

7310089 (4)
PO-ADL-542

~~Wolfe~~
Shannon
2) File

February 17, 1976

2p

MEMORANDUM FOR: Research and Development Committee Members
FROM: TA/PPU, Carl R. Fritz *C.R.F.*
SUBJECT: Research and Development Committee Meeting
March 2, 1976

A meeting will be held at 2:00 p.m., on Tuesday March 2, 1976, in Room 2884, NS, during which the following draft Project Papers will be presented for review by the Committee.

1. Analysis Methodologies for Education and Human Resource Development, KPA 16, TA/EHR, GTS. *← FEB 24th*
2. Communications Satellites and Development Applications, KPA 14, TA/EHR, GTS.
3. Efficiency of Health Measures, KPA 12, TA/H, GTS.

Attachments: a/s

(See attached sheet for distribution)

DISTRIBUTION FOR RESEARCH AND DEVELOPMENT COMMITTEE MEETINGS (R & DC)

RESEARCH AND DEVELOPMENT COMMITTEE

A. MEMBERS

AFR/DP, Frank Moore
ASIA/TD, Herbert Dodge
LA/DR, Charles Stockman
NE/TECH, James Dalton
GC/TF&HA, A. R. Richstein
SER/IT, Joseph Kovach
SER/ENGR, John Rixse
SER/CM/COD, Robert J. O'Brien
FFP/POD, Peggy Sheehan
PPC/DPRE, Arthur Handly
PHA/POP, David Mutchler
O/LAB, Sigurd Moody

C. INFO.

IGA, John Kurelich
PPC/RB, L. Rogers
SER/MP, N. Ayers w/o att.*
PPC/PDA, J. Hoath
A/AID, Gilda Varrati
OMB, Ed. Sanders,

B. ALTERNATES

AFR/DS, John Blumgart
AFR/NARA, Woodrow Leake
ASIA/PD, John W. McCarthy, Jr.
LA/DP, Austin Heyman
NE/DP, Richard Birnberg
SER/IT, Dale Clark w/o att.
SER/CM/COD, V. Perelli w/o att.
PPC/DPRE, Norman Cohen w/o att.
PHA/PVC, Cleo Shook
OLAB, Paul Fera w/o att.

D. TA OFFICES

AA/TA, C. Farrar
M. Belcher
K. Levick w/o att.*
E. Long
S. Butterfield
M. Kilgour
T. Arndt
T. Brown
TA/PPU, C. Fritz
J. Gunning
T. Eliot (211(d))
D. Myren
TA/PPU Analysts (as appropriate)
TA/AGR, L. Hesser
TA/DA, J. French
TA/EHR, J. Chandler
TA/H, L. Howard
TA/N, M. Forman
TA/OST, H. Arnold
TA/RES, M. Rechcigl
TA/RD, T. Owens
TA/UD, W. Miner
TA/MGT, L. Crain
TA/PPU, E. Shields, R&DC Files

(*Attachments on request)

Rev. 2/76

9310089 (5)
PD-116-542

Date: October 24 1975

MEMORANDUM FOR: Members of the Research and Development
Committee

FROM: TA/PPU, *Carl R. Fritz*

SUBJECT: Approved Project Identification Document

(6p)

Attached is a copy of a Project Identification Document (PID) which has been approved by the Assistant Administrator for Technical Assistance for project design and the drafting of a Project Paper (PP):

Project Title: **Sector Analysis Methodologies - Education**

Project Number: **931-11-690-089**

Initial FY: **1976**

Responsible Office: **TA/EHR, W. Charleson**

If you have any comments, questions or issues which you would like to see addressed in the PP, please send them directly to the responsible office listed above with a copy to TA/PPU. They should be received by that office within two weeks ~~one month~~, so that the comments can be addressed by the drafter.

The draft PP will be submitted to the Research and Development Committee for review and comment. However, we encourage your comments as early in the design process as feasible so that the project can be responsive to Agency concerns.

Attachment: a/s

cc: TA Technical Office

MEMORANDUM

DATE: 10/1/75

TO : AA/TA, Mr. Curtis Farrar

FROM : TA/PPU, John N. Gunning *JN*

SUBJECT: PID Clearance

Project Title: Sector Analysis Methodologies - Education

Begins FY 1976.

1. The PID complies with the following AA/TA instructions if the appropriate block is checked. Otherwise, comments are attached.

a. Main points of Program Guidance #3 covered.

b. AA/TA budget review comments have been incorporated or adequately appealed in the narrative.

c. Proposed funding is within limits described in TA Bureau FY 76/77 Program Submission to PPC and/or as amended by current OYB.

d. Dates of PP development, approval and project initiation are realistic and consistent with the Program Submission.

2. This PID has been in TA/PPU and staff work is incomplete because of TA/PPU work pressure, or Tech office work pressure. We recommend you return the PID for further review prior to your final decision.

3. We recommend the following action:

a. Approval *Previously approved by AA/TA.*

(1) subject to _____

b. Disapproval or delay for reasons specified in attached.

4. AA/TA Action

Approved

Disapproved

Subject to This approval includes the possibility, if it proves desirable, of taking a quite new approach to the project, for example of developing with a single region, or more than one, a specific set of objectives within the broader ones originally proposed and proceeding with a cooperative project.

Signature: *Curtis Farrar*

Date: 10-1-75

AGENCY FOR INTERNATIONAL DEVELOPMENT
PROJECT IDENTIFICATION DOCUMENT FACESHEET
 TO BE COMPLETED BY ORIGINATING OFFICE

1. (PROJECT OR (TAX APPROPRIATE BOX)
 ORIGINAL CHANGE
 ADD DELETE

PID
 DOCUMENT
 1

2. COUNTRY/ESTABLISHMENT/ORGANIZATION
 International IDEA FY 1976

3. DOCUMENT REVISION NUMBER

4. PROJECT NUMBER
 931-11-690-089

5. BUREAU
 A. SYMBOL TAP B. CODE 6

6. PROPOSED NEXT DOCUMENT
 FRP PP B. DATE MO. YR. 10 75

7A. PROJECT TITLE - SHORT (STAY WITHIN BRACKETS)
 [Sector Analysis Methodologies-Education]

7. ESTIMATED FY OF AUTHORIZATION/OBLIGATION
 A. INITIAL FY [76] B. FINAL FY [78]

7B. PROJECT TITLE - LONG (STAY WITHIN BRACKETS)
 []

9. ESTIMATED COST (LIFE OF PROJECT)
 (\$000 OR EQUIVALENT, \$1 = _____)

| PROGRAM FINANCING | | AMOUNT |
|---------------------|--|--------|
| A. AID APPROPRIATED | | 1,100 |
| B. OTHER U.S. | | |
| C. HOST GOVERNMENT | | 100 |
| D. OTHER DONORS | | |
| TOTAL | | 1,200 |

| 10. ESTIMATED COST/AID APPROPRIATED FUNDS (\$000) | | | | | | | | 11. OTHER U.S. (\$000) | | | |
|---|--------------------|-----------------------|------------|---------|----------|---------|-----------|------------------------|-----------------|---------------|--------------|
| A. AGENCY PRIORITIES (ALPHA CODE) | B. PRIMARY PURPOSE | C. PRIMARY TECH. CODE | FIRST YEAR | | | | ALL YEARS | | A. PROGRAM TYPE | C. FIRST YEAR | D. ALL YEARS |
| | | | D. GRANT | E. LOAN | F. GRANT | G. LOAN | | | | | |
| | | | 600 | | | | 1,100 | | | | |
| TOTAL | | | 600 | | | | 1,100 | TOTAL | | | |

12. PROJECT GOAL (STAY WITHIN BRACKETS)
 [To foster evaluation, research and experimentation with various modes of education finance and to increase the usefulness and use of economic measurement tools in educational planning, decision making and management.]

13. PROJECT PURPOSE(S) (STAY WITHIN BRACKETS)
 [To develop and implement a typology of LDC capacity and needs in the area of educational planning and policy formulation; to develop and test methodologies which are appropriate for meeting the range of need and capacity identified in the typology; to develop and access a retrieval system which will, for the future, permit users to draw down appropriate methodologies.]

14. PLANNING NEEDS/REQUIREMENTS (STATE/PURPOSE)
 Countries involved: three LDCs, at minimum, which are representative of 3 groups of countries identified through the typology.
 The project will utilize an advisory committee composed of representatives from Regional Bureaus and LDCs as deemed appropriate.

15. ORIGINATING OFFICE CLEARANCE

SIGNATURE

TITLE

DATE SIGNED
 MO. DAY YR.

16. DATE RECEIVED IN AID/W, OR FOR AID/W DOCUMENTS, DATE OF DISTRIBUTION
 MO. DAY YR.

PID Sector Analysis Methodologies for Education

I Summary of Problem and Proposed Response

AID over the past years has invested considerable time and money to develop analytical instruments for assisting in the planning and policy formulation of educational programs in LDCs. Consequently, there exists (along with similar information produced by other agencies; e.g., IIEP, UNESCO, ILO, IERD, etc.) a considerable body of analytical knowledge which is available for use. The problem confronting users (e.g. Missions and LDCs) is that available analytical formats do not, in themselves, tell users which format is appropriate for: (1) decision reliability requirements, (2) input reliability potentials, and (3) at what costs. Consequently much of what is available, by way of analytical formats, has only marginal utility for LDCs.

If LDCs are to improve their use of analysis, then they must have readily available methodologies which are:

1. appropriate for assisting growth and improvement of formal and non-formal education activities;
2. useful for relating non-formal and formal activities within the education sector to the educational endeavors required for the development of other sectors; e.g., agriculture, health;
3. of use for relating educational efforts in all sectors to improvements in equity, employment and income distribution; and
4. designed in accordance with the policy determinants and capacity constraints of users.

The purpose of this project, which will be designed and evaluated in cooperation with AID/Regional Bureaus and selected LDCs, are:

1. to develop and implement a typology of LDC analytical capacity and needs in the area of educational planning and policy formulation;
2. to develop and test, with selected LDCs, methodologies which are appropriate for meeting the range of needs and capacities identified under 1 above; and
3. to develop an access and retrieval system which will, for the future, permit users to draw down appropriate analytical methodologies - at cost.

The outputs of the project would include:

1. A set of papers of the transactional models which cover the application of the analysis system and methods to sector planning, policy formulation and external assistance decisions;
2. A typological model of LDC needs and capacities in analysis and a paper relating transactional models and system objective criteria to representative groupings developed via the typology;
3. Procedural manuals for determining the cost effective choice of analytical models;
4. Case studies representing field tests of the analysis systems and procedural systems developed in selected representative LDCs;
5. Instructional formats for use by LDCs;
6. The development of an access and retrieval system which will permit users to readily obtain analytical methodologies commensurate with their needs and capacities.

II. Financial Requirements of the Project

The estimated total cost of the project is \$1,550,000 of which AID would provide \$1,100,000 in grant funds - the balance coming from participant LDCs.

This project is to be designed in three phases. The funding for each phase (approximately \$375) would be contingent upon approved successful performance of the preceding phase.

III. Development of the Project

The PROP for this project has been written and approved by the AA/TA. Pending clearance action with Regional Bureaus has been delayed to coincide with the up-coming release of the revised sector assessment guideline for education.

This project has been designed to include the full professional cooperation of AID and selected host country officials. The advisory panel (including representatives from PPC and Regional Bureaus) will approve the design and implementation plans and performance of the contractor.

This project is also designed to be a catalytic element in bringing together the methodological work being undertaken under other grants and contracts.

Depending upon the reactions of Regional Bureaus, it is hoped to be able to let this project under RFP in early FY 76.

9310089-6
 PD-AL-542

| | | | |
|---|---|---|------------------------------|
| AGENCY FOR INTERNATIONAL DEVELOPMENT PROJECT AUTHORIZATION AND REQUEST FOR ALLOTMENT OF FUNDS PART I | | 1. TRANSACTION CODE <input checked="" type="checkbox"/> C A: ADD C: CHANGE D: DELETE | PAF 2. DOCUMENT CODE 5 |
| 3. COUNTRY/ENTITY DS/ED | | 4. DOCUMENT REVISION NUMBER <div style="border: 1px solid black; padding: 2px; display: inline-block;">7</div> | |
| 5. PROJECT NUMBER (7 digits) <div style="border: 1px solid black; padding: 2px; display: inline-block;">931-0089</div> | 6. BUREAU/OFFICE A. SYMBOL B. CODE DS/ED <div style="border: 1px solid black; padding: 2px; display: inline-block;">36</div> | 7. PROJECT TITLE (Maximum 40 characters) <div style="border: 1px solid black; padding: 2px; display: inline-block;">Analysis Methodologies for Education and Human Resources</div> | |
| 8. PROJECT APPROVAL DECISION <input type="checkbox"/> A APPROVED <input type="checkbox"/> D DISAPPROVED <input type="checkbox"/> DE DEAUTHORIZED | | 9. EST. PERIOD OF IMPLEMENTATION YRS. <div style="border: 1px solid black; padding: 2px; display: inline-block;">04</div> QTRS. <div style="border: 1px solid black; padding: 2px; display: inline-block;">-</div> | |

| 10. APPROVED BUDGET AID APPROPRIATED FUNDS (\$000) | | | | | | | | | |
|--|-------------------------|--------------------|---------|---------------------|---------|-----------|---------|---------------------|---------|
| A. APPROPRIATION | B. PRIMARY PURPOSE CODE | PRIMARY TECH. CODE | | E. 1ST FY <u>76</u> | | H. 2ND FY | | K. 3RD FY <u>78</u> | |
| | | C. GRANT | D. LOAN | F. GRANT | G. LOAN | I. GRANT | J. LOAN | L. GRANT | M. LOAN |
| (1) EH | 680 | 650 | | 565 | | | | 485 | |
| (2) | | | | | | | | | |
| (3) | | | | | | | | | |
| (4) | | | | | | | | | |
| TOTALS | | | | | | | | | |

| A. APPROPRIATION | N. 4TH FY | | Q. 5TH FY | | LIFE OF PROJECT | | 11. PROJECT FUNDING AUTHORIZED | | A. GRANT | B. LOAN | |
|------------------|-----------|---------|-----------|---------|-----------------|---------|---|------------------------------------|----------|--|--|
| | O. GRANT | P. LOAN | R. GRANT | S. LOAN | T. GRANT | U. LOAN | (ENTER APPROPRIATE CODE(S)) 1 = LIFE OF PROJECT 2 = INCREMENTAL LIFE OF PROJECT | | | | |
| (1) EH | | | | | 1,050 | | | | | | |
| (2) | | | | | | | | | | | |
| (3) | | | | | | | | | | | |
| (4) | | | | | | | | | | | |
| TOTALS | | | | | | | | | | | |
| | | | | | | | | C. PROJECT FUNDING AUTHORIZED THRU | | FY <div style="border: 1px solid black; padding: 2px; display: inline-block;">80</div> | |

| | | | | | | | |
|---|--------------------------------|---------|--|---|--|--|--|
| 12. INITIAL PROJECT FUNDING ALLOTMENT REQUESTED (\$000) | | | | 13. FUNDS RESERVED FOR ALLOTMENT TYPED NAME (Chief, SER/FM/FSD) SIGNATURE DATE | | | |
| A. APPROPRIATION | B. ALLOTMENT REQUEST NO. _____ | | | | | | |
| | C. GRANT | D. LOAN | | | | | |
| (1) | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| TOTALS | | | | | | | |

14. SOURCE/ORIGIN OF GOODS AND SERVICES 000 941 LOCAL OTHER _____

15. FOR AMENDMENTS, NATURE OF CHANGE PROPOSED

We request extension of this contract from June 30, 1979 to June 30, 1980 at no additional cost.

| | | | | |
|-----------------------|-------------------------------|-----------------|---------------------------------|-----------------------|
| FOR PPC/PIAS USE ONLY | 16. AUTHORIZING OFFICE SYMBOL | 17. ACTION DATE | 18. ACTION REFERENCE (Optional) | ACTION REFERENCE DATE |
| | | MM DD YY | | MM DD YY |

UNITED STATES GOVERNMENT

Memorandum

TO : DAA/DS, Stephen Joseph
THRU : DS/PO, R. Simpson
FROM : DS/ED, David Sprague *DS*

DATE: March 14, 1979

SUBJECT: Extension of Harvard Contract "Analysis Methodologies for Education and Human Resources"

This contract with Harvard University (AID/ta-C-1336) was initially scheduled from June 30, 1976 to June 30, 1979. We are requesting an extension of the contract to June 30, 1980 at no additional cost and with essentially the same scope of work.

The contract has two essential activities: field support and methodological development and testing. The field support portion has been satisfactorily completed. The development, tryout, revision and production in final form of the methodological manuals requires more time than was initially estimated in the contract. This underestimation is due primarily to the bulk of materials generated by the contractor that is substantially larger than was anticipated when the completion date of June, 1979 was set. Rather than rush to completion these reports (manuals) we are asking the contractor to produce a carefully edited and cohesive set of works on planning and analysis.

By economizing on overseas field evaluations, the contractor will be able to extend a skeleton staff to ensure that the final form of these reports will enhance their chances of being used.

Relevant correspondence from Harvard University covering the activity schedule, and budget for the closing phase of this project is attached.

I recommend that you indicate your approval by signing the attached PAF Amendment."

Project Authorization and Request for Allotment of Funds

(PAF Amendment No. 1)

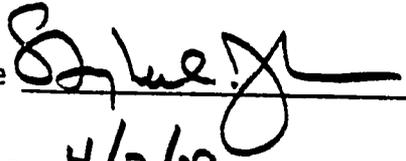
Entity: Development Support Bureau, **DAA/DSC**

Project: Analysis Methodologies for Education and Human Resources

Project Number: 931-0089

On June 17, 1976, AA/TA approved this project with Harvard University at the level of \$1,050,000 to extend from June 30, 1976 to June 30, 1979. We are now requesting that you approve extension of the project to June 30, 1980 to allow orderly completion and publication of the written set of methodologies developed during the past three years.

Approve



Disapprove

4/2/79