

PROJECT APPRAISAL REPORT (PAR)

PD-AAC-310-B1

1. PROJECT NO. 277-11-660-597	2. PAR FOR PERIOD: 10/31/73 TO 8/31/74	3. COUNTRY TURKEY	4. PAR SERIAL NO. 75-3
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5. PROJECT TITLE
BOSPORUS UNIVERSITY

6. PROJECT DURATION: Begun FY <u>73</u> Ends FY <u>77</u>	7. DATE LATEST PROP 11/30/73	8. DATE LATEST PIP NA	9. DATE PRIOR PAR H.p.
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10. U.S. FUNDING	a. Cumulative Obligation Thru Prior FY: \$ <u>875,000</u> (FY 74)	b. Current FY Estimated Budget: \$ <u>375,000</u>	c. Estimated Budget to completion After Current FY: \$ <u>-0-</u>
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11. KEY ACTION AGENTS (Contractor, Participating Agency or Voluntary Agency)

a. NAME BOSPORUS UNIVERSITY	b. CONTRACT, PASA OR VOL. AG. NO. Grant Agreement dtd. 10/31/72 and amended 6/27/73 and 5/30/74.
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I. NEW ACTIONS PROPOSED AND REQUESTED AS A RESULT OF THIS EVALUATION

A. ACTION (X)			B. LIST OF ACTIONS	C. PROPOSED ACTION COMPLETION DATE
USAID	AID/W	XXX		
		(BU)		
		X	BU to pursue negotiations with American universities (e.g. SUNY and UCLA) to establish formal arrangements for a broad, long-term exchange program.	November 1974
	X	X	Selection and contracting with consultants in educational technology and fund-raising.	October 1974
X		X	Distribution of new schedule for post-doctoral fellowship stipends. Review of faculty applicants for 1975-76 fellowships and possible revision of terms and conditions to accommodate needs of senior staff.	February 1975
		X	Commitment of \$43,000 remaining from First and Second Basic grants and First Matching grant.	November 1, 1974
X	X	X	Fulfillment of Conditions Precedent for the Second Amendment and disbursement of the Third Basic grant.	September 1974

D. REPLANNING REQUIRES
 REVISED OR NEW: PROP PIP PRO/AG PIO/T PIO/C PIO/P

E. DATE OF MISSION REVIEW
 May 4-6, 1974

PROJECT MANAGER: TYPED NAME, SIGNED INITIALS AND DATE
 Andre B. Colpatts *ABC* 9/24

MISSION DIRECTOR: TYPED NAME, SIGNED INITIALS AND DATE
 Marjorie S. Belcher, Acting Director

II. PERFORMANCE OF KEY INPUTS AND ACTION AGENTS

A. INPUT OR ACTION AGENT CONTRACTOR, PARTICIPATING AGENCY OR VOLUNTARY AGENCY Host Institution	B. PERFORMANCE AGAINST PLAN							C. IMPORTANCE FOR ACHIEVING PROJECT PURPOSE (X)				
	UNSATISFACTORY		SATISFACTORY			OUT-STANDING		LOW	MEDIUM		HIGH	
	1	2	3	4	5	6	7	1	2	3	4	5
1. Recruitment of Visiting Professors					X							X
2. Consultants Exchange programs with US universities and development other sources foreign exchange.			X							X		
3. Exchange programs with US universities and development other sources foreign exchange.			X									X

Comment on key factors determining rating

a) Recruitment of staff has improved over last review due to use of new sources of foreign personnel (professional societies and associations) and BU willingness pay higher salaries. Also BU continues to replace Robert College hold over foreign faculty with new visiting staff. b) Although consultants contracted are highly qualified and their recommendations are utilized, BU has contracted only with a few consultants and not all areas of study contemplated have availed themselves of this element of the grant. c) Sister university relations with American universities remain on an ad hoc basis and BU has received few visiting staff or joint research projects from this possible source. Likewise other sources having foreign exchange funds have not been developed as fast as needed, although BU has more than met its matching requirements. Fund-raising will be the great concern of BU and USAID this coming year

4. PARTICIPANT TRAINING Post-doctoral Fellows	1	2	3	4	5	6	7	1	2	3	4	5
				X							X	

Comment on key factors determining rating Since AID approved the new stipend schedule the Fellowship program for this year has been fully implemented. However, the fellows selected are not the more senior staff for which the program was originally designed. The fellows are highly qualified and their selection will promote BU's transition to a Turkish institution by affording opportunity for the younger professors to present a research paper for the docent (assistant professor) thesis examination.

5. COMMODITIES Library Books and Periodicals	1	2	3	4	5	6	7	1	2	3	4	5
						X				X		

Comment on key factors determining rating

Allocation for library materials has been fully utilized and BU has obtained sufficient lira budget allocation for this line-item to offset the termination of the \$50,000 that previously came from the AID grant in the first two years.

6. COOPERATING COUNTRY	a. Adequate number of Turkish staff PERSONNEL	1	2	3	4	5	6	7	1	2	3	4	5
	b. Budgetary support from the GOT						X						X

Comment on key factors determining rating

Recruitment of Turkish staff has exceeded planned numbers in part because BU has been taking advantage of the "buyer's market" for young Turkish PHD graduates from American universities.

The GOT, through the Ministries of Finance and Education and the SPO is providing full budgetary support not only for operating budget but also for capital construction budget.

7. OTHER DONORS	1	2	3	4	5	6	7	1	2	3	4	5
			X									X

(See Next Page for Comments on Other Donors)

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U. 7. Continued: Comment on key factors determining rating of Other Donors
 BU has received other contributions from Turkish foundation, Ford Foundation, several American universities (in-kind donations) and National Science Foundation. This assistance has been sufficient to meet the matching requirements of the AID grant. However, in one year the AID matching portion escalated from \$35,000 to \$75,000 and in the next two years to \$100,000 and \$125,000. To obtain these levels of matching grants BU must increase substantially its level of donation from other donors. Fund-raising will be the most important effort of BU and the activity most closely monitored by USAID in this project for the coming year.

III. KEY OUTPUT INDICATORS AND TARGETS

A. QUANTITATIVE INDICATORS FOR MAJOR OUTPUTS		TARGETS (Percentage/Rate/Amount)					END OF PROJECT
		CUMULATIVE PRIOR FY	CURRENT FY 75		FY 76	FY 77	
			TO DATE	TO END			
a) Reduction in number of Robert College hold-over staff	PLANNED a b	40% 10	60% 10	60% 10	80% 75	100% 20	100% 55
b) Recruitment of new visiting foreign faculty.	ACTUAL PERFORMANCE a b	30% 3	50% 9				
	REPLANNED a b			70% 9	80% 15	80% 15	100% 42
a) Contracting time of short-term consultants	PLANNED a b	4 4	2 6	2 6	2 6	3 6	12 22
b) Post-doctoral fellowships for senior staff	ACTUAL PERFORMANCE a b	3 3	0 6				
	REPLANNED a b			3 6	3 6	3 6	12 21
Adequate library collections in areas of teaching emphasis. Particularly amply collections in areas of new graduate programs. Acquisition program with adequate budget.	PLANNED	\$100,000	-0-	-0-	-0-	-0-	\$100,000
	ACTUAL PERFORMANCE	\$ 77,600	\$13,000				
	REPLANNED			\$9,000	-	-	-
Development of new programs in a) Education, b) Public Admin. c) Industrial Engineering, and d) Applied Economics.	PLANNED	50%	75%	75%	100%	-	-
	ACTUAL PERFORMANCE	30%	75%				
	REPLANNED			75%	100%	-	-
B. QUALITATIVE INDICATORS FOR MAJOR OUTPUTS	COMMENT: BU has become a part of the Higher Council of Universities; the MOE has requested BU take on development of several experimental university projects (open university, jr. college); BU has begun its own experiments with double major, graduate English, on lisans and Industrial Eng.						
1. Educational leadership and influence of BU. Application of innovative programs.							
2. Graduate programs which attract outstanding students and which deal with the problems of Turkey's development rather than highly theoretical material.	COMMENT: Graduate program students have increased faster than any other student enrollment increase. Eleven graduate students have elected to take one year intensive English in order to study at BU graduate school.						
Successful transition to a wholly Turkish university. Place in the hierarchy of Turkish universities, both for student demand and professors preference.	COMMENT: Four more BU professors last year received title <u>docent</u> and five more are expected to receive their titles before the end of this year. Five post doctoral fellows will submit to docent exam upon their return at the end of next year. Turkish faculty have left other Turkish universities to work at BU with lower salaries and benefits.						

IV. PROJECT PURPOSE

A. 1. Statement of purpose as currently envisaged. 2. Same as in PROP? YES NO

To assist Bosphorus University (BU) during its transition period from the American Robert College to full status of a Turkish university, thus enabling it to continue and retain its level of high quality academic training, in accordance with its Five-Year Development Plan as approved and supported by the Turkish Government.

B. 1. Conditions which will exist when above purpose is achieved.	2. Evidence to date of progress toward these conditions.
<p>1. As a Turkish institution operating under the laws and rules regulating Turkish higher education, BU will be recognized as a model of academic excellence and, with continued support from the GOT and private organizations, will indirectly induce needed changes at the more traditional universities.</p>	<p>1. The BU transition legislation has been extended for two more years which allows even more time to solidify BU's methodology and internal academic structure. Faculty commitment is high and the new staff is in agreement with the objectives of establishing a Turkish university with the qualities of performance sought by BU. Budgetary support is forthcoming. Ministry of Education is seeking BU assistance in pilot studies for new programs. BU credit system being studied by other universities.</p>
<p>2. Programs geared to meet the social and economic needs of the country.</p>	<p>2. Nuclear engineering department actively supported by Ministry of Energy. Other government agencies seeking advice and research studies from BU departments, such as Public Works study of vibrations on Bosphorus Bridge. Research theses oriented to real problems.</p>
<p>3. Its graduates are successful in obtaining meaningful employment in the public and private sectors.</p>	<p>3. BU has not yet graduated its first class of students wholly taught by BU so follow-up studies are not available. However, BU graduates who began training at RC are receiving scholarships for further graduate studies; and industry actively recruits from these classes.</p>

V. PROGRAMMING GOAL

A. Statement of Programming Goal

To assist the Turkish Government improve higher education by supporting institutions which have taken positive steps towards becoming centers of quality education, taking into consideration the social and economic needs of the country.

B. Will the achievement of the project purpose make a significant contribution to the programming goal, given the magnitude of the national problem? Cite evidence.

The deficiencies in Turkish higher education have long been recognized by Turkish officials and educators, but it has only been in recent years that the successful programs of the more progressive Middle East Technical University (METU) and Hacettepe University (HU), as well as the former Robert College, have produced a more favorable atmosphere for changes in the national higher education system. The recently-enacted Higher Education Law reflects these new attitudes and determination to proceed towards the needed changes.

The present academic program and future development plans of BU are geared to provide modern curricula, training of students towards independent analytical thinking and problem-solving experience; compulsory class attendance; professionally qualified professors; up-to-date library and research facilities. These programs, combined with those of METU and HU, will have a significant impact on the total Turkish higher education system nationwide.