



NA.W.E.

NACIONALIDAD WAORANI DEL ECUADOR
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INTEGRATED MANAGEMENT OF INDIGENOUS LANDS

**COOPERATIVE AGREEMENT
NO. 518-A-00-07-00054-00**

**UNDER LEADER ASSOCIATE AWARD
NO. LAG-A-00-99-00047-00**

**PERFORMANCE REPORT:
JULY 1 – DECEMBER 31, 2007**

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Executive Summary

The first six months of the project have included USAID-endorsed pre-signing activities, the planning phase, workplan consolidation and revision, and the beginning of implementation. We have developed workplans and budgets with three indigenous organizations—Federación de los Centros Awá del Ecuador (FCAE), Federación Indígena de la Nacionalidad Cofán del Ecuador (FEINCE), and the Asociación de Mujeres Waorani del Ecuador (AMWAE)—beginning implementation in October 2007. These activities with the Awá and Cofán peoples include bi-national meetings to discuss and promote common agendas and mitigate conflict. Implementation has been delayed in the case of the Nacionalidad Waorani del Ecuador (NAWE), due to political instability within the organization primarily, though we have installed a technical counterpart and an administrator within the NAWE office in Puyo to provide direct assistance and advice. We have also signed agreements with the Fundación para la Sobrevivencia Cofán (FSC) and the Fundación Altrópico for complementary activities in the Cofán and Awá landscapes respectively. Detail with respect to activities under each of the four project components is provided below (indented, italics), according to the format of the revised workplan. Detail with respect to indicators is provided in the tables below, highlighting in yellow advances during the period July-December 2007.

Objective 1: Support Consolidation of Indigenous Territories

Activities:

Evaluation:

Meet with indigenous representatives (Awá, Waorani, Kichwa-lower Napo, Cofán) and independent lawyers knowledgeable with the situation (ECOLEX and others) to establish the current situation affecting critical issues, and identify the priorities for contributing to a resolution of current land conflicts, anticipating future land conflicts, and consolidating territorial status for each of the indigenous peoples.

We hired Ana Oña as consultant to evaluate the situation of the two rival Záparo organizations and to propose recommendations on how to resolve the conflict.

A.I. Oña. 2007. Informe del estudio situación territorial del grupo Záparo. Quito, WCS. 26 pp. + anexos.

With respect to other organizations, our evaluations are underway.

Consolidate GIS (geographic information systems) databases with physical, administrative, and legal information on these indigenous lands.

Underway.

Describe the roles and responsibilities of institutional actors with mandates over land and resources as they affect indigenous territories and conservation, as well as current relationships between them and the respective indigenous organizations and communities, including inter-institutional participation structures such as management committees of protected areas.

Underway.

Identify existing legislation that indigenous peoples are using to consolidate territories. With each indigenous people (Awá, Waorani, Kichwa-lower Napo, Cofán), we will identify priority steps with respect to strengthening existing legislation and applying it more effectively.

Underway.

Planning and Design.

Together with the indigenous organizations and communities, we will define appropriate strategies for addressing land conflicts.

Underway. Although we have not been directly involved, our support to the organizations and their political and technical staff has permitted successful action on the following two fronts:

FCAE has addressed specific conflicts with Afro-Ecuadorian neighbors through a well-organized and successful march to Quito to demand that the government revoke a decree ordering co-management of a portion (16,000 ha) of the Awá territory.

FEINCE and FSC jointly have addressed specific conflicts with local governments arising after the president awarded the Cofanes title to over 30,000 of land. The measures included meetings with central and local government representatives.

Promote participative mechanisms that ensure that indigenous organizations (FCAE, NAWE, AMWAE, FEINCE, FCUNAE) represent the vision of the people about the management of their territory.

Underway: we are supporting, as part of the joint implementation program with FCAE, NAWE, AMWAE, and FEINCE, participatory planning process which emphasize systematic visits of leaders to the communities to report on their activities and to elicit responses and comments from the communities.

FCAE reported to representatives of all Awá communities at the general assembly held in Chical in December. Reporting included financial reports on all projects undertaken during the three-year presidency of Olindo Nastacuz.

AMWAE held its annual meeting in October in the community of Quiwaro, reporting on all funds received from all donors, and explaining the purchase and sale of handicrafts complying with all legal and tax requirements.

NAWE held its annual congress in December in the community of Toñampare, reporting on projects and funding received and executed during the year under the presidency of David Ahua, and reviewing the statutes of NAWE.

Strengthen the control and monitoring systems of Kichwa communities (Añangu, Sani Isla, Nueva Providencia, Indillama, San Roque) in Yasuní National Park.

Working with the communities we completed construction of three guard posts at Nueva Providencia, Añangu y Sani Isla.

Design a territorial monitoring system with Waorani communities (Bameno, Bohuanamo, and Ñoneno of the Tagaeri-Taromenane Intangible Zone; also Quehueriono), in coordination with the Yasuní National Park director, the Ministry of Environment, and the Ministry of Coordination for Cultural and Natural Patrimony.

Offering our technical collaboration and resources, we have held multiple meetings with the Ministry of Coordination for Cultural and Natural Patrimony and the Ministry of Environment who are developing the proposal and taking the lead as the responsible government institutions for overseeing and coordinating this program. Both ministers were replaced in December, and the new Minister of Environment has confirmed to us that she is the person responsible for producing the proposal in early 2008, that she will solicit WCS participation in designing the proposal. Despite significant pressure on government representatives from Pentí Baihua and the Waorani communities of the Intangible Zone, they have not been included formally in the design of the proposal, and no planning meetings have been held in the Waorani communities. In our planning process with NAWE we included in the budget and workplan support to the Waorani community guard system. While the communities themselves have the most direct responsibility for selecting the guards and protecting the Intangible Zone, we consider important the participation of NAWE as an intermediary between the communities and the government, and as an administrator of resources (under the responsibility of the accountant/administrator we have hired to work in NAWE).

Design of effective systems for indigenous organizations to define strategies, identify priorities, plan and implement projects, and administer resources.

FCAE is extremely capable in all these areas; we are participating in their annual assembly and annual planning processes in order to provide suggestions but at the level of fine-tuning. Likewise their administration of resources is efficient and we are providing only minor suggestions.

FEINCE is also capable in these areas, though we are encouraging an annual workplan exercise bringing together all their donors and programs. Together we have selected, and FEINCE has hired, a technician who is helping with project design and implementation.

AMWAE is also capable in these areas. Together we have selected, and AMWAE has hired, a technician who is helping with project design and implementation.

With NAWE we conducted a planning exercise to review their overall priorities and to define the areas that we would support. This exercise served to integrate proposals from Moi Enomenga and Pentí Baihua's communities respectively. Together we have selected, and NAWE has hired, an institutional strengthening specialist to work within the Puyo office. We have convinced NAWE to hire the Ibis project accountant to also administer the WCS/USAID funds. Through these two technical experts working within NAWE's Puyo office, we expect to strengthen NAWE's technical and administrative capacity.

Evaluate with each indigenous group its current and potential participation in the management of neighboring or overlapping protected areas, for example through multi-institutional management committees or through co-management systems.

We are supporting the participation of NAWE, AMWAE, FOCAO, and FCUNAE representatives in meetings of the Yasuní management committee, both in Coca and an

international meeting in La Paz, Bolivia. FEINCE/FSC have considerable experience in the co-management of protected areas, and we seek to learn from their experiences to the benefit of the indigenous groups in the Yasuní landscape.

Implementation.

Support the indigenous organizations in their efforts to improve national legislation.

At the local level, support indigenous organizations in applying more effective and stronger legal instruments: land titles from INDA, concessions from Ministry of Environment, "circunscripciones territoriales indígenas".

Physical demarcation of Waorani Ethnic Reserve along the southwestern border (50 km) to complete work undertaken by CAIMAN and Ecolex. This will require collaboration and consensus between Waoranis and Kichwa neighbors (Quillualpa community), and with the Ministry of Environment in areas where this territory overlaps or shares a boundary with Yasuní National Park.

We have discussed with Ecolex the methodologies applied under the CAIMAN project, considering that some adjustments will be required to improve training and participation of Waorani communities and technicians, and have included this activity in the workplan with NAWE. We hope to implement in early 2008.

Install sign posts on main entry points to Cofán territory.

Produce and disseminate radio and print materials informing general public about laws, policies and rights affecting Cofán territory.

Provide FCAE with a technical expert in conflict management and mitigation. Part of this expert's responsibility will be early warning and conflict prevention.

This person will be selected and hired in early 2008.

Develop a territorial defense strategy for the Awá territory.

FCAE has initiated an internal evaluation of the current context of the Awá territory. The Awá march to Quito was an important demonstration of the people's unity and commitment to its territory.

Develop and implement systems of guards for the Waorani (Bameno, Bohuanamo, and Ñoneno of the Tagaeri-Taromenane Intangible Zone; also Quehueriono), Kichwa-lower Napo (Añangu, Sani Isla, Nueva Providencia, Indillama, San Roque) and Cofán (two new communities—Duvuno and Sinangoe—in addition to the existing system) territories.

As described above, the process of designing the Waorani guard system is underway and we are following the lead of the Ministry of Environment, seeking to promote the direct participation of the Waorani communities of the Intangible Zone in the process. Six Kichwa community guards have been participating in hunting and wildlife monitoring within their territories since July. Another six will be selected and integrated in early 2008. FEINCE has selected and hired six community guards for Duvuno and Sinangoe. In early 2008 they will

be integrated into the overall Cofán territorial monitoring system that FSC manages. FEINCE and FSC also conducted a field inspection of the new land titled to the Cofanes.

Salary support to the FCAE lawyer and paralegal and to the FEINCE paralegal in order to improve community access to justice as well as to strengthen the institutions.

We initiated this support in October of 2007.

Provide legal assistance to the Waorani to respond to specific challenges to their territorial integrity.

Land titling and conflict resolution of specific cases in the Awá territory, including promotion of constructive interactions with the Afro-Ecuadorians and the local governments.

FCAE conducted a topographic survey of the Río Verde Medio and San Vicente communities, and provided this information to the Ibarra municipal cadastre to evaluate land titles in this area.

Purchase field supplies for territorial monitoring activities in the Awá and Cofán territories.

Strengthen GIS capacity for territorial monitoring and conflict early warning in the Awá, Waorani, and Cofán territories.

Support field travel expenses for territorial monitoring in Awá territory.

Support titling of Tobar Donoso lands to Afro-Ecuadorian residents in order to mitigate local conflict and stabilize this Awá territory border.

We have signed an agreement with Fundación Altrópico to continue this process in 2008.

Support participatory planning and cadastre efforts in municipalities and parishes that include portions of indigenous territories.

Constraints:

Progress with the Waoranis in particular has been delayed by political instability at the national level (changes in key ministers) and within NAWE. Nevertheless, these are elements of the context over the long term, therefore we are evaluating ways to advance on concrete matters both at the level of the communities and at the level of the organization. We are seeking advice from other donors and experts who know the Waorani situation, and we also will hire an anthropologist to focus on developing and implementing institutional strengthening strategies with the Waoranis.

Objective 2: Build Institutional Capacity for Integrated Management of Indigenous Territories

Activities:

Technical capacity.

Provide NAWE, AMWAE, and FEINCE with technical staff to assist with proposal writing and project implementation.

We have helped to select persons for each of the three organizations, and the people have been hired by NAWE, AMWAE and FEINCE respectively.

Provide salary support to NAWE, AMWAE, FCAE, and FEINCE technical staff (including GIS staff).

We are providing support since October 2007 in all four cases.

Provide a coordinator of indigenous park guards to be based in Coca, in the Yasuní National Park office.

We have confirmed terms of reference for this position with the director of the Yasuní National Park and will select a candidate early in 2008.

Provide support for vehicle maintenance and radio communication systems for FCAE.

We are providing support since October 2007.

Train FCAE technical staff in legal issues and communication as well as negotiation strategies.

Train NAWE, FCAE and FEINCE GIS staff in order to consolidate monitoring and analysis capacity in these indigenous organizations.

Training will begin in early 2008.

Establish the GIS / spatial information center for the data depository, exchange of information and facilitation of collaboration among communities. Disseminate outputs (e.g. maps) to all relevant organizations—indigenous organizations, communities, local governments.

We have evaluate GIS capacity and needs of beneficiary organizations including FCAE in Ibarra, FEINCE in Lago Agrio, FSC in Quito. We have also evaluated the availability and quality of GIS information within other partner organizations (TNC, Fundación Altrópico, CI) in order to consolidate and share spatial information.

Develop and disseminate GIS / GPS training materials to technical staff of indigenous organizations.

Train 12 Waorani and 6 Kichwa-colonist community members as park and territory guards.

Six Kichwa community guards initiated training in July 2007, focusing on monitoring hunting and wildlife in the Kichwa territories within Yasuní. For the additional Waorani, Cofán, and

Kichwa/colonist community guards, training will begin in early 2008, once the candidates are selected and hired.

Train Waorani, Kichwa and Awá wildlife monitors in camera trapping methods for monitoring terrestrial vertebrates.

Waorani wildlife monitors, from communities along the Maxus road, are being trained as of August 2007 in wildlife surveys using camera traps and line transect methods. We have also been training Kichwa community representatives in line transect methods for monitoring wildlife since July.

Scholarship support for AMWAE and NAWE representatives to study law in Puyo.

An AMWAE scholarship recipient was selected and enrolled, but did not maintain her attendance. She will start again in 2008, with greater supervision on our part to ensure she can maintain her commitment and effort.

Develop and disseminate materials for training of management committee representatives, including conflict mitigation strategies.

We held the final regional workshop in Coca and Tiputini in July 2007, and developed the final report and training manuals up through December. The final presentation scheduled for December was postponed until January 2008 because of political instability in La Paz.

Publications:

Castillo, O., Z. Barahona, D. Mendía, A. Noss, A. Hesse, A. Burbano & J.C. Chivé. 2007. Manual: gestión técnica y operativa. Comités de gestión y sistemas de protección en áreas protegidas. Santa Cruz, Bolivia: WCS & Tinker Foundation. 18 pp.

Castillo, O., Z. Barahona, D. Mendía, A. Noss, A. Hesse, A. Burbano & J.C. Chivé. 2007. Manual: apropiación cultural y social. Educación ambiental y turismo en áreas protegidas. Santa Cruz, Bolivia: WCS & Tinker Foundation. 18 pp.

Castillo, O., Z. Barahona, D. Mendía, A. Noss, A. Hesse, A. Burbano & J.C. Chivé. 2007. Manual: planes de manejo y zonificación. Introducción al uso de herramientas de gestión técnica. Santa Cruz, Bolivia: WCS & Tinker Foundation. 48 pp.

Castillo, O., Z. Barahona, D. Mendía, A. Noss, A. Hesse, A. Burbano & J.C. Chivé. 2007. Sistemas de gestión integrada y gobernanza en Áreas Protegidas de Bolivia, Ecuador y Perú: desde la visión de sus comités de gestión. Santa Cruz, Bolivia: WCS & Tinker Foundation. 280 pp.

Cultural appropriation.

Integrate environmental education into the Awá, Kichwa-lower Napo, and Waorani bilingual education curricula.

Since July 2007, and in coordination with the consejo provincial of Orellana, we have been implementing environmental education activities modules with schoolchildren in Kichwa

communities: Añangu, Sani Isla y Nueva Providencia. Together with the leaders of the Waorani bilingual education program we presented a proposal to the Fundación REPSOL for additional funds to work with the Waorani schools along the Maxus road. The binational agendas that we are supporting among both the Awá and Cofán peoples prioritize bilingual education as an instrument for cultural survival.

Promote participatory methods to collect and disseminate information widely among the indigenous communities through environmental education materials and events as well as through radio, where appropriate.

Train local professors in "schoolyard ecology" methodologies, implement with school children in Kichwa-lower Napo (Añangu, Sani Isla, Nueva Providencia, Indillama, San Roque) and Waorani communities (Dícaro, Guiyero, Timpoca, Yarentaro).

Tourism.

Provide training related to evaluating the impacts of tourism activities on wildlife and wild areas, as well as on appropriate zoning methods.

Coordinate with Rainforest Alliance in the context of their ABCI initiative, and with the USAID/Ecuador AED/TNC Global Sustainable Tourism Alliance in order to provide technical training in aspects related to tourism services and marketing and conversely to raise awareness of indigenous issues, needs and opportunities for collaboration.

We have held multiple coordination meetings with TNC, GSTA, USAID, Rainforest Alliance. In early 2008 we will confirm specific projects to support in the Yasuni landscape.

Identify and promote a group of tourism initiatives working with indigenous organizations. Identify opportunities to link indigenous enterprises to area tourism projects (e.g. handicrafts and tour operators).

Ecofondo approved WCS' proposal to construct and implement an interpretive center in the Kichwa community of Nueva Providencia, which will be linked to the lodges operating in nearby communities and along the lower Napo river. Ecofondo also approved the Universidad Católica proposal to develop a tourism initiative the Waorani communities on Maxus road. WCS is collaborating with the Universidad Católica on this project as well.

Handicrafts and other forest products.

Provide training related to evaluating the impacts of NTFP harvesting on wildlife and wild areas, as well as on developing appropriate sustainable use management plans and zoning.

Coordinate with Rainforest Alliance for technical training and business expertise in aspects related to marketing and certification.

Work with the indigenous organizations to identify other potential sources of sustainable natural resource exploitation for income-generation.

Administrative and financial capacity.

Salary support for administrative staff of FCAE, AMWAE, FEINCE.

We began providing support in October 2007.

Provide administrative staff person to assist and mentor NAWE with execution of WCS funding.

We selected and NAWE hired this person in December 2007.

Assist administrative staff of FCAE, AMWAE, NAWE, and FEINCE with implementation of sub-grants.

We are providing assistance as of October 2007 when sub-grant implementation began.

Assist NAWE in development and implementation of administrative procedures manual.

Underway.

Scholarship support for AMWAE and NAWE representatives to study accounting in Puyo.

An AMWAE scholarship recipient was selected and enrolled, but did not maintain her attendance. She will start again in 2008, with greater supervision on our part to ensure she can maintain her commitment and effort. Three NAWE representatives were selected and initiated studies in business and environmental engineering.

Train and mentor AMWAE, NAWE, and FCAE representatives in accounting procedures.

Support a general annual audit of FCAE and FEINCE programs, including the determination of an indirect cost rate.

Purchase tax software for FCAE.

Assist the Quehueriono association in managing the Wao Lodge.

Leadership and governance systems.

Provide NAWE and AMWAE with technical staff to support leaders in addressing issues of territorial management on a day-to-day basis, including identification of actual and potential conflicts.

We have helped to select these technical staff in Puyo, who have been hired by NAWE (December 2007) and AMWAE (October 2007) respectively. We will work with FEINCE in early 2008 to hire a technical person who will work in Lago Agrio.

Provide FCAE with a technical expert in conflict management and mitigation, to assist the Awá in addressing specific issues as they arise, and anticipating/preventing potential conflicts.

This person will be selected and hired by FCAE in early 2008.

Provide cost of living stipend to FCAE, AMWAE, FEINCE leaders.

We began providing assistance in October 2007.

Support monthly meetings and annual assemblies for FCAE.

We began providing assistance in October 2007, including the FCAE annual congress held in December in Chical.

Support travel by FCAE, AMWAE, NAWE, FEINCE leaders to communities and to meetings outside the respective territories in order to make processes inclusive and participatory.

We began providing assistance to all but NAWE in October 2007.

Train Awá leaders and community mediators in applying internal regulations and policies related to territorial management.

Train Waorani leaders in conflict mediation, indigenous rights, women's rights, and national legislation related to protected areas and indigenous territories.

In the context of the EU-funded Yasuní biosphere reserve project to the United Nations agencies in Ecuador, we have been coordinating with UNIFEM to identify opportunities.

Support AMWAE and FEINCE communication and strategic messaging programs.

We are coordinating with CARE, who has supported FEINCE's communication program since July 2007.

Help NAWE to validate the Waorani "Plan de Vida" through community consultations, strengthen relations between NAWE and communities.

Help FCAE to validate the Awá "Plan de Vida" through broad community consultations.

Constraints:

FCAE held an assembly in December in which the leadership was subject to election. The policy of the organization is to terminate all personnel contracts at that time in order that the new leadership be free to select its own staff as required. Although the president of FCAE and the project coordinator were ratified by the assembly, none of the other political or technical staff were approved, thus new personnel will be hired in early 2008. In particular, filling key technical posts related to our project (conflict management expert, GIS expert) was delayed until early 2008.

NAWE's political instability is more pronounced. Two separate assemblies were held in December, one by the leadership elected in February 2007, and the other seeking to replace the existing leadership. As of December 31 the position of the two competing leaderships was unclear, and WCS has sought to avoid taking sides. Any change in leadership will require re-negotiating our proposed workplan with NAWE, though we will insist on maintaining the two key personnel hired in December: the technical advisor and the administrator coordinator.

Objective 3: Promote the Sustainability of Conservation and Development Initiatives within Indigenous Territories

Activities:

Land and resource management planning.

Community workshops to determine internal zonification and develop community-level management plans for 5 Awá centers: Isphi, Tarabita, Guare, La Guaña and Pailón.

FCAE has held planning meetings in the five centers.

Develop community-level "planes de vida" for 5 Awá centers: Isphi, Tarabita, Guare, La Guaña and Pailón.

Community workshops to determine internal zonification and develop community-level management plans for 2 Waorani communities. The specific communities will be selected in consultation with NAWE, AMWAE, and the communities themselves.

The proposal of the Universidad Católica together with WCS that was approved by Ecofondo includes work with the Waorani communities along the Maxus road, who will undertake tourism activities, to develop zoning and natural resource management plans.

Community members monitor flora, fauna and human activities in the Awá, Kichwa-lower Napo, Waorani and Cofán indigenous territories to provide data for natural resource management plans.

WCS-supported wildlife monitors and community guards in the Kichwa communities (Añangu, Sani Isla, Nueva Providencia) have been monitoring wildlife and hunting since July 2007. Since August 2007, Waorani representatives from the communities along the Maxus road have been monitoring wildlife, and will begin monitoring hunting as well in early 2008.

Support the development of a management plan for the community of Duvuno (FEINCE).

Assist Yasuní Kichwa communities (Añangu, Sani Isla, Nueva Providencia, Indillama, San Roque) with refining and implementing management plans.

As mentioned above, we are working with community representatives to monitor wildlife in the territories of three Kichwa communities (Añangu, Sani Isla, Nueva Providencia), and we have helped to construct three guard stations along the Tiputini river. The project approved by Ecofondo to support tourism activities in the community of Nueva Providencia will be implemented as of early 2008, requiring management planning at the level of the community's territory.

Create linkages between parish and municipal level governments with indigenous organizations to promote alignment of management and development plans.

In the Yasuní landscape we are pursuing these linkages and alliances through the Yasuní management committee, which includes representatives of local governments and of

indigenous organizations. We are also providing support to Fundación Altrópico who will select and hire in early 2008 an expert to work with municipalities overlapping with the Awá territory.

Implementation of ecologically sustainable and culturally appropriate conservation and development initiatives.

Support production and commercialization of Awá, Cofán and Waorani handicrafts.

We began providing support in October 2007 to on-going handicrafts programs developed and implemented by AMWAE, FEINCE and FCAE.

AMWAE conducted a training event in the community of Ñoneno for Waorani women of the Tagaeri-Taromenane Intangible Zone.

Install nurseries in Waorani and Cofán communities (to be selected) to increase the production of materials for handicrafts.

Salary support for a technician to promote honey production with native bees in Awá (Guadualito, Mataje, Balsareño, Pambilar and Sabalera) and Afro-Ecuadorian communities (La Chiquita, Ricaurte and La Sirena—Cantón San Lorenzo).

We have signed an agreement with Fundación Altrópico, and implementation will begin in January 2008.

Recuperation of the traditional Cofán farm.

FEINCE technicians visited six communities in order to begin planning activities.

Promotion of agricultural and agroforestry systems in the Awá territory.

FCAE hires two agroforestry technicians.

Design sustainable finance strategies.

Design a sustainable finance strategy for the Oso Reserve in the Awá territory.

FCAE collected information in the field and developed a participatory management plan with the Awá center Río Tigre, in order to define policies for the management of the Oso Reserve.

Promote negotiations among donors for design and implementation of a trust fund for the Yasuní Biosphere Reserve (including the Waorani Ethnic Reserve, the Tagaeri-Taromenane Intangible Zone, Kichwa community territories, and the Yasuní National Park).

Coordinate discussions and design of sustainable finance mechanisms with indigenous organizations, Ministry of Environment, Ecolex, CI, TNC, WWF, Rainforest Alliance, FAN, FFLA, Repsol, Petrobras, Andes Petroleum and PetroEcuador.

Coordinate with Rainforest Alliance and other public and private organizations certification and marketing mechanisms to increase revenues to local indigenous producers: handicrafts (Awá, Waorani, Cofán), cacao (Kichwa, Cofán, Awá), timber (Awá), and tourism (Waorani, Kichwa, Cofán, Awá).

We have begun coordinating with Rainforest Alliance for support to cacao certification (Cofán and Kichwa communities), and tourism (Quehueriono, Kichwa communities). We are also seeking support of Rainforest Alliance in forestry certification with FCAE.

Promote technical capacity within indigenous organizations to generate resources from private donors and public sources by producing and implementing new proposals, by leveraging funds among private and public donors, by integrating resources from multiple donors and by increasing the efficiency of project administration.

In our planning phase, we have worked with indigenous organizations to consider their overall programs, integrating multiple donors and activities in a single overall budget and workplan, and defining priorities when resources are insufficient to cover all proposed activities. The technical staff selected and hired by NAWE, AMWAE, and FEINCE will prioritize fundraising and proposal writing, in collaboration with WCS staff.

Promote capacity within indigenous organizations to negotiate and form strategic alliances with other private and public institutions, to generate resources for conservation and development activities within indigenous territories as other private and public institutions assume responsibility for the land and resources under their respective mandates.

The key strategic alliance we are promoting at this stage is the Yasuní management committee, ensuring greater representation and participation of the indigenous organizations (Waorani, Kichwa) in the Yasuní landscape. The focus of this effort is to ensure that annual plans and budgets by local governments, private sector operators, the Yasuní National Park, and the indigenous organizations are integrated and complement each other.

Our support to FCAE has assisted the organization in negotiating a partnership with SENPLADES, the Ministry of Electricity, and the provincial government of Carchi and the municipality of Mira to construct and operate a small hydroelectric project. FCAE has also worked to strengthen relations with municipal and parish governments overlapping the Awá territory.

Our support to Fundación Altrópico, as of January 2008, includes an expert who will work within municipal governments to strengthen relations with FCAE.

Promote integrated audits of annual programs of indigenous organizations to establish an indirect cost rate so they can charge all donors in order to maintain the core operational capacity of the indigenous organizations.

Our workplans with FCAE and FEINCE include integrated 2007 annual audits which will be completed during 2008.

Evaluate the potential of indigenous territories to generate and market environmental services, particularly carbon bonds associated with avoided deforestation.

Integrated Management of Indigenous Territories
 WCS • FCAE • NAWE • AMWAE • FEINCE • FSC • Fundación Altrópico
 In collaboration with the U.S. Agency for International Development through
 Cooperative Agreement No. ECU RFA-A-07-005

Promote negotiation and design of strategic programs to mitigate the long-term socio-economic and environmental effects of infrastructure development: particularly transport corridors and oil concessions.

In November 2007 we sponsored together with FLACSO and the Yasuní Management Committee a first forum in Coca on the Manta-Manaus corridor.

Assess linkages and opportunities associated with the regional economic corridors to be promoted in the northern border area under the USAID Alternative Development Program.

We have held several meetings with USAID to discuss coordination of activities.

Constraints:

With recent changes in the legislation affecting profits of the oil companies, and the constituent assembly underway promising further adjustments, the companies are reviewing their positions and activities related to indigenous organizations and communities in general. This may reduce their willingness to coordinate work and provide resources, but we are beginning to explore opportunities directly, with other partners, and through the Yasuní Management Committee.

Objective 4: Assess Impacts of Infrastructure Development and Human Displacement on Indigenous Territories

Activities:

Support the development of strategic action plans.

Conduct a meeting or series of meetings with organizations and actors, especially indigenous organizations, who are working to address the issues of infrastructure development (the Manta-Manaus transportation corridor, the ITT and Petrobras oil concessions) and human displacement (resulting from the Plan Colombia) in order to identify the current state of knowledge, to identify gaps and priorities, and to determine roles in responding with specific actions.

In November 2007 we sponsored together with FLACSO and the Yasuní Management Committee a first forum in Coca on the Manta-Manaus corridor. We also supported and participated in bi-national meetings of Awá and Cofán (November 2007) representatives respectively to discuss threats to indigenous territories in Colombia.

Strategic assessment and evaluation.

Conduct an evaluation of the road system within the Awá territory in order to guide new proposals.

Evaluate the environmental and social impacts of logging, mining, and colonization on the Awá territory to determine opportunities for intervention.

Collaborate in the evaluation and discussion of the potential strategic effects of the Manta-Manaus transportation corridor on the Kichwa-lower Napo, Waorani and Cofán territories; and on the

Yasuní Biosphere Reserve. Support to the Yasuní Biosphere Reserve Management Committee in managing and leveraging these impacts.

In November 2007 we sponsored together with FLACSO and the Yasuní Management Committee a first forum in Coca on the Manta-Manaus corridor.

Collaborate in the evaluation and discussion of the potential strategic effects of the ITT exploitation and the alternative proposal to leave the oil in the ground. Support to the Yasuní Biosphere Reserve Management Committee.

We are following up on a forum that we sponsored with the Yasuní Management Committee in June 2007 in Coca to discuss the ITT concession. WCS and FCAE also participated in the international forum organized by Acción Ecológica in Quito in October 2007.

Development of strategic action plans.

Promote the integration of these evaluations into land use planning by local actors who have mandates over land and resource use—indigenous organizations as well as protected areas, private land-owners or concessionaires, municipalities and others who overlap or adjoin indigenous territories.

Build alliances that promote biodiversity conservation and sustainable resource use.

Promote the participation of Waorani, Kichwa and campesino representatives in the Yasuní Biosphere Reserve Management Committee.

We are supporting participation of AMWAE, NAWE, and FCUNAE representatives in Yasuní Management Committee meetings.

International meetings in Coca/Tiputini (Ecuador) and La Paz (Bolivia) of management committee representatives from Yasuní Biosphere Reserve, Tamshiyacu-Tahuayo Community Reserve and Pacaya-Samiria National Park (Peru), and Madidi and Kaa-lyá del Gran Chaco National Parks (Bolivia).

We supported participation of AMWAE, NAWE, and FCUNAE representatives, as well as representatives of the Waorani communities in the Tagaeri-Taromenane Intangible Zone, in the international workshop held in Coca and Tiputini in July.

Promote alliances with Ecuadorian universities through training courses in conservation biology, camera trapping methods.

In August 2007 we co-organized a conservation biology training course with Island Conservation, (Advanced Conservation Strategies) for 13 students from universities and NGOs. We also co-taught courses at the University of Loja and FLACSO, and served as tutors to students receiving Ecociencia scholarships. We are sponsoring undergraduate thesis scholarships for two students from the Universidad Católica to study dolphins and caimans respectively in Yasuní National Park.

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Promote cross-border (Colombia and Ecuador) networks of indigenous organizations among the Awá and Cofán respectively.

We supported bi-national meetings of Awá and Cofán (November 2007) representatives respectively, in which each people is developing an agenda for bi-national coordination and collaboration. We also supported the participation of Awá representatives in a leadership training course organized by WWF in Cali.

Identify opportunities to create channels for communication between Awá and Afro organizations on both sides of the Colombia-Ecuador border.

Building on the work of IOM and FUDAP with displaced populations, including the formation of municipal emergency operations committees. Promote a working group on human displacement, again emphasizing cross-border participation and collaboration between Colombia and Ecuador.

Promote an "Integrated Management of Indigenous Lands management group" including USAID, WCS, FCAE, NAWE, AMWAE, FEINCE, FSC, Fundación Altrópico and other partners participating in the project.

We are working closely with USAID and with each partner separately to define workplans, strategies, and priorities. We are also seeking opportunities for exchanges and cross-fertilization among partners.

In this context, we have signed "Convenios Marcos" (general memoranda of understanding) with NAWE, FCAE, FEINCE/FSC, Fundación Altrópico, DED, CARE, TNC. These MOUs are independent of the USAID project per se, and seek opportunities for institutional collaboration more generally.

With FCAE in particular we have participated in three events bringing together donors supporting the Awá: a strategic planning workshop led by DED (September 2007), the bi-national meeting organized by FCAE and the three Colombian Awá organizations (November 2007), and the FCAE assembly (December 2007).

FCAE has held meetings with the Ministry of Health, the Ministry of Social Inclusion, and the World Food Program to develop programs for the Awá territory.

FEINCE has pursued with Ecolex an agreement between the community of Sábalo and the Ministry of Environment for the management of portions of the Cuyabeno Reserve.

FEINCE has also improved relations with local governments: municipal, provincial, prefecture, health department.

FEINCE signed a new agreement with FODI to provide health care to 226 children in nine communities: two Cofán, the remainder Kichwa and campesinos.

Promote an "Indigenous Working Group" that will include a broader set of donors and supporters who are involved in issues of indigenous territories in Ecuador.

Led by WCS, TNC, Rainforest Alliance, and CARE, we have established an Amazon working group to bring together donors and government institutions working with

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conservation and indigenous peoples in the Amazon. This working group has established an agenda for 2008 to promote coordination and collaboration.

Promote technical exchanges among indigenous organizations within Ecuador, and with indigenous organizations elsewhere.

Participate in the "Indigenous Peoples' Regional Working Group for Integrated Land and Environmental Management" that is being developed in the context of the ABCI initiative, including indigenous organizations that are directly responsible for territorial management in Brazil, Bolivia, Ecuador and Peru.

Constraints:

The regional working group is a proposal of the ABCI consortium. Due to the restructuring of the ABCI program, dividing Brazil from the Andean Amazon countries, implementation of this working group has been delayed. We are seeking to push this forward again together with TNC and Rainforest Alliance once the ABCI workplans are approved in 2008.

MONITORING AND EVALUATION

1. Summary Targets and Achievements Reflecting USAID Standard Indicators

Full implementation of project activities with all partners will not begin until January 2008, and advances towards the USAID Standard Indicators have not been registered between July-December 2007.

USAID Standard Indicator	Indicator	Code Table1B	Initial Score	FY08		FY09 (End of Project)	
				Target	Actual	Target	Actual
USAID Standard Shared Indicator 1	Number of hectares under improved management as a result of USG assistance**	Y (Hectares)	0	643,000		1,418,000	
USAID Standard Shared Indicator 2	Number of people with increased benefits derived from sustainable natural resource management and conservation as a result of USG assistance	Y (Persons)	0	155 direct 775 indirect		170 direct 850 indirect	
USAID Conflict Management Indicator 1	Number of non-governmental constituencies built or strengthened through USG-supported conflict mitigation activities	Y (Constituencies)	0	2		2	
USAID Conflict Management Indicator 2	Number of people trained in conflict management / resolution skills with USG assistance	Y (Persons)	0	10		20	
USAID Conflict Management Indicator 3	Number of USG programs supporting a conflict and/or fragility early warning system and/or response mechanism	Y (Programs)	0	2		2	

Notes: * All summary indicators in Table 1A are derived from the detailed Table 1B, below.

1.1. Number of hectares in areas of biological significance under improved management as a result of USG assistance.

<u>Indigenous territory</u>	<u>September 2008</u>	<u>September 2009 (cumulative)</u>
Awá	30,000 ha	116,000 ha
Waorani Ethnic Reserve	200,000 ha	479,000 ha
Waorani: Taqaeri-Taromenane Intangible Zone	300,000 ha	750,000 ha
Kichwa-lower Napo	83,000 ha	83,000 ha
Cofán	30,000 ha	30,000 ha
TOTAL	643,000 ha	1,458,000 ha

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Awá territory: 116,000 ha.

We will begin implementation with five specific communities in early 2008. General support to FCAE initiated in October 2007 is supporting improved management of the entire Awá territory.

Kichwa territories lower Napo river: 83,000 ha.

We are working with three Kichwa communities and will extend to additional communities in early 2008.

Waorani territory: 679,000 ha + 750,000 ha Tagaeri Taromenane Intangible Zone or TTIZ (overlap of 207,000 ha between these two areas).

Implementation of field activities, and support to the Waorani organization NAWE, has been delayed by political instability and administrative weakness. We expect to begin implementation in early 2008.

Cofán territory: 400,000 ha.

We will begin implementation in January 2008.

1.2. Criteria for improved management of Indigenous Territories

- 1) resource use or land use rights or title secured
- 2) formal definition of conservation purpose
- 3) physical demarcation of vulnerable territorial boundaries in coordination with neighbors
- 4) participatory and systematic vigilance or controls at entry points
- 5) threats reduced (colonization, logging, hunting, etc.)
- 6) participatory resource/territorial management plan, strategic plan, plan de vida
- 7) resource/land-use use plan implemented
- 8) community-level resource-use regulations enforced
- 9) conflicts mitigated or mitigation mechanisms in place
- 10) sustainable financing for territorial management or protection
- 11) local community support for managing territory as a unit
- 12) formal incorporation into the development plans of relevant jurisdictions

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Current situation (yellow indicates themes that WCS began to support directly in July-December 2007):

	1	2	3	4	5	6	7	8	9	10	11	12
Awá	+	-	+	+	+	+	+	0	0	0	+	0
Kichwa	+	0	+	+	+	+	0	0	-	-	+	0
Woorani	+	-	+	-	-	0	-	-	-	-	+	-
TTZI	+	+	-	-	-	-	-	-	-	-	-	-
Cofán	+	+	+	+	+	+	+	0	0	0	+	0

1.3. Number of people with increased benefits derived from sustainable natural resource management and conservation as a result of USG assistance.

Indigenous population	September 2008		September 2009 (cumulative)	
	Direct	Indirect	Direct	Indirect
Awá: 4500				
Agriculture	15	75	15	75
Agroforestry	15	75	15	75
Forestry	15	75	15	75
Handicrafts	15 (women)	75	15 (women)	75
Woorani: 2600				
Handicrafts	30 (women)	150	45 (women)	225
Kichwa-lower Napo: 1000				
Tourism	25	125	25	125
Cofán: 1000				
Agriculture	20	100	20	100
Handicrafts	20 (women)	100	20 (women)	100
TOTAL	155	775	170	850

Awá population: 4500

We initiated support for agriculture and handicraft activities.

Kichwa population lower Napo river: 1000

We initiated support to hunting monitors and community guards.

Woorani population: 2600

We initiated support for handicraft activities.

Cofán population: 1000

We initiated support for handicraft and agriculture activities.

1.4 Number of non-governmental constituencies built or strengthened through USG-supported conflict mitigation activities

The two principal constituencies targeted by USG-supported conflict mitigation activities are the Awá (FCAE) and Cofán (FEINCE) organizations.

We have supported bi-national meetings of both indigenous groups to discuss conflict management strategies. FCAE will hire a conflict management specialist in early 2008.

1.5 Number of people trained in conflict management / resolution skills with USG assistance

FCAE and NAWE have asked specifically for training in conflict management and resolution skills, while leadership training programs (such as that conducted by WWF in December) include conflict management as one of several topics for Cofán and Awá participants. The conflict management expert within FCAE will be responsible for training.

1.6 Number of USG programs supporting a conflict and/or fragility early warning system and/or response mechanism

In both cases mentioned above, the Awá and Cofán organizations respectively, we have signed sub-grant agreements which include a number of activities that support conflict early warning system and response mechanisms, for example: the hiring of a conflict management expert within FCAE, the strengthening of GIS capacity within each organization, the development of territorial defense strategies, communications programs that reach the territories' neighbors, and strengthening alliances with local governments.

2. Indicators from conceptual model

From our project conceptual model and the causal chains (interventions ⇒ indirect issues ⇒ direct issues ⇒ conservation objectives ⇒ conservation goal) it outlines, we can identify in turn targets, monitoring activities, and indicators for conservation objectives, direct issues, and indirect issues as shown in the three figures below. The targets and indicators for detailed interventions are specified in section 3.3.

3. Interventions: Detailed Targets and Achievements

Objective	Indicator	USAID Standard Indicator (Y/N)	Initial Score (partial)	FY08		FY09 (End of Project)		Executors
				Target	Actual	Target	Actual	
0.1 Consolidate WCS administrative and technical team	Staff selection and hiring documents, terms of reference.	N	0	7	6	7	4	WCS
0.2 Sign "Convenios Marcos" with partner organizations	Document between WCS and partner setting out broad lines of coordination and collaboration.	N	0	4	7	6	1	WCS, FCAE, NAWE, FEINCE/FSC, Altrópico
0.3 Sign "Convenios específicos" with beneficiary organizations	Strategic evaluation of economic impacts of mega-projects in the MMCC is published	N	0	5	5	7	0	WCS, FCAE, NAWE, AMWAE, FEINCE, Altrópico
Component 1 : support consolidation of indigenous territories								

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Objective	Indicator	USAID Standard Indicator (Y/N)	Initial Score (partial)	FY08		FY09 (End of Project)		Executors
				Target	Actual	Target	Actual	
1.1 Evaluate current territorial status and conflicts	<i>Priorities for consolidating territorial status and responding to conflicts identified with beneficiaries</i>	Y (hectares)	0	5	3	5	2	WCS, beneficiaries, partners
1.2 Review and consolidate GIS information	<i>GIS data collected, quality confirmed, GIS database created</i>	N	0	1	0	1	0	WCS, beneficiaries, partners
1.3 Develop mechanisms for communication between leaders and communities	<i>Plan for visits to communities and meetings, assemblies</i>	N	(2)	4	3	4	0	WCS, FCAE, NAWAE, AMWAE, FEINCE, FCUNAE
1.4 Design territorial monitoring systems	<i>Plan for territorial monitoring systems</i>	Y (hectares)	(2)	4	1	4	0	WCS, FCAE, NAWAE, Kichwas, FEINCE/FSC, MAE
1.5 Physical demarcation of indigenous territories	<i>Physical boundary markers and signs</i>	Y (hectares)	(4)	4	0	4	0	WCS, FCAE, NAWAE, FEINCE, Kichwas
1.6 Application of stronger legal instruments for territorial consolidation	<i>Legal agreements</i>	Y (hectares)	(4)	4	0	4	0	WCS, FCAE, NAWAE, FEINCE, Altrópico, Tobar Donoso
1.7 Support legal staff	<i>Contracts, terms of reference</i>	Y (hectares)	(3)	3	2	3	0	WCS, FCAE, NAWAE, FEINCE, Altrópico
1.8 Support conflict management specialist	<i>Contracts, terms of reference</i>	Y (hectares)	0	1	0	1	0	Altrópico, FCAE, WCS
1.9 Support land use planning in municipalities and parishes with portions of indigenous territories	<i>Inter-institutional agreements, plans, recommendations</i>	Y (hectares)	0	2	0	4	0	Local governments, WCS, Altrópico, FCAE, Afro-Ecuadorian communities, NAWAE, Kichwas
Component 2 : Build institutional capacity for integrated management of indigenous territories								
2.1 Support indigenous organization specialists	<i>Contracts, terms of reference</i>	Y (hectares)	0	3	2	3	0	WCS, NAWAE, AMWAE, FEINCE
2.2 Support technical staff of indigenous organizations	<i>Contracts, terms of reference</i>	Y (hectares)	0	11	11	11	0	WCS, FCAE, NAWAE, FEINCE
2.3 Support coordinator of indigenous park guards	<i>Contract, terms of reference</i>	Y (hectares)	0	1	0	1	0	WCS, NAWAE, Kichwas, MAE
2.4 Training in legal issues	<i>Materials for training, reports of training events</i>	Y (hectares)	0					WCS, FCAE
2.5 Training in GIS and consolidation of staff and capacity within indigenous organizations	<i>Materials for training, reports of training events, terms of reference</i>	Y (hectares)	(1)					WCS, FCAE, NAWAE, FEINCE, MAE
2.6 Access to the updated and verified GIS / spatial information	<i>Presence of the GIS information center</i>	N	0	3	0	3	0	WCS, FCAE, NAWAE, FEINCE, MAE
2.7 Training for community guards	<i>Materials for training course, certification</i>	Y (hectares)	(1)	2	0	2	0	ICCA, NAWAE, Kichwas, WCS
2.8 Training in wildlife monitoring	<i>Course materials</i>	Y (hectares)	(1)	4	2	4	0	WCS, FCAE, NAWAE, FEINCE, Kichwas
2.9 Scholarships for technical staff of indigenous organizations	<i>Contracts with student, grades</i>	N	0	6	4	6	1	WCS, NAWAE, AMWAE
2.10 Design and disseminate training materials for management committee members	<i>Publications</i>	N	0	1	0	1	0	WCS, management committees Yasuní, Kaa-Iya, Madidi, Pacaya Samiria, Tamshiyacu Tahuayo

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Objective	Indicator	USAID Standard Indicator (Y/N)	Initial Score (partial)	FY08		FY09 (End of Project)		Executors
				Target	Actual	Target	Actual	
2.11 Integrate environmental education into bilingual education curricula	<i>Materials, teacher workplans</i>	N	0	3	0	3	0	WCS, FCAE, NAWE, FEINCE
2.12 Train in schoolyard ecology and other participatory methods	<i>Reports of training events, teacher workplans</i>	N	0	3	0	3	0	WCS, FCAE, NAWE, FEINCE
2.13 Support administrative staff of indigenous organizations	<i>Contracts, terms of reference</i>	Y (hectares)	(4)	8	8	8	0	WCS, FCAE, NAWE, AMWAE, FEINCE
2.14 Design and implementation of administrative manual	<i>Manual</i>	N	0	1	0	1	0	WCS, NAWE
2.15 Scholarships for administrative staff of indigenous organizations	<i>Contracts with student, grades</i>	N	0	2	0	2	1	WCS, NAWE, AMWAE
2.16 Audit of overall programs of indigenous organizations	<i>Audit reports</i>	N	(1)	5	0	5	0	WCS, FCAE, NAWE, AMWAE, FEINCE
2.17 Stipends for leaders of indigenous organizations	<i>Contracts, terms of reference</i>	Y (hectares)	(4)	3	3	3	0	WCS, FCAE, AMWAE, FEINCE
2.18 Support to periodic meetings, assemblies, travel of indigenous organizations representatives	<i>Reports on meetings, events</i>	Y (hectares)	(4)	4	3	4	0	WCS, FCAE, NAWE, AMWAE, FEINCE
2.19 Support communication programs	<i>Materials, communication plans</i>	Y (hectares)	(4)	4	0	4	0	WCS, FCAE, NAWE, AMWAE, FEINCE
2.20 Community consultations to validate Plan de Vida	<i>Reports on meetings, Plan de Vida validation</i>	Y (hectares)	(2)	2	0	2	0	WCS, FCAE, NAWE
Component 3: Ensure sustainability of conservation and development initiatives within indigenous territories								
3.1 Community mapping, zoning, management plans, planes de vida	<i>Maps, documents</i>	Y (hectares)	(3)	9	0	9	0	WCS, FCAE, NAWE, FEINCE
3.2 Communities monitor territories and resources	<i>Monitoring plans, date recorded</i>	Y (hectares)	(3)	11	4	11	0	WCS, FCAE, NAWE, FEINCE, Kichwas
3.3 Promote community tourism initiatives	<i>Reports of training events, agreements with partners</i>	Y (persons)	(2)	2	0	2	0	Rainforest Alliance, TNC, NAWE, Kichwas, WCS
3.4 Promote handicraft production and marketing	<i>Reports of training events, agreements with partners, sales records</i>	Y (persons)	(3)	3	3	3	0	WCS, Rainforest Alliance, FCAE, AMWAE, FEINCE
3.5 Support apiculture technician	<i>Contract, terms of reference, work plan</i>	Y (persons)	(2)	2	0	2	0	Altrópico, FCAE, Afro-Ecuadorian communities, WCS
3.6 Design a sustainable finance strategy for the Oso Reserve	<i>Plan</i>	Y (hectares)	0	1	0	1	0	WCS, FCAE, Altrópico
3.7 Promote negotiations for design of Yasuni and Waorani trust fund	<i>Design of trust fund</i>	Y (hectares)	0	1	0	1	0	Ecolex, FAN, NAWE, Repsol, Petrobras, WCS
3.8 Promote certification and marketing mechanisms	<i>Certification, sales records</i>	Y (persons)	0	7	0	7	0	Rainforest Alliance, TNC, NAWE, FCAE, Kichwas, WCS
3.9 Generate new proposals for integrated management of indigenous territories	<i>Proposals submitted, proposals funded</i>	N	0	5	2	10	2	WCS, FCAE, NAWE, AMWAE, FEINCE, Kichwas

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Objective	Indicator	USAID Standard Indicator (Y/N)	Initial Score (partial)	FY08		FY09 (End of Project)		Executors
				Target	Actual	Target	Actual	
3.10 Promote strategic public-private alliances with indigenous organizations	<i>Agreements signed, funds committed</i>	N	(2)	4	1	4	0	WCS, FCAE, NAWAE, AMWAE, FEINCE, Kichwas
3.11 Audits generate indirect cost rates for indigenous organizations	<i>Approved indirect cost rates</i>	N	0	2	0	4	0	WCS, FCAE, FEINCE, NAWAE, AMWAE
3.12 Evaluate potential for environmental services within indigenous territories	<i>Technical evaluation, recommendations</i>	Y (persons)	0	3	0	3	0	WCS, CI, WWF, TNC, FCAE, NAWAE, FEINCE, Kichwas
3.13 Promote negotiation and design of strategic social and environmental impact mitigation programs	<i>Agreements, plans</i>	Y (hectares) Y (persons)	0	2	0	3	0	WCS, TNC, Ecolex, NAWAE, FEINCE, Kichwas
Component 4: Assess impacts of infrastructure development and human displacement on indigenous territories								
4.1 Promote negotiation and design of strategic action plans	<i>Agreements, plans</i>	Y (hectares) Y (persons)	0	2	1	3	0	WCS, TNC, Ecolex, NAWAE, FEINCE, Kichwas, local governments, oil companies, universities
4.2 Evaluate road system in Awá territory	<i>Evaluation, recommendations</i>	Y (hectares)	0	1	0	1	0	WCS, FCAE, Altrópico
4.3 Evaluate impacts of logging, mining, colonization on Awá territory	<i>Evaluation, recommendations</i>	Y (hectares)	0	3	0	3	0	WCS, FCAE, Altrópico
4.4 Collaborate in discussion of Manta Manaus transport corridor	<i>Recommendations, alternative scenarios</i>	Y (hectares) Y (persons)	0	1	1	1	0	WCS, Ecolex, NAWAE, AMWAE, Kichwas, MAE
4.5 Collaborate in discussion of ITT	<i>Recommendations, alternative scenarios</i>	Y (hectares) Y (persons)	0	1	1	1	0	WCS, Ecolex, NAWAE, AMWAE, Kichwas, MAE
4.6 Support participation of indigenous representatives in Yasuni management committee	<i>Meeting reports</i>	Y (hectares)	(1)	3	3	3	3	WCS, NAWAE, AMWAE, Kichwas, MAE
4.7 International meetings of management committee representatives	<i>Meeting reports</i>	N	(1)	2	1	4	1	WCS, management committees Yasuni, Kaa-Iya, Madidi, Pacaya Samiria, Tamshiyacu Tahuayo
4.8 Collaborate with universities in training	<i>Agreements, reports of training activities</i>	N	(2)	2	4	4	1	WCS, universities
4.9 Promote cross-border networks of indigenous organizations	<i>Meeting reports, agendas for coordination</i>	Y (hectares)	(1)	2	2	2	1	WCS, FCAE, FEINCE, WWF, TNC, Altrópico, Ibis
4.10 Promote working group on human displacement	<i>Agreements, meeting notes</i>	N	0	1	0	1	0	WCS, FCAE, FEINCE, WWF, Altrópico, TNC
4.11 "Integrated Management of Indigenous Lands" working group	<i>Agreements, meeting notes</i>	N	0	1	0	1	0	WCS, USAID, Beneficiaries, Partners
4.12 Promote indigenous peoples' working group with Ecuador	<i>Agreements, meeting notes</i>	N	0	1	0	1	0	WCS, USAID, Beneficiaries, Partners, other donors

Objective	Indicator	USAID Standard Indicator (Y/N)	Initial Score (partial)	FY08		FY09 (End of Project)		Executors
				Target	Actual	Target	Actual	
4.13 Promote regional indigenous peoples' working group	<i>Agreements, meeting notes</i>	N	0	1	0	1	0	ABCI, WCS, USAID, Beneficiaries, Partners, other donors

4. Activity Status Table

OBJECTIVE / ACTIVITY NAME AND DESCRIPTION	Funding Level		Env. Com- pliance	Implementor	Implementation				Comments/ Narrative for Delayed or Canceled Activities (25 words or less)
	USAID (US\$)	Partner (US\$)			Original Completion Date (Mon/Year)	Estimated Completion Date (Mon/Year)	% complete	Status	
1. Support Consolidation of Indigenous Territories	\$971,568	\$408,482							
1.1 Evaluate current territorial status and conflicts			N	WCS, beneficiaries, partners	11/07		75	Periodic	
1.2 Review and consolidate GIS information			N	WCS, beneficiaries, partners	11/07		75	Periodic	
1.3 Develop mechanisms for communication between leaders and communities			N	WCS, FCAE, NAWE, AMWAE, FEINCE, FCUNAE	12/07		25	Periodic	
1.4 Design territorial monitoring systems			N	WCS, FCAE, NAWE, Kichwas, FEINCE/FSC, MAE	12/07		10	Periodic	
1.5 Physical demarcation of indigenous territories			??	WCS, FCAE, NAWE, FEINCE, Kichwas	9/09		0	Periodic	
1.6 Application of stronger legal instruments for territorial consolidation			N	WCS, FCAE, NAWE, FEINCE, Altrópico, Tobar Donoso	9/09		0	Periodic	
1.7 Support legal staff			N	WCS, FCAE, NAWE, FEINCE, Altrópico	9/09		20	Continuous	
1.8 Support conflict management specialist			N	Altrópico, FCAE, WCS	9/09		0	Continuous	
1.9 Support land use planning in municipalities and parishes with portions of indigenous territories			N	Local governments, WCS, Altrópico, FCAE, Afro-Ecuadorian communities, NAWE, Kichwas	9/09		0	Continuous	
2. Build Institutional Capacity for Integrated Management of Indigenous Territories	\$513,511	\$214,453							
2.1 Support indigenous organization specialists			N	WCS, NAWE, AMWAE, FEINCE	9/09		25	Continuous	
2.2 Support technical staff of indigenous organizations			N	WCS, FCAE, NAWE, FEINCE	9/09		20	Continuous	
2.3 Support coordinator of indigenous park guards			N	WCS, NAWE, Kichwas, MAE	9/09		0	Continuous	
2.4 Training in legal issues			N	WCS, FCAE	9/09		0	Periodic	

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	USAID (US\$)	Partner (US\$)			Original Completion Date (Mon/Year)	Estimated Completion Date (Mon/Year)	% complete	Status	
2.5 Training in GIS and consolidation of staff and capacity within indigenous organizations			N	WCS, FCAE, NAWE, FEINCE, MAE	9/09		0	Periodic	
2.6 Training for community guards			N	ICCA, NAWE, Kichwas, WCS	9/09		10	Periodic	
2.7 Training in wildlife monitoring			N	WCS, FCAE, NAWE, FEINCE, Kichwas	9/09		20	Periodic	
2.9 Scholarships for technical staff of indigenous organizations			N	WCS, NAWE, AMWAE	9/09		10	Continuous	
2.10 Design and disseminate training materials for management committee members			N	WCS, management committees Yasuni, Kaa-Iya, Madidi, Pacaya Samiria, Tamshiyacu Tahuayo	12/07		50	Periodic	
2.11 Integrate environmental education into bilingual education curricula			N	WCS, FCAE, NAWE, FEINCE	9/09		10	Continuous	
2.12 Train in schoolyard ecology and other participatory methods			N	WCS, FCAE, NAWE, FEINCE	9/09		0	Periodic	
2.13 Support administrative staff of indigenous organizations			N	WCS, FCAE, NAWE, AMWAE, FEINCE	9/09		20	Continuous	
2.14 Design and implementation of administrative manual			N	WCS, NAWE	12/09		10	Periodic	
2.15 Scholarships for administrative staff of indigenous organizations			N	WCS, NAWE, AMWAE	9/09		0	Continuous	
2.16 Audit of overall programs of indigenous organizations			N	WCS, FCAE, NAWE, AMWAE, FEINCE	9/09		0	Periodic	
2.17 Stipends for leaders of indigenous organizations			N	WCS, FCAE, AMWAE, FEINCE	9/09		20	Continuous	
2.18 Support to periodic meetings, assemblies, travel of indigenous organizations representatives			??	WCS, FCAE, NAWE, AMWAE, FEINCE	9/09		20	Continuous	

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2.19 Support communication programs			N	WCS, FCAE, NAWE, AMWAE, FEINCE	9/09		10	Continuous	
2.20 Community consultations to validate Plan de Vida			??	WCS, FCAE, NAWE	9/09		0	Periodic	
3. Ensure Sustainability of Conservation and Development Initiatives within Indigenous Territories	\$512,743	\$214,453							
3.1 Community mapping, zoning, management plans, planes de vida			??	WCS, FCAE, NAWE, FEINCE	9/09		0	Continuous	
3.2 Communities monitor territories and resources			??	WCS, FCAE, NAWE, FEINCE, Kichwas	9/09		10	Continuous	
3.3 Promote community tourism initiatives			??	Rainforest Alliance, TNC, NAWE, Kichwas, WCS	9/09		0	Continuous	
3.4 Promote handicraft production and marketing			??	WCS, Rainforest Alliance, FCAE, AMWAE, FEINCE	9/09		10	Continuous	
3.5 Support apiculture technician			??	Altrópico, FCAE, Afro-Ecuadorian communities, WCS	9/09		0	Continuous	
3.6 Design a sustainable finance strategy for the Oso Reserve			N	WCS, FCAE, Altrópico	9/09		0	Periodic	
3.7 Promote negotiations for design of Yasuni and Waorani trust fund			N	Ecolex, FAN, NAWE, Repsol, Petrobras, WCS	9/09		0	Periodic	
3.8 Promote certification and marketing mechanisms			??	Rainforest Alliance, TNC, NAWE, FCAE, Kichwas, WCS	9/09		0	Continuous	
3.9 Generate new proposals for integrated management of indigenous territories			N	WCS, FCAE, NAWE, AMWAE, FEINCE, Kichwas	9/09		10	Continuous	
3.10 Promote strategic public-private alliances with indigenous organizations			N	WCS, FCAE, NAWE, AMWAE, FEINCE, Kichwas	9/09		5	Continuous	
3.11 Audits generate			N	WCS, FCAE, FEINCE,	9/09		0	Periodic	

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	USAID (US\$)	Partner (US\$)			Original Completion Date (Mon/Year)	Estimated Completion Date (Mon/Year)	% complete	Status	
indirect cost rates for indigenous organizations				NAWE, AMWAE					
3.12 Evaluate potential for environmental services within indigenous territories			N	WCS, CI, WWF, TNC, FCAE, NAWE, FEINCE, Kichwas	9/09		0	Periodic	
3.13 Promote negotiation and design of strategic social and environmental impact mitigation programs			N	WCS, TNC, Ecolex, NAWE, FEINCE, Kichwas	9/09		0	Continuous	
4. Assess Impacts of Infrastructure Development and Human Displacement on Indigenous Territories	\$402,178	\$183,816							
4.1 Promote negotiation and design of strategic action plans			N	WCS, TNC, Ecolex, NAWE, FEINCE, Kichwas, local governments, oil companies, universities			10		
4.2 Evaluate road system in Awá territory			N	WCS, FCAE, Altrópico	9/08		0	Periodic	
4.3 Evaluate impacts of logging, mining, colonization on Awá territory			N	WCS, FCAE, Altrópico	9/09		0	Continuous	
4.4 Collaborate in discussion of Manta Manaus transport corridor			N	WCS, Ecolex, NAWE, AMWAE, Kichwas, MAE	9/09		25	Periodic	
4.5 Collaborate in discussion of ITT			N	WCS, Ecolex, NAWE, AMWAE, Kichwas, MAE	9/09		25	Periodic	
4.6 Support participation of indigenous representatives in Yasuní management committee			N	WCS, NAWE, AMWAE, Kichwas, MAE	9/09		25	Periodic	
4.7 International meetings of management committee representatives			N	WCS, management committees Yasuní, Kaa-Iya, Madidi, Pacaya Samiria, Tamshiyacu Tahuayo	9/09		50	Periodic	
4.8 Collaborate with universities in training			N	WCS, universities	9/09		25	Periodic	
4.9 Promote cross-border			N	WCS, FCAE, FEINCE,	9/09		25	Periodic	

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	USAID (US\$)	Partner (US\$)			Original Completion Date (Mon/Year)	Estimated Completion Date (Mon/Year)	% complete	Status	
networks of indigenous organizations				WWF, TNC, Altrópico, Ibis					
4.10 Promote working group on human displacement			N	WCS, FCAE, FEINCE, WWF, Altrópico, TNC	9/09		0	Periodic	
4.11 "Integrated Management of Indigenous Lands" working group			N	WCS, USAID, Beneficiaries, Partners	9/09		20	Periodic	
4.12 Promote indigenous peoples' working group with Ecuador			N	WCS, USAID, Beneficiaries, Partners, other donors	9/09		20	Periodic	
4.13 Promote regional indigenous peoples' working group			N	ABCI, WCS, USAID, Beneficiaries, Partners, other donors	9/09		0	Periodic	

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5. Indicators of administrative consolidation

We will continue to consolidate administrative capacity, building on the foundations that CAIMAN established with the indigenous organizations, and our evaluation at the beginning of the project period. We propose the following criteria:

Theme	Indicator	July-December 2007
Administrative infrastructure	Office space. Number of computers, availability of printer. Accounting software. Tax software. Communication systems: telephone, fax, email, internet, radio.	Evaluation of partners' administrative infrastructure completed.
Administrative staff	Full-time administrator. Full-time accountant. Secretary, administrative assistant. Indigenous persons in these positions.	Evaluation of partners' staff completed, support to staff of FCAE, AMWAE, FEINCE, NAWE.
Training	Number of indigenous persons in accounting, project administration. Formal training: secondary school, university, specialized. On-the-job training.	On-the-job training begun.
Administrative systems	Administrative manual for the organization. Procurement policy and procedures. Travel policy and procedures. Fixed asset inventory.	FCAE, AMWAE, and FEINCE have administrative manuals developed under CAIMAN, we are promoting their implementation. Ibis has supported the development of an administrative manual for NAWE, this is underway and we are collaborating.
Audits	Recovery of IVA from SRI. Integrated annual audit of all programs supervised by indigenous organization. Indirect cost rate determined by audit. USAID certification to receive USAID funds directly.	FCAE, AMWAE and FEINCE are fulfilling their legal obligations. NAWE has pending problems related to tax payments. We were beginning to address these issues but the change in leadership means that a new NAWE accountant will be hired.

6. Criteria for consolidating GIS capacity

We consider the ability to create maps, and to analyze and present spatial information, to be key technical capabilities for indigenous territorial management. Therefore, we propose to promote the consolidation of technical capacity within each of the indigenous organizations with whom we work. Only FCAE has some installed capacity, though currently their GIS technician is a Quito-based consultant who visits Ibarra once a week. The following table suggests criteria for consolidating GIS capacity.

Theme	Indicator	July-December 2007
GIS infrastructure	Number of ArcGIS 9.2 software licenses. Number of computers with the capacity to run GIS software, and availability of printer or plotter.	Licenses obtained. Evaluation of hardware and software capacity and needs within partner organizations.
GIS staff	Presence of GIS specialist (s) within each of the indigenous organizations.	To be hired early 2008.
Training in GIS	Number of Indigenous technicians trained in GIS, GPS and cartography.	To begin in early 2008.
Data collection system	Territorial monitoring system in place that generates spatial data on indigenous territory.	FSC operates system for Cofán territory. Data being collected with Kichwa communities.
Data analysis system	Spatial data collected in the field are stored in databases, analyzed appropriately, generating products for territorial management: --Territorial boundaries, zonification maps, management plans. --Maps of impacts of infrastructure development on Awa territories. --Maps of impacts of Manta Manaus transport corridor.	Evaluation and design of data analysis systems underway.
Data consolidation	Updated and verified GIS database with physical, administrative, and legal information.	Consolidation of spatial data is underway.
Remote sensing information	Updated vegetation cover for indigenous territory.	
Mechanism for sharing and exchanging the GIS information	Information center for the data depository, update and exchange.	
Access to GIS training	Availability of GIS materials (hardcopy, CD, web based).	