Budget
- Article VI - Negotiated Overhead Rates
- Article VII - Personnel Compensation
- Article VIII - General Provisions, Additional General Provisions
and Alterations
- Article IX - Logistic Support to Contractor
- Article X - Special Provisions

ARTICLE I - STATEMENT OF WORK

A. General:

Michigan State University will provide 18 months of technical services to the Korean Simulation Model activities. These general technical services will be the final inputs during a transition period as the Korean staff assumes increasing control and responsibility for the activity.

B. Scope of Technical Services:

1. Objective for which the Technical Services are to be Used: To advise and assist Korean staff in computer model operation, up-dating of data, testing various policy alternatives and evaluating results and use of the model.

2. Description

a. Further model development to keep results current and needs of GOK decision makers.

b. Insure that new information is entered into the model in a timely and regularly scheduled manner.

c. Advise in procedural techniques and routines.

d. Advise on organizational arrangements for accomplishing required functions.

e. Review the results obtained in terms of logical expectations.

f. Continue in-service training to refine model operation.

g. Evaluate model results and utilization of output.

C. Special Provisions

1. Prior to making any visits to LDCs, the Contractor will review his plans with TA/AGR. He will keep AID Missions in countries

to be visited fully informed of proposed visits, ask them to provide any advice they wish regarding timing and content of the visits and to participate if they desire, and will inform the Missions of the outcomes of consultations. He will make his own appointments and logistics arrangements directly. Upon completion of any project funded travel, a copy of the trip report will be provided to the TA/AGR Project Manager. The report format will be established jointly by the Contractor and the Project Manager.

2. Use of Korean computer services will require a waiver from AID for non-U.S. procurement.

D. Reports:

In addition to reports specified in contract standard provisions, TA/AGR will be provided a semi-annual report of activities and progress towards activity objectives.

ARTICLE II - KEY PERSONNEL

A. The key person which the Contractor shall furnish for the performance of this contract is the following:

Key personnel: M. Abkin, Principal Investigator

B. The person specified above is considered to be essential to the work being performed hereunder. Prior to making any change in the key personnel, the Contractor shall notify the Contracting Officer reasonably in advance and shall submit justification (including proposed substitutions) in sufficient detail to permit evaluation of the impact on the program. The listing of key personnel may, with the consent of the contracting parties, be amended from time to time during the course of the contract to either add or delete personnel as appropriate.

C. 1. The Contractor shall obtain A.I.D.'s approval to change the principal investigator or project leader, or to continue the research work during a continuous period in excess of three months without the participation of the approved principal investigator or project leader.

2. The Contractor shall consult with A.I.D. if the principal investigator plans to, or becomes aware that he will, devote substantially less effort to the work than anticipated in the Operational Plan. If A.I.D. determines that the reduction of effort would be so substantial as to impair the successful prosecution of the research, A.I.D. may request a change of principal investigator, terminate the research effort or make any other appropriate modification of the research agreement.

ARTICLE III - PERIOD OF CONTRACT SERVICES AND LEVEL OF EFFORT

A. The effective date of this Contract is the execution date by the Contracting Officer as shown on the Cover Page, and the estimated completion date of work, including final report(s) under this Contract is December 31, 1977.

B. It is estimated that a total of twenty-two (22) worker-months of effort will be required to complete the Statement of Work, as follows:

Field Staff Professional	18 Worker-Months
Home Office Non-Professional	4 " "

ARTICLE IV - ESTIMATED CONTRACT COST AND FINANCING

The Contractor will be reimbursed for the costs incurred by him in performing services hereunder in accordance with the provisions of the Schedule and the General Provisions.

A. Estimate of Cost

The total estimated cost of this Contract to the Government is \$98,379.

B. There is presently obligated for commitment under this Contract the amount of \$98,379.

C. It is estimated that the aforesaid amounts will be sufficient to complete the work required hereunder as set forth in the Schedule Article entitled "Statement of Work".

ARTICLE V - BUDGET

Salaries	\$42,120
Overhead	12,219
Fringe Benefits	6,318
Travel/Transportation -	19,122
Other Direct Costs	16,200
Allowances	<u>2,400</u>
Total Estimated Cost -	\$98,379

ARTICLE VI - NEGOTIATED OVERHEAD RATES

A. Establishment of Predetermined Indirect Cost Rates

Pursuant to the provisions of the clause of the General Provisions of this Contract entitled "Negotiated Overhead Rates - Predetermined", a rate or rates shall be established for each of the Contractor's accounting periods during the term of the Contract. The rate for the initial period shall be as set forth below:

	<u>Rate</u>	<u>Base</u>	<u>Period</u>
On Campus	66%	*Salaries & Wages	From: July, 76 <u>To: 6-30-77</u>
Off Campus	25%	*Salaries & Wages	From: July, 76 <u>To: 6-30-77</u>

*Including vacation, holiday and sick pay, but excluding other fringe

Predetermined indirect cost rates for subsequent periods shall be established in accordance with the terms of the "Negotiated Overhead Rates - Predetermined" clause of this Contract.

ARTICLE VII - PERSONNEL COMPENSATION

A. Third Country and Cooperating Country Nationals

Contractor is authorized to use Third Country or Cooperating Country Nationals under this contract. Salaries and wages paid to such persons may not, without specific written approval of the Contracting Officer, exceed either the Contractor's established policy and practice; or the level of salaries paid to equivalent personnel by the A.I.D. Mission in the Cooperating Country; or the prevailing rates in the Cooperating Country as determined by A.I.D., paid to personnel of equivalent technical competence.

ARTICLE VIII - GENERAL PROVISIONS AND ALTERATIONS

A. General Provisions - Cost Reimbursement Contract with an Educational Institution, Form AID-1420-23C (7-1-75), which includes provisions 1-38.

B. General Provision No. 39: Patent Rights - Acquisition by the Government (FPR 1-9.107-5(a))(May 1975).

C. General Provision No. 40: Clean Air and Water (FPR 1-1.2302-2) (August 1975).

D. Additional General Provisions - Contract with an Educational Institution, Form AID-1420-23D (7-1-75), except that Provision No. 16 ~~is deleted~~ and 9 (i) iv and v are deleted. *HS*

E. Additional General Provisions No. 3 - "Personnel" is hereby amended to change the date in the title from "November 1973" to "September 1975" and to revise paragraph (d)(3) to read as follows:

"(d)

(3) The Contractor is encouraged to establish its own policy of pre- and post-tour medical examinations. As a contribution, AID shall reimburse the Contractor for physical examinations authorized in paragraphs (d)(1) and (2) of this section as follows:

(i) For the employee and dependents 12 years of age and over: not to exceed \$85 for the physical examination plus reimbursement of charges for immunizations.

(ii) For dependents under 12 years of age: Not to exceed \$25 for each child plus reimbursement of charges for immunizations."

F. In accordance with paragraph (a) of Additional General Provision No. 3, entitled "Personnel," whereunder the Contractor may not send individuals outside of the United States to perform work under the contract without the prior written approval of the Contracting Officer, the Contracting Officer does, hereby, provide said approval for those individuals required to travel outside the United States; provided however,

that concurrence with the assignment and/or travel of any and all said individuals outside the United States is obtained, in writing, from the Cognizant Technical Office of A.I.D. prior to their assignment and/or travel abroad.

After approval of the proposed international travel, the Contractor shall provide the cognizant USAID mission advance notification, with a copy to the Contracting Officer, of the arrival date and flight identifications of AID financed travellers.

This approval by the Contracting Officer, shall not apply to any other clause or provision of this Contract which specifically requires Contracting Officer approval.

g. Additional General Provision No. 4 - "Personnel Compensation" - delete in its entirety and in lieu thereof substitute the following:

"Personnel Compensation (January 1976)

(a) Overseas recruitment incentive.

(1) Contractor employees serving overseas under this contract who do not qualify, request, and receive an exemption for overseas income provided under Section 911 of the U.S. Internal Revenue Code (26 U.S.C. 911) are eligible to receive an overseas recruitment incentive, provided that the average incentive for all such employees does not exceed 10 percent of the initial base annual salary of all employees eligible, for the incentive under this contract.

(2) The overseas recruitment incentive is payable under one of the following alternative methods:

(i) As a lump-sum amount after the eligible employee has completed his tour of duty in the Cooperating Country under this contract,

and has furnished to the Contractor a Certification that he does not qualify, and will not apply for an exemption from overseas income as provided by 26 U.S.C. 911 (Contractor shall retain such Certifications for post-audit); or

(ii) At the option of the Contractor, the overseas recruitment incentive may be paid in increments during an employee's tour of duty; provided however, that payments made by the Contractor to employees who become eligible for an exemption from overseas income as provided by 26 U.S.C. 911, which payments were reimbursed by AID under this contract, shall be refunded to AID; and provided further, that neither the Contractor's (nor the Subcontractor's) inability to collect refunds from ineligible employees shall be used as a basis to excuse subsequent refunds by the Contractor to AID.

(3) If the overseas recruitment incentive causes the employee's salary to exceed the FSR-1 level, Contracting Officer approval must be obtained."

H. General Provision No. 7 - "Allowable Cost and Payment" - Delete in its entirety and in lieu thereof substitute the following:

"7. Allowable Cost and Payment (September 1975)

(a) For the performance of this Contract, the Government shall pay to the Contractor the cost thereof (hereinafter referred to as "allowable cost") determined by the Contracting Officer to be allowable in accordance with:

(1) Subpart 1-15.3 of the Federal Procurement Regulations, "Grants and Contracts with Educational Institutions" as in effect on the date of this contract, and

(2) The terms of this contract.

(b) Dollar payment:

(1) At least once each quarter the Contractor shall submit to the paying office indicated on the Cover Page, a Voucher Form SF-1034 (original) and SF-1034(a) in three copies. Each voucher shall be identified by the appropriate AID contract number, properly executed, in the amount of dollar expenditures made during the period covered. The voucher forms shall be supported by:

(i) Original and three copies of a certified fiscal report rendered by the Contractor in a form and manner satisfactory to AID substantially as follows:

Total Expenditures

<u>Category</u>	<u>Budget Amount</u>	<u>To Date</u>	<u>This Period (Indicate Dates)</u>
Salaries and wages:			
On Campus.....	\$xxx	\$xxx	\$xxx
Off Campus.....	xxx	xxx	xxx
Indirect Costs:			
On Campus.....	xxx	xxx	xxx
Off Campus.....	xxx	xxx	xxx
Consultant Fees.....	xxx	xxx	xxx
Allowances.....	xxx	xxx..	xxx
Travel and Transpor- tation.....	xxx	xxx	xxx
Equipment and materials	xxx	xxx	xxx
Participant Costs....	xxx	xxx	xxx
Other Direct Costs...	<u>xxx</u>	<u>xxx</u>	<u>xxx</u>
Grand Total.....	\$xxx	\$xxx	\$xxx

(ii) The fiscal report shall include a certification signed by an authorized representative of the Contractor as follows:

The undersigned hereby certifies: (A) That payment of the sum claimed under the cited contract is proper and due and that appropriate refund to AID will be made promptly upon request in the event of disallowance of costs not reimbursable under the terms of the contract, and (B) that information on the fiscal report is correct and such detailed supporting information as AID may reasonably require will be furnished promptly to AID on request at the Contractor's home office or base office as appropriate.

BY: _____

TITLE: _____

DATE: _____

(iii) Unless otherwise provided in the contract, a vendor's invoice or photostat covering each transaction for procurement of commodities, supplies, or equipment totalling in excess of \$2,500 appropriately detailed as to quantity, description, and price for each individual item of equipment purchased

(iv) The bill of lading or airway bill as evidence of shipment by U.S.-flag carrier.

(2) Promptly after receipt of each voucher and statement of dollar cost, the Government shall, except as otherwise provided in this contract, subject to the provisions of paragraph (d) of this section make payment thereon as approved by the paying office indicated on the Cover Page.

(3) The final voucher shall be submitted by the Contractor promptly following completion of the work under this Contract, but in no event later than 120 days (or such longer period as the Contracting Officer may in his/her discretion approve in writing) from the date of such completion. This voucher, clearly identified as final voucher, shall be submitted on Form SF-1034 (original) and SF-1034 (a) in three copies and supported by:

(i) Original and three copies of a certified fiscal report rendered by the Contractor as in paragraphs (b)(1)(i) and (ii) of this section:

(ii) Vendor's invoices as in paragraph (b)(1)(iii) of this section for commodities, supplies, or equipment in excess of \$2,500 procured since the last voucher submission;

(iii) Bill of lading or airway bill as in paragraph (b)(1)(iv) of this section;

(iv) Refund check for the balance of funds (if any remaining on hand and not obligated by the Contractor).

(c) Local currency payment. The Contractor is fully responsible for the proper expenditure and control of local currency, if any, provided under this contract. Local currency will be provided to the Contractor in accordance with written instructions provided by the Mission Director. The written instructions will also include accounting, vouchering, and reporting procedures. A copy of the instructions shall be provided to the Contractor's Chief of Party and to the Contracting Officer. The costs of bonding personnel responsible for local currency are reimbursable under the contract.

(d) Until the expiration of three years after final dollar or local currency payment under this contract, the Contracting Officer may have the vouchers and statements of cost audited. Each payment theretofore made shall be subject to reduction for amounts included in the related voucher which are found by the Contracting Officer, on the basis of such audit, not to constitute allowable cost. Any payment may be reduced for overpayments, or increased for underpayments, on preceding vouchers.

NOTE: When the clause entitled "Audit" (FPR 1-3.814-2(a)) is included in this contract, this paragraph is self-deleting.

(e) Upon compliance by the Contractor with all the provisions of this contract, acceptance by the Government of the work and final report, and a satisfactory accounting by the Contractor of all Government-owned property for which the Contractor had custodial responsibility, the Government shall promptly pay to the Contractor any monies (dollars or local currency) due under the final voucher. The Government will make suitable reduction for any disallowance or indebtedness by the Contractor by applying the proceeds of the voucher first to such deductions and

next to any unliquidated balance of advance remaining under the contract.

(f) The Contractor agrees that all approvals of the Mission Director and the Contracting Officer which are required by the provisions of this contract shall be preserved and made available as part of the Contractor's records which are required to be preserved and made available by the clauses of this contract entitled "Examination of Records by the Comptroller General" and "Audit".

(g) The Contractor agrees that any dollar or local currency refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Contractor or any assignee under this contract shall be paid by the Contractor to the Government, to the extent that they are properly allocable to cost for which the Contractor has been reimbursed by the Government under this contract. Reasonable expenses incurred by the Contractor for the purpose of securing such refunds, rebates, credits, or other amounts shall be allowable costs hereunder when approved by the Contracting Officer. Prior to final payment under this contract, the Contractor and each assignee under this contract whose assignment is in effect at the time of final payment under this contract shall execute and deliver an assignment and release using AID Forms 1420-40 or 1420-44, as appropriate, as required in AIDPR 7-16-851.

I. General Provision No. 8 - "Negotiated Overhead Rate"

"...is hereby amended to change the date in the title from "June 1973" to "September 1975", revise the parenthetical in paragraph (c) to read "(Grants and Contracts with Educational Institutions)", and revise paragraph (c) as follows:

"(c) Allowability of costs and acceptability of cost allocation

methods shall be determined in accordance with the provisions of Subpart 1-15.3 (Grants and Contracts with Educational Institutions) of the Federal Procurement Regulations as in effect on the date of this contract'."

J. General Provision No. 14 - "Training of Foreign Country Nationals" is hereby amended to change the date in the title from "June 1973" to "September 1975" and to substitute "Handbook" for "Manual Orders" in paragraph (a) (4).

ARTICLE IX - LOGISTIC SUPPORT TO CONTRACTOR

A. The USAID/Korea will use its best efforts to make available to the Contractor the following logistical support, for the Contractor's one (1) man operation in Korea.

1. Office space, equipment and supplies for one (1) contractor employee.

2. Housing and utilities for one (1) contractor employee and family.

3. Furniture and household equipment for one (1) contractor employee and family.

4. Regarding transportation in the cooperating country, local support to be provided by US/AID Mission will be as in the past; however, it is expected that this transportation will be provided by ROKG.

5. Use of PX and commissary privileges will be authorized subject to U.S. Embassy certification in accordance with regulations established by U.S. military authorities. Use of U.S. military medical and dental facilities may be utilized subject to U.S. military policy and regulations. However, cost associated with the use of these facilities will be borne by the recipient and are not reimbursable under contract.

6. APO is not currently authorized by the U.S. military authorities for contract personnel.

7. Dependent education will be available on same basis as for USAID direct-hire personnel.

8. Exemption from local taxes and custom duties for one (1) contractor employee and family.

ARTICLE X - SPECIAL PROVISIONS

A. Prior to making any visits to developing countries, the Contractor will review his plans with TA/AGR. He will keep AID Missions in countries to be visited fully informed of proposed visits, ask them to provide any advice they wish regarding timing and content of the visits and to participate if they desire, and will inform the Missions of the outcome of consultations. He will make his own appointments and logistic arrangements directly. Upon completion of any project funded travel, a copy of the Trip Report will be provided to the TA/AGR project manager. The report format will be established jointly by the contractor and the project manager.