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Rand Corp., Santa Monica.  
Selected bibliography on economic development and foreign aid. Marjorie Hald. Rev. Nov. 1958.  
96 p.  
Also an Oct. 1957 edition. |

NTIS

- 1. Economic development - Bibliography.
- 2. Technical assistance "
- 3. Bibliography - Economic Development.
- 4. " Technical assistance.
- I. Hald, Marjorie. II. Title.

U. S. AIR FORCE  
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**RESEARCH MEMORANDUM**

A SELECTED BIBLIOGRAPHY  
ON ECONOMIC DEVELOPMENT AND FOREIGN AID

Marjorie Hald

RM-2096-1

October 22, 1957

Revised November 26, 1958

ASTIA Document Number AD 206551

Assigned to \_\_\_\_\_

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## INTRODUCTION

This is a selective bibliography on economic and other aspects of growth and development in underdeveloped areas and on the policies of the United States and other agencies in fostering development. The emphasis is on the word "selective" since, although the bibliography contains over 1500 items, it is in no sense an exhaustive listing of the materials. In fact the subject matter is of such interest, with so many old problems being reargued in the light of development problems, that it would be foolhardy even to attempt a complete listing.

There are a number of non-economic factors which are of prime significance in development. Consequently some items on political and sociological problems have been included here, although the selection had to be held to major items of importance. Some topics (e.g., problems of developing local leaders) had to be excluded because they would extend the bibliography to areas which are peripheral to the central topic.

The items on economic topics are also selective. This is particularly true of those included in the section dealing with economic theory. Many items dealing with the gains from trade, factor price equalization, etc., have been omitted since, in our judgment, they also fall outside the definition of the central topic.

Although the bibliography is primarily intended for the use of economists and others in The RAND Corporation, we hope that it will be of value to those others who are interested in development problems. The community of scholars to which it should appeal is certainly a burgeoning one.

Primary emphasis has been given to the period from 1950 through 1957,

with the greater emphasis on the last five years, though older works of special merit have been included wherever it seemed appropriate. For earlier materials, the coverage in Arthur Hazlewood, The Economics of Underdeveloped Countries (London, 1954) is admirable, and the United Nations, Bibliography on Industrialization in Under-Developed Countries (1956) is both more inclusive (in number of items) and less comprehensive (in scope of subject matter) than this.

Although we have tried to be catholic in our coverage of material, it is perhaps understandable that greater space is devoted to publications in the United States. Only English language publications are covered, but the limitation this implies is more apparent than real. The works of Indian scholars who, quite naturally, have been turning close attention to these problems, and substantial numbers of items by Japanese, Scandinavian, and other scholars who write in English are included. In quantity, the items dealing with the various underdeveloped areas of the world reflect the amount of scholarly attention given to these areas; for example, the section on China is brief, for the material available is scanty and much of it is marginal in quality.

The sections on U. S. foreign aid policies and programs have been limited first, by excluding semi-popular literature (of which there is a plethora), and, second, by covering primarily those hearings and reports issued since 1953. This policy was necessitated by the magnitude of official works by both the legislative and executive branches of the government. The student who wishes to expand the list can always refer to the Monthly Catalog: U. S. Government Publications.

The main sources used were the card catalogues at the Library of

Congress, official lists of United Nations and the specialized agencies publications, book reviews and publications lists in professional economic and other journals, periodicals indexes, and bibliographies attached to published works.

An index by country has been included to facilitate finding the items pertinent to a particular area.

Mrs. Marjorie Hald has compiled this bibliography under my general direction. We would welcome any comments on the usefulness of the finished product.

Frederick T. Moore

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VIII. Supplement

The following items have come to the attention of the author since the publication of this bibliography in October 1957. While no attempt has been made in this revision to extend the time period originally covered, it seems worthwhile to include these additional entries which extend the material in Chapter I, Economic Development Problems. Thanks are due to Frank N. Trager's excellent bibliography in Economic Development and Cultural Change, July 1958 for some of these items.

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U. S. AIR FORCE

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## RESEARCH MEMORANDUM

A SELECTED BIBLIOGRAPHY

ON ECONOMIC DEVELOPMENT AND FOREIGN AID

Marjorie Hald

RM-2096

ASTIA Document Number AD 144305

22 October 1957

Assigned to \_\_\_\_\_

This is a working paper. It may be expanded, modified, or withdrawn at any time. The views, conclusions, and recommendations expressed herein do not necessarily reflect the official views or policies of the United States Air Force.

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## INTRODUCTION

This is a selective bibliography on economic and other aspects of growth and development in underdeveloped areas and on the policies of the United States and other agencies in fostering development. The emphasis is on the word "selective" since, although the bibliography contains over 1500 items, it is in no sense an exhaustive listing of the materials. In fact the subject matter is of such interest, with so many old problems being reargued in the light of development problems, that it would be foolhardy even to attempt a complete listing.

There are a number of non-economic factors which are of prime significance in development. Consequently some items on political and sociological problems have been included here, although the selection had to be held to major items of importance. Some topics (e.g., problems of developing local leaders) had to be excluded because they would extend the bibliography to areas which are peripheral to the central topic.

The items on economic topics are also selective. This is particularly true of those included in the section dealing with economic theory. Many items dealing with the gains from trade, factor price equalization, etc., have been omitted since, in our judgment, they also fall outside the definition of the central topic.

Although the bibliography is primarily intended for the use of economists and others in The RAND Corporation, we hope that it will be of value to those others who are interested in development problems. The community of scholars to which it should appeal is certainly a burgeoning one.

Primary emphasis has been given to the period from 1950 through 1957,

with the greater emphasis on the last five years, though older works of special merit have been included wherever it seemed appropriate. For earlier materials, the coverage in Arthur Hazlewood, The Economics of Underdeveloped Countries (London, 1954) is admirable, and the United Nations, Bibliography on Industrialization in Under-Developed Countries (1956) is both more inclusive (in number of items) and less comprehensive (in scope of subject matter) than this.

Although we have tried to be catholic in our coverage of material, it is perhaps understandable that greater space is devoted to publications in the United States. Only English language publications are covered, but the limitation this implies is more apparent than real. The works of Indian scholars who, quite naturally, have been turning close attention to these problems, and substantial numbers of items by Japanese, Scandinavian, and other scholars who write in English are included. In quantity, the items dealing with the various underdeveloped areas of the world reflect the amount of scholarly attention given to these areas; for example, the section on China is brief, for the material available is scanty and much of it is marginal in quality.

The sections on U. S. foreign aid policies and programs have been limited first, by excluding semi-popular literature (of which there is a plethora), and, second, by covering primarily those hearings and reports issued since 1953. This policy was necessitated by the magnitude of official works by both the legislative and executive branches of the government. The student who wishes to expand the list can always refer to the Monthly Catalog: U. S. Government Publications.

The main sources used were the card catalogues at the Library of

Congress, official lists of United Nations and the specialized agencies publications, book reviews and publications lists in professional economic and other journals, periodicals indexes, and bibliographies attached to published works.

An index by country has been included to facilitate finding the items pertinent to a particular area.

Mrs. Marjorie Hald has compiled this bibliography under my general direction. We would welcome any comments on the usefulness of the finished product.

Frederick T. Moore

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Working Notes - XR

ECONOMIC DEVELOPMENT OF UNDERDEVELOPED AREAS

Group Research Projects and Scholars in This Field at American  
Universities and Research Institutes

Because of the difficulties attending individual attempts to gather field data or organize group discussions in this field, analysis and surveys of underdeveloped areas are largely the product of team research supported by private or government institutions. (According to Alfred Bonne, Studies in Economic Development, London:Routledge and Kegan Paul) The attached list names the important group research projects underway in United States institutions and the scholars connected with each project. The list does not yet include government-sponsored research at American institutions nor does it include research in Western Europe.

Important university centers of research as determined by the XR inventory of group research projects are at Chicago, Cornell, Harvard, MIT, Princeton, Stanford, and, possibly, at California (Berkeley) and Columbia. Research emphasis at Cornell, where work is carried out primarily in connection with the area programs, is on the effects of technology in non-industrialized societies. Agricultural development is the major interest at Stanford's Food Research Institute. Several projects on the relation of population to economic development are in progress at Princeton's Office of Population Research.

~~Population Research~~

There is little information immediately available to evaluate Harvard's efforts in this field. Its projects, which with one exception, have a less direct relation to Underdeveloped areas than those at other institutions, are on entrepreneurial history (now on US), international taxation, achievement motivation and economic development, and the economics of modern China. The recently created Center for International Affairs lists as one of its five major fields of research economic and political development. More information is also required to determine whether Columbia and California are doing substantial research on economic development.

Chicago supports a quarterly journal, Economic Development and Cultural Change, and is compiling an inventory of research on this subject at its Research Center in Economic Development and Cultural Change. There is some research also at its Population Research and Training Center. The university center with the greatest activity on economic development is MIT's Center for International Studies. The overall project on economic and political development is subdivided into major projects on India, Indonesia, Italy, and Africa while research emphasis is on both economic and non-economic factors affecting economic development.

The program on Soviet Bloc Foreign Economic Relations at Virginia is important for its study of the effect of Soviet aid programs to underdeveloped countries.

Non-university research institutes interested in economic development include the Council on Foreign Relations, the

National Planning Association, the Population Council, the Social Science Research Council, and the Twentieth Century Fund. At least three of the projects evaluate Soviet aid to underdeveloped areas (CFR, NPA); two are concerned with Africa (CRF, 20th Century Fund). Of the two projects investigating causes of economic growth, one is concerned with non-economic factors (CFR) and the other with economic factors (SSRC). The very large project at the Population Council seeks to determine, in part, the relation between population growth and economic development.

Some of the more unusual projects are studying the potential use of nuclear power in underdeveloped areas (NPA). The performance of U.S. business firms abroad (NPA), appraisal of technical assistance programs in Latin America (NPA), and the effects of American aid to Yugoslavia (20th Century Fund).

Quantitatively the projects deal with the following aspects of economic development:

economics - general	23
agriculture	4
labor	1
international trade	1
technical assistance	1
population	4
socio-psychological factors affecting economic development	7
effect of economic development on society	4
Soviet aid to underdeveloped areas	3
US policy	1
nuclear energy in underdeveloped areas	1
Total	<u>50</u>

Working Notes - XR

UNIVERSITIES AND RESEARCH INSTITUTES

Concerned with Economic Development of Underdeveloped Areas  
(As determined from the inventory of group research projects)

California, Berkeley

- 1) Research on the Economic Development of Lebanon  
Paul Taylor, Institute of International Studies, East Asia  
Studies. ER-1-144  
(Description not furnished.)
- 2) Cooperative Project of the Universities of California and  
Indonesia in Economics and Related Fields.  
Paul Taylor. ER-1-155

Chicago University

- 1) Research Center in Economic Development and Cultural Change  
Prof. Bert F. Hoselitz  
Preparing Inventory of research in economic development and  
cultural change.  
Publishes quarterly journal, Economic Development and Cultural  
Change, and Monograph series. ER-1-43 & 44
- 2) Study of Means of Identifying and Mapping Factors Affecting  
Economic Growth (of underdeveloped areas). ER-1-45  
Gilbert F. White David E. Apter  
Norton S. Ginsburg Manning Nash  
Bert F. Hoselitz Philip E. Hauser
- 3) Experimental International Studies of Population Distribution  
(Includes study of problems of Burma as an underdeveloped nation)  
Philip M. Hauser ER-1-91

Columbia University

- 1) Study of the Economic Aspects of Institutional Growth  
(Includes West Africa, East Indies) ER-1-46  
Conrad Arensberg Harry W. Pearson (Bennington)  
Walter C. Neale (Yale) T. K. Hopkins (Columbia)

Columbia University (continued)

- 2) Joint International Business Ventures ER-1-39  
(Includes Latin America, South Asia, Southeast Asia, Middle East,  
Far East, and Europe)  
Wolfgang Friedmann (law) Emile Benoit (business)  
Roy Blough

Cornell University

- 1) Development and Testing of Improved Research Methods for  
Studies in Underdeveloped Areas. ER-1-80  
Allan R. Holmberg Rose K. Goldsen  
Edward A. Suchman Max Ralis  
(Includes Peru, Thailand, India -- impact of technological change)
- 2) The Impact of Technological Change on non-Industrialized  
Societies ER-1-81  
Lauriston Sharp Alexander H. Leighton  
Morris E. Opler Robert J. Smith  
Allan R. Holmberg
- 3) Study of the Impact of the Rural Development Program  
(India Program, Department of Far Eastern Studies) ER-1-149  
Morris E. Opler
- 4) Southeast Asia Program  
(Includes study of technological and economic changes in this  
area) ER-1-159  
Lauriston Sharp John M. Echols  
Frank H. Golay George McT. Kahin  
G. William Skinner

Duke University

- 1) Research on the British Commonwealth ER-1-179  
(Includes some study of economic development of underdeveloped  
areas)  
Robert Taylor Cole

University of Illinois

- 1) Patterns of Socio-Economic Change in Native Societies under the  
Impact of Industrial Civilization. ER-1-85  
Prof. Julian H. Steward  
(Includes Mexico, Peru, Tanganyika, Kenya, West Africa, Burma,  
Malaya, Japan)

Harvard University

- 1) Studies in Achievement Motivation and Economic Development  
(with Wesleyan University) ER-1-48  
David C. McClelland, Department of Social Relations

Harvard University (continued)

- 2) Research Center in Entrepreneurial History  
Arthur H. Cole, Chairman ER-1-49  
Research on the Relationships Between Entrepreneurs and the  
Structure and Value Systems of Societies  
(Current project is on US; publication has appeared on Brazil)
- 3) International Program in Taxation: Tax Laws and Administration  
in Underdeveloped Areas. (Law School) ER-1-40  
(Includes Brazil, Mexico, Colombia, and study of effects of tax  
system on international trade and investment)  
Milton Katz
- 4) Research on the Politics and Economics of Modern China  
John K. Fairbank ER-1-131
- 5) Center for International Affairs ER-1-106  
Robert R. Bowie, Director  
Henry A. Kissinger, Associate Director  
One of its five major fields of research is on  
Economic and Political Development.

Johns Hopkins University

- 1) Critical Review of Literature and Statistics on the Comparative  
Growth of Nations ER-1-51  
Simon S. Kuznets (Department of Political Economy)

MIT, CENIS

Studies in Economic and Political Development: (ER-1-52)  
(See 1957-58 Report of CENIS)

- 1) Functional Studies - theoretical studies of the development  
process  
Francis Bator  
Edward Holland
- 2) Non-economic Factors in Development: Study of the socio-  
psychological factors affecting development  
Everett Hagen Oriol Pi-Sunyer  
James Abegglen Louis Schaw  
Howard Perlmutter Kee Il Choi  
Clifford Geertz Jean Pool
- 3) India Project: Study of Problems Related to Economic Growth  
Wilfred Malenbaum ER-1-150  
George Rosen Leon Hirsch  
George Baldwin Hans Pilhofer  
Walter Neale

MIT, CENIS

Studies in Economic and Political Development (continued)

- 4) Indonesia: Non-Economic Factors in Development: Study of Leadership in Indonesian Villages ER-1-161  
Benjamin Higgins Mrs. Karl Pelzer  
Douglas Paauw Dr. Karl Pelzer (Yale - geography)  
William Hollinger Guy Parker (political science)  
Everett Hawkins Clifford Geertz (anthropologist)
- 5) Indonesian Field Team Studies  
Clifford Geertz and others
- 6) Italy  
Rosenstein-Rodan and others
- 7) Studies on African Economic and Political Development  
Arnold Rivkin and others
- 8) The Political Process in Burma  
Lucian Pye

University of Michigan

- 1) Social, Economic, and Cultural Impact of Mechanization in an Underdeveloped Community -- in Japan ER-1-132  
Robert B. Hall, Center for Japanese Studies

Michigan State University

- 1) International Collaboration in Agriculture in Latin America and Diffusion on US-Mexican Border ER-1-142  
(Includes study of effect of nationalities and group affiliations on technological changes)  
Charles P. Loomis

Princeton University

- 1) Inter-University Study of Labor Problems in Economic Development  
John T. Dunlop (Harvard) Frederick H. Harbison (Princeton)  
Charles A. Myers (MIT) ER-1-50 Clerk Kerr (Calif. Berkeley)
- 2) Demographic Analysis ER-1-94 Office of Population Research,  
Frank W. Notestein Woodrow Wilson School of Public  
Ansley J. Coale and International Affairs  
D. Good  
R. G. Potter, Jr. P. C. Sagi  
I. B. Taeuber C. F. Westoff  
(Includes: relation between population and economic change with special reference to underdeveloped areas)



## RESEARCH INSTITUTES

### Council on Foreign Relations

- 1) US Foreign Economic Policy and the Soviet Challenge ER-1-11  
Philip E. Mosely William Diebold, Jr.  
(Evaluation of aid and trade programs and probable effects of Soviet foreign economic aid.)
- 2) Human Factors in Economic Development ER-1-82  
Philip E. Mosely Edwin J. Cohn
- 3) Economic Development in Africa ER-1-117  
Philip E. Mosely William A. Hance
- 4) Soviet Foreign Economic Policy ER-1-167  
Philip E. Mosely Joseph S. Berliner  
(Includes study of Soviet policy towards underdeveloped countries)  
Published as Soviet Economic Aid to Underdeveloped Countries.

### National Bureau of Economic Research

- 1) Structure of World Trade and Payments ER-1-53  
Solomon Fabricant Herbert Wooley

### National Planning Association

- 1) Research on the Productive Uses of Atomic Energy ER-1-55  
(Includes study of potential use of nuclear power in Puerto Rico, Brazil, Italy, Israel, India, Pakistan, Japan.)  
Messrs. Sapir Robock Gold  
Van Hyning Landesberg Little  
Mason Ferazich Rosenstein-Rodan  
Teitlebaum Kilbridge Mayne Dwyer
- 2) Study of the Economics of Competitive Coexistence  
(Includes study of effect of Soviet trade on India, Pakistan, Turkey, Burma) ER-1-56  
Henry G. Aubrey (New School) Wilfred Malenbaum (MIT)
- 3) Case Studies of US Business Performance Abroad ER-1-42  
Eugene W. Burgess Frederick H. Harbison  
Benjamin Higgins Wayne C. Taylor  
John Lindeman  
(Includes: Mexico, Peru, Philippines, Venezuela, Liberia, Indonesia, Brazil)

National Planning Association (continued)

- 4) Appraisal of Technical Assistance Programs in Latin America  
Theodore W. Schultz (Chicago) Philip Glick ER-1-143  
James G. Maddox Arthur T. Mosher  
Simon Rottenberg Howard R. Tolley

Social Science Research Council

- 1) Historical and Analytical Study of Economic Growth and Factors  
Which Influence It ER-1-57  
(Includes completed studies on Brazil, India, Japan)  
Simon S. Kuznets (Johns Hopkins)
- 2) The Political Process in Non-Western Areas (Committee on  
Comparative Politics) ER-1-74  
Gabriel A. Almond, Chairman (see ER-1 for names of other scholars)

Twentieth Century Fund

- 1) Tropical Africa ER-1-122  
George H. T. Kimble  
(Includes study of economy of Africa)
- 2) Research on Yugoslavia  
(Includes study of effects of American aid) ER-1-174  
George W. Hoffman (Texas)  
Fred W. Neal (Claremont)

WORKING NOTES - XR

BIBLIOGRAPHIES ON ECONOMIC DEVELOPMENT  
OF UNDER DEVELOPED AREAS

There are several bibliographies in the field of economic development the most important of which are described on pages 3,4, and 5 of the attached list of bibliographies. Covering a wide range of subject matter on economic development from 1930 to 1953 in the English language is the publication by Arthur Hazlewood. The Rand Corporation bibliography by Marjorie Hald brings Hazlewood up to date by listing material published from 1950 through 1957.

The Hald and Hazlewood bibliographies are book-length and treat many aspects of economic development. Several other comprehensive, but older bibliographies are available but have not all been listed (See US,LC, 1952 pub). Shorter, general bibliographies are included at the end of texts in the field.(See Bauer and Myrdal).

The remaining references are to bibliographies on some specialized aspect of economic development such as capital formation (see Wolf), technical and financial assistance (see Viet), climate (see Lee), Okovango Delta in Africa (see Randall), and development of manufacturing (see UN,Library, 1956).

Assuming these bibliographies are comprehensive, as of date of publication, for this project they should be brought up to date and supplemented with titles of recent unpublished and in progress research.

Working Notes - XR

BIBLIOGRAPHIES ON ECONOMIC DEVELOPMENT  
OF UNDERDEVELOPED AREAS (Provisional Listing)

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The bibliography is subdivided as follows and has geographic breakdowns within most of these subdivisions:

- Economic Development Problems
- Political, Sociological and Institutional Problems in Development
- Programs and Progress in Economic Development
- U.S. Foreign Aid Policies and Practice
- The Roles of National and International Agencies in Development
- Other Bibliographies on Development
- Selected Country Index

(There is some overlap with authors listed in ER-1)

Hazlewood, Arthur. The Economics of Underdeveloped Areas; An Annotated Reading List of Books, Articles, and Official Publications. (Oxford University, Institute of Colonial Studies) Oxford, 1954. 89 p.

Books, articles, and official publications in English, covering 1930 to 1953, on the economics of underdeveloped areas. Annotated. Few references to works by anthropologists. Is intended as introduction to the literature on this subject and lists, therefore, mainly "secondary" works. Most of the basic material such as trade reports, census reports, statistical digests, etc., is omitted.

This bibliography is subdivided as follows:

- Concepts
- General Surveys
- National Income, Consumption, and Investment
- Population and Labour
- Agriculture and Land
- Industry
- Money and Banking
- Commerce and Marketing
- Economic Stability and Commodity Control
- International Economics
- Public Finance and Economic Policy
- Economic Development

(Practically no reference to authors included in ER-1)

UN. Library. Bibliography on Industrialization in Underdeveloped Countries. (Bibliographical Series, No. 6). New York: United Nations, 1956. 216 p.

This bibliography is a revision of the 1954 UN publication, Bibliography on the Processes and Problems of Industrialization in Under-Developed Countries (document E/2538), and an expansion of it to include references to relevant research projects carried out by government, universities, and scientific institutions. Part I lists publications of the UN and its specialized agencies. Part II lists other publications. Part III lists unpublished research some of which is still in progress. Limited to material concerned with the growth of manufacturing industry. Very little information has been included on technical assistance, capital formation, investment, trade and tariff policy, labour, urbanization, and productivity.

(Part III lists a few of the projects described in ER-1)

SUBJECT BREAKDOWN OF CONTENTS OF FOUR BIBLIOGRAPHIES  
ON ECONOMIC DEVELOPMENT OF UNDERDEVELOPED AREAS

A breakdown by subject matter of research listed in the four bibliographies considered has been prepared. It is difficult to determine quantitatively in so short a time the major fields of attention within the broad topic, economic development, since bibliographies vary in scope and define terms differently. Not every bibliography uses the same subject headings; some ignore certain fields entirely, others over-emphasize them. For example, Hald stresses U.S. policy and Hazlewood understresses anthropology. Consequently, because of the emphasis in the Hald bibliography, for example, the total count shows that 12% of research is concerned with U.S. policy.

In general, the breakdown shows that 70% of the research listed in these four bibliographies refers to the economic factors of economic development and 11% to socio-political factors. The remaining sub-heads -- labor, population, agriculture, and land tenure -- comprise 1 or 2% each of the total research.

The breakdown of economic factors shows that emphasis is on capital formation and international financing, exports-imports in their relation to economic development, industry studies and the development of manufacturing, and economic theory. Hald

groups

groups a large portion of her bibliography under programs in economic development. However, many of these items have been grouped by other bibliographers under agriculture, trade, social change, labor, and other more specific headings.

BIBLIOGRAPHIES

Subject breakdown	Bauer 1957	Myrdal 1956	Hazle- wood 1954	Hald 1956	Total	%	Comments
U.S. Policy	--	5	--	255	260	12%	See Lee bib. See UNESCO bib.
Climate	--	--	--	--			
Technical Assistance	--	--	--	--			There is much disagreement on the implications of population growth in under- underdeveloped areas. (Bauer)
Labor	5	2	35	10	50	2%	
Population	10	5	30	10	55	2%	
Agriculture	--	--	30	20	50	2%	See Staley for political implications of US policy. Discussions of socio- political factors in econom- development are often found in the literature of anthropology and sociology. (Bauer)
Land tenure	5	--	20	--	25	1%	
Socio-political factors	5	5	10	220	240	11%	
Economic development: general	--	10	160	--	170		There is extensive literature on this. (Bauer)
history, theory			20	150	170		
gov't-sponsored industria- lization	10	--	15	--	25		See UN bib. for refs. to industry & industrialization
national income	5	--	30	--	35		
industry, resources			55		65		
programs			10	640	640		Most discussed topic in economic development. (Bauer)
stability	5	3	20	--	30		
capital formation	5	2	20	70	100		
int'l financing	--	5	40	30	75		
exports-imports	5	5	50	15	75		
marketing	--	--	35	--	35		
Subtotal, economics					1420	70%	
Total					2100	100%	

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