



# Quarterly Report Narrative

## April 01, 2016 to June 30, 2016

### I. Executive Summary

Organization: <u>American Red Cross</u> Mailing Address: <u>2025 E Street Northwest</u> <u>Washington, DC 20006</u>	Date: <u>July 22, 2016</u> Headquarters Contact: <u>Ian O'Donnell</u> Telephone: <u>202-303-4489</u> E-mail Address: <u><a href="mailto:ian.odonnell@redcross.org">ian.odonnell@redcross.org</a></u>
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<b>Program Title:</b>	<u>Prototyping an Urban Community Resilience Initiative in SE Asia</u>
<b>Cooperative Agreement No.:</b>	<u>AID-OFDA-A-15-00053</u>
<b>Country(ies)/Region(s):</b>	<u>Indonesia (with Vanuatu proposed as 2<sup>nd</sup> pilot country in pending mod)</u>
<b>Sector:</b>	<u>Risk Management Policy and Practice</u>
<b>Reporting Period:</b>	<u>April 01, 2016</u> <b>To:</b> <u>June 30, 2016</u>

#### Project Background:

The American Red Cross (ARC) and the Global Disaster Preparedness Center (GDPC) are working with Red Cross / Red Crescent (RC/RC) National Societies in Indonesia, Myanmar, the Philippines, Vanuatu and Viet Nam to design and test a set of tools and services to assist RC/RC National Societies and their partners to create effective city coalitions on community resilience, targeting climate smart resilience and coastal risk reduction in particular.

The project is being piloted initially in Indonesia, with Vanuatu proposed as 2nd pilot country in a pending modification, with roll-out to coastal cities in a wider set of countries planned for Phase 2 of the project.

The activities and expected results of the project focus on one primary sector and two associated subsectors:

- Sector: Risk Management Policy and Practice
  - Subsector 1: Building Community Awareness/Mobilization
    - Design and test a model for civic coalitions to guide and support community analysis, problem-solving, and decision-making on coastal resilience
  - Subsector 2: Public-Private Partnerships
    - Strengthen Public-Private Partnerships to enhance public engagement and investment in disaster preparedness and resilience in coastal cities

Building whenever possible on existing approaches, the project is focusing on developing bundles of relevant tools and resources and a model city-wide civic process to build local coalitions to guide and foster work at the community level and tap the wide range of resources available in cities. This coalition-building process draws on the convening power of the RC/RC, but also engages a wider set of interested stakeholders from local government, other civil society organizations, the private sector, academia, and community volunteers to identify and pursue locally developed solutions for resilience and climate change adaptation. The RC/RC is also facilitating coordination between these local coalitions and relevant national climate related focal points and regional institutions to ensure local solutions are considered in the national and international level planning processes.

After an initial set of regional inception activities, the project is prototyping the tools and approaches in selected coastal cities in the first pilot country and will focus in particular on addressing climate-related risks, such as changing precipitation patterns, increased coastal flooding and storm surge, and sea level rise. Based on lessons from the initial prototyping, the project will expand to include additional coastal cities in the pilot and regionally in Phase 2.

**Summary of Activities this Quarter:**

The selection of the pilot countries was finalized in consultation with USAID/OFDA project staff. Indonesia and Vanuatu were selected as the two first pilot countries. (Participation of Vanuatu as a pilot country is pending approval of a planned modification that has been discussed with USAID/OFDA staff overseeing the project). Invitations were also extended to the RC/RC National Societies of Myanmar, the Philippines, and Viet Nam to participate as regional peer learning countries in the project. The National Societies in Myanmar and Viet Nam have accepted so far.

The Technical Advisor to serve as the regional project coordinator was hired and started in early June, based with the American Red Cross office in Bangkok.

The regional project coordinator and the GDPC project manager based in Washington met in Jakarta in mid-June with the American Red Cross and IFRC country teams in preparation for initiation of the country level activities under the project with the Indonesia Red Cross (PMI), to identify potential national research and technical partners for the project, and identify opportunities to link the project with existing American Red Cross and IFRC resilience programs.

The regional project coordinator held a series of outreach meetings and communication with

- the regional office of the Global Network for Civil Society Organisations for Disaster Reduction (GNDR), and
- the Asian Disaster Preparedness Center (ADPC)
- The Asian Disaster Risk Reduction Network (ADRRN)

The GDPC project manager presented the project at a RC/RC workshop on City Risk Assessment (20<sup>th</sup> and 21<sup>st</sup> June) that was co-hosted by the American Red Cross and the Danish Red Cross and held follow-up discussion with the PMI Disaster Management Director, who also participated in the workshop.

The GDPC project manager met with representatives of

- the Partnering Initiative to discuss collaboration on the development of the toolkit and training course on coalition-building that is to be developed under the project.
- the Humanitarian Leadership Academy and the Rockefeller Foundation, through its Resilience Academy program in the United States, which may both offer opportunities to link the training courses to be developed under the project as part of a Resilience Leadership Academy
- Microsoft and UNICEF to explore potential collaboration in the development of an interactive social media tools for preparedness and resilience that could be used for community networking on coastal resilience in the pilot cities.

**SECTOR: Risk Management Policy and Practice**

**Objective:** Design and test a model for civic coalitions to guide and support community analysis, problem-solving, and decision-making on resilience.

**Update:** *As of the end of June 2016, project activities have focused on the initiation of preliminary project activities the pilot country(ies) for Phase 1 of the project. Reporting against indicators will need to wait until project activities start with city stakeholders in the first pilot country(ies).*

**Sub-sector: Building Community Awareness/Mobilization**

**Indicator #1:** Coverage rates for campaign activities in each city.

**Current Measure:** N/A; project still in initiation phase and campaign activities have not yet been conducted.

**Indicator #2:** Number of city-wide campaigns to promote community resilience.

**Current Measure:** N/A; project still in initiation phase and campaigns have not yet been conducted.

**Indicator #3:** Number of community resilience coalitions established or strengthened.

**Current Measure:** N/A; project still in initiation phase and coalitions have not yet been established.

**Indicator #4:** Number of people participating in training, by gender.

**Current Measure:** N/A; project still in initiation phase and trainings have not yet been conducted.

**Indicator #5:** Percentage of people trained who retain skills and knowledge after two months (and six months).

**Current Measure:** N/A; project still in initiation phase and trainings have not yet been conducted.

**Indicator #6:** Percentage of attendees at joint planning meetings who are from the local community.

**Current Measure:** N/A; project still in initiation phase and planning meetings have not yet been conducted.

**Indicator #7:** Number of stakeholders with increased capacity to adapt to the impacts of climate change as a result of USG assistance, disaggregated by a) Implementing risk-reducing practices/actions to improve resilience to climate change, b) Using climate information in their decision making, and c) With increased knowledge of climate change impacts and response options.

**Current Measure:** N/A; project still in initiation phase and local project activities have not yet been conducted.

**Sub-sector: Public-Private Partnerships**

**Indicator #1:** Number of private sector businesses engaged in response or DRR-related activities as a result of the program.

**Current Measure:** N/A; project still in initiation phase.

**Indicator #2:** Targeted total number of individuals indirectly benefiting from DRR-related activities as a result of the program.

**Current Measure:** N/A; project still in initiation phase.

**Indicator #3:** Percentage of businesses in the target business category in the program area incorporated into project activities.

**Current Measure:** N/A; project still in initiation phase.

**Indicator #4:** Percentage of government disaster contingency plans that incorporate private-sector aspects.

**Current Measure:** N/A; project still in initiation phase.

**Resources:**

**Budget for Objective 1 Q3-NTR: \$ 114,043.34      Expended This Period : \$ 65,170.34**

**Cumulative Expenditures to Date: \$ 95,316.04      Balance in this Quarter: \$ 48,873.00**

*Variance during this quarter was mainly attributed to i) anticipated salary costs not having been incurred due to longer than anticipated time necessary to hire Technical Advisor for regional project coordinator position, ii) delayed country selection and initiation of country level activities as including of additional pilot countries (pending approval of planned modification) were considered, and iii) postponement of initiation*

*of tender process for city risk assessment and coalition building toolkits and courses to ensure inclusion of pilot country representatives.*

## **II. Program Overview**

**Goal:** To enhance disaster preparedness and resilience in coastal cities in up to three countries in Southeast Asia – especially in response to the risks associated with climate change – through improved public engagement on resilience. The project will do this by helping the participating coastal cities to identify and address their top risks to community resilience and by strengthening household resilience city- and township-wide.

**Result 1:** Stakeholders in coastal cities have easy access to tools and guidance to help them form civic coalitions to guide and support community analysis, problem-solving, and decision-making on coastal resilience

**Result 2:** Public-Private Partnerships to advance civic engagement on coastal resilience are significantly strengthened in coastal cities.

**Needs:** Communities worldwide have greater opportunities for growth and connectedness than ever before; yet the number of people exposed to hazards, shocks, and stresses is rapidly increasing, especially in coastal cities, leading to increased fragility and vulnerability. At the same time, people living in cities are themselves agents of change and have significant resources, skills, and capacities to bring to resilience efforts in their own communities and across their cities and districts.

Numerous community organizations do significant humanitarian and development work in vulnerable neighborhoods, and this is helping to build social capital and local capacity. However they are not able to address the full range of needs related to resilience and are also frequently unable to relay unaddressed concerns – including many related to disaster risks – to corresponding municipal or national authorities, or to other potential partners.

To strengthen their resilience in the face of climate change, cities need an enhanced level of civic engagement that draws on the strength and growing diversity of urban communities and that can effectively complement formal governance structures by engaging a wider set of stakeholders to focus on resilience at the community and household level. Combined with the community-based, neighborhood approaches that the RC/RC and community organizations have long invested in, this type of civic engagement in urban settings will provide a bottom-up push to accelerate local risk-sensitive decision-making and influence development, governance and investment for effective community resilience outcomes. This civic engagement would also provide a platform for community organizations to connect with existing urban resilience campaigns such as the UN-ISDR Making Cities Resilient campaign and the Rockefeller Foundation’s 100 Resilient Cities challenge.

### **Targeted Population:**

- 250,000 people in Phase 1 in and 250,000 people in Phase 2. This includes 25,000 people/households in each of 20 cities/townships (10 in the first pilot country and 10 more total in up to 2 other countries in Southeast Asia) as part of city-wide campaigns to promote community safety and resilience.
- Creation of a community resilience coalition with a diverse range of partner organizations in each city.
- Creation of a set of support services to support the work of the coalitions.

## **Stakeholders:**

- Red Cross national societies in Indonesia, with Vanuatu proposed as 2nd pilot country in a pending modification: Lead implementing agencies
- Myanmar, the Philippines (to be confirmed), and Viet Nam: Regional peer learning countries
- Red Cross Red Crescent Climate Centre: Providing technical assistance in analysis and application of climate change scenarios and adaptation solutions
- The Nature Conservancy (pending approval of planned modification): Providing technical assistance in adaptation of existing model and data for ecosystems resilience in coastal areas
- ARC: Provide funding and technical assistance
- IFRC: Provide technical input as appropriate to complement and link with ongoing climate change adaptation and disaster risk reduction programs in Indonesia, Vanuatu, and other participating countries.

## **III. Program Performance**

### **Actual Accomplishments** (compared to stated objectives, indicators, and targets):

During the quarter, the Prototyping an Urban Community Resilience Initiative in SE Asia project has achieved the following:

- The GDPC finalized selection of the pilot countries in consultation with USAID/OFDA project staff. Indonesia and Vanuatu were selected as the two first pilot countries. (Participation of Vanuatu as a pilot country is pending approval of a planned modification that has been discussed with USAID/OFDA staff overseeing the project).
- Invitations were also extended to the RC/RC National Societies in Myanmar, the Philippines, and Viet Nam to participate as regional peer learning countries in the project. The National Societies in Myanmar and Viet Nam have accepted so far.
- The Technical Advisor to serve as the regional project coordinator was hired and started in early June, based with the American Red Cross office in Bangkok.
- The regional project coordinator and the GDPC project manager based in Washington met in Jakarta in mid-June with the American Red Cross and IFRC country teams in preparation for initiation of the country level activities under the project with the Indonesia Red Cross (PMI), to identify potential national research and technical partners for the project, and identify opportunities to link the project with existing American Red Cross and IFRC resilience programs.
- The regional project coordinator held a series of outreach meetings with
  - the regional office of the Global Network for Civil Society Organisations for Disaster Reduction (GNDR)
  - the Asian Disaster Preparedness Center (ADPC)
  - the Asian Disaster Risk Reduction Network (ADRRN)
- The GDPC project manager presented the project at a RC/RC workshop on City Risk Assessment that was co-hosted by the American Red Cross and the Danish Red Cross and held follow-up discussion with the PMI Disaster Management Director, who also participated in the workshop.
- The GDPC project manager met with representatives of
  - the Partnering Initiative ([thepartneringinitiative.org](http://thepartneringinitiative.org)), a London-based organization that may be a potential contractor for development of the toolkit and training course on coalition-building that is to be developed under the project.
  - the Rockefeller Foundation, whose Resilience Academy program in the United States ([www.rockefellerfoundation.org/blog/the-resilience-academy-a-model-for-implementing-](http://www.rockefellerfoundation.org/blog/the-resilience-academy-a-model-for-implementing-)

[resilience](#)) may offer an opportunity to link the training courses to be developed under the project as part of a Resilience Leadership Academy

- the Humanitarian Leadership Academy ([www.humanitarianleadershipacademy.org](http://www.humanitarianleadershipacademy.org)), hosted by Save the Children, which offers another similar opportunity to link the training courses to be developed under the project as part of a Resilience Leadership Academy
- Microsoft to explore potential collaboration in the development of an interactive social media chatbot for preparedness and resilience that could be used for community networking on coastal resilience in the pilot cities
- UNICEF as part of a workshop together with the IFRC to explore UNICEF's U-Report ([ureport.org](http://ureport.org)) and Rapid Pro ([community.rapidpro.io](http://community.rapidpro.io)) citizen reporting tools and the potential to integrate these tools into social media tools for community networking on coastal resilience. The Myanmar Red Cross also participated in this workshop.

**Staffing:** Additional staff support for the project was initiated in June through the hiring of a project coordinator within the American Red Cross regional delegation in Bangkok.

**Reasons why established targets were not met, if applicable, and how it will impact the objective.**

Progress at country level on the project has been slowed by the decision coming out of the kick-off workshop in March to potentially expand the number of pilot countries (pending approval of the planned modification) and by delays in the joint selection process with USAID/OFDA of the initial pilot countries. As a result the GDPC team also chose to slow initiation of the tool development portion of the project to ensure that country level partners have a role in the design and development of the toolkits and learning courses that are being developed within the project. To address the slower than anticipated start, the project team is working to rapidly proceed with the toolkit development components of the project in the next two quarters.

**Work Plan for Next Quarter:**

Activity	July				August				September			
	W1	W2	W3	W4	W1	W2	W3	W4	W1	W2	W3	W4
Submit modification for review and approval			X									
Visit Indonesia for meeting with NS and planning for inception workshop					X							
Visit Vanuatu and IFRC office in Fiji for further planning discussions							X	X				
Finalize sub-grant agreements with National Societies in 2 pilot countries				X	X	X	X	X				
Hold planning and inception workshop with National Society headquarters and participating branches (in Indonesia)							X					
Brief and confirm interest of suggested RC/RC branches and counterpart organizations in pilot cities (in Indonesia)								X	X			
Create toolkit and learning course on City-wide Risk Assessment		X	X	X	X	X	X	X	X	X	X	X
Create toolkit and learning course on Coalition-building and Local Campaigns				X	X	X	X	X	X	X	X	X
Initiate coalitions at city level (in Indonesia)										X	X	X
Conduct baseline surveys / polls										X	X	X
Review city risk assessments that have already been conducted (in Indonesia)					X	X	X	X	X	X		
Conduct urban profiling and collection of relevant secondary data (in Indonesia)										X	X	X

**Comments:** During the upcoming quarter, major activities will focus on selecting contractors through a tender process for the city risk assessment and coalition-building toolkit and training course components of the project and working with national and city level partners to identify local counterpart organizations and other stakeholders to participate in project training activities and to initiate local coalitions.

**Success Stories:**

The GDPC team has identified a number of organizations to include in the tender process to select contractors for the city risk assessment, coalition-building and local campaigns, and social entrepreneurship and community design toolkits and training courses being developed in the project. These include the Partnering Initiative, BBC Media Action, and Institute for Social and Environmental Transition (ISET).

In addition the GDPC has worked closely with The Nature Conservancy (TNC) to outline a role for TNC in the project. TNC will adapt portions of their existing coastal resilience data decision-support applications for use in the cities participating in the project.

**Programmatic Changes:**

As mentioned in sections above, the GDPC is currently finalizing a modification request to USAID/OFDA to expand the number of initial project countries from one to two, add a regional peer learning role for the three countries that also submitted concept notes for the project, add TNC as a new sub-grantee, and modify the staffing plan to better support the increased number of countries anticipated to participate in the first phase of the project (by consolidating American Red Cross and IFRC staff support).

Otherwise there were no unforeseen circumstances affecting overall program performance compared to original assumptions during this quarter. No changes have been made to activities as a result.

**Explanation of Any Unexpected Financial Deviations:**

The financial report currently shows an under-spend due to delays in the certain project activities as outlined above.

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