



FEED THE FUTURE

The U.S. Government's Global Hunger & Food Security Initiative

Ethiopia Pastoralist Areas Resilience Improvement and Market Expansion (PRIME) Project Impact Evaluation

Baseline Survey Report Volume 2: Survey Instruments

January 2015

This report was produced for review by the United States Agency for International Development. It was prepared by Lisa Smith, Tim Frankenberger, Ben Langworthy, Stephanie Martin, Tom Spangler, Suzanne Nelson, and Jeanne Downen for the Feed the Future FEEDBACK project. The authors' views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or of the United States Government.

List of Survey Instruments

Baseline Quantitative Household Survey Questionnaire	1
Baseline Community Questionnaire	58
Baseline Qualitative Key Informant Interviews	78
Baseline Qualitative Focus Group Interviews	79

Annex I: Baseline Household Questionnaire

 <b style="font-size: 1.2em;">USAID <small>FROM THE AMERICAN PEOPLE</small>	Pastoralist Resilience Improvement and Market Expansion (PRIME) Impact Evaluation Baseline Survey: Household Questionnaire
---	---

This questionnaire is meant to provide information about pastoralist households in PRIME IE areas

MODULE I: Household Identification Cover Sheet

Date of Survey | _____ |

Record ID	101: Region	102: Zone	103: Woreda	104: Kebele	104sp: Specify Kebele	105: EA Code	106: GPS UNIT (UTM reading)				107: Enumerator Code	108: Supervisor Code	
							106g_acc Accuracy	106g Elev	106g_lat Lat	106g_long Long			

Interview Status (through Module 21)	
1	Completed
2	HH present, no adult respondent available
3	HH absent
4	Postponed
5	Refused
6	Dwelling vacant
7	Dwelling destroyed
8	Dwelling not found
9	Other

Interview status comments:

Please have the survey supervisor provide a quality rating for the survey and certify that the data were collected in accordance with the survey design and guidance.

SQ1. The quality of this completed questionnaire (through Module 21) is:

Poor
 Average
 Excellent

SQ2 Did you back check this survey? 1. Yes 2. No

“I certify that this questionnaire has been collected in accordance with the survey design and PRIME survey guidance.”

Survey Supervisor Name (please print): _____

Date of Verification: _____

Table of Contents

Module 1. Household identification cover sheet	1
Module 1a. Informed consent signature page.....	3
Module 2. Household roster and demographics.....	5

SHOCKS

Module 3. Shocks	7
------------------------	---

ASSETS AND CONSUMPTION EXPENDITURES

Module 4. Housing characteristics.....	9
Module 5. Assets (excluding livestock).....	10
Module 6. Livestock assets.....	12
Module 7. Livestock commodities.....	14
Module 8. Fodder and water availability.....	15
Module 9. Household consumption expenditure.....	17
Module 9.1. Food consumption and expenditures over past 7 days.....	17
Module 9.2. Non-food expenditures over past 7 days.....	24
Module 9.3. Non-food expenditures over past 1 month.....	25
Module 9.4. Non-food expenditures over past 3 months.....	26
Module 9.5. Non-food expenditures over past 12 months.....	27

ACCESS TO MARKETS, SERVICES AND INFORMATION

Module 10. Access to markets.....	28
Module 11. Access to animal health services.....	31
Module 12. Access to financial services: credit.....	32
Module 13. Access to financial services: savings.....	34
Module 14. Access to information.....	35

RESILIENCE CAPACITIES

Module 15. Livelihood activities.....	36
Module 16. Migration patterns.....	37
Module 17. Food insecurity coping strategies.....	39
Module 18. Social and capacity-building support	40
Module 19. Aspirations and confidence to adapt.....	45

FOOD SECURITY AND NUTRITION

Module 20. Household dietary diversity.....	47
Module 21. Household hunger.....	49
Module 22. Child anthropometry and animal milk consumption.....	51

MODULE 2. Household Roster and Demographics

201	202	203	204	205	206	207	208	209	210	211	212	213
Household member name (Start with household head)	[name]'s Age in completed years Enter '00' for less than 1 year	[name]'s Sex 1 Male 2 Female -8 DK -9 Refused	[name]'s Relationship to household head Enter codes from list	[name]'s Disability Enter from list	For ages 5 years and above			For ages 10 years and above Identification of children 0-59 months old and caregiver			Identification of children 0-59 months old and caregiver	
					[name]'s Max education completed Enter from list	Can [name] read or write? 1 Yes 2 No -8 DK -9 Refused	Can [name] read or write English? 1 Yes 2 No -8 DK -9 Refused	[name]'s Marital status Enter from list	[name]'s Primary Occupation Enter from list	[name]'s Clan Enter from list	Child under 6? (Calculated automatically)	ID of caregiver of [name] (Enter)
01											01	<input type="checkbox"/> <input type="checkbox"/>
02											02	<input type="checkbox"/> <input type="checkbox"/>
03											03	<input type="checkbox"/> <input type="checkbox"/>
04											04	<input type="checkbox"/> <input type="checkbox"/>
05											05	<input type="checkbox"/> <input type="checkbox"/>
06											06	<input type="checkbox"/> <input type="checkbox"/>
07											07	<input type="checkbox"/> <input type="checkbox"/>
08											08	<input type="checkbox"/> <input type="checkbox"/>
09											09	<input type="checkbox"/> <input type="checkbox"/>
10											10	<input type="checkbox"/> <input type="checkbox"/>
11											11	<input type="checkbox"/> <input type="checkbox"/>
12											12	<input type="checkbox"/> <input type="checkbox"/>
13											13	<input type="checkbox"/> <input type="checkbox"/>
14											14	<input type="checkbox"/> <input type="checkbox"/>
15											15	<input type="checkbox"/> <input type="checkbox"/>

Household Roster and Demographics Code List

204 Relationship Type		206 Education		210 Occupation		211 Clan	
01	Head	01	Never Attended	01	Labour on own farm (<u>unpaid</u>)	01	Ogaden
02	Spouse	02	1 st Grade	02	Labour on other farms (<u>paid</u>)	02	Bartira
03	Son/daughter of head and	03	2 nd Grade	03	Livestock rearing (<u>unpaid</u>)	03	Jidwaaq
04	Son /daughter of head	04	3 rd Grade	04	Livestock rearing (<u>paid</u>)	04	Issa
05	Son/daughter of spouse	05	4 th Grade	05	Casual off-farm labour (<u>paid</u>)	05	Issaq
06	Mother/father of head/ spouse	06	5 th Grade	06	Household/domestic/housewife (<u>unpaid</u>)	06	Abasquul
07	Sister/brother of head/spouse	07	6 th Grade	07	Childcare/domestic work (<u>paid</u>)	07	Giri
08	Foster child	08	7 th Grade	08	Rope making	08	Gujii
09	God child	09	8 th Grade	09	Civil service/official	09	Gabra
10	Grand child	10	9 th Grade	10	School teacher	10	Shoa
11	Other relatives	11	10 th Grade	11	Trading/business	11	Geri
12	Non-relatives	12	11 th Grade	12	Chief/village elder	12	Borena
-8	DK	13	12 th Grade	13	Unable to work due to illness	13	Other (specify)
-9	Refused	14	Incomplete higher education	14	Retired/elderly	-8	DK
205 Disability		15	Completed higher education	15	Child/student	-9	Refused
00	None	16	Adult literacy program	16	Other (specify)		
01	Partial visual impairment	17	Other literacy program	-8	DK		
02	Total visual impairment	18	Some church/mosque	-9	Refused		
03	Partial hearing impairment	-8	DK				
04	Total hearing impairment	-9	Refused				
05	Mobility and orthopedic	209 Marital status					
06	Other (Specify)	01	Married				
-8	DK	02	Single				
-9	Refused	03	Divorced/separated				
		04	Widowed				
		-8	DK				
		-9	Refused				

MODULE 3. Shocks

	301	302	303	304	305	306
	During the past five years (since 2008) did your household experience any [shock]? 1 Yes 2 No -8 DK -9 Refused >>Next event	How many times did you experience [shock] in the last five years?	During the past one year did your household experience any [shock]? 1 Yes 2 No -8 DK -9 Refused >>Next event	How many times did you experience [shock] in the last year?	How severe was the impact on your income and food consumption? Enter code from list	To what extent were you and your household able to recover? Enter code from list
Climatic shocks						
a. Excessive rains						
b. Too little rain/drought						
c. Livestock/crop disease						
d. Very bad harvest						
e. Landslides/erosion						
Conflict shocks						
f. Theft of money						
g. Theft of crops						
h. Theft or destruction of assets						
i. Theft of livestock (raids)						
j. Destruction or damage of house due to violence						
k. Loss of land due to conflict						
l. Violence against household members						
Economic shocks						
m. Sharp food price increase						
n. Unavailability of agricultural or livestock inputs						
o. No demand for agricultural or livestock products						
p. Increase in price of agricultural or livestock inputs						
q. Drop in price of agricultural or livestock products						
r. Death of household member						

Shocks Code List

305	306
Severity of impact	Ability to recover
1. None	1. Did not recover
2. Slight impact	2. Recovered some, but worse off than before [event]
3. Moderate impact	3. Recovered to same level as before [event]
4. Strong impact	4. Recovered and better off
5. Worst ever happened	5. Not affected by [event]
-8 DK	-8 DK
-9 Refused	-9 Refused

Only ask if household experienced a stressful event in the last year (Q303=1 for at least one event).

307. How did you cope with the stressful events you experienced in the last year? Did you....	YES	NO		YES	NO
LIVESTOCK AND LAND HOLDINGS			COPING STRATEGIES TO GET MORE FOOD OR MONEY		
a. Send livestock in search of pasture			k. Take up new wage labor		
b. Sell livestock			l. Sell household items (e.g., radio, bed)		
c. Slaughter livestock			m. Sell productive assets (e.g., plough, water pump)		
d. Lease out land			n. Take out a loan from an NGO		
MIGRATION			o. Take out an loan from a bank		
e. Migrate (only some family members)			p. Take out a loan from a money lender		
f. Migrate (the whole family)			q. Take out a loan from friends or relatives		
g. Send children or an adult to stay with relatives			r. Send children to work for money (e.g., domestic service)		
COPING STRATEGIES TO REDUCE CURRENT			s. Receive money or food from family members		
h. Take children out of school			t. Receive food aid from the government		
i. Move to less expensive housing			u. Receive food aid from an NGO		
j. Reduce food consumption			v. Participate in food-for-work or cash-for-work		
			w. Use money from savings		
			x. Get money from a relative that migrated (remittances)		
			y. Other (specify)		

MODULE 4. Housing Characteristics

401	What type of dwelling do you have?	<ol style="list-style-type: none"> 1. House (brick, cement or adobe) 2. Thatched hut (tukal) 3. Tent (agal) 4. Other (specify)
402	What materials have been used to construct the roof of the dwelling?	<ol style="list-style-type: none"> 1. Corrugated iron 2. Cement 3. Thatch 4. Wood & mud 5. Reed/bamboo 6. Plastic sheeting 7. Cloth 8. Other
403	What materials have been used to construct the floor of the dwelling?	<ol style="list-style-type: none"> 1. Earth 2. Cow dung 3. Concrete/stone/cement 4. Tile/bricks 5. Other (specify)
404	How many rooms are in the dwelling?	____ (number of rooms)
405	Latrine type	<ol style="list-style-type: none"> 1. Has no toilet 2. Flush toilet , private 3. Flush toilet, shared 4. Pit, private 5. Pit, shared
406	What is the main source of drinking water for your household?	<ol style="list-style-type: none"> 1. Pond 2. Hand dug well 3. Shallow tube well 4. Deep tube well 5. Borehole 6. Berkad (underground tank) 7. River 8. Water trucked to settlements with permanent water source 9. Other (specify)
407	How long does it take you to fetch water for household use (round trip)?	____ Minutes

MODULE 5. Assets (Excluding Livestock)

Consumption Assets

	501	502	503	504	505
	<i>Number owned now</i> -8 DK -9 Refused	<i>Number owned a year ago</i> -8 DK -9 Refused	<i>Number owned two years ago</i> -8 DK -9 Refused	<i>Did you purchase or pay for any of these [ITEMS] in the last 12 months?</i> 1 Yes 2 No (Skip to next item) -8 DK -9 Refused	<i>How much did you pay for all these [ITEM] all together (total) in the last 12 months? (BIRR)</i> -8 DK -9 Refused
c1. Improved charcoal/wood stove					
c2. Kerosene stove					
c3. Sofa					
c4. Leather bed					
c5. Wooden bed					
c6. Metal bed					
c7. Telephone apparatus					
c8. Radio					
c9. Tape player					
c10. Television					
c11. Jewelry, gold					
c12. Jewelry, silver					
c13. Jewelry, wristwatches					
c14. Firearms					
c15. Modern Chair					
c16. Modern Table					
c17. Wheelbarrow					
c18. Bicycle					
c19. Cart (animal drawn)					
c20. Passenger car or truck					
c21. Generator					
c22. Solar lamp					
c23. Micro-energy (Solar, Hydro, etc.)					

Productive Assets

	501	502	503
	Number owned now -8 DK -9 Refused	Number owned a year ago -8 DK -9 Refused	Number owned two years ago -8 DK -9 Refused
p1. Plough yoke			
p2. Plough beam			
p3. Plough lever			
p4. Pair of plough blade			
p5. Leather tie for plough			
p6. Metal-Plough			
p7. Sickle			
p8. Pick axe			
p9. Axe			
p10. Pruning/Cutting shears			
p11. Hoe			
p12. Spade or shovel			
p13. Whip (leather)			
p14. Traditional beehive			
p15. Modern Beehive			
p16. Knapsack chemical sprayer			
p17. Mechanical water pump			
p18. Motorized water pump p(diesel)			
p19. Stone grain mill			
p20. Motorized grain mill (diesel)			
p21. Broad bed maker (oxen-pulled)			
p22. Small tractor			
p23. Hand-held motorized tiller			
P24. Agricultural land (hectares)			

MODULE 6. Livestock Assets

Type of Livestock	601	602	603	604		605	606	607	608	609	610	
	Total [livestock type] owned one year ago (opening stock) -8 DK -9 Refused	Total [livestock type] owned now (closing stock) (if q601=0 and q602=0 skip to next row) -8 DK -9 Refused	Total [livestock type] born in the last 12 months -8 DK -9 Refused	Total [livestock type] died in the last 12 months -8 DK -9 Refused	604s Slaughtered for meat -8 DK -9 Refused	604u Unplanned Death -8 DK -9 Refused	Total [livestock type] purchased in the last 12 months -8 DK -9 Refused If none, skip to q607	Primary place of [livestock type] purchase Enter from list	Total [livestock type] sold in the last 12 months -8 DK -9 Refused If none, skip to q610	Primary place of [livestock type] sale Enter from list	What influenced [livestock type] sale Enter from list	If you would sell an average one of the [livestock type] today, how much would you receive from the sale? (BIRR) -8 DK -9 Refused
a. Oxen												
b. Bulls												
c. Young bulls												
d. Exotic bulls												
e. Local cows												
f. Crossbred Cows												
g. Exotic Cows												
h. Local heifers												
i. Crossbred heifers												
j. Exotic heifers												
k. Local calves												
l. Crossbred calves												
m. Exotic calves												
n. Sheep												
o. Goats												

MODULE 6. Livestock Assets

	601	602	603	604		605	606	607	608	609	610
Type of Livestock	Total [livestock type] owned one year ago (opening stock) -8 DK -9 Refused	Total [livestock type] owned now (closing stock) (if q601=0 and q602=0 skip to next row) -8 DK -9 Refused	Total [livestock type] born in the last 12 months -8 DK -9 Refused	Total [livestock type] died in the last 12 months -8 DK -9 Refused		Total [livestock type] purchased in the last 12 months -8 DK -9 Refused If none, skip to q607	Primary place of [livestock type] purchase Enter from list	Total [livestock type] sold in the last 12 months -8 DK -9 Refused If none, skip to q610	Primary place of [livestock type] sale Enter from list	What influenced [livestock type] sale Enter from list	If you would sell an average one of the [livestock type] today, how much would you receive from the sale? (BIRR) -8 DK -9 Refused
p. Donkeys											
q. Horses											
r. Mules											
s. Camels											
t. Poultry											
u. Other											

Livestock Assets Code List

606 and 608		609	
Primary place of purchase or sale		What influenced the sale	
1.	This village	1.	Encouraged by development agents/extension agents
2.	Another village	2.	Encouraged by friends, neighbors or family members
3.	Local market town	3.	Noticed profitability by observing other adopters
4.	Woreda town	4.	Noticed that these fetched good price in market
5.A	Zonal town	5.	Encouraged/helped by NGO
6.	Regional town	6.	Other
7.	Abattoir	-8	DK
8.	Addis Ababa	-9	Refused
9.	Other (specify)		
-8	DK		
-9	Refused		

MODULE 7. Livestock Commodities

Ask these questions even if the household does not own livestock.

	701	702	703	704	705	706	707	708
Commodity	Local units of measure (From list following Module 9)	Total [commodity] produced in the last year -8 DK -9 Refused	Total [commodity] purchased in the last year -8 DK -9 Refused	Total [commodity] consumed/used for the household in the last year -8 DK -9 Refused	Total [commodity] sold in the last year -8 DK -9 Refused	Where did you sell [commodity]? 1=Local market 2=Regional market 3=Milk processing facility 4=Meat market 5=Wareda market -8=DK -9=Refused	Current stock of [commodity] -8 DK -9 Refused	If you would sell an average one of the [commodity] today, how much would you receive from the sale? (BIRR) -8 DK -9 Refused
a. Cattle Milk								
b. Cattle Meat								
c. Hides								
d. Sheep/Goats meat								
e. Sheep/Goat milk								
f. Sheep skin								
g. Camel meat								
h. Camel milk								
i. Camel hides								

MODULE 8. Fodder and Water Availability

Ask these questions only if the household owns livestock (check Module 6, q602).

801	What is the main source of fodder/pasture for the livestock owned?	<ol style="list-style-type: none"> 1. Communal pasture browse 2. Private pasture browse (on pasture you yourself own) 3. Green fodder 4. Crop residue 5. Improved feed 6. Hay 7. Bi-product -8 DK -9 Refused
802	How long in hours and minutes walking do you travel to get fodder/pasture for your livestock?	<p> _____ Hours _____ Minutes</p> <p>-8 DK</p> <p>-9 Refused</p>
803	Where do you get the fodder?	<ol style="list-style-type: none"> 1. Market 2. Own field (grown) 3. Neighbors 4. Livestock feed service 5. Community field 6. Other -8 DK -9 Refused
804	What is the fodder/feed availability compared to this time last year?	<ol style="list-style-type: none"> 1. less available than last year 2. about the same as last year 3. better than last year 4. not in the same location as last year -8 DK -9 Refused <p style="text-align: right;">} → (Skip to q806)</p>
805	Why is fodder is less available than last year?	<ol style="list-style-type: none"> 1. Prolonged drought 2. Pests 3. Unpalatable pasture 4. Overgrazed 5. Other -8 DK -9 Refused
806	What is the fodder/feed quality compared to this time last year?	<ol style="list-style-type: none"> 1. Low quality 2. Quality is the same 3. High quality -8 DK -9 Refused

MODULE 8. Fodder and Water Availability (continued)

807	Where do you get the water for your animals?	<ol style="list-style-type: none"> 1. River 2. Stream 3. Spring 4. Pond 5. Borehole well 6. Hand dug well 7. Delivered by water tanker 8. Other (specify) -8 DK -9 Refused
808	Water availability compared to this time last year	<ol style="list-style-type: none"> 1. less available than last year 2. about the same as last year 3. better than last year 4. not in the same location as last year -8 DK -9 Refused
809	Why is water less available than last year?	<ol style="list-style-type: none"> 1. Drought 2. Conflict 3. No money to buy 4. Other

(Skip to Module 9)

MODULE 9. Household Consumption Expenditure

Ask these questions about the consumption/expenditures of all household members. Ask whoever is most knowledgeable about the food the household members have eaten in the past week, as well as any non-food items that household members have bought. The same respondent should be asked questions in Modules E1-E5.

MODULE 9_EI. Food Consumption Over Past 7 Days

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
	E1.01	E1.02									
Cereals, grains and cereal products											
Maize flour	1.1										
Maize grain	1.2										
Green maize	1.3										
Rice	1.4										
Sorghum or millet	1.5										
Wheat	1.6										
Wheat flour	1.7										
Barley	1.8										
Teff	1.9										

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
Injera (teff, maize, wheat)	1.10										
Bread (wheat, maize)	1.11										
Biscuits, buns and scones	1.12										
Spaghetti, macaroni, pasta	1.13										
Sambosa/pie	1.14										
Other (specify)	1.15										
Roots and tubers											
Sweet potato	2.1										
Irish potato	2.2										
Kocho (enset)	2.3										
Other (specify)	2.4										
Nuts, pulses and seeds											
Chick peas	3.1										
Horse beans	3.2										
Vetch (guaya)	3.3										

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
Field peas	3.4										
Other (specify)	3.5										
Vegetables											
Onion	4.1										
Ethiopian kale	4.2										
Other green leafy vegetables	4.3										
Gathered wild green leaves	4.4										
Tomato	4.5										
Green pepper	4.6										
Other (specify)	4.7										
Meat, fish and eggs											
Beef	5.1										
Goat	5.2										
Mutton	5.3										
Chicken	5.4										

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
Camel	5.6										
Tinned fish	5.7										
Eggs	5.8										
Other (specify)	5.9										
Fruits											
Cactus fruit	6.1										
Strawberry	6.2										
Banana	6.3										
Wild fruit (masau, malambe, etc.)	6.4										
Other (specify)	6.5										
Milk and milk products											
Fresh milk	7.1										
Butter	7.2										
Yoghurt	7.3										
Cheese	7.4										

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
Butter milk	7.5										
Other (specify)	7.6										
Sugar, honey, fats, and oil											
Sugar	8.1										
Honey	8.2										
Cooking oil	8.3										
Margarine	8.4										
Other (specify)	8.5										
Beverages and stimulants											
Tea/coffee	9.1										
Soft drinks (Coca-cola, Fanta, Sprite, etc.)	9.2										
Fruit juice	9.3										
Traditional beer	9.4										
Locally brewed liquor	9.5										

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Over the past one week (7 days), did you or others in your household eat any [food]? INCLUDE FOOD BOTH EATEN COMMUNALLY IN THE HOUSEHOLD AND SEPARATELY BY INDIVIDUAL HOUSEHOLD MEMBERS, BOTH INSIDE AND OUTSIDE THE HOME	Item Code	1 Yes 2 No >>Next item -8 DK -9 Refused	How much [food] in total did your household eat in the past week?		How much [food] came from purchases?		How much did you spend on what [food] was eaten last week? If family ate part but not all of something they purchased, estimate only cost of what was consumed -8 DK -9 Refused	How much [food] came from own-production?		How much [food] came from gifts and other sources?	
			E1.03a Quantity	E1.03b Unit	E1.04a Quantity	E1.04b Unit		E1.05 (Birr)	E1.06a Quantity	E1.06b Unit	E1.07a Quantity
Kineto	9.6										
Spirits (whiskey, gin, cognac)	9.7										
Chat	9.8										
Other (specify)	9.9										
Spices & miscellaneous											
Salt/pepper	10.1										
Sweets, candies, chocolate	10.2										
Other (specify)	10.3										
Prepared foods from vendors											
Alcoholic beverages	11.1										
Other beverages (soft drinks, etc.)	11.2										
Meal eaten at restaurant	11.3										
Other (specify)	11.4										

Note: Quantities are often reported in local units of measure. Any unit listed must be able to be converted to a standardized unit.

This conversion will happen during data analysis. It should not be done in the field by the enumerator.

MODULE 9_EI. Food Consumption Over Past 7 Days (continued)

Units of measure code list

01	Kilogrammes	44	Mankorkoria
02	Quintal	45	Plastic Bag/Festal
03	Chinet	46	Zurba
04	Dawla	47	Akara
05	Kunna	48	Small Plastic Bag (Mika)
06	Medeb	49	Kerchat/Kemba
07	Kurbets	50	Bunch (Bananas)
08	Silicha	51	Melekia/Lik
09	Akmada	52	Guchiye
10	Esir	53	Bekole
11	Bobo	54	Enkib
12	Packets	55	Shekim
13	Bags	56	Number
14	Bundles	57	Gotera
15	Pieces	58	Lemba
16	Bars	59	Shirimeri
17	Boxes	60	Egir
18	Leaves	61	Wesla
19	Litres	62	Mesferia
20	Kil	63	Kurfo
21	Gan	64	Kolela
22	Ensira	-8	DK
23	Gurzigne	-9	Refused
24	Tassa		
25	Kubaya/Kelasa		
26	Birchiko		
27	Sini		
28	Gembo		
29	Bottles		
30	Birr		
40	Big Madaberia		
41	Small Madaberia		
42	Dirib		
43	Sahin/Lotery		

MODULE 9_E2. Non-Food Expenditures Over Past 7 Days

	Item code	Yes=1 No=2>>Next item	How much did you pay (how much did [item] cost) in total?
ONE WEEK RECALL	E2.01	E2.02	E2.03 (Birr)
Over the past <u>one week (7 days)</u> , did your household use or buy any [item]?			
Charcoal or other fuel for cooking	12.1		
Firewood	12.2		
Paraffin or kerosene	12.3		
Cigarettes, tobacco, suret, gaya	12.4		
Candles	12.5		
Matches	12.6		
Transport	12.7		

MODULE 9_E3. Non-Food Expenditures Over Past One Month

ONE MONTH RECALL	Item code	Yes=1 No=2>>Next item	How much did you pay (how much did [item] cost) in total?
	E3.01	E3.02	E3.03 (Birr)
Over the past <u>one month</u> , did your household use or buy any [item]?			
Milling fees for grains (not including cost of grain itself), grain			
Personal products (body soap, skin creams, shampoo, razor blades, toothbrush/paste, etc.)			
Soap for clothes	13.3		
Donation - to church, charity, beggar, etc.	13.4		
Petrol or diesel	13.5		
Motor vehicle service, repair, or parts	13.6		
Bicycle service, repair, or parts	13.7		
Repairs & maintenance to dwelling	13.8		
Repairs to household and personal items (radios, watches, etc., excluding battery purchases)	13.9		
Batteries	13.10		
Health expenditures related to illnesses and injuries	13.11		
Health expenditures for preventative care (visits, medications, etc.)	13.12		

MODULE 9_E4. Non-Food Expenditures Over Past Three Months

THREE MONTH RECALL	Item code	Yes=1 No=2>>Next item	How much did you pay (how much did [item] cost) in total?
Over the past three months, did your household use or buy any [item]?	E4.01	E4.02	E4.03 (Birr)
Shoes	14.2		
Bowls, plates, glassware	14.3		
Cooking utensils (cookpots, stirring spoons and whisks, etc.)	14.4		
Torch / flashlight	14.5		
Umbrella	14.6		
Paraffin/kerosene lamp	14.7		
Music or CD/DVD or video cassette	14.8		
Night's lodging in rest house or hotel (excluding school or health related)	14.9		

MODULE 9_E5. Non-Food Expenditures Over Past 12 Months

ONE YEAR (12 MONTH) RECALL	Item code	Yes=1 No=2>>Next item	How much did you pay (how much did [item] cost) in total?
Over the past one year (twelve months), did your household use or buy any [item]?	E5.01	E5.02	E5.03 (Birr)
Linen-towels, sheets, blankets	15.2		
Toys	15.3		
Mosquito net	15.4		
Construction materials (bricks, cement, wood)	15.5		
Bridewealth costs	15.6		
Marriage ceremony costs	15.7		
Funeral costs for household members	15.8		
Funeral costs for non-household members (relatives, neighbors/friends)	15.9		
Major health expenses (hospitalizations, traditional healer costs) (include travel, lodging and food expenses)	15.10		
Education expenses (fees, books, uniforms, etc).	15.11		
Rituals and traditional events	15.12		
Punitive compensation (property damage, injury, loss of life)	15.13		
Land or livestock taxes	15.14		

MODULE 10. Access to Markets

Ask these questions only if the household owns livestock (check Module 6, q609).

1001	Where do you normally sell your livestock and animal products? (Identify the most frequently used location for these sales)?	<ol style="list-style-type: none"> 1. This village 2. Another village 3. Local market town 4. Woreda town 5. Zonal town 6. Regional town 7. Abbatoir 8. Addis Ababa 9. Other (specify) -8 Don't Know -9 Refused
1002	Why do you sell at this location?	<ol style="list-style-type: none"> 1. Get best price at this market 2. Do not have access to transport to other markets 3. Poor road conditions to other markets 4. Not aware of prices at other markets 5. Other (specify) -8 DK -9 Refused
1003	Are there other markets where you would prefer to sell your livestock/animal products?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to q1005) -8 DK -9 Refused
1004	If yes, why do you not sell at these markets?	<ol style="list-style-type: none"> 1. Transport cost too high 2. Too long to reach the market 3. Unsure of prices in that market 4. No place or too costly to stay/keep animals at that place 5. Poor transport conditions 6. Security reasons 7. Other (specify) -8 DK -9 Refused
1005	Do you produce agricultural crops?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to q1010) -8 DK -9 Refused

MODULE 10. Access to Markets (continued)

1006	Where do you normally sell your agricultural crops? (Identify the most frequently used location for selling your main agricultural crop)?	<ol style="list-style-type: none"> 1. At farm (to neighbor or to itinerant merchant) 2. In village 3. Local market 4. Regional market 5. Other (specify) -8 DK -9 Refused
1007	Why do you sell at this location?	<ol style="list-style-type: none"> 1. Get best price at this market 2. Do not have access to transport to other markets 3. Poor road conditions to other markets 4. Not aware of prices at other markets 5. Other (specify) -8 DK -9 Refused
1008	Are there other markets where you would prefer to sell your agricultural crops (or your main agricultural crop)?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to q1010) -8 DK -9 Refused
1009	If so, why do you not sell at these markets?	<ol style="list-style-type: none"> 1. Transport cost too high 2. Too long to reach the market 3. Unsure of prices in that market 4. No place or too costly to stay/keep crops at that place 5. Poor transport conditions 6. Security reasons 7. Other (specify) -8 DK -9 Refused
1010	Do you purchase agricultural and livestock inputs?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Module 11) -8 DK -9 Refused
1011	Where do you normally purchase your main agricultural and livestock inputs? (Identify the most frequently used location for the most expensive inputs you purchase)?	<ol style="list-style-type: none"> 1. At farm (to neighbor or to itinerant merchant) 2. Village shop 3. Local market 4. Regional market 5. Shop in regional center 6. Security concerns 7. Other (specify) -8 Don't Know -9 Refused

MODULE 10. Access to Markets (continued)

1012	Why do you purchase inputs at this location?	<ol style="list-style-type: none"> 1. Get best price at this market 2. Do not have access to transport to other markets 3. Poor road conditions to other markets 4. Not aware of prices at other markets 5. Other (specify) -8 DK -9 Refused
1013	Are there other markets where you would prefer to purchase your agricultural and livestock inputs?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to next module) -8 DK -9 Refused
1014	If so, why do you not purchase at these markets?	<ol style="list-style-type: none"> 1. Transport cost too high 2. Too long to reach the market 3. Unsure of prices in that market 4. No place or too costly to stay/keep crops at that place 5. Poor transport conditions 6. Other (specify) -8 Don't Know -9 Refused

MODULE II. Access to Animal Health Services

1101	Which animal services are available for your livestock in this area? (Multiple responses possible)	<ol style="list-style-type: none"> 1. Vaccination, dipping inoculation 2. Treatment for diseases 3. Animal de-worming 4. Breeding services 5. Commercial feed supply 6. Veterinary store with vaccines 7. Veterinary store with de-worming supplies 8. Veterinary store with antibiotics 9. Veterinary store with salt licks/mineral supplements 10. Other (specify) -8 DK -9 Refused
1102	Was there a time in the last year when you needed any of these animal services for your livestock but were not able to get them?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to next module)
1103	If yes, why were you not able to get the animal services that you needed? (Multiple responses possible)	<ol style="list-style-type: none"> 1. No veterinary center 2. No staff in the center 3. Veterinary center was far away 4. Veterinary center was destroyed/burnt 5. Security problems 6. No transportation 7. No road/road condition poor 8. No veterinary store 9. Veterinary store did not have supplies needed 10. No money to pay for what I needed 11. Others (specify) -8 DK -9 Refused

MODULE 12. Access to Financial Services/Credit

1201	Have any household members taken out a loan in the last year (cash or in-kind)?	<ol style="list-style-type: none"> 1. Yes (Skip to q1203) 2. No -8 DK -9 Refused
1202	If no, why not?	<ol style="list-style-type: none"> 1. Didn't need 2. Couldn't find a loan that met my needs" (i.e., "is appropriate" in terms of size, terms, sharia-compliant, etc.); 3. Afraid I couldn't pay back 4. No loan providers in my area 5. Other (specify) -8 DK -9 Refused

MODULE 12. Access to Financial Services/Credit (continued)

If yes, list all the loans taken by household members.

1203	1204	1205	1206	1207	1208a	1208b	1209	1210
Loan Number	ID of household member taking the loan	Source of the loan 1. Money lender 2. Friend/Neighbor 3. Family member 4. Micro credit 5. Bank 6. NGO 7. Religious institution 8. Savings group 9. Input supplier 10. Local trader 11. Community based organization (CBO) 12. Other (specify) -8 DK -9 Refused	What was the total value of the loan? (Birr) -8 DK -9 Refused	Do you have to pay a monthly interest rate or service fee on the loan? 1=Yes 2=No >> Skip to q1209 -8 DK -9 Refused	What is the monthly interest rate on the loan? -8 DK -9 Refused	What is the service fee on the loan? -8 DK -9 Refused	What amount have you paid back to date? (Birr) -8 DK -9 Refused	Purpose of the loan 1. Feed family 2. Pay school fees 3. Pay medical fees 4. Production inputs (e.g., livestock, agricultural inputs) 5. Business capital 6. Pay veterinary fees 7. Other -8 DK -9 Refused
1								
2								
3								
4								
5								
6								
7								
8								
9								
10								

MODULE 13. Access to Financial Services/Savings

1301 Do any of your household members have cash saving?			1. Yes 2. No (Skip to next module) -8 DK -9 Refused
1302	1303	1304	1305
Saving Number	ID of household member Owning the Saving	Where is the savings held? 1. In cash at home 2. With MFI 3. With Bank 4. With Savings group 5. Other (specify) -8 DK -9 Refused	What is the primary purpose of the saving? 1. To use in emergencies 2. To buy livestock 3. For non-livestock business investment 4. Other (specify) -8 DK -9 Refused
1			
2			
3			
4			
5			
6			

MODULE 14. Access to Information

	1401 Did you receive any information on [topic] in the last year? 1 Yes 2 No >> Skip to next topic -8 DK -9 Refused	1402 What was your main source of information about [topic]? Enter from list
a. Long-term changes in weather patterns		
b. Rainfall prospects/ weather prospects for coming season		
c. Water available and prices in local boreholes, shallow wells, etc.		
d. Methods for animal health/husbandry		
e. Livestock disease threats or epidemics		
f. Current market prices for live animals in the area		
g. Market prices for animal products (milk, hides, skins etc.)		
h. Grazing conditions in nearby areas		
i. Conflict or other restrictions on access to grazing		
j. Business and investment opportunities		
k. Opportunities for borrowing money		
l. Market prices of the food that you buy		
m. Child nutrition and health information		

1402 Main Information sources	
1	Rural development agents
2	Clan/traditional leaders
3	Diksi or madrasa teachers
4	Formal school teachers
5	Neighbors or friends
6	Government officials
7	Family members
8	Newspaper
9	Radio / TV
10	Internet or SMS

MODULE 15. Livelihood Activities

	1501	1503	1504	1505
	<p>What were the sources of your household's food/income over the whole last 12 months?</p> <p>Read each source and check those indicated by respondent.</p>	<p>Rank these sources based on the proportion of food/income they provide for your household</p> <p>Rank from 1 (highest proportion of food/income) to the number in q1502.</p>	<p>Is this food/income source available in the dry season only, wet season only, or all year?</p> <p>1 Dry season only 2 Wet season only 3 Both -8 DK -9 Refused</p>	<p>Do you only rely on this source during times of stress?</p> <p>1 Yes 2 No -8 DK -9 Refused</p>
a. Farming/crop production and sales				
b. Livestock production and sales				
c. Wage labor (local)				
d. Salaried work				
e. Sale of wild/bush products (including charcoal)				
f. Other self-employment/own business				
g. Sale of other non-livestock assets/rental of land				
h. Remittances				
i. Gifts/inheritance				
j. Other (specify)				
k. Other (specify)				
l. Other (specify)				
	1502			
	Total number of sources (Calculated automatically)			

MODULE 16. Migration Patterns

1601	How long has your household been living in this location?	<ul style="list-style-type: none"> 1. 0-2 Years 2. >2 years and ≤5 years 3. >5 years and ≤10 years 4. Over 10 years -8 DK -9 Refused <p style="text-align: right;">} → Skip to q1603</p>
1602	If you have been here for two years or less, where were you before?	<ul style="list-style-type: none"> 1. Urban 2. Rural 3. Peri-urban 4. Abroad -8 DK -9 Refused
1603	Do you have current plans to move location of your household?	<ul style="list-style-type: none"> 1. Yes 2. No 3. Not sure -8 DK -9 Refused <p style="text-align: right;">} → Skip to q1606</p>
1604	If yes: Where are you moving to?	<ul style="list-style-type: none"> 1. Urban 2. Rural 3. Peri-urban 4. Abroad -8 DK -9 Refused
1605	Why (main reason)?	<ul style="list-style-type: none"> 1. Water/graze land/farm land; 2. Security reasons 3. Marriage 4. Death of a family member 5. Government resettlement 6. Other (specify) -8 DK -9 Refused
1606	Has anyone who was living in your household migrated in the past two years?	<ul style="list-style-type: none"> 1. Yes 2. No → Skip to next module -8 DK -9 Refused

MODULE 16. Migration Patterns (continued)

		1607	1608	1609	1610	1611
		Where did the person migrate to? 1. Urban 2. Rural 3. Peri-urban 4. Abroad -8 DK -9 Refused	Main reason for migration? 1. Education 2. Search for alternative source of income 3. Marriage 4. Conflict 5. Cultural outcast 6. Take livestock to pasture/water 7. Other (specify) -8 DK -9 Refused	How long ago did the person migrate? 1. 1-2 Months 2. 3-5 Months 3. 6-8 Months 4. 9-12 Months 5. Over a year -8 DK -9 Refused	Did the person ever send back money to your family? 1. Yes 2. No -8 DK -9 Refused	Did the person ever return? 1. Yes 2. No -8 DK -9 Refused
1	Person 1					
2	Person 2					
3	Person 3					
4	Person 4					
5	Person 5					

MODULE 17. Food Insecurity Coping Strategies

	1701
In the past 7 days, there have been times when you did not have enough food or money to buy food, how many days has your household had to:	Number of days out of the past seven Use 0 – 7 to answer number of days. -8 DK -9 Refused
a. Rely on less preferred and less expensive foods?	
b. Borrow food, or rely on help from a friend or relative?	
c. Purchase food on credit?	
d. Gather wild food, hunt, or harvest immature crops?	
e. Consume seed stock held for next season?	
f. Send household members to eat elsewhere?	
g. Limit portion size at mealtimes?	
h. Restrict consumption by adults in order for small children to eat?	
i. Feed working members of HH at the expense of non-working members?	
j. Reduce number of meals eaten in a day?	
k. Skip entire days without eating?	

MODULE 18. Social and Capacity-Building Support

FORMAL SOURCES OF SOCIAL SUPPORT		
1801	Has your household received any kind of support from the government, an NGO or religious organization during the last year?	1. Yes 2. No (Skip to q1804a) -8 DK -9 Refused
1802	Who provided the support? (Multiple response)	1. Government 2. NGOs 3. Religious organization 4. Other (specify) -8 DK -9 Refused
1803	What types of support were received? (Read list)	1. Food ration 2. Food-for-work/Cash-for-work 3. Housing materials 4. Installed water points 5. Install latrine 6. School for children 7. Cash transfer 8. Other (specify) -8 DK -9 Refused
INFORMAL SOURCES OF SOCIAL SUPPORT		
1804a	Has your household received any kind of support from relatives, neighbors or friends in the past 12 months?	1 Yes 2 No (Skip to q1805) -8 DK -9 Refused
1804b	What types of assistance has your household received from relatives, neighbors or friends in the past 12 months? (Read list)	1. Zakat 2. Remittances 3. Gifts/Quaadhan (donation of cash/animals to disaster stricken people) 4. Loans (cash, labor, seeds, animals) 5. Xoolo goony (restocking of poorer relatives) 6. Sadaqa 7. Other (specify) -8 DK -9 Refused

MODULE 18. Social and Capacity-Building Support (continued)

1805	If your household had a problem and needed <i>money or food</i> urgently, would you be able to get it from relatives living in this community?	1. Yes 2. No -8 DK -9 Refused
1806	If your household had a problem and needed money or food urgently, would you be able to get it from relatives living elsewhere?	1. Yes 2. No -8 DK -9 Refused
1807	If your household had a problem and needed money or food urgently, would you be able to get it from people in your community who are not your relatives?	1. Yes 2. No -8 DK -9 Refused
1808	If your household had a problem and needed money or food urgently, would you be able to get it from people living elsewhere who are not your relatives?	1. Yes 2. No -8 DK -9 Refused
1809	Compared to one year ago has your ability to get this type of assistance:	1. Increased 2. Stayed the same 3. Decreased -8 DK -9 Refused
1810	If someone in your household fell ill or was injured, and you needed <i>help with work</i> , would you be able to get it from people in your community or from relatives?	1. Yes 2. No -8 DK -9 Refused
1811	If your household had a problem and needed <i>help with work</i> , would you be able to get it from relatives living elsewhere?	1. Yes 2. No -8 DK -9 Refused
1812	If your household had a problem and needed help with work, would you be able to get it from people in your community who are not your relatives?	1. Yes 2. No -8 DK -9 Refused
1813	If your household had a problem and needed help with work, would you be able to get it from people living elsewhere who are not your relatives?	1. Yes 2. No -8 DK -9 Refused
1814	Compared to one year ago has the number of people you think you could ask for help with work:	1. Increased 2. Stayed the same 3. Decreased -8 DK -9 Refused

MODULE 18. Social and Capacity-Building Support (continued)

1815a	Has your household given assistance to relatives, neighbors or friends in the past 12 months?	1 Yes 2 No (Skip to q1816) -8 DK -9 Refused
1815b	What types of assistance has your household <i>given</i> to relatives, neighbors or friends in the past 12 months?	1. Zakat 2. Remittances 3. Gifts/Quaadhan (donation of cash/animals to disaster stricken people) 4. Loans (cash, labor, seeds, animals) 5. Xoolo goony (restocking of poorer relatives) 6. Sadaqa 7. Other (specify) -8 DK -9 Refused
1816	If a relative in this community had a problem and needed <i>money or food</i> urgently, would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused
1817	If a relative outside of this community had a problem and needed money or food urgently, would you be able give money or food?	1. Yes 2. No -8 DK -9 Refused
1818	If someone who is not your relative, but lives in this community had a problem and needed money or food urgently, would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused
1819	If someone who is not your relative and lives someplace else needed money or food urgently, would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused
1820	Compared to one year ago has your ability to give this type of assistance:	1. Increased 2. Stayed the same 3. Decreased -8 DK -9 Refused
1821	If a relative in this community had a problem and needed <i>help with work</i> , would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused

MODULE 18. Social and Capacity-Building Support (continued)

1822	If a relative outside of this community had a problem and needed help with work, would you be able give money or food?	1. Yes 2. No -8 DK -9 Refused
1823	If someone who is not your relative, but lives in this community had a problem and needed help with work, would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused
1824	If someone who is not your relative and lives someplace else needed help with work, would you be able to give money or food?	1. Yes 2. No -8 DK -9 Refused
1825	Compared to one year ago has your ability to give this type of assistance:	1. Increased 2. Stayed the same 3. Decreased -8 DK -9 Refused
CAPACITY-BUILDING SUPPORT		
1826	Have you or anyone in your household ever received any vocational (job) or skill training?	1. Yes 2. No (Skip to q1828) -8 DK -9 Refused
1827	Who provided the vocational skills training?	1. Government 2. NGO 3. Private sector -8 DK -9 Refused
1828	Have you or anyone in your household ever received any business development training?	1. Yes 2. No (Skip to q1830) -8 DK -9 Refused
1829	Who provided the business development training?	1. Government 2. NGO 3. Private sector -8 DK -9 Refused
1830	Have you or anyone in your household ever received any early warning training?	1. Yes 2. No (Skip to q1832) -8 DK -9 Refused

MODULE 18. Social and Capacity-Building Support (continued)

1831	Who provided the early warning training?	1. Government 2. NGO 3. Private sector -8 DK -9 Refused
1832	Have you or anyone in your household ever received any natural resource management training?	1. Yes 2. No (Skip to q1834) -8 DK -9 Refused
1833	Who provided the natural resource management training?	1. Government 2. NGO 3. Private sector -8 DK -9 Refused
1834	Have you or anyone in your household ever received seed packets/starter packets from the government or NGOs?	1. Yes 2. No (Skip to q1836) -8 DK -9 Refused
1835	Who did you receive them from?	1. Government 2. NGO -8 DK -9 Refused
1836	Have you or anyone in your household ever received adult education (literacy or numeracy or financial education)?	1. Yes 2. No (Skip to q1838) -8 DK -9 Refused
1837	Who did you receive the seed packets/starter packets from?	1. Government 2. NGO -8 DK -9 Refused
1838	Have you or anyone in your household ever received training in how to use your cell phone to get market information like prices?	1. Yes 2. No (Skip to next module) -8 DK -9 Refused
1839	Who did you receive training on how to use your cell phone to get market information like prices from?	1. Government 2. NGO -8 DK -9 Refused

MODULE 19. Aspirations and Confidence to Adapt

1901	Please tell me which one of these two views you most agree with.	1. “Each person is primarily responsible for his/her success or failure in life”. 2. “One’s success or failure in life is a matter of his/her destiny”. -8 DK -9 Refused
1902	Please tell me which one of these two views you most agree with.	1. “To be successful, above all one needs to work very hard”. 2. “To be successful, above all one needs to be lucky”. -8 DK -9 Refused
1903	Are you willing to move somewhere else to improve your life?	1. Yes 2. No -8 DK -9 Refused
1904	Do you agree that one should always follow the advice of the elders?	1. Yes 2. No -8 DK -9 Refused
1905	Do you communicate regularly with at least one person outside the village?	1. Yes 2. No -8 DK -9 Refused
1906	During the past week, have you engaged in any economic activities with members of other clans? For example, farming, trading, employment, borrowing or lending money.	1. Yes 2. No -8 DK -9 Refused
1907	How many times in the past month have you got together with people to have food or drinks, either in their home or in a public place?	____ -8 DK -9 Refused
1908	How many times in the past month have you attended a church/mosque or other religious service?	____ -8 DK -9 Refused
1909	In the last year, how many times have you stayed more than 2 days outside this Kebele?	____ -8 DK -9 Refused

MODULE 19. Aspirations and Confidence to Adapt (continued)

Below is a series of statements that you may agree or disagree with. Using the scales below indicate your agreement with each item.

		Strongly disagree	Disagree	Slightly disagree	Slightly agree	Agree	Strongly agree	DK	Re-fused
1910	I feel like what happens in my life is mostly determined by powerful peoples.	1	2	3	4	5	6	-8	-9
1911	My experience in my life has been that what is going to happen will happen.	1	2	3	4	5	6	-8	-9
1912	My life is chiefly controlled by other powerful people.	1	2	3	4	5	6	-8	-9
1913	It is not always wise for me to plan too far ahead because many things turn out to be a matter of good or bad fortune.	1	2	3	4	5	6	-8	-9
1914	I can mostly determine what will happen in my life.	1	2	3	4	5	6	-8	-9
1915	When I get what I want, It is usually because I worked hard for it.	1	2	3	4	5	6	-8	-9
1916	My life is determined by my own actions.	1	2	3	4	5	6	-8	-9
1917	Most people are basically honest.	1	2	3	4	5	6	-8	-9
1918	Most people can be trusted.	1	2	3	4	5	6	-8	-9
1919	I trust my neighbors to look after my house if I am away.	1	2	3	4	5	6	-8	-9

MODULE 20. Household Dietary Diversity

Ask these questions of whoever is most knowledgeable about the food consumption of household members.

Now I would like to ask you about the types of foods that you or anyone else in your household ate yesterday during the day and at night.

Please include all food eaten both at your home or away from home.

Read the list of foods. Choose “yes” if anyone in the household ate the food in question. Choose “no” if no one in the household ate the food.

2001	Any bread, rice, pasta, injera, biscuits, or other foods made from teff, barley, millet, sorghum, maize, rice, wheat?	1. Yes 2. No -8 DK -9 Refused
2002	Any foods made with potatoes, yams, sweet potatoes, irish potatoes, manioc, cassava, kocho, godere, anchote, amicho, boina and boye,or bula?	1. Yes 2. No -8 DK -9 Refused
2003	Any food made with vegetables such as onions, cabbage, green leafy vegetables, gathered wild green leaves, tomato, cucumber, pumpkin, mushroom, kale, leak, green pepper, beet root, garlic, or carrots?	1. Yes 2. No -8 DK -9 Refused
2004	Any food or fruit juices made from fruits such as mango, banana, oranges, pineapple, papaya, guava, avocado, wild fruit, or apple?	1. Yes 2. No -8 DK -9 Refused
2005	Any food made from beef, lamb, goat, wild game, chicken, or other birds, other meats?	1. Yes 2. No -8 DK -9 Refused
2006	Any eggs?	1. Yes 2. No -8 DK -9 Refused
2007	Any fresh fish, smoked fish, fish soup/sauce or dried fish or shellfish?	1. Yes 2. No -8 DK -9 Refused

MODULE 20. Household Dietary Diversity (continued)

2008	Any foods made from beans (white, brown, horse), peas, lentils, chick peas, rape seed, linseed, sesame, sunflower, vetch soybean flour or nuts (groundnuts, groundnut flour)?	1. Yes 2. No -8 DK -9 Refused
2009	Any cheese, yogurt, milk, powder milk, butter milk or other milk products?	1. Yes 2. No -8 DK -9 Refused
2010	Any foods made with oil, margarine, fat, or butter?	1. Yes 2. No -8 DK -9 Refused
2011	Any sugar, sugar cane, or honey?	1. Yes 2. No -8 DK -9 Refused
2012	Any other foods, such as condiments, traditional beer, beer, wine, coffee or tea?	1. Yes 2. No -8 DK -9 Refused

MODULE 21. Household Hunger

Ask these questions of whoever is most knowledgeable about the food consumption of household members.

2101	In the past four weeks, did worry that your household would not have enough food?	1. Yes 2. No (Skip to q2102) -8 DK -9 Refused
2101a	How often did you worry that your household would not have enough food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2102	In the past four weeks, were you or any household member not able to eat the kinds of foods you preferred because of a lack of resources?	1. Yes 2. No (Skip to q2103) -8 DK -9 Refused
2102a	How often were you or any household member not able to eat the kinds of foods you preferred because of a lack of resources?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2103	In the past four weeks, did you or any household member have to eat a limited variety of foods due to a lack of resources?	1. Yes 2. No (Skip to q2104) -8 DK -9 Refused
2103a	How often did you or any household member have to eat a limited variety of foods due to a lack of resources?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2104	In the past four weeks, did you or any household member have to eat some foods that you really did not want to eat because of a lack of resources to obtain other types of food?	1. Yes 2. No (Skip to q2105) -8 DK -9 Refused
2104a	How often did you or any household member have to eat some foods that you really did not want to eat because of a lack of resources to obtain other types of food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2105	In the past four weeks, did you or any household member have to eat a smaller meal than you felt you needed because there was not enough food?	1. Yes 2. No (Skip to q2106) -8 DK -9 Refused

MODULE 21. Household Hunger (continued)

2105a	How often did you or any household member have to eat a smaller meal than you felt you needed because there was not enough food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2106	In the past four weeks, did you or any other household member have to eat fewer meals in a day because there was not enough food?	1. Yes 2. No (Skip to q2107) -8 DK -9 Refused
2106a	How often did you or any other household member have to eat fewer meals in a day because there was not enough food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2107	In the past four weeks, was there ever no food to eat of any kind in your household because of lack of resources to get food?	1. Yes 2. No (Skip to q2108) -8 DK -9 Refused
2107a	How often was there ever no food to eat of any kind in your household because of lack of resources to get food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2108	In the past four weeks, did you or any household member go to sleep at night hungry because there was not enough food?	1. Yes 2. No (Skip to q2109) -8 DK -9 Refused
2108a	How often did you or any household member go to sleep at night hungry because there was not enough food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused
2109	In the past four weeks, did you or any household member go a whole day and night without eating anything because there was not enough food?	1. Yes 2. No (Skip to next module) -8 DK -9 Refused
2109a	How often did you or any household member go a whole day and night without eating anything because there was not enough food?	1 = Rarely (once or twice in the past four weeks) 2 = Sometimes (three to ten times in the past four weeks) 3 = Often (more than ten times in the past four weeks) -8 DK -9 Refused

MODULE 22. Child Anthropometry and Animal Milk Consumption

Ask these questions of the primary caregiver of each child aged 0–59 months in the household, as identified in Module 2. Check to see if EACH caregiver has given consent to be interviewed in Module 1a. If a caregiver has not yet given consent, return to Module 1a and gain caregiver consent before proceeding.

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

Fill in the information for q2201-q2203 for all of the children circled in q212 of Module 2.

			Child 1	Child 2	Child 3	Child 4	Child 5
2201	Record caregiver's ID code from Module 2						
2202	Record child's ID code from Module 2						
	Record child's first name						
2203	What is child's sex?	1 Male 2 Female -8 DK -9 Refused					
AGE OF CHILD							
	I would like to ask you some questions about [child's name].						
	In what month and year was [child's name] born?		□□ Day	□□ Day	□□ Day	□□ Day	□□ Day
	What is [his/her] birthday?						
2204			□□ Month	□□ Month	□□ Month	□□ Month	□□ Month
	If the respondent does not know the exact birthdate ask:						
			□□ □□ Year				
	Does [child's name] have a health/vaccination card with the birth date recorded?						

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

If the health/vaccination card is shown and the respondent confirms the information is correct, record the date of birth as documented on the card.							
			Child 1	Child 2	Child 3	Child 4	Child 5
2205	How old was [child's name] at [his/her] last birthday? Record age in completed years.						
		Years	Years	Years	Years	Years	
2206	How many months old is [child's name]? Record age in completed months.		Months	Months	Months	Months	Months
2207	Age verification Check q2204, q2205, and q2206 to verify consistency.						
	a) Is the year recorded in q2204 [year] consistent with the age in years recorded in q2205 [age]?	1 Yes 2 No					
	b) Are year and month of birth recorded in q2204 [year]/[month] consistent with age in months recorded in q2206 [age_months]?	1 Yes 2 No -8 DK -9 Refused					
	If the answer to a) or b) is “no”, resolve any inconsistencies. If the birthdate was recorded on a health card, this may be used as the correct data source.						

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

2208	Check q2206. Is the child under 60 months [age_months]?	1 Yes 2 No >> Next child or end module -8 DK -9 Refused >> Next child or end module					
WEIGHT OF CHILD			Child 1	Child 2	Child 3	Child 4	Child 5
2209	Does child have edema? (observe if swelling on the feet)	1 Yes 2 No -8 DK -9 Refused					
2210	Weight in kilograms:		Kg	Kg	Kg	Kg	Kg
	Weigh the child						
HEIGHT OF CHILD							
2211	Children under 24 months should be measured lying down; Children 24 months or older should be measured standing up.						
	Height in centimeters:						
	Measure the child						
			cm	cm	cm	cm	cm

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

ANIMAL MILK CONSUMPTION OF CHILD (for children ages 6 months and older in q2206)							
2212	Do you give [child's name] cow milk to drink?	1 Yes 2 No (Skip to q2216) -8 DK -9 Refused					
2213	Where did this milk come from? (Multiple responses possible)	1 Own animals 2 Relative's animals 3 Bought 4 Other -8 DK -9 Refused					
2214	How do you give your child cow milk? (Multiple responses possible)	1. pure milk to drink 2. with tea 3. with food 4. as yoghurt 5. Other -8 DK -9 Refused					
			Child 1	Child 2	Child 3	Child 4	Child 5
2215	How much cow milk did your child take in the last week? (cups) Show respondent cup and ask her to estimate the amount.	__ -8 DK -9 Refused					
2216	Do you give [child's name] goat milk to drink?	1 Yes 2 No (Skip to q2220) -8 DK -9 Refused					
2217	Where did this milk come from? (Multiple responses possible)	1 Own animals 2 Relative's animals 3 Bought 4 Other -8 DK -9 Refused					

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

2218	How do you give your child goat milk? (Multiple responses possible)	1. pure milk to drink 2. with tea 3. with food 4. as yoghurt 5. Other -8 DK -9 Refused					
2219	How much goat milk did your child take in the last week? (cups) Show respondent cup and ask her to estimate the amount.	__ -8 DK -9 Refused					
2220	Do you give [child's name] camel milk to drink?	1 Yes 2 No (next module) -8 DK -9 Refused					
2221	Where did this milk come from? (Multiple responses possible)	1 Own animals 2 Relative's animals 3 Bought 4 Other -8 DK -9 Refused					
2222	How do you give your child camel milk? (Multiple responses possible)	1. pure milk to drink 2. with tea 3. with food 4. as yoghurt 5. Other -8 DK -9 Refused					

MODULE 22. Child Anthropometry and Animal Milk Consumption (continued)

2223	How much camel milk did your child take in the last week? (cups) Show respondent cup and ask her to estimate the amount.	__ -8 DK -9 Refused					
------	--	----------------------------	--	--	--	--	--

**** THANK YOU ****

After the interview thank the respondent for giving you his/her time and for the co-operation in providing the information. Inform them that you may possibly be returning to collect more information or seek any necessary clarification on the information provided at later date. At this point invite the respondent to ask you any questions that he/she might have. Answer where you can. If you do not know the answer(s), tell the respondent that his/her questions will be forwarded to a relevant person who can respond.

Annex 2: Baseline Community Questionnaire

 USAID FROM THE AMERICAN PEOPLE	<p>Pastoralist Resilience Improvement and Market Expansion (PRIME) Impact Evaluation</p> <p>Baseline Survey: Community Questionnaire</p>
--	--

This questionnaire is meant to provide information about pastoralist households in PRIME IE areas

Table of Contents

Module 1. Community identification cover sheet	60
Module 1a. Informed consent signature page.....	61
Module 2. Community characteristics	63
Module 3. Community infrastructure and services.....	65
Module 4. Community organizations.....	73
Module 5. Government and NGO programs.....	74
Module 6. Shocks.....	75
Module 7. Land tenure.....	76
Module 8. Governance	77

MODULE I. Community Identification Cover Sheet

101: Region	102: Zone	103: Woreda	104: Kebele	105: Cluster	106: GPS UNIT (UTM reading)						107: Enumer Code	108: Super Code
					WP	ELEV	Easting			Northing		
109: Name of community			110: Date of survey <i>dd/mm/year</i>									
						2013						

At least three respondents must be included.

Names of respondents
1.
2.
3.
4.
5.
6.

MODULE 2. Community Characteristics

201	What is the total population of this community?	
202	In the last five years, has the population of this community stayed the same, increased or decreased?	1. Stayed the same 2. Increased 3. Decreased
203	What are the three largest ethnic groups in this community? (Specify)	6. _____ 7. _____ 8. _____
204	How far is this community from the nearest town? (km)	
205	How far is this community from the zonal capital? (km)	
206	For how many years has this community existed?	10. More than 20 years 11. Between 10 and 20 years 12. Less than 10 years
207	Does this community have two cropping seasons?	1. Yes 2. No
208	Does this community have communal grazing land?	1. Yes 2. No (Skip to Q211)
209	If yes, is there a group in the community that decides who can use this land and when they can use it?	1. Yes 2. No
210	In the last year, has there ever been a problem of too many animals on the communal grazing land?	1. Yes 2. No
211	Does this community have a communal water source for livestock?	1. Yes 2. No (Skip to Q214)
212	What is this source?	1. River 2. Stream 3. Pond
213	In the last year, has there ever been a time when there was not enough water for all the animals?	1. Yes 2. No
214	Do people in this community get their firewood from communal land?	1. Yes 2. No (Skip to Q217)

MODULE 2. Community Characteristics (continued)

215	If yes, is there a group in the community that decides who can gather the wood and how much?	1. Yes 2. No
216	In the last year, has there ever been a problem of not enough firewood on the communal land?	1. Yes 2. No
217	Is there a water user's group that manages the water used for irrigation in this community?	1. Yes 2. No

MODULE 3. Community Infrastructure and Services (continued)

WATER		
301	Does this community have access to piped water?	1. Yes 2. No (Skip to Q304)
302	If yes, is the water in public standpipes or piped into houses?	1. Public standpipe 2. Piped into houses
303	What share of the households in the community has access to piped water?	1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few
304	What are the main sources of drinking water in the dry season?	1. Tube wells or boreholes 2. Protected hand-dug wells 3. Protected springs 4. Rainwater collection 5. Ponds and rivers 6. Unprotected springs/wells 7. Truck/vendor 8. Other (specify) _____
305	What are the main sources of drinking water in the wet season?	1. Tube wells or boreholes 2. Protected hand-dug wells 3. Protected springs 4. Rainwater collection 5. Ponds and rivers 6. Unprotected springs/wells 7. Truck/vendor 8. Other (specify) _____
ELECTRICITY		
306	Do any of the households in the community have electricity?	1. Yes 2. No (Skip to Q309)
307	What share of households in the community has electricity?	1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few
308	What is the main source of electricity?	1. Public utility 2. Generator 3. Other (specify) _____

MODULE 3. Community Infrastructure and Services (continued)

TELEPHONE SERVICE		
309	Does this community have cell phone service?	1. Yes 2. No (Skip to Q311)
310	What share of households in this community has cell phones?	1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few
311	Does this community have public telephones?	1. Yes 2. No
312	How far is the nearest public telephone? (km)	
ROADS AND TRANSPORTATION		
313	What are the main routes used to reach this community? (multiple responses possible)	1. Paved road 2. Direct road 3. Mixed paved and dirt 4. Footpath 5. Trail 6. Other (specify) _____
314	Are there times of the year when people cannot travel because of poor road/trail conditions?	1. Yes 2. No
315	Is this community served by a public transport system?	1. Yes (Skip to Q317) 2. No
316	How far is the nearest community with public transportation? (km)	
317	What is the share of households in this community that uses public transportation?	1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few
HOUSING		
318	What share of households in the community has tin roofs?	1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few

MODULE 3. Community Infrastructure and Services (continued)

319	What share of households in the community has brick or cement block housing?	<ol style="list-style-type: none"> 1. Everyone 2. Most of the households 3. About half of the households 4. Less than half of the households 5. Very few
SCHOOLS		
320	Is there a primary school in this community?	<ol style="list-style-type: none"> 1. Yes (Skip to 322) 2. No
321	How far away is the nearest primary school? (kms)	
322	What share of eligible school-age children attend primary school?	<ol style="list-style-type: none"> 1. All 2. Most 3. About half 4. Less than half 5. Very few
323	Are there enough teachers for the primary school that children in this community attend?	<ol style="list-style-type: none"> 1. Yes 2. No
324	What is the physical condition of the primary school that the children in this community attend?	<ol style="list-style-type: none"> 1. Very good 2. Good 3. Poor 4. Very poor
325	Is there a secondary school in this community?	<ol style="list-style-type: none"> 1. Yes (Skip to Q327) 2. No
326	How far away is the nearest secondary school? (kms)	
327	What share of eligible school-age children attend secondary school?	<ol style="list-style-type: none"> 1. All 2. Most 3. About half 4. Less than half 5. Very few
328	Are there enough teachers for the secondary school that children in this community attend?	<ol style="list-style-type: none"> 1. Yes 2. No
329	What is the physical condition of the secondary school that the children in this community attend?	<ol style="list-style-type: none"> 1. Very good 2. Good 3. Poor 4. Very poor

MODULE 3. Community Infrastructure and Services (continued)

HEALTH SERVICES		
330	Is there a health center in this community?	1. Yes (skip to Q332) 2. No
331	How far is the nearest health center from this community? (km)	
332	What is the physical condition of the nearest health center to this community?	1. Very good 2. Good 3. Poor 4. Very poor
333	In the last year was there a time when people in the community needed health services but could not get them from the health center?	1. Yes 2. No (Skip to Q335)
334	If yes, why were they not able to get health services from the health center? (multiple responses possible)	1. No beds, health center was full 2. No staff in the health center 3. Health center was destroyed/burnt 4. Security problem 5. No transportation 6. No road or poor road condition 7. No drugs at the health center 8. No money for services 9. Quality of the health service is very poor 10. Other (specify) _____
VETERINARY AND VALUE-ADDED ANIMAL SERVICES		
335	Is there a facility for veterinary services in this community?	1. Yes 2. No
336	How far is the veterinary center from this community? (km)	
337	What is the physical condition of the nearest veterinary center to this community?	1. Very good 2. Good 3. Poor 4. Very poor
338	In the last year was there a time when people in the community needed veterinary services but could not get them from the veterinary center?	1. Yes 2. No (Skip to Q340)

MODULE 3. Community Infrastructure and Services (continued)

339	Is yes, why were they not able to get veterinary services from the veterinary center? (multiple responses possible)	<ol style="list-style-type: none"> 1. No staff in the veterinary center 2. Veterinary center too busy 3. Veterinary center was destroyed/burnt 4. Security problem 5. No transportation 6. No road or poor road condition 7. No equipment/drugs at the veterinary center 8. No money for services 9. Quality of the services is poor 10. Other (specify) _____
340	Which services are provided by the veterinary center? (multiple responses possible)	<ol style="list-style-type: none"> 1. Livestock vaccinations 2. Livestock antibiotics 3. De-worming 4. Dipping inoculation 5. Other treatment for diseases 6. Supplemental feeding (commercial feeding) 7. Others (specify) _____
341	How far is the nearest abattoir from this community? (km)	
342	How far is the nearest dairy processing facility from this community? (km)	
AGRICULTURAL EXTENSION SERVICES		
343	Are there agricultural extension services offered in this area?	<ol style="list-style-type: none"> 1. Yes 2. No
344	In the last year was there a time when people in the community needed agricultural extension services but could not get them?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Q346)
345	Is yes, why were they not able to get agricultural extension services? (multiple responses possible)	<ol style="list-style-type: none"> 1. Extension service center was closed 2. There was no extension worker 3. The extension service center was destroyed/burnt 4. Security problem 5. Extension workers were not cordial 6. The extension center was too far away 7. There was no transportation 8. No road or poor road condition 9. No money for services 10. Quality of the services is poor 11. Other (specify) _____
346	Which services are provided by the agricultural extension service? (multiple responses possible)	<ol style="list-style-type: none"> 1. Seed supply 2. Fertilizer supply 3. Training 4. Climate-adapted technologies (e.g., drought-tolerant seeds) 5. Others (specify) _____

MODULE 3. Community Infrastructure and Services (continued)

MARKETS		
347	How far away is the nearest livestock market from this community? (km)	
348	In the last year was there a time when people in this community needed to buy or sell livestock in the market but could not?	1. Yes 2. No (Skip to Q350)
349	Why were people not able to buy or sell livestock in the market? (multiple responses possible)	1. Market closed 2. No road or poor road condition 3. No transportation 4. Could not pay for transportation 5. Security problem 6. Other (specify) _____
350	Is there an emergency plan for livestock offtake if a drought hits?	1. Yes 2. No
351	How far away is the nearest market for selling agricultural products from this community? (km)	
352	In the last year was there a time when people in this community needed to sell agricultural products in the market but could not?	1. Yes 2. No (Skip to Q354)
353	Why were people not able to sell agricultural products in the market? (multiple responses possible)	1. Market closed 2. No road or poor road condition 3. No transportation 4. Could not pay for transportation 5. Security problem 6. Other (specify) _____
354	How far away is the nearest market for purchasing agricultural inputs from this community? (km)	
355	In the last year was there a time when people in this community needed to buy agricultural inputs in the market but could not?	1. Yes 2. No (Skip to Q357)
356	Why were people not able to buy agricultural inputs in the market? (multiple responses possible)	1. Market closed 2. No road or poor road condition 3. No transportation 4. Could not pay for transportation 5. Security problem 6. Other (specify) _____
SECURITY		
357	Does this community have a security or police force?	1. Yes 2. No (Skip to Q359)
358	Who provides the security/police force? (multiple responses possible)	1. Local government 2. National government 3. Community members 4. Other (specify) _____

MODULE 3. Community Infrastructure and Services (continued)

359	How long does it take for police to reach this community?	<ol style="list-style-type: none"> 1. Over one hour 2. About one hour 3. Half an hour 4. Minutes
CREDIT		
360	Are there institutions in this community where people can borrow money?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Q362)
361	Which institutions provide these services? (multiple responses possible)	<ol style="list-style-type: none"> 1. Banks 2. NGO 3. Community group 4. Friends/relatives 5. Shops/merchants 6. Money lender 7. Zakat 8. Other (specify) _____
OTHER PROGRAMS AND SERVICES		
362	Are there institutions in this community where people can receive adult education or training?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Q364)
363	If yes, who provides these services? (multiple responses possible)	<ol style="list-style-type: none"> 1. Government 2. NGOs 3. Religious organization 4. Other (specify) _____
364	Are there institutions in this community where people can receive food assistance?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Q366)
365	If yes, who provides these services? (multiple responses possible)	<ol style="list-style-type: none"> 1. Government 2. NGOs 3. Religious organization 4. PSNP 5. Other (specify) _____
366	Are there institutions in this community where people can receive housing materials and other non-food items?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to Q368)
367	If yes, who provides these services? (multiple responses possible)	<ol style="list-style-type: none"> 1. Government 2. NGOs 3. Religious organization 4. Other (specify) _____
368	Are there institutions in this community where people can receive assistance due to losses of livestock?	<ol style="list-style-type: none"> 1. Yes 2. No (Skip to next module)

MODULE 3. Community Infrastructure and Services (continued)

369	If yes, who provides these services? (multiple responses possible)	1. Government 2. NGOs 3. Religious organization 4. Gifts/Quaadhan (donation of cash/animals to disaster stricken people) 5. PSNP 6. Other (specify) _____
-----	---	--

MODULE 4. Community Organizations

	401	402	403
	Are any of the following groups active in this community? yes=1 no=2	Who participates in this group? 1= Men 2= Women 3= Both Enter code	Which age group participates in this group? 1=Youth 2=Adults 3=Older persons 4=Everyone Enter code
a. Water users' group			
b. Grazing land users' group			
c. Disaster planning group			
d. Credit or micro-finance group (VLSA, merry-go-round, SACCO, etc.)			
e. Savings groups			
f. Zakat			
g. Mutual help group (including burial societies)			
h. Trade or business associations			
i. Civic group (improving community)			
j. Charitable group (helping others)			
k. Religious group			
l. Political group			
m. Women's group			
n. Youth group			
o. Other (specify)			
p. Other (specify)			
q. Other (specify)			

MODULE 5. Government and NGO Programs

501	Are there any government programs in this community?	1. Yes 2. No (Skip to Q503)
502	If yes, what kinds of government programs are there? (List all programs)	1. Livestock 2. Agriculture 3. Water 4. Health 5. Disaster planning 6. Disaster response 7. Other (specify) _____ 8. Other (specify) _____ 9. Other (specify) _____
503	Are there any NGO programs in this community?	1. Yes 2. No (Skip to next module)
504	If yes, what kinds of NGO programs are there? (List all programs)	1. Livestock 2. Agriculture 3. Water 4. Health 5. Disaster planning 6. Disaster response 7. Other (specify) _____ 8. Other (specify) _____ 9. Other (specify) _____

MODULE 6. Shocks

	601	602	603	604	605
Over the past five years, has this community experienced any of the following shocks?	1=Yes 2=No >> Next item	Date (mo/year)	Date (mo/year)	Date (mo/year)	Date (mo/year)
Natural shocks					
a. Excessive rains					
b. Too little rain/drought					
c. Livestock/crop disease					
d. Very bad harvest					
e. Landslides/erosion					
Conflict shocks					
f. Theft of money					
g. Theft of crops					
h. Theft or destruction of assets					
i. Theft of livestock (raids)					
j. Destruction or damage of houses due to violence					
k. Loss of land due to conflict					
l. Violence against community members					
Economic shocks					
m. Sharp food price increases					
n. Unavailability of agricultural or livestock inputs					
o. No demand for agricultural or livestock products					
p. Increase in price of agricultural or livestock inputs					
q. Drop in price of agricultural or livestock products					

MODULE 7. Land Tenure

	Does this type of tenure system exist in your community? 1=yes 2=no
1. Customary – privately held	
2. Customary land – communally held	
3. Leasehold	
4. Freehold	
5. Public land	
6. Other (specify)	

MODULE 8. Governance

What types of community governance do you have in your community?	1. Traditional 2. Formal government representative 3. Both
Do you have a conflict resolution committee in your community?	1=yes 2=no

**** THANK YOU ****

After the interview thank the respondents for giving you their time and for the co-operation in providing the information. Inform them that you may possibly be returning to collect more information or seek any necessary clarification on the information provided at later date. At this point invite the respondents to ask you any questions that they might have. Answer where you can. If you do not know the answer(s), tell them that their questions will be forwarded to a relevant person who can respond.

Annex 3. Baseline and Endline Qualitative Key Informant Interviews

Pastoralist Resilience Improvement and Market Expansion (PRIME) Impact Evaluation (IE)

1. Participation in Government or NGO programs

- What Government or NGO programs are active here?
 - Describe activities
 - Do government and NGO or other programs coordinate activities?
 - Who benefits and how? (men, women)
 - Who does not participate/benefit? Why?
- How have these programs affected the community?
 - Positive changes
 - Negative changes
- Effects of external support on community sharing?
- Which programs are managed well? Which are not managed well? Why?
- Recommended changes to these programs? What is missing?
- Has the community used its links to:
 - Obtain government services? Which ones? For whom?
 - Advocate for change? On what issues? What was the result?
 - Gain access to formal safety nets (e.g., PSNP)?

2. Shocks, Risks, & Coping Strategies

- Types of coping strategies when income or agricultural/livestock production is not enough?
- Reliance on other households during income and food shortages?
 - What kind of support?
 - Any changes in this practice? How? Why?
- Household and community adaptations to reduce long-term shocks
- Role of the community in reducing the impact of shocks. Any changes in the last 5 years? What changes?
- Role of organizations in managing shocks
 - Government
 - NGO, community organizations
 - Any changes in the past 5 years? What changes?

Annex 4. Baseline Qualitative Focus Group Interviews

Pastoralist Resilience Improvement and Market Expansion (PRIME) Impact Evaluation (IE)

(Men and women are interviewed separately. After introductions, ask participants to develop a map of the community with geographical boundaries and key features of the village. The map will be the focal point for the interview.)

1. Shocks

A. Characteristics

1. type of shock; duration; how many people affected (*draw a timeline with participants of shocks and duration*)
2. ways in which it is affecting the community (whole community/ women/ men) (*show on map how shocks affected community*)

2. For Each Shock What is the Community Response (attitudes)

A. How is the community responding to the shock?

1. Did the community know about the shock in advance?
 - a. If yes, what actions did community leaders and members take together to reduce the impact of the shock on the community?
 - b. What actions were most effective in reducing the shock? (*rank effectiveness if multiple actions taken*)
 - c. If no actions were taken, why not?
2. Are people in the community supporting each other to recover? How? If not, why not?
3. Have the levels of trust that people in the community have in each other changed? How?
4. Do people feel that crime has increased or decreased? Describe any changes in how people feel about their physical safety in the community.

3. Behavior

A. What actions is the community taking to respond to the shock? (Show actions on map where appropriate)

1. What actions are people taking to cope?
2. Are people working together as community to cope with each shock? How?
3. What has the community learned from previous experience about how to respond to shocks?
4. What did people do differently this time in responding to a shock?
5. Are people within the community sharing resources?
 - a. Which resources are they sharing (money, food, labor, information, other)?
 - b. Who do people share with? (e.g., family, neighbors, most vulnerable, etc.).
 - c. Who gets priority when sharing resources? (ask participants to do a simple ranking of resources that are shared, and who gets priority)

- d. What are people doing to assist each other to be productive again (e.g., labor exchange, loaning inputs such as animal labor, passing on information)
- e. What are negative ways in which people are coping (theft, begging, etc.)?
6. How are shocks affecting the relationships within the community?
7. Are people breaking up into subgroups to manage shocks?
 - a. If yes, why? What are the groups?
 - b. How does this affect the community's ability to cope?
8. Is there new or renewed conflict due to shocks?
 - a. In the community? (*Describe using map*)
 - b. With other communities? (*Describe using map*)
 - c. If yes, how is the community dealing with this conflict?
 - d. What kinds of conflict resolution mechanisms are used, and who uses them?
9. Are communities or individuals in other locations assisting you to cope with shocks? Explain.
10. Do people in the community use their connections to people in authority to access support (formal safety nets, services)? How?

4. Participation

(Ask participants to draw a Venn diagram showing relative contribution of different community members. Draw lines to show who is giving help to which person/group, who is receiving help, and who is not receiving help.)

- A. Are community leaders effective at organizing support for all members of the community? Why or why not?
 1. Who else in the community is helping community members to deal with shocks?
 2. Is the community engaged in collective action to deal with shocks?
 - a. What kinds of collective action?
 - b. Is there collective action towards: (describe each; use map to illustrate)
 - i. Maintenance or repair of important community infrastructure (e.g., roads, markets, schools, water, health care facilities, etc.)?
 - ii. Management of common or critical natural resources?
 - iii. Deciding on community priorities through meetings open to all?
 - iv. Cooperative actions with other communities to reduce/respond to shocks that affect multiple communities?
 - v. Other activities?
 3. How is this collective action organized (e.g., through religious organizations, informal groups, NGOs, PRIME project, government, other)?
 - a. Ways in which each of these groups is helping
 4. Is participation in collective action influenced by gender? How?
 5. Which households are not participating in collective action? Why?
 6. Do you think your community is successfully recovering from the shocks it is exposed to? Why or why not?
 7. What do you think are the main differences between a community that successfully responds to a shock, and one that does not?