

9310148 (3)

PD-AAC-593

January 5, 1978 sp.

ACTION MEMORANDUM FOR: AA/DSB, Sander Levin

THRU: DSB/EHR, David Sprague MS

FROM: DSB/EHR, Richard L. Shortlidge, Jr. RL

Problem: The Agency's 211(d) grant (AID/CM/ta-G-73-17) to the University of California at Berkeley establishing a Program in International Education Finance will expire on February 28, 1978. An additional ten months (March 1, 1978 to December 31, 1978) is necessary to complete and publish four studies already in progress. No additional funds are required.

Discussion: During the final year of the grant, the Program in International Education Finance's faculty has been working on four studies. These studies include:

- (1) A manual on the use of models in planning education;
- (2) A book on the financing of education in the U.S.S.R. and its implications for developing countries;
- (3) A book on the experience of developing countries with student loan financing; and
- (4) A monograph titled "Nutrition and Education: Issues, Methods, and Preliminary Results."

Initial drafts of the first three books are expected to be completed within the next two to three months. With the exception of the book on student loan financing, they will be finished prior to February 28, 1978. Berkeley has been unable to obtain a firm completion date from Andre Danniere, author of the student loan book. Although Professor Danniere is no longer associated with the Berkeley program, he has promised Berkeley that he will provide them with a manuscript within the next several months.

As of this week, analysis of the data for the monograph on nutrition and education had not been completed. Berkeley anticipates that some further analysis will be necessary extending beyond the February 28, 1978, termination date. Therefore, a draft of this monograph will not be available before April 1978.

The AID Fourth Year Review team agreed to the completion of these studies as the major grant activities in the final year. During this review the Berkeley faculty noted that it might not be possible to complete the research and to publish the studies prior to February 28, 1978. No objection to the possibility of a grant extension under these circumstances was voiced by the AID participants.

Berkeley has informed me that approximately eight months are required for publication of a book or monograph, once the manuscript is available to the publisher. Therefore, a ten month extension of the grant should be sufficient time to complete and publish the books and monograph being finished at this time.

Praeger Publishers has agreed to handle the publication of these studies. Under the terms of the grant, the University of California at Berkeley retains the copyright on these studies. However, each of these studies will contain an appropriate acknowledgement of AID support.

As of March 1, 1978, approximately \$62,000 of unexpended funds will remain in the grant. During the grant extension, \$36,330 would be utilized for the payment of wages and salaries plus overhead. (See the attached budget). Most of this money would pay for the services of the Research Director (Judith Balderston), the Administrative Assistant (Jo Ann Work), and a secretary. Of the remaining \$25,670, \$18,000 would cover the cost of publication and materials and supplies. Berkeley has set aside \$6,000 for computer expenses under the assumption that some additional data analysis will be necessary for the nutrition and education study.

No new activities or fellowships will be initiated during the extension. The two graduate Research Assistants to be employed half time under the grant will assist the Research Director, Judith Balderston, to edit and prepare the monograph for publication. Furthermore, the Network activities will be terminated as scheduled on February 28, 1978. Based upon an unfavorable review by AID/W and the missions, the decision was reached to exclude the Network from this extension.

Recommendation: That you approve the extension of the expiration date of the 211(d) grant (AID/CM/ta-G-73-17) to the University of California at Berkeley from February 28, 1978 to December 31, 1978, by signing Part II of the attached Project Authorization and Request for Allotment of Funds.

Proposed Budget for Program in International Education
Finance Between March 1, 1978 and December 31, 1978

Anticipated Unexpended Funds as of March 1, 1978.....\$62,000

Proposed Expenditures During Extension

I. Salaries and Wages

a) Professor Guy Penveniste 50% time for one month	\$ 1,319
b) Research Director Judith Balderston 100% for 8 months	11,692
c) Administrative Assistant Jo Ann Work 70% time for 10 months	8,199
d) Two Research Assistants at 50% time for 5 months	4,768
e) Secretary at 70% time for 10 months	<u>5,890</u>
Subtotal of Salaries and Wages	31,868
Overhead (Fringe Benefits) at 14% of Wages and Salaries	4,462

II. Other Direct Costs

a) Materials, supplies and communication	10,000
b) Computer Data Analysis	6,000
c) Publication of 4 studies at \$2,000 each	8,000
d) Travel round-trip 2 air fares Berkeley- Washington	830
4 days per diem at \$51 per day	204

III. Grant Total \$61,364

AGENCY FOR INTERNATIONAL DEVELOPMENT
**PROJECT AUTHORIZATION AND REQUEST
 FOR ALLOTMENT OF FUNDS PART I**

1. TRANSACTION CODE
 A - ADD
 C - CHANGE
 D - DELETE

2. DOCUMENT CODE
5

3. COUNTRY/ENTITY
 AID/W 211-d Grant

4. DOCUMENT REVISION NUMBER

5. PROJECT NUMBER (7 digits)

6. BUREAU/OFFICE
 A. SYMBOL: DSB
 B. CODE:

7. PROJECT TITLE (Maximum 40 characters)

8. PROJECT APPROVAL DECISION
 ACTION TAKEN: A - APPROVED
 D - DISAPPROVED
 DE - DEAUTHORIZED

9. EST. PERIOD OF IMPLEMENTATION
 YRS. QTRS.

10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000)

A. APPROPRIATION	B. PRIMARY PURPOSE CODE	PRIMARY TECH. CODE		E. 1ST FY <u>73</u>		H. 2ND FY		K. 3RD FY	
		C. GRANT	D. LOAN	F. GRANT	G. LOAN	I. GRANT	J. LOAN	L. GRANT	M. LOAN
(1) EHR	685	650		998					
(2)									
(3)									
(4)									
TOTALS									

A. APPROPRIATION	N. 4TH FY		Q. 5TH FY		LIFE OF PROJECT		11. PROJECT FUNDING AUTHORIZED (ENTER APPROPRIATE CODE(S)) 1 = LIFE OF PROJECT 2 = INCREMENTAL LIFE OF PROJECT	A. GRANT	B. LOAN
	O. GRANT	P. LOAN	R. GRANT	S. LOAN	T. GRANT	U. LOAN			
(1)					998			1	
(2)									
(3)									
(4)									
TOTALS									
								C. PROJECT FUNDING AUTHORIZED THRU FY <input type="text" value="7"/> <input type="text" value="9"/>	

12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000)

A. APPROPRIATION	B. ALLOTMENT REQUEST NO.	
	C. GRANT	D. LOAN
(1)		
(2)		
(3)		
(4)		
TOTALS		

13. FUNDS RESERVED FOR ALLOTMENT

TYPED NAME (Chief, SER/FM/FSD)

SIGNATURE

DATE

14. SOURCE/ORIGIN OF GOODS AND SERVICES
 000 941 LOCAL OTHER

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

Extension of the termination date of the 211(d) grant from March 1, 1978, to December 31, 1978. No additional money is involved in this extension. The extension will permit the University of California at Berkeley to edit, check proofs, publish, and distribute manuscripts being completed at this time. No new Research activities or fellowships will be undertaken during the extension period. The Network activities including the Network Newsletter are not included in this extension.

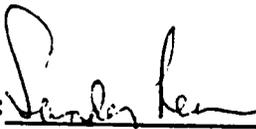
FOR PPC/PIAS USE ONLY	16. AUTHORIZING OFFICE SYMBOL	17. ACTION DATE	18. ACTION REFERENCE (Optional)	ACTION REFERENCE DATE
		MM DD YY		MM DD YY

PROJECT AUTHORIZATION AND REQUEST FOR
ALLOTMENT OF FUNDS

PART II

ENTITY : Development Support Bureau
PROJECT : Program in International Education Finance
PROJECT NUMBER: 931-0148

The 211(d) grant to the University of California at Berkeley establishing a Program in International Education Finance will be extended for ten months. This change will move the termination date of the grant from February 28, 1978 to December 31, 1978. The extension will permit the University of California to publish and distribute four manuscripts being completed at this time. During the extension, no new research activities or fellowships will be undertaken. Funding for the Education Finance Newsletter and Network will be terminated on February 28, 1978, as planned.

Signature: 

Sander Levin
AA/DSB

Date: 1/16/78

Encl: Action Memo, 5 January 1978

Clearance: DSB/PPU, John Gunning 

November 22, 1977 2p.

MEMORANDUM TO: CM/COD, Morton Darwin**THRU:** TA/EHR, Robert W. Schmeding *PM***FROM:** TA/EHR, Richard L. Shortlidge, Jr. *RS***SUBJECT:** Ten-Month No Cost Extension of Program in International Education Finance, School of Education, University of California - Berkeley (AID/CM/ta-G-73-17)

I wish to request that you approve a ten-month extension of the 211(d) grant to the University of California at Berkeley. This extension would move the termination date of the grant from March 1, 1978, to December 31, 1978. The extension will permit current activities under the Program in International Education Finance to be completed and will not involve any additional money from the Agency.

There are a number of studies which are being finished at this time. They include:

- (1) A manual on the use of models in planning education;
- (2) A study of the financing of education in the USSR;
- (3) A study of the experience of LDC's with student loan financing; and
- (4) A monograph titled "Nutrition and Education: Issues, Methods, and Preliminary Results."

These studies were reviewed during the Fourth Annual AID Review held earlier this year at Berkeley. With the exception of the USSR study, they were found to be within the scope of the grant. Although the AID review team questioned the appropriateness of the USSR study as a valid grant activity, the review team felt that the study should be completed and published.

The purpose of the extension is to allow these studies to be completed, published, and distributed. Berkeley has already made arrangements with Praeger Publications for their publication. Previous experience

with publication of studies under the grant suggest that approximately eight months is required once the manuscripts are submitted to the publisher. Thus, ten months should provide sufficient time to complete the publication of the remaining studies. No new activities will be initiated and no new fellowships awarded during this extension.

The Network activities will be terminated as scheduled on March 1, 1978. AID has decided not to provide additional funds for the Network beyond the March 1, 1978, date.

The possibility of an extension was mentioned by the Berkeley staff at the review. No objections were raised by the AID review team.