

PROJECT APPRAISAL REPORT (PAR)

98101200

|  |   |                         |  |
|--|---|-------------------------|--|
| 1. PROJECT NO.<br><b>AID/csd-2815</b>  | 2. FISCAL PERIOD<br>July 1970 TO Sept. 1975 | 3. COUNTRY<br>Worldwide | 4. PAR SERIAL NO.<br><b>PD-444-676</b> |
| 5. PROJECT TITLE<br><b>A Grant to Expand Research and Training Competence in Policy Problems of Agricultural Development</b> |   |                         |  |

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| 6. PROJECT DURATION: Began FY <u>71</u> Ends FY <u>76</u> | 7. DATE LATEST PROP                                | 8. DATE LATEST PIP                         | 9. DATE PRICE PAID   |
| 10. U.S. FUNDING  | a. Cumulative Obligation Thru Prior FY: \$ 579,300 | b. Current FY Estimated Budget: \$ 220,700 | c. Estimated Budget to completion After Current FY: \$ 135,000 |

|  |  |
|--|--|
| 11. KEY ACTION AGENTS (Contractor, Participating Agency or Voluntary Agency) |  |
| a. NAME<br><b>University of Minnesota</b>                                    | b. CONTRACT, PASA OR VOL. AG. NO.<br><b>AID/csd-2815</b> |

I. NEW ACTIONS PROPOSED AND REQUESTED AS A RESULT OF THIS EVALUATION

| A. ACTION (X) |       |      | B. LIST OF ACTIONS   | C. PROPOSED ACTION COMPLETION DATE |
|---------------|-------|------|--|------------------------------------|
| IS/IC         | AID/W | HOST |  |                                    |
|               | X     |      | <p>The attached report substitutes for a regular PAR covering the period from 7/1/74 to 6/31/75 inclusive.</p> <p>Request grant be extended through FY 1976 at the level indicated above in 10(c) for the following purposes:</p> <ul style="list-style-type: none"> <li>a) Orderly phase-out</li> <li>b) Permit graduate students financed by the grant to complete work;</li> <li>c) Allow more time to discuss other mechanisms to utilize capabilities developed;</li> <li>d) Pursue workshops and seminars in areas which are considered high priority to AID.</li> </ul> | 4/30/75                            |

|   |  |  |  |  |
|---|--|--|--|--|
| D. REVISIONS REQUIRED                                 |  | E. DATE OF MISSION REVIEW                              |  |  |
| REVISOR OR NEW:                                       | <input type="checkbox"/> PROP <input type="checkbox"/> PIP <input type="checkbox"/> PRO AG <input checked="" type="checkbox"/> PIP/Y <input type="checkbox"/> PIP/C <input type="checkbox"/> PIP/P |  |  |  |
| PROJECT MANAGER: TYPE NAME, SIGN IN INITIALS AND DATE |  | MISSION DIRECTOR: TYPE NAME, SIGN IN INITIALS AND DATE |  |  |
| <b>Lehman B. Fletcher</b> <i>LB</i> <u>11/1/75</u>    |  | <b>Leon F. Hesser</b> <i>LH</i> <u>4/14/75</u>         |  |  |

Attachment B

SUMMARY PROGRESS STATEMENT  
ONGOING PROJECTS

Project # 140-122

Project Title 211(d) Grant in Agricultural Economics Related to the Less Developed Countries

Contractor a) University of Minnesota

b) \_\_\_\_\_

I. Implementation Progress

| <u>Outputs</u>   | <u>Progress to Date &amp; Relationship to Project Purpose and Goal</u>  |
|--|---|
| 1. Increased numbers of faculty and graduate students in international development work. | 1. Grantee has developed and maintained a competent staff component devoting substantial time in work associated with agricultural and rural development, in keeping with project purpose and goal. 2. Grantee has generated graduate students, foreign and domestic, pursuing work in international development. 3. Linkages have been developed and new courses in development added and existing courses strengthened. |
| 2. New Courses designed to highlight problems in economic development in LDC's:          |   |
| 3. New linkages and active participation with LDC's.                                     |   |

II. Project on Schedule; Life-of-Project Budget Accurate?

(a.) Project was originally scheduled to terminate June, 1975; was extended to 9/30/75 without additional funding. (b.) Project will be extended through FY 1976, after approved by R&DC. (c.) The extension, if approved, requests additional funds of \$135,000. No further extensions will be requested.

III. Significant Change(s) in Project Proposed?

a. GPS submitted and approved by R and DC 5/6/75

IV. Role of TA Technical Office; Mandays required

Grant Project Manager - 39 mandays/yr.  
Grant Project Alternate - 20 mandays/yr.

PROJECT DESIGN SUMMARY  
LOGICAL FRAMEWORK

Agricultural Economics Related to the  
Less-Developed Countries (211(d) grant)

From FY \_\_\_\_\_ to FY \_\_\_\_\_  
Total U. S. Funding \_\_\_\_\_  
Date Prepared: \_\_\_\_\_

Project Title & Number: \_\_\_\_\_

| NARRATIVE SUMMARY   | OBJECTIVELY VERIFIABLE INDICATORS  | MEANS OF VERIFICATION  | IMPORTANT ASSUMPTIONS  |
|---|--|--|--|
| <p>Program or Sector Goal: The broader objective to which this project contributes:</p>   | <p>Measures of Goal Achievement:</p>   |  | <p>Assumptions for achieving goal targets:</p>   |
| <p>Project Purpose:</p> <ol style="list-style-type: none"> <li>1. Strengthen and expand competence in agricultural economics related to problems in LDC's.</li> <li>2. Strengthen and develop institutional capacity in research, training, consulting and technical assistance that AID, LDC's and other donors can draw upon for expert advice and assistance related to problems in international agricultural development.</li> </ol>                                     | <p>Conditions that will indicate purpose has been achieved: End of project status.</p> <ol style="list-style-type: none"> <li>1. Increased strength and depth of University capacity.</li> <li>2. Increased knowledge of problems in LDC's and commitment to international development research, training and technical assistance related to these problems.</li> </ol>   | <ol style="list-style-type: none"> <li>1. Annual Reports.</li> <li>2. Comprehensive Review Documentation</li> <li>3. Publications</li> <li>4. In-house reviews</li> </ol>                                      | <p>Assumptions for achieving purpose:</p> <ol style="list-style-type: none"> <li>1. University support for and commitment to international development work in LDCs exists.</li> <li>2. Commitment to international development work by faculty core group.</li> </ol>                 |
| <p>Outputs:</p> <ol style="list-style-type: none"> <li>1. Increased numbers of faculty and graduate students (U.S. and LDC) with teaching and research experience in LDC's and willing to respond to service requests from AID and USAID in various aspects of international development work.</li> <li>2. New courses specifically designed to highlight problems in economic development in LDC's.</li> <li>3. New linkages and active participation with LDC's.</li> </ol> | <p>Magnitude of Outputs:</p> <ol style="list-style-type: none"> <li>1. Utilization of capacity by AID, LDCs and other donors.</li> <li>2. Number and duration of talent sharing appointments.</li> <li>3. Increase in research in critical problem areas of economic development.</li> <li>4. Number and content of new and existing economic development courses.</li> <li>5. Number of significant new LDC collaborating relationships.</li> <li>6. Number of joint undertakings by the grantees.</li> </ol> | <ol style="list-style-type: none"> <li>1. Number of Faculty added to core groups</li> <li>2. Number of service requests</li> <li>3. Workshops and seminars held</li> <li>4. Publications generated.</li> </ol> | <p>Assumptions for achieving outputs:</p> <p>Interest among faculty members and students in international economic development is established with regard to responding to service requests, courses and linkages.</p>   |
| <p>Inputs:</p> <ol style="list-style-type: none"> <li>1. AID grant support, facilitating assistantships to graduate students research by faculty members, curriculum development, library materials, travel expenses etc.</li> <li>2. University resources including support services, physical plant facilities, etc.</li> </ol>   | <p>Implementation Target (Type and Quantity)</p>   | <ol style="list-style-type: none"> <li>1. Support budget</li> <li>2. University financial contribution</li> </ol>  | <p>Assumptions for providing inputs:</p> <ol style="list-style-type: none"> <li>1. LDC's need and want expertise related to their problems in economic development.</li> <li>2. Demand for expertise in LDC's can not be entirely met by direct-hire or contract personnel.</li> </ol> |

## Narrative

TA/AGR agrees with the conclusion of the review team that this grantee has developed and maintained a competent staff component that devotes a substantial amount of time to work associated with agricultural and rural development in LDCs. The utilization and response capabilities of this staff component, however, is still limited by competing international and domestic demands. While the institution may be able to respond to a small number of specific requests, utilization will essentially have to be based upon negotiations for individual staff members' time or a commitment for a portion of the faculty's total time within the context of existing workloads, including other competing demands especially from domestic activities.

We also are cognizant of the highly perishable nature of the capabilities that the 211(d) grant has created in the institution. The University is under pressure to allocate its scarce staff resources to domestic problems and has no alternative source of funds to support work on international development. The proposed extension will keep faculty members committed, provide limited direct access by AID for specific projects, and facilitate new initiatives to mobilizing talent for AID utilization.

The Department is heavily involved in training graduate students for professional careers devoted to LDC problems. Termination of 211(d) support would reduce the size and diminish the quality of the graduate training program. It would be difficult and often impossible to arrange field research under adequate faculty supervision. The grant extension will maintain the higher quality graduate training while AID develops other means for continuing it beyond the end of the grant period.

TAB/AGR will make every effort to structure long and short-term assignments in AID/W and field missions that will interest faculty members in the University and, thus, fulfill talent-sharing commitments.

Extensive discussions have been held with the regional bureaus to identify their anticipated needs for expanded economic analysis for agricultural and rural sector planning. Use of cooperative agreements as means of mobilizing and utilizing U.S. university talent for collaborative policy analysis and planning activities in LDCs is under consideration by the Agency. It is anticipated that this system will be implemented in FY 76 through a manpower pool drawn from several universities to undertake initial country-level activities and needed methodological and state-of-the-arts work. Thus, the new approach will become an integral part of our response to the evaluation team's recommendation that AID should maintain, and find funding mechanisms to utilize, the competence and capacity that have been developed and enhanced by this grant.

TA/AGR is proposing one-year grant extension so that AID can continue to utilize the training, research and advisory capacities of the University while the new mechanisms are being implemented to support larger AID programs in agricultural and rural development. The extension will involve support for the following purposes:

1. Dissertation research by LDC and U.S. students on important agricultural development topics linked to LDC research and planning agencies.
2. Research by faculty members on key development issues and problems where direct utilization by AID or another assistance agency is foreseen.
3. Salary support for faculty members that will be available to AID for sector and subsector analysis, project identification and design, and evaluation and advisory services.

No further 211(d) support for these activities beyond the one-year extension is planned. Any further 211(d) extensions would be limited to specific utilization activities that fall within the Agency's policies on 211(d) grants and that can not be funded by other contracts, grants or agreements. Planned activities and budget for the year's extension is given in the Revised Grant Project Statement.