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USAID Climate Change Adaptation Project Preparation
Facility for Asia and the Pacific (USAID Adapt Asia-
Pacific)

WORK PLAN FY2015

October 1, 2014 – September 30, 2015



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DISCLAIMER

The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

Acronyms

ADB	Asian Development Bank
ADPC	Asian Disaster Preparedness Center
AF	Adaptation Fund
AIT	Asian Institute of Technology
APAN	Asia Pacific Adaptation Network
AusAID	Australian Agency for International Development
CANSA	Climate Action Network South Asia
CCA	Climate Change Adaptation
CI	Conservation International
COR	Contracting Officer's Representative
CPEIR	Climate Public Expenditure and Institutional Review
CROP	Council of Regional Organizations of the Pacific
DO	Development Objective
DOF	Department of Finance
GCF	Green Climate Fund
EU	European Union
EWC	East-West Center
GEF	Global Environmental Facility
GIZ	German International Cooperation Agency
IGES	Institute for Global Environmental Strategies
ICCTF	Indonesia Climate Change Trust Fund
ICLEI	International Council for Local Environmental Initiatives
IR	Intermediate Result
IRRI	International Rice Research Institute
IWMI	International Water Management Institute
JICA	Japanese International Cooperation Agency
KM	Knowledge Management
LDCF	Least Developed Countries Fund
LOC	Letter of Cooperation
MDBs	Multilateral Development Banks
MIS	Management Information System
MOEJ	Ministry of Environment of Japan
MONRE	Ministry of Natural Resources and Environment
MOU	Memorandum of Understanding
M&E	Monitoring and Evaluation
NABARD	National Bank of Agriculture and Rural Development
NIE	National Implementing Entity
OCCC	Office of Climate Change Coordination
ONEP	Office of Natural Environmental Policy and Planning
PIFS	Pacific Islands Forum Secretariat
PPCR	Pilot Project on Climate Resistance
PMP	Performance Management Plan
RDMA	Regional Development Mission for Asia
REO	Regional Environmental Office of RDMA
RMI	Republic of Marshall Islands
RTG	Royal Thai Government
RTSM	Regional Technical Support Mechanism
SEI	Stockholm Environment Institute
SIDA	Swedish International Development Cooperation Agency

SPREP	Secretariat of the Pacific Regional Environment Programme
TA	Technical Assistance
TICA	Thailand International Cooperation Agency
UNEP	United National Environment Program
UNFCCC	United Nations Framework Convention on Climate Change
USP	University of South Pacific
WRI	World Resources Institute

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Work Plan Summary

Background

USAID RDMA's objective in climate change adaptation is to assist countries to increase resilience to the negative impacts of climate change. USAID Adapt Asia-Pacific contributes to this overall objective by addressing capacity and information needs of eligible governments in the Asia and Pacific regions in accessing climate adaptation finance. The original premise of the USAID Adapt Asia-Pacific project was that national governments in the Asia and Pacific region had recognized the increasing impacts of climate change, but were facing a range of constraints in accessing the increasing quantity of external climate change adaptation funds available globally. Thus, the original aim of the project was to assist national governments to overcome these constraints and accelerate access to adaptation funds through targeted technical assistance and capacity building.

Early baseline investigation by the project during FY12 found that there was very little adaptation funding available from the specialized climate change funds (like the UNFCCC Adaptation Fund) for adaptation purposes and, in any case, direct access by national governments was the exception, rather than the rule. Until very recently, there was not one national institution in the Asia-Pacific region that had achieved accreditation for direct access to the Adaptation Fund. In addition, USAID Adapt Asia-Pacific's initial assessment determined that most of the external funding was either intermediated by or provided directly by multilateral development agencies or through bilateral aid programs. Therefore, to jumpstart project activities, the project initially took a two-pronged approach during early FY12. First, the project commenced the design of tailored capacity building activities with select regional governments and training institutions to enhance institutional capacity to access climate finance. Second, USAID Adapt Asia-Pacific took the decision to support national governments, in collaboration with multilateral and UN agencies, in the preparation of climate change adaptation projects. This strategic approach proved very successful during FY12 and FY13 with the design and implementation of a multi-faceted capacity building program and joint project preparation carried out and/or underway with UNDP, UNEP, ADB, and the World Bank in various countries in the Asia-Pacific region, including Lao PDR, Nepal, Tuvalu, Cambodia, Samoa, Solomon Islands and Vanuatu.

During FY13 and FY14, USAID Adapt Asia-Pacific actively engaged directly with national governments in addition to working with multilateral intermediaries. The project's engagements with multilateral intermediaries were more strategic focusing on creating entry points to work directly with national governments. The transition to working directly with national governments supports the project's longer-term goal of building sustainable capacity within national governments for CCA project preparation and access to relevant financing.

In FY14, USAID Adapt Asia-Pacific made progress toward achieving its principal objective of establishing an adaptation project preparation facility with a multifaceted approach, including:

- Dedicated institutional arrangement(s) to support governments prepare specific projects;
- Strengthened key national and project preparation and capacity building institutions in the region;
- Strategic partnerships with multilateral and regional institutions; and
- Strengthened regional knowledge management platform, APAN.

Program Approach Taking into consideration the recommendations of the mid-term evaluation to help inform the principal directions of the project during FY15 and building on project experience

and lessons learned from FY2-FY14 with respect to project preparation and capacity building USAID Adapt Asia-Pacific intends during FY15 to:

- Build on the extensive lessons learned and knowledge products developed over the past three years of intensive on-ground project preparation activities in Asia and Pacific with multilateral partners and national and sub-national governments, USAID Adapt Asia-Pacific will explore, with RDMA and our partners, additional mechanisms to apply a regionally-driven approach to its capacity building program that effectively uses its past and ongoing project preparation and country-specific capacity building activities, including tools, guides, and methods, for this purpose.
- Using its RDMA-approved selection criteria for engaging in project preparation activities, USAID Adapt Asia-Pacific will place greater emphasis and weight on selecting projects in cooperation with multilateral intermediaries that have greater opportunities for strengthening national or regional capacity to independently prepare projects and access adaptation financing
- Keep strengthening APAN's capacity to potentially function as one of USAID Adapt Asia-Pacific's sustainability pillars through increased production and dissemination of knowledge products related to project preparation and access to climate finance for APAN's web portal, expansion of the project's community of practice (CoP), and continued upgrading of the project's website to better engage with key stakeholders.
- Replace the year 4 annual forum with sub-regional training/capacity building events on special topics related to the project's mandate of project preparation and financing. Specifically, we envisage exploring deeper collaboration with APAN's sub-regional and thematic nodes, including SPREP, ICIMOD, and CANSA.
- Continue focusing regionally in USAID Adapt Asia-Pacific's capacity building program with respect to ECCA and the development and roll-out of the urban resilience training module under preparation with the East-West Center, while exploring the development and use of the tools and methodologies developed to date to better build the capacity of national governments to prepare adaptation projects to access climate finance.
- Take advantage of entry points identified from past successful collaboration with multilateral intermediaries to work directly with national government agencies to build institutional capacity to identify, prioritize, and prepare adaptation projects.
- Pursue collaboration with regional organizations in Asia and the Pacific, such as the Suva-based Pacific Island Forum Secretariat (PIFS), the Manila-based Cities Development Initiative for Asia (CDIA), and the Apia-based SPREP's Regional Technical Support Mechanism (RSTM) as potential vehicles for the longer-term sustainability of the project.

Summary of Tasks and Key Activities in FY15. The principal objective of USAID Adapt Asia-Pacific is to establish a fully functional and self-sustaining adaptation project preparation facility that will not only support preparation of specific projects, but also build the capacity of the region's governments and training/capacity building institutions to independently access climate adaptation financing. To do so, USAID Adapt Asia-Pacific will work closely with funding organizations, capacity building institutions, multilateral entities, and government agencies from countries across the region through focused activities in five key areas:

1. Regional knowledge sharing platform:
 - Strengthening knowledge platforms and networks

- Publishing knowledge products
 - Stock taking of support to APAN and way forward.
2. USAID Adapt Asia-Pacific annual forum
 - Supporting sub-regional events instead of an annual forum.
 3. Climate change adaptation project capacity building program
 - Program for capacity building through training courses
 - Direct technical support to government institutions
 - Developing standalone capacity building programs
 - Tools, guidelines and methodologies.
 4. Technical assistance in preparing funding proposals
 - Reducing vulnerability of Cambodian rural livelihoods
 - Assist NABARD in India to prepare Adaptation Fund projects
 - Urban adaptation project in India
 - Philippines, Valenzuela pre-feasibility study
 - Trial a screening tool in partnership with ADB
 - Developing a demonstration site on CCA, gender and community forestry in Nepal
 - Timor Leste building shoreline resilience to protect local communities
 - Implementation of Arafura and Timor Seas Strategic Action Program.
 5. Overarching program management and coordination for the four technical tasks

As cross-cutting themes, USAID Adapt Asia-Pacific will promote regional networking, gender mainstreaming and other social equity issues.

Implementation Team. AECOM serves as the prime contractor for USAID Adapt Asia-Pacific, providing overall technical, management, coordination and communications support in combination with subcontractors and resource partners. Further, IGES serves as the principal subcontractor implementing key tasks related to knowledge management and the annual forum. AECOM is engaging, as appropriate, small business subcontractors (e.g. dTS and Kaizen Company) and resource partners (APAN, USP, EWC, TERI, CANSA, Yale University, and AIT) into the implementation of key activities in project year four.

1.0 Background and Context

1.1. Contract Overview

Based in Bangkok, Thailand, the United States Agency for International Development (USAID) Regional Development Mission for Asia (RDMA) supports regional activities that address regional and transnational development and environment priorities, and provides value-added support to USAID bilateral missions. To support achievement of the RDMA's Development Objective of "Improved response to environmental challenges in Asia," RDMA awarded a technical support services contract to AECOM to implement the Climate Change Adaptation Project Preparation Facility for Asia and the Pacific, hereinafter referred to as the USAID Adapt Asia-Pacific project. USAID Adapt Asia-Pacific addresses capacity and information needs of eligible governments in the Asia and Pacific Islands regions in accessing climate change adaptation funds and accelerating investments in initiatives that increase resilience to the negative impacts of climate change.

During the first three years of the project's operation, USAID Adapt Asia-Pacific has made progress toward achievement of its principal objective to establish a fully functional and self-sustaining adaptation project preparation facility. The project supported preparation of specific projects, primarily through strengthening key national and project preparation institutions in the region, building strategic partnerships with multilateral and regional project preparation institutions, identifying and supporting a regional knowledge sharing platform to share project preparation knowledge products, and being recognized as a key USG project preparation facility by key stakeholders in the region. In FY15 and going forward, the project will build on this experience by focusing its efforts on building the capacity of the Asia-Pacific region's national governments, regional organizations, and regional training/capacity building institutions to independently improve access to climate adaptation funds. To establish a sustainable project preparation facility, USAID Adapt Asia-Pacific will continue working closely with funding organizations, government agencies and regional institutions from across the Asia-Pacific region in focused activities in five key areas: (1) sustainable regional knowledge sharing platform; (2) regional events to bring adaptation funds and project proponents together; (3) climate change adaptation project capacity building program; (4) technical assistance in preparing funding proposals; and (5) overarching program management and coordination for the afore-mentioned four technical tasks.

Geographic Scope

The following Asian countries are eligible to participate in USAID Adapt Asia-Pacific: Bangladesh, Cambodia, India, Indonesia, Laos, Maldives, Mongolia, Nepal, Philippines, Sri Lanka, Thailand, Timor-Leste, and Vietnam. Eligible nations in the Pacific include: Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu.

1.2. FY14 Results Overview

1.2.1. Key Results in FY14

Task 1: KNOWLEDGE SHARING PLATFORM

Under Task 1, USAID Adapt Asia-Pacific contributed to the broader climate change adaptation community by publishing knowledge products that disseminate current and ongoing thinking in climate change adaptation financing and by strengthening the Asia-Pacific Adaptation Network (APAN), Asia-Pacific's premier regional platform for knowledge sharing and management. The strengthened APAN regional knowledge sharing platform can serve as a potential pillar for the

sustainability of the USAID Adapt Asia-Pacific project, whose existing capabilities and networks can be leveraged for wider knowledge sharing. In FY14 key achievements of the project included:

- Further developing APAN's key organizational documents and strengthening APAN's core functions and its partnerships;
- Enhancing APAN's knowledge sharing capacity and outreach, which subsequently increased APAN's sustainability;
- Publishing and disseminating knowledge products in the area of adaptation finance generated from the Community of Practice, Annual Forum and activities conducted by Tasks 3 and 4, newsletter articles and briefing notes, some of which were closely integrated into APAN's web portal; and
- Uploading the Online Sourcebook for Integrating Gender into Climate Change Adaptation (CCA) Proposals to APAN's web portal.

Task 2: ANNUAL FORUM

Under Task 2, the project successfully organized the Third Annual Forum, in partnership with UNDP, on 15-16 September, in Siem Reap, Cambodia. The theme for the Forum was: "Strengthening Country System to Access and Manage Climate Change Adaptation Financing in Asia and the Pacific". The Forum brought together government officials from countries currently being assisted by USAID Adapt Asia-Pacific and UNDP with existing vertical funds and agencies that provide climate change adaptation financing, such as the Global Environment Facility's Least Developed Country Fund and Special Climate Change Fund, Adaptation Fund, as well as the Governments of Australia, Germany, Japan, Flanders and Canada. Around 100 participants attended the Forum comprising:

- Officials from 17 Asian governments, four Pacific Island Countries that are currently being assisted by USAID Adapt Asia-Pacific and UNDP;
- Six development partner organizations, including 100 Resilient Cities, ADB, GIZ, ICLEI, IFC, and PIFS;
- Representatives of vertical funds such as the Adaptation Fund and Green Climate Fund; and
- Numerous technical resource persons from USAID and UNDP.

Task 3: Climate Change Adaptation Capacity Building

In FY14, USAID Adapt Asia-Pacific built on established relationships, as well as forged new partnerships, in the implementation of its capacity building program. The project has continued to explore a variety of avenues to build the capacity of governments in Asia-Pacific to access climate change adaptation finance, including:

- Supporting 14 government officials through the project's government training support program – up from six (6) in FY13 and one (1) in FY12;
- Conducting the third workshop of the Economics of Climate Change Adaptation (ECCA) training program with participation from 11 countries, and developing the initial draft of the ECCA toolkit;
- Providing advisory support to Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) to move forward on three key programs: the Community-based Adaptation Project, the Ecosystem-based Impact Assessment Project, and the Regional Cooperation in Trans-boundary Adaptation to Climate Change Project;
- Facilitating the signing of Letters of Cooperation between the National Research Council of Thailand (NRCT) and the US Forestry Service (USFS), as well as between NRCT and the US National Center for Atmospheric Research (NCAR);
- Preparing the P-CBA "Program Design Document" based on review of CBA activities to date in the Pacific;
- Collaborating with GIZ, UNDP, SPREP, University of the South Pacific (USP) in Suva, Fiji, and others through the hiring of a Regional P-CBA Coordinator to oversee seven in-country trainings and case studies; and

- Developing draft Urban Climate Change Adaptation and Resilience (UCCAR) training materials with East-West Center, to be launched in 2015.

Task 4: Technical Assistance in Preparing Funding Proposals

Consistent with the overall strategy for USAID Adapt Asia-Pacific, the project is gradually investing more time and human resources in working directly with governments (for example, in Thailand, Cambodia, India, Indonesia, Philippines, and Samoa). At the same time, the project has maintained good relations with key partners like UNDP and ADB, while building up strong connections with the Cities Development Initiative for Asia (CDIA) and ICLEI. Different entry points have been identified for working directly with governments including:

- Identifying specific champions and responsive agencies through USAID Adapt Asia-Pacific collaboration with multilateral agencies like UNDP;
- Requests for assistance with accreditation as a national implementing entity for the Adaptation Fund (and potentially the Green Climate Fund) as in the Philippines;
- In the case of India, preparing projects for the Adaptation Fund and developing a set of tools to assist in identifying and appraising good projects;
- Assisting with climate public expenditure and institutional reviews (and their equivalent in the Pacific Islands); and
- Identifying potential entry points through invitation of key government officials to the annual USAID Adapt Asia-Pacific Forum.

During FY14, the project commenced a new partnership with ADB to assist in screening its investment projects and ensure that adaptation is included in all projects vulnerable to climate change.

Task 5: Overarching Program Management and Coordination

USAID Adapt Asia-Pacific continued its effective working relationships with USAID bilateral missions and international development partners, building synergies with other donors and U.S. Government programs and avoiding duplication of activities at the country and regional levels. In coordination with USAID RDMA, USAID Adapt Asia-Pacific made in-person briefings during year three to USAID missions in India, Indonesia, the Philippines, and Timor Leste and U.S. Embassies in Suva, Fiji, and Apia, Samoa, where excellent working relationships have been nurtured over the life of the project. In addition, USAID Adapt Asia-Pacific was particularly successful during FY14 in partnering with:

- UNDP and the World Bank on project preparation and capacity building initiatives in target countries, yielding preparation of five climate change adaptation projects in Timor Leste, Vanuatu, Samoa (2), and the Solomon Islands that leveraged more than US\$47 million in climate adaptation financing in FY14;
- UNDP on the Economics of Climate Change Adaptation (ECCA) regional capacity building program and for the 3rd Annual Forum on “Strengthening Country Systems to Access and Manage Climate Financing”; and
- UNDP, GIZ, USP, PIFS, SPC, and SPREP in the Pacific to implement a Pacific-Cost-Benefit Analysis (P-CBA) program to strengthen government officials’ capacity to carry out cost-benefit analysis of projects to enhance the effectiveness of climate investment.

1.2.2. FY14 PMP Results

USAID Adapt-Asia-Pacific achieved or exceeded the targets set out under the contract's PMP for FY14, as follows.

Table 1: FY2014 ANNUAL PERFORMANCE TARGETS AND RESULTS
Program Element 4.8.2. Clean Productive Environment

PMP Indicators	FY 2014 ANNUAL Targets	FY 2014 RESULTS
IR 1.1 Person hours of training completed in climate change supported by USG assistance/Number of people trained in climate change	5,000/200	4,812/177
IR 1.2 Number of institutions with improved capacity to address climate change issues as a result of USG assistance	8	8
IR 2.1 Number of climate change adaptation projects with facilitated access to finance	6	7
IR 2.2 Number of facilitated projects with a specific gender component	2	3
IR 2.3 Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance	100,000	319,607
IR 2.4 Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance	\$50 Million	\$146,989,443
IR 2.5 Number of climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance	2	2
IR 2.6 Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	\$250,000	\$336,351
IR 3.1 Number of regional environmental platforms created or strengthened	1	1

1.3. Work Plan Overview

The USAID Adapt Asia-Pacific FY15 Work Plan consists of five main sections and two attachments: (1) Background and Context; (2) Implementation Approach; (3) Work Plan by Task; (4) Program Activities Timeline Table; and (5) Performance Management Plan Indicators and Targets for FY15. Attachment A provides a summary of potential activities by target countries. Attachment B (Activity Summary Sheets) provides detailed information about priority activities in narrative sections 3.3 and 3.4 relating to Tasks 3 and 4.

1.4. FY 15 Work Plan Implementation Approach

Strategic Approach

As USAID Adapt Asia-Pacific enters its fourth year, the project's strategic approach for FY15 will focus on consolidating and building on its extensive experience and lessons learned with respect to project preparation, accessing climate adaptation financing, and improving institutional capacity of national and sub-national governments in order to plan for sustaining these services when the project's contract period ends.

As described in the preceding Work Plan Summary section, USAID Adapt Asia-Pacific's approach is evolving to build long-term national capacity to prepare bankable climate change adaptation projects and to increase access to climate adaptation financing. During FY15, the project intends to employ cooperation with multilateral intermediaries more strategically where it would help to identify opportunities to work directly with national governments. This implies working with multilateral intermediaries on a more selective basis, in defining the terms of cooperation, to ensure national government officials are involved to a greater extent as part of the project preparation team. It means redoubling our efforts to ensure USAID Adapt Asia-Pacific staff regularly meet and keep government counterparts and USAID missions fully briefed as to the status of an activity. The thrust during FY15 of this project component will strive to balance opportunities to work directly with national governments with the selection of projects in cooperation with multilateral intermediaries that hold greater possibilities for strengthening national or regional capacity to independently prepare projects and access adaptation financing. This continuing evolution in approach has already led to successful USAID Adapt Asia-Pacific capacity building initiatives during FY13 and FY14 in Indonesia, the Philippines, Thailand, India, Samoa, Cambodia, and Nepal. These successful initiatives are helping national government institutions access climate finance and/or better manage climate resources they already have for the preparation of bankable climate adaptation projects. The project will continue to build on these successful ongoing activities in FY15.

Further, during FY15, USAID Adapt Asia-Pacific will take advantage of the extensive lessons learned and knowledge products developed over the past three years of intensive on-ground project preparation activities in Asia and Pacific with multilateral partners and national and sub-national governments. The project will pursue a regionally-driven approach to its capacity building program that effectively uses its past and ongoing project preparation and country-specific capacity building activities, including tools, guides, and methods, for this purpose. During FY15, USAID Adapt Asia-Pacific will continue to plan for graduating the ECCA, which is underway with envisaged UNDP funding, and P-CBA capacity building programs to the UN University (UNU) and the University of the South Pacific (USP), respectively.

USAID Adapt Asia-Pacific will take a fresh look at its engagement with APAN with the aim that services provided and the working relationship engendered ensure that APAN can play its envisaged role as a key sustainability pillar of USAID Adapt Asia-Pacific. USAID Adapt Asia-Pacific will explore during the year further cooperation with APAN's sub-regional and thematic nodes, including SPREP, ICIMOD, and CANSA, with which the project has already established a working relationship.

Finally, USAID Adapt Asia-Pacific, with USAID RDMA's anticipated full support, will continue to nurture its collaborative partnerships with the Manila-based Cities Development Initiative for Asia (CDIA) and the Pacific Island Forum Secretariat in Suva, Fiji, and will actively pursue partnership arrangements with the recently-launched, ADB-supported Regional Technical Support Mechanism (RTSM), which has been set up in the Secretariat of the Pacific Regional Environment Programme (SPREP) in Apia, Samoa. USAID Adapt Asia-Pacific envisages these three institutions as the most appropriate existing regional vehicles to potentially sustain its project preparation facility mandate.

Twining Partnerships

One element to achieving USAID Adapt Asia-Pacific's long-term objective of sustainability is leveraging the capabilities and good will of counterpart agencies through peer-to-peer exchanges and learning. From the onset, USAID Adapt Asia-Pacific has given careful consideration to opportunities that will promote twinning between counterpart country agencies to share knowledge and information to tackle tasks common to both agencies.

Linkages could take the form of information exchange, shared learning, sustained twinning partnerships, and joint creation of toolkits and other knowledge products. During FY13, USAID

Adapt Asia-Pacific successfully initiated a twinning activity between Senegal's Centre de Suivi Ecologique (CSE), the first national organization to achieve accreditation with the UNFCCC's Adaptation Fund (AF), and the Philippines Department of Finance (DoF) to assist DoF with its own AF application process. During FY14, USAID Adapt Asia-Pacific facilitated a twinning partnership between the National Research Council of Thailand (NRCT), the U.S. Forest Service, and three of Thailand's neighbors to develop a regional climate change vulnerability and adaptation assessment methodology. This assessment tool will ultimately guide central and local government decision-makers in allocating resources for CCA within the region and provide a template for similar action elsewhere in Thailand and Southeast Asia.

Demand-Driven Programming

The success of USAID Adapt Asia-Pacific depends on meaningful buy-in from target country USAID missions and government agencies, and close collaborative engagement with relevant regional and government institutions. To ensure effective collaboration with these stakeholders, beginning at the inception phase of program implementation, USAID Adapt Asia-Pacific has reached out to key development partners, bilateral missions and government institutions to gauge their interest in collaborating on climate change adaptation project preparation and capacity development in participating countries. To support ongoing collaboration, USAID Adapt Asia-Pacific is establishing collaborative mechanisms such as decision-maker working groups, Letters of Cooperation (LoCs), interactive information-sharing tools, and Communities of Practice (CoP) to increase national government interest in the program's proposed activities. USAID Adapt Asia-Pacific will continue to reach out to participating countries to provide technical assistance in developing bankable climate change adaptation projects in order to effectively and efficiently access adaptation finance.

Strategic Partnership with Development Partners

As an initial strategic approach, partnerships with multilateral organizations and development partner agencies have provided an initial "quick start" to the comprehensive development of the USAID Adapt Asia-Pacific project preparation facility. From the outset of the project, USAID Adapt Asia-Pacific has reached out to priority funding agencies and regional development partners (UNDP, ADB, World Bank, PIFS, SPREP) and put in place Letters of Cooperation (LoCs) that set out strategic partnerships encompassing a range of cooperative mechanisms, including project preparation and capacity building activities, for leveraging USAID Adapt Asia-Pacific resources. USAID Adapt Asia-Pacific offers a range of collaborative inputs in terms of project technical assistance, training and capacity building, and knowledge management that help development partners meet their own goals and objectives, while providing an excellent opportunity to the project to identify entry points for direct involvement with national government institutions.

Integrated Approach to Capacity Building and Project Preparation

A self-sustaining knowledge transfer project preparation facility implies effective integration of USAID Adapt Asia-Pacific Tasks 3 (Capacity Building) and 4 (Project Preparation) activities. For the purposes of developing the FY15 Work Plan, capacity building and project preparation will continue to be described separately, but both tasks are intimately linked and fall along a continuum of program technical support that over time will evolve from capacity building to project preparation and vice versa. For example, a Task 3 institutional strengthening activity to build capacity to access adaptation financing through accreditation with an adaptation fund (e.g. the project's activity with the Department of Finance in the Philippines) and/or through strengthening of a national trust fund (e.g. the Indonesia Climate Change Trust Fund), could evolve to a project preparation activity when projects submitted for financing by national line ministries, once accessed, are screened, evaluated and prioritized for their appropriateness. Conversely, an activity that begins as a Task 4 project preparation collaborative initiative with a multilateral development partner, for example in Samoa or Lao PDR, can evolve into a capacity building or mentoring effort with the host national

government in the process of introducing the tools and methodologies employed and the lessons and best practices learned from the initial activity.

1.5. USAID Adapt Asia-Pacific FY15 Work Plan Activities

For USAID Adapt Asia-Pacific, AECOM is implementing activities in five main tasks areas:

- Task 1: Regional Knowledge Sharing Platform
- Task 2: USAID Adapt Asia-Pacific Annual Forum
- Task 3: Climate Change Adaptation Capacity Building Program
- Task 4: Technical Assistance in Preparing Funding Proposals
- Task 5: Overarching Program Management and Coordination.

Under the guidance of USAID RDMA and in consultation with USAID bilateral missions and development partners, AECOM's FY15 Work Plan identifies main activities, outputs, expected outcomes and resource requirements in accordance with the project PMP.

2.0. Task 1: Regional Knowledge Sharing Platform

2.1. Implementation Approach

Under Task 1, the project will continue to contribute to the broader climate change adaptation community, particularly in the areas of improving access to climate finance and supporting adaptation project preparation in eligible countries. This will be accomplished by producing and publishing USAID Adapt Asia-Pacific knowledge products and by disseminating them widely through a strengthened regional knowledge sharing platform (e.g. APAN) and other networks, as appropriate. In FY15, the project aims to increase the number of knowledge products produced and diversify the knowledge sharing capacity by collaborating with other major networks.

2.2. Strengthening Knowledge Platforms and Networks

This activity will include further strengthening APAN's knowledge sharing capacity by connecting with other major networks in the field. USAID Adapt Asia-Pacific successfully increased the number of users on its website from an annual total of 13,203 in FY13 to 23,428 in FY14. The number of users of APAN's regional knowledge sharing platform from which USAID Adapt Asia-Pacific's knowledge products have also been disseminated, reached approximately 33,000 in the 4th quarter of FY14, during the period when APAN organized its bi-annual regional forum.

Knowledge Management Strategies and Key Action Implementation. In FY15, USAID Adapt Asia-Pacific will continue finalizing APAN's Operational Principles and Guidelines and make recommendations for strengthening APAN's functions, including implementing CoP activities with APAN and USAID Adapt Asia-Pacific stakeholders, including collaborating with the Institute of Development Studies (IDS).

Collaboration with Climate Change Knowledge Networks. The project will continue to increase the capacity of related knowledge sharing platforms and networks with APAN, the IDS, and others, through which USAID Adapt Asia-Pacific can expand its outreach. Under Task 1, discussions will be initiated with knowledge management experts/network operators from other major networks and/or initiatives including ICIMOD, International Institute for Sustainable Development (IISD), UNEP's CTCN, and the Global Adaptation Network (GAN) to stay updated and at the cutting edge of the knowledge network business.

Integrated Knowledge Management Platform Website for APAN and USAID Adapt Asia-Pacific. Under Task 1, the project will continue upgrading the APAN and USAID Adapt Asia-Pacific websites and strengthen USAID Adapt Asia-Pacific/APAN web portal integration to increase APAN's sustainability as a regional knowledge sharing platform. Specific tasks envisaged include:

- Continue hosting Online Gender Sourcebook;
- Host Exchange Series operated on the APAN web portal; and
- Host USAID Adapt Asia-Pacific FY15 knowledge products on the APAN web portal in an improved indexed and searchable manner.

2.3. Publication of Knowledge Products

The project will consolidate knowledge products and document lessons learned, best practices, and on-ground project preparation experiences accumulated over the past three years of working in the region (mainly derived from Task 3 and 4). These knowledge products will be used to complement USAID Adapt Asia-Pacific's ongoing capacity building activities. The project team will also identify potential demand for the knowledge products, dissemination methods, and outreach opportunities

to better engage and reach end-users through APAN and beyond. The project will also continue producing other knowledge products (such as e-discussion reports) through implementing a community of practice, including the USAID Adapt Asia-Pacific-supported APAN *Exchange Series on Climate Change Adaptation*, which has been gaining momentum in the past year. Other means of strengthening knowledge management and outreach include conducting interviews with project beneficiaries and showcasing USAID Adapt Asia-Pacific knowledge products and activities in the project's quarterly newsletters.

Publication of USAID Adapt Asia-Pacific Knowledge Products. In FY15, knowledge products documenting lessons learned and findings from Tasks 3 and 4 activities will be developed and disseminated through APAN to a wider audience, including Pacific end-users (at least 6 products in FY15). The tentative list of knowledge products include:

- Compendium: International Climate Change Adaptation Funds;
- Case Study: Lessons Learned from USAID Adapt Asia-Pacific Support to Countries' AF Accreditation Process;
- USAID Adapt Asia-Pacific Project Preparation Guidelines;
- NABARD Package of Knowledge Products (Adaptation Project Evaluation Checklist; Appraisal Guide; and Vulnerability Assessment Tool);
- Toolkit on the Economics of Climate Change Adaptation; and
- Pacific Cost-Benefit Analysis: Training Materials and Case Study.

In addition, the project finalized and published an online Gender Sourcebook; a launch event was also held to publicize the resource in the first quarter of FY15.

The USAID Adapt Asia-Pacific Community of Practice. In FY15, 'The Exchange Series' will continue as an effective CoP modality in collaboration with the Task 4 Team Leader (at least four, one each quarter, in FY15). The project will also conduct other CoP activities, for example, through interviews and/or live chats as appropriate and needed by other USAID Adapt Asia-Pacific's team leaders. These activities will be publicized to boost the current level of interactivity among participants, including forum alumni (at least 3 products) including:

- Continue support to USAID Adapt Asia-Pacific/UNDP Economics of Climate Change Adaptation (ECCA) capacity building program and other activities; and
- Produce interview pieces and/or video clips focusing on project activities, these will be done in collaboration with the Task 3 Team Leader and Task 4 Team Leader.

Continuing the E-Newsletters. Continue quarterly e-newsletters featuring USAID Adapt Asia-Pacific's activities and contributing to the development of USAID RDMA's weekly news updates, as needed.

2.4. Stock Taking of Support to APAN and Way Forward

One of the key objectives under Task 1 in FY15 is to reinvigorate the institutional partnership between USAID and APAN with a view to build long-term sustainability of APAN. USAID Adapt Asia-Pacific's support to APAN to date has focused on strengthening its knowledge management and dissemination capacity. With the project entering its penultimate year, the focus going forward will need to be on transitioning the ownership of developing and disseminating knowledge products to APAN over the next two years.

In line with the recommendations of the mid-term review and with the above objective in mind, the Stock Taking activity will inventory the project's support to APAN to date and measure its effectiveness to build sustainable capacity of APAN. Based on this inventory, a dialogue between the key stakeholders of APAN and USAID RDMA will be facilitated with the aim of strengthening the

ongoing partnership, seeking further avenues of cooperation and agreeing on an action plan for the project's support to APAN over the next two years. The focus of the action plan will be to strengthen APAN's capacity to take forward the current knowledge management functions of USAID Adapt Asia-Pacific and to seek commitment from APAN to continue to expand its network and knowledge management with respect to climate change adaptation finance. The activity will include the following:

- Conduct a broad-based assessment of the project's support to APAN to date and its effectiveness in building sustainable capacity and develop a long-term strategy for APAN to continue the project's critical knowledge management roles on a sustainable basis.
- Facilitate a dialogue between RDMA, USAID Adapt Asia-Pacific, and APAN with the aim of strengthening the partnership and agreeing on a way forward for the next two years and beyond in line with RDMA's regional engagement.
- Continue exploring the possibility of USAID to join the APAN Steering Committee as a supporting organization.
- Modify existing activities or identify new activities to build sustainable capacity for APAN to continue as the knowledge management platform beyond the life of the project.

3.0. Task 2: USAID Adapt Asia-Pacific Annual Forum

3.1. *Implementation Approach*

The mid-term evaluation of USAID Adapt Asia-Pacific recommended that the project review its overall strategy in hosting the annual forum. Specifically, the evaluation team recommended the project take into consideration the 'value for money' of hosting such a large event and focus more on the long-term capacity building impact of the annual event. In line with the recommendations of the mid-term review and in consultation with USAID, the project has decided to not host an annual forum in FY15. Instead, in FY15 USAID Adapt Asia-Pacific will focus on supporting two events being hosted by the sub-regional nodes of APAN. This will allow the project to contribute to the technical agenda of these events and support training in areas directly relevant to the overall objectives of USAID Adapt Asia-Pacific.

3.2. *Supporting Sub-regional Events*

As a first step, USAID Adapt Asia-Pacific will be supporting the participation of up to 10 participants at the *Resilient Cities Asia-Pacific: the 2015 Congress*. Organized by ICLEI – Local Governments for Sustainability, the Congress will take place in Bangkok, Thailand, a city working hard towards increasing its resilience, especially during and after the 2011 floods. The Congress, hosted by Bangkok Metropolitan Administration, will be held on 11-13 February 2015. USAID Adapt Asia-Pacific will participate in two plenary sessions to showcase and share USAID Adapt Asia-Pacific's knowledge and lessons learned with a wider audience on gender consideration in climate change adaptation activity planning and financing urban resilience. USAID Adapt Asia-Pacific's speakers will provide learning opportunities to a number of invited government officials at the sub-national level, representatives from major organizations, and key stakeholders.

Other possible capacity development opportunities are being explored with the Climate Action Network South Asia (CANSA, APAN's node for South Asia) and the Secretariat of the Pacific Regional Environment Programme (SPREP, APAN's node for the Pacific) on themes relevant to the project, including access to finance and project preparation in each sub-region. Common areas of interest as possible themes for these joint capacity development workshops are: South Asian countries and the Green Climate Fund (GCF) expanding the discussion made in the workshop co-organized by Adapt Asia-Pacific, CANSA, and USAID LEAD project in 2013 and project preparation and adaptation finance in the Pacific relating to SPREP's recently launched Regional Technical Support Mechanism (RTSM) in conjunction with its accreditation as a Regional Implementing Entity (RIE) for the UNFCCC Adaptation Fund (AF) following up the discussions during the 2nd Adapt Asia-Pacific annual forum held in Fiji in 2013. Other possible opportunities USAID Adapt Asia-Pacific could consider are the regional symposium on 'adaptation planning and climate finance' to be organized by the Korea Environment Institute (KEI, an APAN supporting partner) in the 2nd week of July in Korea and the first in a series of climate risk management workshops to be organized by ADB in February 2015 in Manila.

Based on the results of the above stock taking of support to APAN, there is a chance that the above proposed activities may be modified or not implemented.

4.0. Task 3: Climate Change Adaptation Capacity Building Program

4.1. *Implementation Approach*

Task 3 in FY 2015 will be characterized by activities that build on the knowledge generated and successful initiatives undertaken by USAID Adapt Asia-Pacific over the past three years. An important new program will involve capacity building for Asia on project preparation, which will incorporate tools, guidelines and methodologies developed under the project. Catalytic USAID Adapt Asia-Pacific support for several regional and national initiatives will wind down this year, with the initiatives capable of maintaining themselves without further support from the project. Overall, the 2015 initiatives will be delivered through four modalities, as follows:

- Program for Capacity Building Support through Training Courses, will continue to support qualified government officials from USAID Adapt Asia-Pacific countries to attend training courses in climate change adaptation and climate finance on a competitive basis.
- Direct Technical Support to Government Institutions, will give particular attention to helping governments gain direct access to climate finance, especially SIDS, but also India, Indonesia and the Philippines, where we will continue our long-standing support. We will also deepen our cooperation with and support to the Pacific Islands Forum Secretariat to strengthen their regional mandate.
- Developing Standalone Capacity Building Programs will mold USAID Adapt Asia-Pacific experience and knowledge into a regional capacity building program for government staff using tools, methodologies and guidelines prepared over the past three years. We will also wrap up our catalytic involvement in medium-to-long-term training programs on adaptation economics and urban adaptation.
- Tools, Guidelines, Methodologies, will publish and widely disseminate guidelines on gender and adaptation project preparation, economics of adaptation and adaptation project preparation.

4.2. *Program for Capacity Building Support through Training Courses*

The Government Training Support Program (GTSP) will continue to support qualified government officials from USAID Adapt Asia-Pacific countries to attend training courses in climate adaptation and climate finance on a competitive basis. Following the first survey with trainees as discussed in an ex-post assessment report in 2014, a second survey will be conducted during Q2 2015 with additional trainees to discern impacts in their home country attributable to the knowledge and skills they acquired. In addition to identifying training impacts, the survey will help the project identify additional climate change adaptation 'champions', as well as identify opportunities for bringing GTSP-supported individuals into USAID Adapt Asia-Pacific's project preparation activities in their respective countries.

4.3. *Direct Technical Support to Government Institutions*

During the past three years, USAID Adapt Asia-Pacific has supported a number of government institutions to strengthen their capacity to access climate change adaptation finance. We will continue to build on this success in 2015. In the Philippines we will continue to assist the government to take the steps necessary to achieve NIE accreditation with the Adaptation Fund, and we will use this and related experience to help selected Pacific SIDS with readiness and preparatory activities to facilitate their access to the Green Climate Fund. As countries achieve accreditation for direct access, USAID Adapt Asia-Pacific will assist them in preparing successful projects, as USAID Adapt Asia-Pacific has done for the first Adaptation Fund NIE accredited in Asia-Pacific, the National

Bank for Agriculture and Rural Development (NABARD) in India. We will deepen our three-year association with the Pacific Islands Forum Secretariat (PIFS) by helping them to strengthen their regional role in climate finance. In Tonga, we will support a national climate finance assessment, and in Indonesia we will build on two years of support to the Indonesia Climate Change Trust Fund (ICCTF). Using a case study site in Thailand, we will support a four-country initiative to develop a model vulnerability and adaptation assessment that can be used by all four countries to prepare evidence-based adaptation proposals in watersheds.

Watershed-based Adaptation to Climate Change (WACC). WACC activities began in 2014 with a letter of cooperation between the National Research Council of Thailand (NRCT) and the US Forest Service (USFS). NRCT is providing financial support for this effort, while USAID Adapt Asia-Pacific is providing critical co-financing. WACC is a regional effort that is expected to include participation from four countries at least through December 2015. They include Cambodia, Lao PDR, Thailand and Vietnam. Formal cooperative agreements will be sought among the four countries by January/February 2015. A Thai NGO and Ramkhamhaeng University have been contracted by NRCT to undertake technical work, in cooperation with the three other countries and USFS. By mid-year, an initial draft of a model climate change vulnerability and adaptation assessment (VAA) will be produced, drawing on field work and experiences of the four participating countries, as well as USFS experience. By the end of December 2015 the model VAA will be presented at an international seminar held at the project site in central Thailand and will be widely disseminated through international journals and other media. The model VAA is expected to be used in future in all four participating countries and perhaps more widely in Southeast Asia. A course outline and an interpretative display based on this experience will be prepared for delivery at an international environmental studies center located at the project site. The four countries will deliberate among themselves to determine whether and how this regional cooperative program can continue beyond 2015 to build on the progress made.

Philippines - Assist Department of Finance (DoF), to achieve Adaptation Fund accreditation. USAID Adapt Asia-Pacific has been supporting DoF for the last two and a half years with its pursuit of accreditation as a national implementing entity (NIE) with the UNFCCC Adaptation Fund (AF). The project helped DoF carry out a rapid assessment of its readiness to commence the accreditation process, which the assessment concluded it was. USAID Adapt Asia-Pacific then supported DoF to prepare its application for AF accreditation, which was submitted in December 2012. For the past two years, the project has assisted DoF respond to several rounds of comments from AF regarding its ability to address AF's criteria for accreditation. The primary issue from the outset has been the inadequacy of DoF's internal audit system and procedures per AF criteria. It now appears that DoF is prepared to move forward with strengthening its internal audit system per AF criteria. An internal Department Order is being drafted for the Secretary's signature to authorize this activity. The approved Department Order will allow DoF to hire staff and build the capacity of this unit. Assuming the Department Order is approved and DoF receives a positive, time-bound response from AF regarding next steps for accreditation, USAID Adapt Asia-Pacific, with USAID RDMA's approval, will provide the following additional assistance: (i) continued support of the national consultant to coordinate the structuring of the internal audit unit and to respond to all other remaining comments; and (ii) contracting of a national specialist with experience in internal audit to help DoF in the nuts and bolts of structuring the new unit.

Indonesia - Supporting Indonesia Climate Change Trust Fund (ICCTF). To date, USAID Adapt Asia-Pacific has assisted ICCTF with a project selection and ranking system, improvements to its monitoring and evaluation system, and an initial foray into its fund raising strategy. The selection and ranking system was trialed at a workshop in 2014 and stakeholders began the process of identifying and preparing projects for funding, several of which have now been approved. Through

cooperation between USAID Adapt Asia-Pacific and the bilateral USAID mission, USAID/Indonesia recently decided to allocate an initial contribution of about \$5 million to the ICCTF. The proposed additional assistance from USAID Adapt Asia-Pacific is to help identify projects and to formulate the highest priority projects with this funding and funding to be made available from the national budget and other donors.

Tonga Climate Finance Assessment. The Ministry of Finance and National Planning, Kingdom of Tonga, has requested UNDP and the Pacific Island Forum Secretariat (PIFS), and other partners, including USAID Adapt Asia-Pacific, to undertake a Climate Financing and Risk Governance Assessment (CFRGA) that will make a practical assessment of options to strengthen the institutional capacity for improved access to and management of international climate and disaster funding for the Kingdom of Tonga. The assessment will provide recommendations, which will build on the Kingdom's strengths and address the identified weaknesses. The proposed assessment will comprise seven areas, as follows: institutional analysis; funding source analysis; policy, planning and coordination; PFM and expenditure analysis; human resource management and development; aid and development effectiveness; and M&E, communication and reporting. USAID Adapt Asia-Pacific will provide the public financial management (PFM) specialist. The CFRGA is expected to commence in January 2015.

Strengthening PIFS Regional Role in Climate Finance. The Pacific Islands Forum Secretariat (PIFS) claims a mandate for coordinating climate finance issues in the Pacific region based on requests from the Pacific Islands leaders' summit.¹ To date, USAID Adapt Asia-Pacific (jointly with UNDP and PFTAC) has assisted PIFS with a climate finance assessment for the Republic of the Marshall Islands (RMI) and another similar assessment is planned for Tonga (see above). PIFS has provided a long list of possible areas of support that USAID Adapt Asia-Pacific could provide, although in total the level of effort would be well beyond the resource limitations of the project. Subject to confirmation by RDMA of available funding for the Pacific, it is proposed to conduct further detailed discussions with PIFS before deciding on the level of additional support that will be provided.

Assist Selected Pacific SIDS with Readiness and Preparatory Activities to Facilitate Accessing GCF Climate Financing: As the major financing source for climate change adaptation in the near term, the Green Climate Fund (GCF) will need to be a major focus of the remaining two years of the USAID Adapt Asia-Pacific project. Following recent pledging of more than \$10 billion, GCF is expected to start disbursements in late 2015. A number of development agencies are assisting Pacific Small Island Developing States (SIDS) to prepare for GCF financing, so USAID Adapt Asia-Pacific will need to be highly selective in entering this field, possibly building in partnership with PIFS on the RMI and Tonga financial assessments. Early contact with GCF on how USAID Adapt Asia-Pacific can best assist Pacific SIDS will be needed before finalizing this activity.

Assist Operationalization of ADB/SPREP RTSM for Project Preparation to Access Climate Financing: USAID Adapt Asia-Pacific has indicated its willingness to assist in strengthening the capacity of the Regional Technical Support Mechanism (RTSM) being funded under the regional PPCR project, being implemented by ADB, has offered an entry point, which we should use. As SPREP is also accredited as a RIE for the Adaptation Fund and is expected to apply for similar status with the GCF, SPREP will also have access to significant amounts of adaptation finance. The precise nature of the assistance to be provided to the RTSM needs to be discussed with ADB and SPREP, but one possible focus could

¹ The 2012 Forum Economic Ministers Meeting included the following recognition: "Ministers supported the ongoing role of the Forum Secretariat to coordinate efforts in the region, including amongst development partners, to progress improved climate change financing in Forum Island Countries".

be to help the RTSM develop a sustainability strategy, which would allow it to continue after the PPCR funds are utilized.

4.4. Developing Standalone Capacity Building Programs

Now that USAID Adapt Asia-Pacific has developed a body of experience over the past three years, this experience, in the form of tools, methodologies and guidelines, will be molded into a support program for government staff, perhaps in collaboration with one or more regional agencies. Additionally, USAID Adapt Asia-Pacific and UNDP will demonstrate how the knowledge developed over more than two years under the ECCA regional training program can be applied under real world conditions in a selected government ministry. USAID Adapt Asia-Pacific's catalytic support for two key regional programs is expected to successfully conclude in 2015, with both programs continuing to operate without need for further USAID assistance.

Regional Capacity Building Program on the Economics of Climate Change Adaptation (ECCA). FY2015 will mark the completion of the initial phase of this regional program. The 10 participating countries will complete data analyses and prepare reports and case studies. The findings will be presented to a regional meeting of senior policy makers from the participating countries, demonstrating how cost-benefit analysis has been used in their countries to support rational decision-making on climate change adaptation action. At the time of the meeting, UNDP is expected to present a proposal on how they will expand this initiative into a second phase. Drawing on this work, a post-graduate program on the economics of climate change adaptation will be developed through the United Nations University in Japan for delivery in the region by late 2015/early 2016.

Develop and deliver the course on Urban Climate Change Adaptation. The expected impact of this course is to educate urban managers in the fundamental knowledge and skills associated with the assessment of climate change impacts; identification, evaluation, selection, and implementation of climate adaptation strategies, programs and projects; and creating and maintaining climate change adaptation monitoring and learning capacity. The development of course materials is near completion and an initial roll out is expected in FY15. Modules 1 through 4 have been revised and expanded with Modules 5, 6 & 7 on Mainstreaming, Project Proposal Preparation, and Financing Options added, respectively. The course will be ready for beta-testing in FY15Q3 with initial launches in Asia and the Pacific in the FY15Q4. The course will then be finalized and delivered along with training materials to an institution of higher learning in the region that will be identified by the East-West Center and agreed by USAID Adapt Asia-Pacific and USAID. Partial assistance will be provided to that institution to deliver the course to participants that will be largely comprised of teachers/trainers from the region. This training of trainers' approach, if successful, is expected to be maintained at the regional level and would also be "translated" into the curriculum of one or two national institutions (e.g. a national university that offers adult training courses for municipal officials) and thereby be tailored for the specific needs of the selected countries.

Pacific Cost-Benefit Analysis (P-CBA) Training. Since January 2014, USAID Adapt Asia-Pacific is leveraging the lessons learned from the ongoing USAID Asia Adapt Asia-Pacific/UNDP Economics of Climate Change Adaptation capacity building program to extend Cost-Benefit Analysis training in the Pacific (P-CBA). The P-CBA training is being developed over an 18-month period in close collaboration with the UNDP and GIZ with the University of the South Pacific, a potential host for integrating the resulting materials into its permanent curriculum for its graduate students in the Faculty of Business and Economics (FBE). CBA training has been delivered to date in the four PICS of Fiji, FSM, Vanuatu, and Samoa, principally through in-country activities and mentoring opportunities. USAID Adapt Asia-Pacific will continue to support this initiative in FY15 through the provision of a Regional Coordinator. Activities envisaged for FY15 include delivery of CBA training courses in 3-4

additional PICS, preparation of CBA case studies, support to the development of a 10-credit module for integration and delivery by USP's FBE, and the organization and conducting of a final closing workshop on the P-CBA program.

Capacity Building Program for Asia on Project Preparation, incorporating Adapt Tools, Guides, and Methods. USAID Adapt Asia-Pacific now has considerable experience in working with partners to develop climate change adaptation projects. This experience will be documented in a "lessons learned" publication. Also, USAID Adapt Asia-Pacific will examine the possibility of an ECCA-type training program on project preparation, with participants provided with mentors, and required to prepare specific projects for graduation at the end of the program. While the details of this regional training program need to be worked out, there is an exciting possibility of doing this in conjunction with ADB and rolling out its 'Aware' climate screening system. The training program may encompass: (i) developing the Gender Sourcebook information into a training module with ICCCAD; (ii) incorporating the revised economic toolkit; and/or (iii) building on elements of the urban resilience training modules.

Operationalize ECCA in a selected government ministry. Working with a selected ministry in one of the 10 countries participating in ECCA, USAID Adapt Asia-Pacific and UNDP will support a selected ministry in applying the cost-benefit analysis tools developed during the ECCA program to support rational climate change adaptation decision-making in that ministry. This will be done by helping the ministry develop protocols to screen its portfolio of pipeline projects for climate change adaptation-sensitive projects and then apply cost-benefit methodology for those sensitive projects. Work will begin in FY15 and be completed in FY16. The experience will be presented in a case study for publishing and distribution to regional governments, regional development institutions, institutions of higher learning and others.

4.5. Tools, Guidelines, and Methodologies

Three important tools/guidelines will be widely disseminated by USAID Adapt Asia-Pacific in 2015. They include the Online Sourcebook for Integrating Gender into Climate Change Adaptation Proposals, which will be made available through the APAN web portal; the Toolkit on the Economics of Climate Change Adaptation, which draws on experience from the ECCA program and will be revised together with UNDP, published and distributed widely throughout the Asia-Pacific region; and the Climate Change Adaptation Project Preparation Guidelines, that will be published and distributed regionally for use by project preparation teams in undertaking vulnerability assessments and developing project proposals with appropriate adaptation options. See Section 3.3.3 for the description of another important guideline to be produced for regional use – model vulnerability and adaptation assessment guidelines for ridge-to-reef watersheds.

- The Online Sourcebook for Integrating Gender into Climate Change Adaptation Proposals: During FY15, USAID Adapt Asia-Pacific will launch the online version of the Sourcebook for Integrating Gender into Climate Change Adaptation Proposals through the APAN web portal. The project will continue to use the sourcebook to guide the development of adaptation activities that target women's empowerment throughout appropriate USAID Adapt Asia-Pacific-supported activities. Additionally, the project will continue to promote the use of the sourcebook at regional events, particularly through APAN. The project will update the sourcebook as comments are received through the online platform's feedback mechanism, with a more comprehensive update planned for FY16.
- Toolkit on the Economics of Climate Change Adaptation: An initial draft of the Toolkit was produced last year and distributed to ECCA participants to review based on their experience in the ECCA program. In 2015, the Toolkit will be revised based on the participants' reviews

and that of professionals in UNDP, USAID Adapt Asia-Pacific, academia and elsewhere, and then printed for distribution throughout Asia and the Pacific. It is expected that the major revision will involve including more explicit descriptions and instructions on using economic tools, such as cost-benefit analysis.

- Climate Change Adaptation Project Preparation Guidelines: During FY15, USAID Adapt Asia-Pacific will finalize and publish guidelines to support project preparation teams in undertaking vulnerability assessments and developing project proposals with appropriate adaptation options. The guidelines will continue to be used to support USAID Adapt Asia-Pacific activities, as the draft version of these guidelines was used in FY14 to inform the development of related country or context-specific guides and methodologies, as in the case of project appraisal guidelines being developed for India's NABARD and a community forestry vulnerability assessment methodology being developed for Nepal with RECOFTC.

5.0. Task 4: Technical Assistance in Preparing Funding Proposals

5.1. *Implementation Approach*

An important strategic shift as the project enters its final two years is the need to focus on sustainability. USAID Adapt Asia-Pacific has developed a proven mechanism for rapid, high quality assistance in project preparation and it would be unfortunate if this capacity did not continue in the Asia-Pacific region. Therefore, USAID Adapt Asia-Pacific will work closely with regional bodies with potential for sustaining the project's approach, such as the SPREP (a regional implementing entity for the Adaptation Fund) and CDIA (which has close links with funding bodies like the ADB). USAID Adapt Asia-Pacific will build on the successful FY12-14 strategic cooperation with international climate finance multilateral intermediaries to continue opening up opportunities for working more directly with government agencies on project preparation. In countries like India and Indonesia, where direct connection with governments has been possible, USAID Adapt Asia-Pacific will consolidate and continue to support institutions like NABARD and ICCTF. A final strategic shift is to move closer to sub-national levels, where climate change adaptation is usually most effective, by working closely with national and local governments on climate change in urban areas, initially in partnerships with CDIA and ICLEI - South Asia, with the objective of taking already developed urban resilience strategies to the stage of bankable adaptation projects for financing.

5.2. *Project Preparation Activities*

During FY15, Adapt Asia-Pacific will principally support project preparation efforts in Asia directly with national governments in Cambodia, Indonesia, India, Philippines, Thailand, and Nepal.

Cambodia - Reducing the vulnerability of Cambodian rural livelihoods. During FY15, USAID Adapt Asia-Pacific will continue and complete its support to the Government of Cambodia, in collaboration with UNDP, in developing a specific gender activity under the "Strengthening the resilience of Cambodian rural livelihoods and subnational government systems to climate risks and variability" project being prepared for Global Environment Facility (GEF) funding. When authorized, this project will access US\$4.57 million in adaptation financing and benefit rural households in Banteay Meanchey and Kampong Thom Provinces. To date, an USAID Adapt Asia-Pacific-supported National Gender Specialist has been working with UNDP's Project Preparation Team to undertake a baseline assessment in addition to community and government consultations, and to develop targeted and budgeted gender sensitive activities to include in the project design. During FY15, USAID Adapt Asia-Pacific will finalize the Gender Report and inputs to the Project Document for submission to the GEF in early 2015.

India - Assist National Bank for Agriculture and Rural Development (NABARD) to prepare Adaptation Fund (AF) project(s). During FY15, the project will continue to work closely with NABARD staff and selected Executing Entities (EEs) to prepare 3-4 additional CCA project proposals (2 project proposals were prepared during FY14 and approved at the AF's October Board meeting) for submission to the AF for financing. USAID Adapt Asia-Pacific support to date has demonstrated the quality of project documentation and the appraisal skills required to obtain AF finance. Through field visits and working sessions with NABARD and its partner NGOs (the EEs that make project proposals to NABARD for funding by the AF, and then implement the projects once they are approved) USAID Adapt Asia-Pacific has: (i) explained the AF requirements; (ii) given step-by-step guidance on how to prepare the project documents, as well as edited draft project documents

prepared by EEs, and provided models and formats for results frameworks, tables, text and annexes; and (iii) provided hands-on training and feedback to staff of NABARD and EEs. These activities have built a degree of confidence in NABARD and begun the long process of building capacity within NABARD and a limited number of its EEs to prepare projects that are fully compliant with the AF guidelines. To further improve NABARD's internal procedures and processes to identify and prioritize projects vis-à-vis prospective EE project proposals, USAID Adapt Asia-Pacific will continue to work with NABARD during FY15 to draft and finalize a suite of knowledge products to facilitate a more rigorous process to select, shortlist, assess the vulnerability, develop and appraise project proposals for AF adaptation financing.

India - Urban adaptation projects in India (ICLEI). During FY15, USAID Adapt Asia-Pacific will continue to provide technical support to ICLEI - South Asia, an international association of local governments, to build on the Rockefeller Foundation-funded urban resilience strategies in the three Indian cities of Bhubaneswar, Shimla, and Mysore to prepare bankable climate change adaptation projects for financing. The Manila-based Cities Development Initiative for Asia (CDIA), a project preparation arm of the Asia Development Bank (ADB), was brought in as a partner on this initiative. A tripartite agreement among the parties sets out the individual roles and responsibilities for the overall assignment. To date the USAID Adapt Asia-Pacific/ICLEI/CDIA team has introduced the CDIA City Infrastructure Investment Programming and Periodization (CIIPP) tool, the analytical framework that this activity is employing, to the respective city and state officials. Follow-up visits have been made to all three cities with the objective of developing a shortlist of priority projects for each city, in addition to having a clear picture of each city's financial position. Mysore is the most advanced of the three Indian cities with respect to identifying a shortlist of projects, which includes solid waste management, storm water drainage, city bicycle paths, and solar energy. FY15 tasks include finalization of the project identification and prioritization phase, along with the analysis of the collected financial data, and organization of a CIIPP stakeholder meeting in Mysore to present and agree on the prioritization of a project that will be developed as a pre-feasibility study for financing. The CIIPP stakeholder workshop is tentatively scheduled for Mysore in late January 2015. Preparations for the pre-feasibility study will commence following the stakeholder workshop. Project identification and prioritization, as well as collection and analysis of financial data, will also continue in Bhubaneswar and Shimla during FY15.

Philippines - Valenzuela Pre-feasibility Study (PFS). Cities Development Initiatives for Asia (CDIA), a regional initiative established in 2007 by the Asian Development Bank and the Gov't. of Germany that provides support to medium-sized Asian cities to bridge the gap between their development plans and the preparation of infrastructure investment projects, and USAID Adapt Asia-Pacific are partnering in cooperation with the City Government of Valenzuela in conducting a prefeasibility study (PFS) of the city of Valenzuela (one of the 16 highly urbanized cities of Metropolitan Manila). The aim of the PFS is to reduce the adverse economic, social, and environmental impacts of flooding in the most affected area in the western and southern parts of the city. The PFS is preparing an infrastructure investment project that will assist the city to identify an integrated approach to internal drainage and external flood control problems that currently exist and that are likely to worsen in future as a result of continued population growth, urban expansion and climate change-related impacts. USAID Asia-Pacific is providing two consultants (e.g. an international economist and a national environmental specialist) to work with a six-person CDIA team of experts. Preliminary work indicates that an investment amount of about US\$54 million is required not including land acquisition costs and detailed engineering design work. CDIA/USAID Adapt Asia-Pacific launched the PFS at a kick-off meeting in September. USAID Adapt Asia-Pacific will continue to support preparation of the PFS during FY15, with the final report scheduled for March 2015. USAID Adapt Asia-Pacific will also assist the city with identifying potential sources of finance for a future investment project (e.g. ADB, WB and German bilateral assistance).

Trial a screening tool for climate change adaptation on Asian Development Bank (ADB) investment projects. Subject to the Phase 1 of this exercise clearly indicating at least 1 or 2 projects assisted to approval stage, then this activity should continue in 2015 as it is highly valued by ADB. USAID Adapt Asia-Pacific can also discuss with ADB the concept of selecting a pilot country for trialing the 'Aware' climate change screening software at a national level.

Developing a Demonstration Site on Climate Change Adaptation, Gender and Community Forestry. During FY15, USAID Adapt Asia Pacific will continue its partnership with RECOFTC – The Center for People and Trees working in Nepal's Sarlahi District to provide adaptation support to the District Forestry Office (DFO) and a women-only community forestry user group (CFUG). The activity has the objectives to: (i) develop a long-term demonstration site that will identify gender-sensitive community forestry-based climate change adaptation activities through participatory approaches; (ii) support the DFO and CFUG in the development of project proposals; and (iii) implement those activities in a women-only CFUG. Additionally, the proposed activity will promote learning and replication nationwide through visits by representatives from national/sub-national Ministry of Forests and Soil Conservation and other Nepal government officials, civil society organizations, CFUGs from other regions of Nepal, and other interested parties. The activity will establish and trial a community forestry landscape-based vulnerability assessment and adaptation option identification methodology that leads to the development of projects for funding. This methodology is intended to be scaled up to RECOFTC's other focal countries around the region in the future. To date, the USAID Adapt Asia-Pacific/RECOFTC team has developed a draft vulnerability assessment. Over FY15, the activity's overall framework and methodology will be refined, leading to a finalized vulnerability assessment and identification of adaptation interventions in the first half of the year. In the second half of the year, the project will begin to implement these interventions and to develop the necessary project proposals for external funding of at least one of the interventions. Additionally, a second field visit is envisaged for the second half of FY15 in order to run a training for the DFO officials and community members on conducting vulnerability assessment and identifying adaptation interventions.

Timor Leste, Building Shoreline Resilience to Protect Local Communities (UNDP). UNDP and USAID Adapt Asia-Pacific have agreed to partner in the preparation (PPG team) of a US\$7 million shoreline resilience project for Global Environment Fund (GEF) LDCF financing. The project aims to: (i) establish the policy framework and institutional capacity for climate resilient coastal management; (ii) establish mangrove-based livelihoods to incentivize mangrove rehabilitation and protection; and (iii) adopt integrated approaches to coastal adaptation to contribute to protection of coastal populations and productive lands. In defining its overall role in project preparation, USAID Adapt Asia-Pacific will explore with UNDP opportunities to develop an additional activity directly with Timor Leste national government institutions related to the design and implementation of the present coastal management project. USAID Adapt Asia-Pacific will contribute two international specialists (ecosystem and gender) to the PPG team. UNDP anticipates launching project preparation through an inception workshop in the capital Dili in the second half of February 2015. Project preparation is scheduled to run through August 2015.

Timor Leste/Indonesia, Implementation of Arafura and Timor Seas Strategic Action Programs (UNDP). USAID Adapt Asia-Pacific has drafted a Project Approval Memo and assisted with preparation of the scope of works (SoW) for Climate Change and Gender specialists. The project inception workshop is being planned for April 2015. USAID Adapt Asia-Pacific will support this activity once there is confirmation by UNDP of accessing finance and approval by USAID RDMA. The project also needs to clarify any potential connection with the USAID-supported Coral Triangle Initiative and the extent to which climate change is a significant focus of this project.

6.0. Task 5: Overarching Program Management and Coordination

USAID Adapt Asia-Pacific will undertake all required program management, coordination, work planning, communications and reporting requirements for successful implementation of project deliverables and outcomes to support achievement of the overall project objective. Key elements of Task 5 include:

1. Maintain robust project and financial reporting systems
2. Coordinate with bilateral missions and development partners
3. Develop outreach and communication activities to increase visibility of the project.

6.1. *Maintain Robust Project and Financial Reporting Systems*

Key Activities:

USAID Adapt Asia-Pacific will implement the following key activities:

- *Develop and submit quarterly and annual progress reports:* USAID Adapt Asia-Pacific will submit technical progress reports on a quarterly and annual basis describing program implementation, including activities to date, progress towards achieved results and targets, obstacles to program implementation, and other relevant issues.
- *Develop and submit performance monitoring and progress reports:* The USAID Adapt Asia-Pacific team will update the Performance Management Plan (PMP) to ensure that it reflects activities planned for FY15. Based on the PMP, and as part of USAID's Performance Review and Annual Report process, USAID Adapt Asia-Pacific will submit Annual Performance Management Progress Reports in accordance with the RDMA/REO's established policies. USAID Adapt Asia-Pacific will describe milestone events that demonstrate progress towards achieving project indicator targets, highlighted with narrative success stories at the program level. Performance Management Progress Reports will consist of both narrative and quantitative sections with indicators and targets of the USAID Adapt Asia-Pacific project.
- *Develop and submit Financial Reports:* USAID Adapt Asia-Pacific will develop pipeline analyses and financial reports: (i) on a quarterly basis; (ii) before each contract modification; and (iii) accruals information no later than the 20th of March, June, and September of FY15, covering the periods through the end of the reporting quarter. USAID Adapt Asia-Pacific will prepare financial reports on a quarterly basis, which will describe project expenses by task.

6.2. *Coordination with Bilateral Missions and Development Partners*

Key Activities:

USAID Adapt Asia-Pacific will implement the following key activities:

- *Coordinate with USAID bilateral missions:* In coordination with RDMA, USAID Adapt Asia-Pacific will prepare briefing documents, presentations and activity descriptions on potential project activities, as well as organize teleconferences, briefing/debriefing meetings with USAID bilateral mission to update them on project activities and understand mission priorities and activities. USAID Adapt Asia-Pacific will also engage bilateral missions in identifying candidates for the Annual Forum and other potential workshops and training activities.

- *Coordinate with relevant development partners:* USAID Adapt Asia-Pacific was successful during years 1, 2 and 3 in collaborating with development partners working in climate change adaptation in the target countries, especially in the design and implementation of project preparation and capacity building activities, which leveraged additional funding and resources for project outcomes. USAID Adapt Asia-Pacific will continue building on these initial successes by exploring new partnership opportunities for joint activities with international organizations and donor community in the region, especially with ADB, World Bank, UNEP, SPREP, UNDP, AusAID, JICA, SIDA and GIZ.

6.3. *Development of Outreach and Communication Tools and Activities*

Key Activities:

USAID Adapt Asia-Pacific will carry out the following activities in FY15 to ensure high visibility of project activities:

USAID Adapt Asia Pacific Newsletter. USAID Adapt Asia-Pacific will develop four e-Newsletters, each with at least three articles, to update all project beneficiaries on current project activities on a quarterly basis.

Success Stories. USAID Adapt Asia-Pacific will interview beneficiaries and document project successes for USAID RDMA and wider public dissemination. The project will develop at least four success stories during FY15. Indicative story topics include the following (and are subject to change):

- USAID Adapt Asia-Pacific Support to India's NABARD
- Government of Samoa: On-the-Job Training
- Indonesia's Climate Change Trust Fund: Institutional Strengthening
- Timor Leste: Empowering Women through Climate Change Adaptation
- NRCT and USF: Twining and Learning (*Interviewee: TBA*)

Infographics. USAID Adapt Asia-Pacific will also look into developing infographics in FY15 to more effectively and efficiently communicate the project's work and outcomes. Indicative topics include:

- "How Do I Decide?" Adaptation Funds Compendium Decision Tree
- The Importance of the Economics of Climate Change Adaptation
- Figuring Gender in Climate Change Adaptation Project Preparation
- Preparing Projects with Climate Change Vulnerability Assessment

USAID RDMA Regional Environment Office Weekly Update. USAID Adapt Asia-Pacific will continue to regularly contribute to the REO Weekly Update regarding ongoing project activities.

These activities will be undertaken in close collaboration with USAID RDMA's Communications and Outreach team, supporting visibility of project activities through multiple channels, including social media.

8.0. Performance Management Indicators and Targets for FY15

Program Element 4.8.2. Clean Productive Environment

PMP Indicators		Type	FY14 Targets
IR 1.1	Person hours of training completed in climate change supported by USG assistance/Number of people trained in climate change	Standard Indicator	4,000/150 people
IR 1.2	Number of institutions with improved capacity to address climate change issues as a result of USG assistance	Standard Indicator	10
IR 2.1	Number of climate change adaptation projects with facilitated access to finance	Custom Indicator	7
IR 2.2	Number of facilitated projects with a specific gender component	Custom Indicator	2
IR 2.3	Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance	Custom Indicator	100,000
IR 2.4	Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance	Standard Indicator	\$100 million
IR 2.5	Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	Custom Indicator	3
IR 2.6	Number of climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance (Custom)	Custom Indicator	\$250,000
IR 3.1	Number of regional environmental platforms created or strengthened	Custom Indicator	1

Attachment A: Activities by Country

Country	Activity Description
Bangladesh	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
Cambodia	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Continue and complete technical assistance to the Royal Cambodian Government and UNDP in preparing a specific gender activity as USAID Adapt Asia-Pacific's support to preparing the Cambodia Reducing Vulnerability of Rural Livelihoods project to be funded by GEF.
	Support to government officials to participate in the development of a model climate change vulnerability and adaptation assessment (VAA) in partnership with Thailand
India	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support to ICLEI South Asia to prepare bankable climate change adaptation projects for financing.
	Continue to build the institutional capacity of India NABARD to effectively and efficiently carry out its mandate as an AF NIE, and to provide technical assistance in preparing CCA project proposals for submission to the AF for financing.
Indonesia	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Provide technical assistance to the Indonesia Climate Change Trust Fund to identify and formulate priority projects.
Lao PDR	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Support to government officials to participate in the development of a model climate change vulnerability and adaptation assessment (VAA) in partnership with Thailand

Maldives	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
Mongolia	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
Nepal	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Provide technical assistance through RECOFTC to develop a demonstration site on climate change adaptation, gender and community forestry.
Philippines	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific.
	Continue to build the institutional capacity of the Department of Finance of the Philippines with the aim to be accredited as a national implementing entity (NIE) with the UNFCCC Adaptation Fund (AF).
	Provide technical assistance to the City Government of Valenzuela in conducting a prefeasibility study of a flood management plan for the city of Valenzuela in partnership with CDIA.
Sri Lanka	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
Thailand	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Provide support to develop a model climate change vulnerability and adaptation

	assessment (VAA) with participation of Cambodia, Lao PDR and Vietnam.
Timor-Leste	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support in the preparation of the project proposal entitled "Building Shoreline Resilience to Protect Local Communities" to be financed under GEF.
	Provide technical support in the preparation of the project proposal entitled "Implementation of Arafura and Timor Seas Strategic Action Programs" for financing.
Vietnam	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Continue support to ECCA country team to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and USAID Adapt Asia-Pacific, as well as continue to provide technical mentor support to the country team.
	Support to government officials to participate in the development of a model climate change vulnerability and adaptation assessment (VAA) in partnership with Thailand
Cook Islands	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Federated States of Micronesia	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP
Kiribati	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Marshall Islands	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Nauru	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Niue	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Palau	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Papua New Guinea	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Samoa	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building

	institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP
Solomon Islands	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP
Tonga	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP
	Support in undertaking a Climate Financing and Risk Governance Assessment (CFRGA) in partnership with PIFS and UNDP.
Tuvalu	Provide a possible opportunity for selected government officials to participate in the USAID Adapt Asia-Pacific CCA finance & urban training course, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP
Vanuatu	Provide a possible opportunity for selected government officials to participate in the Third USAID Adapt Asia-Pacific Annual Forum, Economics of Climate Change Adaptation Training Program through University of South Pacific (USP) and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide in-country CBA training to government officials in cooperation with ADB, GIZ, UNDP, USP, PIFS, SPC and SPREP

Attachment B: Activity Summary Sheets

Activity Number	Activity Name
	Regional knowledge sharing platform
1.1	Publication of USAID Adapt Asia-Pacific Knowledge Products
1.2	The USAID Adapt Asia-Pacific Supported Community of Practice
1.3	Strengthening Knowledge Management Capacity and Key Action Implementation
1.4	Stock Taking of Support to APAN and Way Forward
	USAID Adapt Asia-Pacific annual forum
2.1	Supporting Sub-regional Events
	Climate change adaptation project capacity building program
3.1	Government Training Support Program
3.2	Watershed-based Adaptation to Climate Change (WACC)
3.3	Philippines - Assist Department of Finance (DoF) to Achieve Adaptation Fund Accreditation
3.4	Indonesia - Supporting Indonesia Climate Change Trust Fund (ICCTF)
3.5	Tonga Climate Finance Assessment
3.6	Strengthening Climate Finance in the Pacific
3.7	Regional Capacity Building Program on the Economics of Climate Change Adaptation (ECCA)
3.8	Develop and Deliver the Course on Urban Climate Change Adaptation
3.9	Pacific Cost-Benefit Analysis (P-CBA) Training
3.10	Capacity Building Program for Asia on Project Preparation, Incorporating Adapt Tools, Guides, and Methods
3.11	Tools, Guidelines and Methodologies
	Technical assistance in preparing funding proposals
4.1	Reducing Vulnerability of Cambodian Rural Livelihoods
4.2	Assist NABARD, India to Prepare Adaptation Fund Projects
4.3	Urban Adaptation Project in India (ICLEI)
4.4	Philippines, Valenzuela Pre-Feasibility Study
4.5	Trial a Screening Tool for Climate Change Adaptation on Asian Development Bank (ADB) Investment
4.6	Developing a Demonstration Site on CCA, Gender and Community Forestry
4.7	Timor Leste Building Shoreline Resilience to Protect Local Communities
4.8	Implementation of Arafura and Timor Seas Strategic Action Program

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 1.1: PUBLICATION OF USAID ADAPT ASIA-PACIFIC KNOWLEDGE PRODUCTS		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>The project will consolidate knowledge products and document lessons learned, best practices, and on-ground project preparation experiences accumulated over the past three years of working in the region (mainly derived from Task 3 and 4). These knowledge products will be used to complement USAID Adapt Asia-Pacific's ongoing capacity building activities. The project team will also identify potential demand for the knowledge products, dissemination methods, and outreach opportunities to better engage and reach end-users through APAN and other potential platforms. In addition, the project will continue producing other knowledge products (such as e-discussion reports) through implementing a community of practice, including the USAID Adapt Asia-Pacific-supported APAN Exchange Series on Climate Change Adaptation, which has been gaining momentum in the past year. Other means of strengthening knowledge management and outreach include conducting interviews with project beneficiaries and showcasing USAID Adapt Asia-Pacific knowledge products and activities in the project's quarterly newsletters.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Published the final proceedings report from the USAID Adapt Asia-Pacific 3rd Annual Forum • Shared summary reports from ECCA webinar sessions and topical email-based discussions conducted with Forum alumni and other stakeholders • Conducted interview/CoP articles with USAID Adapt Asia-Pacific beneficiaries, and other newsletter stories and briefing notes highlighting Task 3 and 4 activities • Worked with Ministry of Natural Resources and the Environment in Samoa on the use of the project-developed V&A methodology • Supported community forestry, gender and climate change adaptation in Nepal 		<p>Results:</p> <ul style="list-style-type: none"> • IR 3.1: One (1) regional environmental platform (APAN) strengthened • Produced and distributed 18 knowledge products including articles, newsletter, CoP summaries, factsheet, etc.
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Develop knowledge products documenting lessons learned and findings from Tasks 3 and 4 activities and disseminate through APAN web portal • Identify potential demand for the knowledge products, dissemination methods, and outreach opportunities to better engage and reach end-users through APAN and beyond. • Produce and publish quarterly newsletters that highlight USAID Adapt Asia-Pacific activities in the region • Continue producing other knowledge products (such as e-discussion reports) through implementing a Community of Practice 	<p>Timing:</p> <ul style="list-style-type: none"> Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 3.1: One regional environmental platform (APAN) strengthened

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 1.2: USAID ADAPT ASIA-PACIFIC SUPPORTED COMMUNITY OF PRACTICE		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>This activity focuses on piloting and strengthening the communities of practice of USAID Adapt Asia-Pacific and APAN stakeholders. These communities of practice enable government officials and key practitioners in target countries to interact with experts and with each other to gain knowledge in an online space. In FY15, 'The Exchange Series' will continue as an effective CoP modality in collaboration with the Task 4 Team Leader (at least four, one each quarter, in FY15). The project will also conduct other CoP activities, for example, through interviews and/or live chats as appropriate and needed by other USAID Adapt Asia-Pacific's team leaders. These activities will be publicized to boost the current level of interactivity among participants including forum alumni.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Conducted the email-based discussion format for The Exchange Series on CCA • Ensured the sustainability of the CoP by housing the Exchange under the APAN platform • Conducted webinar and interviews to support the project's activities in the ECCA program 		<p>Results:</p> <ul style="list-style-type: none"> • IR 3.1: One regional environmental platform (APAN) strengthened
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Continue quarterly Exchange Series as an effective CoP modality in collaboration with the Task 4 Team Leader • Continue support to ECCA capacity building program and other activities • Produce interview pieces and/or video clips focusing on project activities • Conduct a live chat session with expert on topics including CCA and gender, climate finance, etc. 	<p>Timing:</p> <ul style="list-style-type: none"> Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 3.1: One regional environmental platform (APAN) strengthened

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 1.3: STRENGTHENING KNOWLEDGE MANAGEMENT CAPACITY AND KEY ACTION IMPLEMENTATION		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: December 2011
<p>Description of Activity:</p> <p>This activity will include further strengthening APAN's knowledge sharing capacity by connecting with other major networks in the field. USAID Adapt Asia-Pacific successfully increased the number of users on its website from an annual total of 13,203 in FY13 to 23,428 in FY14. The number of users of APAN's regional knowledge sharing platform, from which USAID Adapt Asia-Pacific's knowledge products have also been disseminated, reached approximately 33,000 in the 4th quarter of FY14, during the period when APAN organized its bi-annual regional forum.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Provided comments on APAN's Operational Guidelines and Principles, the key internal document that stipulates the network's functions and partnerships • Published Online Gender Sourcebook on the APAN web portal • Facilitated collaboration between APAN web portal and the Eldis website to improve and strengthen the APAN web portal • Assisted APAN in revamping its platform in FY14, which was enhanced through a more user-friendly interface and website design for APAN's web portal and USAID Adapt Asia-Pacific's website • Supported launching of APAN forum microsite in July 2014 to better engage APAN forum participants and facilitate the registration process 		<p>Results:</p> <ul style="list-style-type: none"> • IR 3.1: One (APAN) regional environmental platform strengthened
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Continue making recommendations for strengthening APAN's function including discussion of the APAN's Operational Principles and Guidelines and taking actions • Continue strengthening functions of APAN as a leading regional knowledge sharing platform • Continue to increase the capacity of related knowledge sharing platforms and networks with APAN, IDS, and others through USAID Adapt Asia-Pacific • Continue upgrading the APAN and USAID Adapt Asia-Pacific websites • Continue hosting Online Gender Sourcebook • Host Exchange Series operated on the APAN web portal • Host USAID Adapt Asia-Pacific FY15 knowledge products on the APAN web portal in an improved indexed and searchable manner 	<p>Timing:</p> <ul style="list-style-type: none"> Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 3.1: One (APAN) regional environmental platform strengthened

TASK ONE: REGIONAL KNOWLEDGE SHARING PLATFORM

ACTIVITY 1.4: STOCK TAKING OF SUPPORT TO APAN AND WAY FORWARD		
Location: Bangkok (Thailand), TBD	Partners: Asia Pacific Adaptation Network (APAN)	Start Date: February 2015
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific's support to APAN to date has focused on strengthening its knowledge management and dissemination capacity. With the project entering its penultimate year, the focus going forward will need to be on transitioning the ownership of developing and disseminating knowledge products to APAN over the next two years and reinvigorating the institutional partnership between USAID and APAN.</p> <p>In line with the recommendations of the mid-term evaluation and with the above objective in mind, a Stock Taking activity will inventory the project's support to APAN to date and measure its effectiveness to build sustainable capacity of APAN. Based on this inventory a dialogue between the key stakeholders of APAN and USAID RDMA will be facilitated with the aim of strengthening the ongoing partnership, seeking further avenues of cooperation and agreeing on an action plan for the project's support to APAN over the next two years. The focus of the action plan will be to strengthen APAN's capacity to take forward the current knowledge management functions of USAID Adapt Asia-Pacific and to seek commitment from APAN to continue to expand its network and knowledge management with respect to climate change adaptation finance.</p>		
Activities and Results in FY 2014		
Main Activities: New Activity		Results: N/A
Planned Activities and Results in FY 2015		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> • Conduct a broad-based assessment of the project's support to APAN to date and its effectiveness in building sustainable capacity and develop a long-term strategy for APAN to continue the project's critical knowledge management roles on a sustainable basis. 	Q2-Q3	<ul style="list-style-type: none"> • IR 3.1: One regional environmental platform (APAN) strengthened
<ul style="list-style-type: none"> • Facilitate a dialogue between RDMA, USAID Adapt Asia-Pacific, and APAN with the aim of strengthening the partnership and agreeing on a way forward for the next two years and beyond in line with RDMA's regional engagement. 	Q3-Q4	
<ul style="list-style-type: none"> • Continue exploring the possibility of USAID to join the APAN Steering Committee as a supporting organization 	Q3-Q4	

TASK TWO: ANNUAL FORUM

ACTIVITY 2.1: SUPPORTING SUB-REGIONAL EVENTS		
Location: Bangkok (Thailand), TBD	Partners: Asia Pacific Adaptation Network (APAN), Sub-regional nodes of APAN	Start Date: February 2015
<p>Description of Activity:</p> <p>The mid-term evaluation of USAID Adapt Asia-Pacific recommended that the project review its overall strategy in hosting the annual forum. Specifically, the evaluation team recommended the project take into consideration the 'value for money' of hosting such a large event and focus more on the long-term capacity building impact of the annual event. In line with the recommendations of the mid-term review and in consultation with USAID, the project has decided to not host an annual forum in FY15. Instead in FY15 USAID Adapt Asia-Pacific will focus on supporting two events being hosted by the sub-regional nodes of APAN. This will allow the project to contribute to the technical agenda of these events and support training in areas directly relevant to the overall objectives of USAID Adapt Asia-Pacific.</p> <p>The first event to be supported will be the <i>Resilient Cities Asia-Pacific: the 2015 Congress</i> organized by ICLEI – Local Governments for Sustainability, to be held in Bangkok, Thailand, from February 11 to 13, 2015. The project will support participation of up to 5 participants and contribute to two plenary sessions to share USIAD Adapt Asia-Pacific's knowledge and lessons learned with a wider audience on gender considerations in climate change adaptation activity planning and financing urban resilience.</p> <p>Other possible capacity development opportunities are being explored with the Climate Action Network South Asia (CANSA, APAN's node for South Asia) and the Secretariat of the Pacific Regional Environment Programme (SPREP, APAN's node for the Pacific) on themes relevant to the project, including access to finance and project preparation in each sub-region. Other possible opportunities that USAID Adapt Asia-Pacific could consider are the regional symposium on 'adaptation planning and climate finance' to be organized by the Korea Environment Institute (KEI, an APAN supporting partner) in early September in Korea.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> Organized the Third Annual Forum, in partnership with UNDP, on 15-16 September, 2014 in Siem Reap, Cambodia Over 100 participants attended including officials from 17 Asian governments, four Pacific Island Countries, six development partners, and representatives of vertical funds Published Forum Proceedings, conducted post-forum survey 		<p>Results:</p> <ul style="list-style-type: none"> IR 1.1: 672 person hours of training completed in climate change supported by USG assistance IR 2.6: \$74,971 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Support up to 5 participants and contribute to two plenary sessions at the <i>Resilient Cities Asia-Pacific: the 2015 Congress</i>. Organized by ICLEI – Local Governments for Sustainability Identify two other sub-regional events to collaborate and support through participants and organizing technical sessions Prepare outcomes summary of the sessions/events supported and evaluation summary 	<p>Timing:</p> <p style="text-align: center;">Q2</p> <p style="text-align: center;">Q3-Q4</p> <p style="text-align: center;">Q3-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> IR 1.1: 1,200 person hours of training completed in climate change supported by USG assistance IR 2.6: (TBD) of funds from non-USAID sources mobilized and applied to support project preparation facility objectives

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.1: GOVERNMENT TRAINING SUPPORT PROGRAM		
Location: Regional	Partners: Various National Governments	Start Date: April 2013
<p>Description of Activity: USAID Adapt Asia-Pacific's 2012 regional assessment of capacity building needs in CCA for the region concluded there were a number of courses in and outside the region that would further the project's objectives if support were extended to eligible government staff. In early 2013, competitive procedures and criteria were developed, provided to USAID, and approved. Particularly targeted are officials from central ministries, e.g. Finance, Planning, Prime Minister's Office and major line ministries dealing with key climate change adaptation sectors. The project is currently offering a variety of training courses that include, among others, climate change adaptation in agriculture and natural resources management, climate risk management in a changing environment, GIS for disaster risk management, and community forestry for adaptation to climate change. The program will be continued in 2015 with approximately 20 mid- to senior-level government officials receiving training primarily from Asia regional institutions. USAID Adapt Asia-Pacific's ultimate purpose in sending government officials to these training courses is to build their capacity to properly identify and develop adaptation proposals to access financing. In addition, USAID Adapt Asia-Pacific will use these trained government officials as champions to initiate project preparation and capacity building activities with their respective government institutions. In 2014, an ex-post assessment report conducted for the purpose of ascertaining the level of 'impact' achieved by some trainees six months to one year after the training showed that the trainees have advanced their awareness and use of the acquired knowledge about climate adaptation and finance back in their home offices. Again, in 2015, the trainees, who will have completed six months and 12 months since their training, will be asked a series of questions in the form of an impact evaluation that will indicate whether and how the skills acquired during the training have been employed in their work.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • 16 government officials were competitively selected and participated in different training workshops on climate change adaptation 	<p>Results:</p> <ul style="list-style-type: none"> • IR 1.1: 1,146 person hours of training completed in climate change supported by USG assistance 	
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Continual monitoring and selection of new and appropriate training courses • Selection of new candidates • Compilation of course assessments by the candidates • Maintenance of database • Impact evaluation 	<p>Timing:</p> <ul style="list-style-type: none"> Q1-Q4 Q1-Q4 Q1-Q4 Q1-Q4 Q3-Q4 	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 1,500 person hours of training completed in climate change supported by USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.2: WATERSHED-BASED ADAPTATION TO CLIMATE CHANGE (WACC)		
Location: Regional	Partners: National Research Council of Thailand, Ministry of Environment of Cambodia, Lao and Vietnam, U.S. Forest Service. National Center for Atmospheric Research (U.S.)	Start Date: October 2014
<p>Description of Activity:</p> <p>WACC activities began in 2014 with a letter of cooperation between the National Research Council of Thailand (NRCT) and the US Forest Service (USFS). NRCT is providing financial support for this effort, while USAID Adapt Asia-Pacific is providing critical co-financing. WACC is a regional effort that is expected to include participation from four countries at least through December 2015. They include Cambodia, Lao PDR, Thailand and Vietnam. Formal cooperative agreements will be sought among the four countries by January/February 2015. A Thai NGO and Ramkhamhaeng University have been contracted by NRCT to undertake technical work, in cooperation with the three other countries, USFS and the National Center for Atmospheric Research, which has a separate letter of cooperation with NRCT and will work directly with Ramkhamhaeng University. By mid-year, an initial draft of a model climate change vulnerability and adaptation assessment (VAA) will be produced, drawing on field work and experiences of the four participating countries as well as USFS experience. By the end of December 2015, the model VAA will be presented at an international seminar held at the project site in central Thailand and widely disseminated through international journals and other media; the model VAA is expected to be used in future in all four participating countries and perhaps more widely in Southeast Asia. A course outline and an interpretative display based on this experience will be prepared for delivery at an international environmental studies center located at the project site. The four countries will deliberate among themselves to determine whether and how this regional cooperative program can continue beyond 2015 to build on the progress made.</p> <p>The project site is located in Petchaburi watershed in central Thailand, specifically in the environs of the Sirindhorn International Environmental Park (SIEP), established in 2003. SIEP serves as an international learning center on issues of energy, natural resources, and environment, networking with government agencies, NGOs, private sector and others, domestically and internationally. In addition, the Park serves as a knowledge hub for local livelihood improvement and for the Self-Sufficiency Philosophy, while linking science and technology with local wisdom and indigenous knowledge for sustainable development.</p>		
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Support a regional meeting to map out the methodologies and procedures for developing a model climate vulnerability and adaptation assessment • Receive formal endorsement from participating countries • Prepare a draft model climate change vulnerability and adaptation assessment for review by participating countries and partners • Prepare for international conference 	<p>Timing:</p> <p>Q1</p> <p>Q2</p> <p>Q3-Q4</p> <p>Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2. One institution with improved capacity to address climate change issues as a result of USG assistance • IR 2.6: US\$10,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.3: PHILIPPINES – ASSIST DEPARTMENT OF FINANCE (DOF), TO ACHIEVE ADAPTATION FUND ACCREDITATION		
Location: Philippines	Partners: Department of Finance (DoF)	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific has been supporting DoF for the last two and a half years with its pursuit of accreditation as a national implementing entity (NIE) with the UNFCCC Adaptation Fund (AF). The project helped DoF carry out a rapid assessment of its readiness to commence the accreditation process, which the assessment concluded it was. USAID Adapt Asia-Pacific then supported DoF prepare its application for AF accreditation, which was submitted in December 2012. For the past two years, the project has assisted DoF respond to several rounds of comments from AF regarding its ability to address AF's criteria for accreditation. The primary issue from the outset has been the inadequacy of DoF's internal audit system and procedures per AF criteria. It now appears that DoF is prepared to move forward with strengthening its internal audit system per AF criteria. An internal Department Order is being drafted for the Secretary's signature to authorize this activity. The approved Department Order will allow DoF to hire staff and build the capacity of this unit. Assuming the Department Order is approved and DoF receives a positive, time-bound response from AF regarding next steps for accreditation, the project will continue to support DoF.</p>		
Activities and Results in FY2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Worked with DoF to improve its adaptive capacity to qualify for accreditation as a NIE for the UNFCCC Adaptation Fund • Continued to advise DoF in its response to comments received from the AF Accreditation Panel regarding DoF's application as a national implementing entity. 		<p>Results:</p> <ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance
Planned Activities and Results in FY2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Meet with DoF in early November to take stock of DoF's intentions and take a decision on the appropriateness of further support • Continue support of the national consultant to coordinate the structuring of the internal audit unit and to respond to all other remaining comments • Provide contracting of a national specialist with experience in internal audit to help DoF in the nuts and bolts of structuring the new unit 	<p>Timing:</p> <p style="text-align: center;">Q1</p> <p style="text-align: center;">Q2-Q4</p> <p style="text-align: center;">Q2-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.4: INDONESIA – SUPPORTING INDONESIA CLIMATE CHANGE TRUST FUND (ICCTF)		
Location: Indonesia	Partners: Indonesia Climate Change Trust Fund (ICCTF), BAPPENAS	Start Date: August 2012
<p>Description of Activity:</p> <p>ICCTF was initially set up under the direct support of UNDP and followed UNDP’s rules on procurement, financial management, and recruitment among other functions. Throughout the course of 2014, USAID Adapt Asia-Pacific has helped ICCTF transition from UNDP tutelage to become a fully-fledged government body under the National Planning Agency (BAPPENAS).</p> <p>To date, USAID Adapt Asia-Pacific has assisted ICCTF with a project selection and ranking system, improvements to its monitoring and evaluation system, and an initial foray into its fund raising strategy. The selection and ranking system was trialed at a workshop in 2014 and stakeholders began the process of identifying and preparing projects for funding, several of which have now been approved. Through cooperation between USAID Adapt Asia-Pacific and the bilateral USAID mission, USAID/Indonesia recently decided to allocate an initial contribution of about US\$5 million to the ICCTF. The national government will also provide funding for ICCTF’s role in assisting with implementation of the recently approved National Adaptation Plan (RAN-API).</p>		
Activities and Results in FY2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Helped ICCTF transition from UNDP tutelage to become a fully-fledged government body under the National Planning Agency (BAPPENAS) • Developed a project selection tool so that requests for funding can be prioritized • Developed a monitoring and evaluation tool so donors can be assured that their funds are being well utilized • Contributed to the new business plan for the fully government controlled body and assisted with identification of potential funding sources • Hired local consultant to assist in project preparation of several projects which are now under implementation • Worked with USAID Indonesia to find a way to fund adaptation activities through ICCTF 	<p>Results:</p> <ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance 	
Planned Activities and Results in FY2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Help identify projects and implementing agencies and formulate the highest priority projects with USAID funding and funding to be made available from the national budget and other donors. • Assist with preparing projects for USAID Indonesia financing • Continue assisting in project preparation and mobilizing additional resources to ICCTF 	<p>Timing:</p> <p>Q2-4</p> <p>Q2-4</p> <p>Q2-4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance • IR 2.1: Two climate change adaptation projects approved or in the pipeline with facilitated access to finance • IR 2.3: XXX (TBD) people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance • IR 2.4: \$XXX (TBD) investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK THREE: CLIMATE CHANGE ADAPTATION CAPACITY BUILDING PROGRAM

ACTIVITY 3.5: TONGA CLIMATE FINANCE ASSESSMENT		
Location: Tonga	Partners: Government of Tonga, UNDP, Pacific Islands Forum Secretariat (PIFS)	Start Date: January 2015
<p>Description of Activity:</p> <p>The Ministry of Finance and National Planning, Kingdom of Tonga, has requested UNDP and the Pacific Island Forum Secretariat (PIFS), and other partners, including USAID Adapt Asia-Pacific, to undertake a Climate Financing and Risk Governance Assessment (CFRGA) that will make a practical assessment of options in order to strengthen the institutional capacity for improved access to and management of international climate and disaster funding for the Kingdom of Tonga.</p> <p>The proposed assessment will comprise seven areas, as follows: institutional analysis; funding source analysis; policy, planning and coordination; PFM and expenditure analysis; human resource management and development; aid and development effectiveness; and M&E, communication and reporting. USAID Adapt Asia-Pacific will provide the public financial management (PFM) specialist.</p>		
Activities and Results in FY 2014		
Main Activities: New Activity		Results: N/A
Planned Activities and Results in FY 2015		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> • Define sources of climate change and disaster risk reduction funding for Kingdom of Tonga (Expenditure Analysis) • Undertake a PFM systems review • Assist the Institutional and Risk Governance and Capacity Expert undertake an institutional & capacity assessment • Input to overarching climate and disaster finance assessment • Input to draft and final reports 	<ul style="list-style-type: none"> Q2 Q2 Q2 Q2 Q2-Q3 	<ul style="list-style-type: none"> • IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance • IR 2.5: One climate mitigation and/or adaptation tool

TASK THREE: CLIMATE CHANGE ADAPTATION CAPACITY BUILDING PROGRAM

ACTIVITY 3.6: STRENGTHENING CLIMATE FINANCE IN THE PACIFIC

Location: Pacific	Partners: UNDP, ADB, GCF, SPREP	Start Date: March 2015
<p>Description of Activity:</p> <p>USAID Adapt Asia-Pacific has been working successfully with Pacific countries and regional institutions to build their capacity to access climate finance. A number of the regional institutions have requested further assistance and present opportunities for long-term sustainable capacity building. At the same, as the major financing source for climate change adaptation in the near term, the Green Climate Fund (GCF) will need to be a major focus of the remaining two years of the USAID Adapt Asia-Pacific project. Following recent pledging of more than US\$10 billion, GCF is expected to start disbursements in late 2015. In FY15, USAID Adapt Asia-Pacific subject to confirmation by RDMA of available funding for the Pacific, it is proposed to conduct further detailed discussions with the following institutions to decide on the level of additional support that will be provided. A number of development agencies are providing assistance in the Pacific so USAID Adapt Asia-Pacific will need to be highly selective in entering this field, possibly building in partnership with the following institutions:</p> <ul style="list-style-type: none"> • The Pacific Islands Forum Secretariat (PIFS): To date, USAID Adapt Asia-Pacific (jointly with UNDP and PFTAC) has assisted PIFS with a climate finance assessment for the Republic of the Marshall Islands (RMI) and another similar assessment is planned for Tonga. PIFS has provided a long list of possible areas of support that USAID Adapt Asia-Pacific could provide, although in total the level of effort would be well beyond the resource limitations of the project. Further detailed discussions are required to scope the level of assistance. • Pacific Small Island Developing States (SIDS) to prepare for GCF financing. Early contact with GCF on how USAID Adapt Asia-Pacific can best assist Pacific SIDS will be needed before finalizing this activity. • Regional Technical Support Mechanism (RTSM) being funded under ADB's regional PPCR project. As SPREP is also accredited as a RIE for the Adaptation Fund and is expected to apply for similar status with the GCF, SPREP will also have access to significant amounts of adaptation finance. The precise nature of the assistance to be provided to the RTSM needs to be discussed with ADB and SPREP, but one possible focus could be to help the RTSM develop a sustainability strategy, which would allow it to continue after the PPCR funds are utilized. 		
Activities and Results in FY 2014		
<p>Main Activities:</p> <p>New Activity</p>		<p>Results:</p> <p>N/A</p>
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Carry out a scoping mission to the Pacific to define Pacific program activities • Strengthen PIFS regional role in climate finance • Assist selected Pacific SIDS with readiness and preparatory activities to facilitate accessing GCF climate financing • Assist operationalization of ADB/SPREP RTSM for project preparation to access climate financing 	<p>Timing:</p> <p>Q2</p> <p>Q3-Q4</p> <p>Q3-Q4</p> <p>Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2: Three institutions with improved capacity

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.7: REGIONAL CAPACITY BUILDING PROGRAM ON THE ECONOMICS OF CLIMATE CHANGE ADAPTATION (ECCA)		
Location: Regional	Partners: UNDP, Yale University, Asian Development Bank	Start Date: May 2012
<p>Description of Activity:</p> <p>The capacity building (CB) assessment carried out by USAID Adapt Asia-Pacific in 2012 clearly identified the economics of CCA as one of general interest that currently is not being well served in the region. In conjunction with UNDP, Yale University, and the Asian Institute of Technology, a concept paper was prepared by the project and revised in July 2012. A regional consultative meeting of governments from Asia in October 2012 resulted in consensus on the overall objectives of the program, i.e. to train a cadre of government staff at the national, subnational and sector levels who can produce high quality economic analyses of standalone CCA projects and investment projects with adaptation components, and who can also undertake sector economic analysis in the water and agriculture sectors. The products of the program will be used in two ways, the first to develop a university-level course on economics analysis of CCA, and the second to integrate economic analysis of CCA into future national adaptation plans and project preparation activities.</p> <p>USAID Adapt Asia-Pacific with its partners delivered two regional workshops in 2014. 2015 will mark the completion of the initial phase of this regional program. The 10 participating countries will complete data analyses and prepare reports and case studies. The findings will be presented to a regional meeting of senior policy makers from the participating countries, demonstrating how cost-benefit analysis has been used in their countries to support rational decision-making on climate change adaptation action. At the time of the meeting, UNDP is expected to present a proposal on how they will expand this initiative into a second phase. Drawing on this work, a post-graduate program on the economics of climate change adaptation will be developed through the United Nations University in Japan for delivery in the region by late 2015/early 2016.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • The third training workshop (17-20 September 2014), at which the country teams were provided hands-on training to clean and analyze their data and use the data to draw preliminary conclusions on how their findings can influence decision-making on CCA actions within the water resources and agriculture sectors 	<p>Results:</p> <ul style="list-style-type: none"> • IR 1.1: 2,124 person hours of training completed in climate change supported by USG assistance • IR 2.6: US\$123,408 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives. 	
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Meet with the United Nations University representative to discuss a draft course outline based on ECCA that is proposed to be delivered region-wide through UNU system • Collaborate with the UNU team in preparation of the international course • With continued support from the country mentors, most teams will complete data collection/analysis and prepare reports to present to selected senior decision-makers from the region in July 2015 • 4th training workshop 	<p>Timing:</p> <p style="text-align: center;">Q2</p> <p style="text-align: center;">Q2-Q4</p> <p style="text-align: center;">Q3</p> <p style="text-align: center;">Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 750 person hours of training completed in climate change supported by USG assistance IR 2.6: US\$XXX (TBD) of funds from non-USAID sources mobilized and applied to support project preparation facility objectives.

TASK THREE: CLIMATE CHANGE ADAPTATION CAPACITY BUILDING PROGRAM

ACTIVITY 3.8: DEVELOP AND DELIVER THE COURSE ON URBAN CLIMATE CHANGE ADAPTATION

Location: Regional	Partners: East-West Center, Regional Institution, other institutions (TBD)	Start Date: May 2012
<p>Description of Activity:</p> <p>Since 2012, the East-West Center has been working with USAID Adapt Asia-Pacific to design a course to address capacity gaps in urban climate change project preparation. EWC has provided: (i) an identification of major gaps in training programs available to government staff in the region that address urban CCA; (ii) a description of a training course that would help to address the identified gaps; (iii) a clear understanding of the main target(s) for the training, both in terms of course participants and learning institutions that would be best placed to deliver the course; and (iv) a detailed draft course outline, work program and timeline for completion of the course design and materials. The final course design and materials will be prepared following a review of the draft course outline and will then be incorporated into the curriculum of one or more universities and/or other institutes of higher learning.</p> <p>The development of course materials was almost completed in FY14 and an initial roll out is expected in FY15. Modules 1 through 4 have been revised and expanded with Modules 5, 6 & 7 on Mainstreaming, Project Proposal Preparation, and Financing Options added, respectively. The course will be ready for beta-testing in FY15Q3 with initial launches anticipated in Asia and the Pacific in the FY15Q4. The course will then be finalized and delivered along with training materials to an institution of higher learning in the region that will be identified by the East-West Center and agreed by USAID Adapt Asia-Pacific and USAID. Partial assistance will be provided to that institution to deliver the course to participants that will be largely comprised of teachers/trainers from the region. This training of trainers' approach, if successful, is expected to be maintained at the regional level and would also be "translated" into the curriculum of one or two national institutions (e.g. a national university that offers adult training courses for municipal officials) and thereby be tailored for the specific needs of the selected countries.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Course structure was finalized • Modules 1 through 4 were finalized • Three additional modules were drafted to focus on mainstreaming, project preparation and finance • All modules in final review stage 		<p>Results:</p> <p>N/A</p>
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Complete review and finalize course materials • Identify regional institutions for beta-testing the course material and initial launch • Assist institutions to deliver the course through a training of trainers' approach • Conduct two beta-testing training workshops 	<p>Timing:</p> <p>Q2 Q2-Q3 Q3-Q4 Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 720 person hours of training completed in climate change supported by USG assistance

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.9: PACIFIC COST-BENEFIT ANALYSIS (P-CBA) TRAINING		
Location: Pacific region	Partners: ADB, GIZ, UNDP, USP, PIFS, SPC, SPREP	Start Date: January 2014
<p>Description of Activity:</p> <p>Since January 2014, USAID Adapt Asia-Pacific is leveraging the lessons learned from the ongoing USAID Adapt Asia-Pacific/UNDP Economics of Climate Change Adaptation capacity building program to extend Cost-Benefit Analysis training in the Pacific (P-CBA). The P-CBA training is being developed over an 18-month period in close collaboration with the UNDP and GIZ with the University of the South Pacific, a potential host for integrating the resulting materials into its permanent curriculum for its graduate students in the Faculty of Business and Economics (FBE). CBA training has been delivered to date in the four PICS of Fiji, FSM, Vanuatu, and Samoa, principally through in-country activities and mentoring opportunities. USAID Adapt Asia-Pacific will continue to support this initiative in FY15 through the provision of a Regional Coordinator on a cost-sharing basis with UNDP.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Prepared and presented an Options Report (course design, delivery mechanism and longer-term sustainability of P-CBA) as a foundation for discussion at the regional P-CBA Planning Meeting in Suva, Fiji • Participated at the regional P-CBA Planning Meeting along with other partners, such as ADB, GIZ, UNDP, PIFS and SPC • Provided a Regional P-CBA Coordinator to conduct in-country CBA training courses 		<p>Results:</p> <ul style="list-style-type: none"> • IR 2.6: \$107,570 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Conduct in-country CBA training courses in FSM, Samoa and Vanuatu • Conduct in-country CBA training courses in Tonga and Solomon Island • Conduct in-country CBA training course in Tuvalu • Prepare CBA case studies • Oversee development a 10-credit module for integration and delivery by USP • Final closing workshop 	<p>Timing:</p> <ul style="list-style-type: none"> Q1 Q2 Q3 Q2-4 Q2-4 Q4 	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.1: 1,750 person hours of training completed in climate change supported by USG assistance • IR 2.6: US\$120,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives

TASK THREE: CLIMATE CHANGE ADAPTATION CAPACITY BUILDING PROGRAM

ACTIVITY 3.10: CAPACITY BUILDING PROGRAM FOR ASIA ON PROJECT PREPARATION, INCORPORATING ADAPT TOOLS, GUIDES, AND METHODS		
Location: Regional	Partners: TBD	Start Date: March 2015
<p>Description of Activity:</p> <p>In line with the recommendations of the mid-term evaluation, the project will link the key lessons from project preparation to regional capacity building programs. USAID Adapt Asia-Pacific now has considerable experience in working with partners to develop climate change adaptation projects and has developed a number of useful project preparation tools. This experience will be documented in a “lessons learned” publication. USAID Adapt Asia-Pacific will further examine the possibility of a regional training program on project preparation, with participants provided with mentors, and required to prepare specific projects for graduation at the end of the program. While the details of this regional training program need to be worked out, there is an exciting possibility of doing this in conjunction with ADB and rolling out its ‘Aware’ climate screening system. The training program may encompass:</p> <ul style="list-style-type: none"> • Developing the Gender Sourcebook information into a training module with ICCCAD; • Incorporating the revised economic toolkit; • Using the project preparation guidelines; and • Building on elements of the urban resilience training modules. 		
Activities and Results in FY 2014		
Main Activities: New Activity		Results: N/A
Planned Activities and Results in FY 2015		
Planned Activities:	Timing:	Expected Results:
• Finalize lessons learned publication from project preparation activities	Q1-Q2	• IR 1.1: 540 person hours of training completed in climate change supported by USG assistance
• Identify potential partner organization/s for developing and delivering training	Q2-Q3	
• Develop course design and course materials	Q2-Q3	
• Pilot-test training program	Q4	

TASK THREE: CAPACITY BUILDING

ACTIVITY 3.11: TOOLS, GUIDELINES AND METHODOLOGIES		
Location: Regional	Partners: dTS, UNDP and selected government institutions involved in project preparation	Start Date: 2013
<p>Description of Activity: Three important USAID Adapt Asia-Pacific-developed tools/guidelines will be widely disseminated in 2015. They include the Online Sourcebook for Integrating Gender into Climate Change Adaptation Proposals, which will be made available through the APAN web portal; the Toolkit on the Economics of Climate Change Adaptation, which draws on experience from the ECCA program and will be revised together with UNDP, published and distributed widely throughout the Asia-Pacific region; and the Climate Change Adaptation Project Preparation Guidelines, that will be published and distributed regionally for use by project preparation teams in undertaking vulnerability assessments and developing project proposals with appropriate adaptation options.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Finalized the gender sourcebook in hardcopy form incorporating comments from an international Technical Advisory Group • Distributed the sourcebook at two international climate change adaptation workshops in the Pacific • Distributed the Toolkit on the Economics of Climate Change Adaptation to participants from 11 countries to the ECCA workshop for comments • Prepared Guidelines for Climate Proofing Rural Cambodian Community Water Supply Ponds and provided to Cambodia's Ministry of Rural Development for use • Developed posters of the Guidelines in English and Khmer • Drafted Climate Change Adaptation Project Preparation Guidelines 	<p>Results:</p> <p>IR 2.5: Two climate mitigation and/or adaptation tools, technologies, and methodologies developed, tested, and/or adopted as a result of USG assistance</p>	
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Launch on-line gender source book through the APAN web portal • Continue to promote the use of the gender sourcebook at regional events • Revise and print ECCA Toolkit based on ECCA participants and partners' review • Finalize and publish Climate Change Adaptation Project Preparation Guidelines • Continue to use the Guidelines to support project activities 	<p>Timing:</p> <p style="text-align: center;">Q1</p> <p style="text-align: center;">Q1-Q4</p> <p style="text-align: center;">Q1-Q4</p> <p style="text-align: center;">Q3</p> <p style="text-align: center;">Q1-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.5: One climate mitigation and/or adaptation tool, technology, and methodology developed, tested, and/or adopted as a result of USG assistance

TASK FOUR: TECHNICAL ASSISTANCE IN PREPARING FUNDING PROPOSALS

ACTIVITY 4.1: REDUCING THE VULNERABILITY OF CAMBODIAN RURAL LIVELIHOODS		
Location: Cambodia	Partners: Government of Cambodia, UNDP, Global Environment Facility LDCF	Start Date: March 2014
<p>Description of Activity:</p> <p>This Government of Cambodia and UNDP project involves supporting national and sub-national governments to mainstream adaptation for more resilient livelihoods through climate sensitive development planning and budget allocation. This project is fully in line with the GEF LDCF focal area objective 1 to “reduce vulnerability to the adverse impacts of climate change, including variability, at local, national, regional and global level”. Project components include:</p> <ul style="list-style-type: none"> • Enhancing climate sensitive planning, budgeting and execution through an integrated package of support along with the development planning and budgeting process; • Making concrete investments in climate-resilient water infrastructure and resilient livelihood support; and • Reinforcing the incentive mechanism for climate resilient planning, budgeting and execution that is currently being pilot tested by NCDDS, and strengthening the role of subnational administrations as a key player in climate resilience building. <p>An UNDP project preparation grant (PPG) team of experts was engaged to prepare a GEF LDCF compliant project document for funding. As the key project outputs include specific gender-related concerns and targets, the Government of Cambodia requested USAID Adapt Asia-Pacific to provide a National Gender Specialist to support the PPG team. The Specialist conducted an assessment on gender disparities, such as the extent to which the existing design elements for rural infrastructure take into account the needs of women, helping to align the LDCF interventions with the Ministry of Women’s Affairs (MoWA) ongoing work and detailing a specific gender activity that addresses the gender-differentiated needs and impacts of climate change on female farmers and women-headed households.</p> <p>During FY15, USAID Adapt Asia-Pacific will continue and complete its support to the Government of Cambodia, in collaboration with UNDP, in developing a specific gender activity under the “Strengthening the resilience of Cambodian rural livelihoods and subnational government systems to climate risks and variability” project being prepared for GEF funding. When authorized, this project will benefit rural households in Banteay Meanchey and Kampong Thom Provinces.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Conducted a baseline assessment in addition to community and government consultations • Developed targeted and budgeted gender sensitive activities in the project design 	<p>Results:</p> <p>N/A</p>	
Planned Activities and Results in FY 2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Finalize the Gender Report and inputs to the Project Document • Final submission of Project Document to GEF 	<p>Timing:</p> <p>Q1-Q2</p> <p>Q2-Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance • IR 2.2: One CCA project with specific gender component • IR 2.3: 938,034 people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance • IR 2.4: US\$18.9 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.2: ASSIST NABARD, INDIA TO PREPARE ADAPTATION FUND PROJECTS		
Location: India	Partners: NABARD	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>India's National Bank for Agriculture and Rural Development (NABARD) is Asia-Pacific's first organization to achieve accreditation as a national implementing entity (NIE) for the UNFCCC Adaptation Fund (AF). Following in-depth discussions with NABARD in August 2013 in Mumbai, USAID Adapt Asia-Pacific, is working closely with NABARD staff and four individual EEs to strengthen and finalize four CCA project proposals for submission to the AF. Two projects were approved by AF in October 2014 with a total funding of US\$3.1 million.</p> <p>During FY15 the project will continue to work closely with NABARD staff and selected Executing Entities (EEs) to prepare 2-3 additional CCA project proposals for submission to the AF for financing. USAID Adapt Asia-Pacific support to date has demonstrated the quality of project documentation and the appraisal skills required to obtain AF finance. Through field visits and working sessions with NABARD and its partner NGOs (the EEs that make project proposals to NABARD for funding by the AF, and then implement the projects once they are approved) USAID Adapt Asia-Pacific has: (i) explained the AF requirements; (ii) given step-by-step guidance on how to prepare the project documents, as well as edited draft project documents prepared by EEs, and provided models and formats for results frameworks, tables, text and annexes; and (iii) provided hands-on training and feedback to staff of NABARD and EEs. These activities have built a degree of confidence in NABARD and begun the long process of building capacity within NABARD and a limited number of its EEs to prepare projects that are fully compliant with the AF guidelines. To further improve NABARD's internal procedures and processes to identify and prioritize projects vis-à-vis prospective EE project proposals USAID Adapt Asia-Pacific will continue to work with NABARD during FY15 to draft and finalize a suite of knowledge products to facilitate a more rigorous process to select, shortlist, assess the vulnerability, develop and appraise project proposals for AF financing.</p>		
Activities and Results in FY14		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Signed MoU for Adapt Asia-Pacific cooperation • Assisted NABARD to respond to AF comments on three CCA project concept notes and four project proposals • Assisted four EE project proponents to finalize project proposals • Support development of methodologies for vulnerability assessment and for project evaluation • Initiated capacity building of NABARD and its EEs in project identification and preparation 		<p>Results:</p> <p>N/A</p>
Planned Activities and Results in FY15		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Extend MOU for cooperation with NABARD • Assist NABARD to finalize two additional project proposals for submission to AF • Support NABARD with preparation of one additional CCA project concept for AF approval • Support NABARD and EE with development of approved project concept to project proposal • Finalize and trial USAID Adapt Asia-Pacific supported tools, guides, and methodologies on future NABARD-sponsored CCA project proposals • Continue to build capacity of NABARD and its EEs to prepare CCA project proposals 	<p>Timing:</p> <p style="text-align: center;">Q1</p> <p style="text-align: center;">Q1-Q4</p> <p style="text-align: center;">Q2</p> <p style="text-align: center;">Q3-Q4</p> <p style="text-align: center;">Q1-Q4</p> <p style="text-align: center;">Q1-Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 1.2: Three institutions with improved capacity to address climate change issues as a result of USG assistance • IR 2.1: Four climate change adaptation projects approved with facilitated access to finance • IR 2.3: XXX (TBD) people benefitting from climate change adaptation project approved or in the pipeline as a result of USG assistance • IR 2.4: \$XXX (TBD) investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: TECHNICAL ASSISTANCE IN PREPARING FUNDING PROPOSALS

ACTIVITY 4.3: INDIA - URBAN ADAPTATION PROJECTS IN INDIA (ICLEI)		
Location: India	Partners: City, State and National Governments, India; ICLEI-South Asia; Cities Development Initiative for Asia (CDIA)	Start Date: FY14 Q1
<p>Description of Activity:</p> <p>During FY15, USAID Adapt Asia-Pacific will continue to provide technical support to ICLEI - South Asia, an international association of local governments, to build on the Rockefeller Foundation-funded urban resilience strategies in the three Indian cities of Bhubaneswar, Shimla, and Mysore to prepare bankable climate change adaptation projects for financing. The Manila-based Cities Development Initiative for Asia (CDIA), a project preparation arm of the Asia Development Bank (ADB), was brought in as a partner on this initiative. A tripartite agreement among the parties sets out the individual roles and responsibilities for the overall assignment. To date the USAID Adapt Asia-Pacific/ICLEI/CDIA team has introduced the CDIA City Infrastructure Investment Programming and Periodization (CIIPP) tool, the analytical framework that this activity is employing, to the respective city and state officials. Follow-up visits have been made to all three cities with the objective of developing a shortlist of priority projects for each city, in addition to having a clear picture of each city's financial position. Mysore is the most advanced of the three Indian cities with respect to identifying a shortlist of projects, which includes solid waste management, storm water drainage, city bicycle paths, and solar energy.</p>		
Activities and Results in FY 2014		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Visited the three Indian cities of Shimla, Bhubaneswar and Mysore to introduce the CDIA City Infrastructure Investments Prioritization and Programming (CIIPP) tool • Validated and discussed the suitability of climate change adaptation interventions with city and state officials for possible inclusion in the CIIPP workshops 		Results: N/A
Planned Activities and Results in FY2015		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Finalize the project identification and prioritization phase, along with the analysis of the collected financial data, and organization of a CIIPP stakeholder meeting in Mysore to present and agree on the prioritization of a project that will be developed as a pre-feasibility study for financing • Organize, commence and carry out the pre-feasibility study after the CIIPP stakeholder workshop that is tentatively scheduled for Mysore in late January 2015 • Continue project identification and prioritization, as well as collection and analysis of financial data, in Bhubaneswar and Shimla during FY15 	<p>Timing:</p> <p align="center">Q2</p> <p align="center">Q3-Q4</p> <p align="center">Q2-Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> •

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.4: PHILIPPINES – VALENZUELA PRE-FEASIBILITY STUDY		
Location: Philippines	Partners: Cities Development Initiative for Asia (CDIA), City Government of Valenzuela, Government. of Philippines Department of Public Works & Highways (DPWH)	Start Date: September 2014
<p>Description of Activity:</p> <p>Cities Development Initiatives for Asia (CDIA), a regional initiative established in 2007 by the Asian Development Bank and the Government of Germany that provides support to medium-sized Asian cities to bridge the gap between their development plans and the preparation of infrastructure investment projects, and USAID Adapt Asia-Pacific are partnering in cooperation with the City Government of Valenzuela in conducting a prefeasibility study (PFS) of the city of Valenzuela (one of the 16 highly urbanized cities of Metropolitan Manila). The aim of the PFS is to reduce the adverse economic, social, and environmental impacts of flooding in the most affected area in the western and southern parts of the city. The PFS will encompass an infrastructure investment project that will assist the city to identify an integrated approach to internal drainage and external flood control problems that currently exist and that are likely to worsen in future as a result of continued population growth, urban expansion and climate change related impacts. USAID Asia-Pacific is providing two consultants (i.e. an international economist and a national environmental specialist) to work with a six-person CDIA team of experts. Preliminary work indicates that an investment amount of US\$54.15 million is required not including land acquisition costs and detailed engineering design work. CDIA/USAID Adapt Asia-Pacific launched the PFS at a kick-off meeting in September. USAID Adapt Asia-Pacific will continue to support preparation of the PFS during FY15, with the final report scheduled for March 2015. USAID Adapt Asia-Pacific will also assist the city with identifying potential sources of finance for a future investment project (e.g. ADB, WB and German bilateral assistance).</p>		
Activities and Results in FY14		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Launched the PFS at a Sept. 2014 kick-off meeting • Supported preparation of the Inception Report for discussion with Valenzuela city officials 		<p>Results:</p> <p>N/A</p>
Planned Activities and Results in FY15		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Review the PFS Interim Report and ensure PFS design meets the requirements of potential funding sources • Provide technical input for preparation of PFS • Review and comment on draft final and final reports • Assist Valenzuela City identify potential sources of finance for the PFS 	<p>Timing:</p> <p>Q1</p> <p>Q1-Q2</p> <p>Q2</p> <p>Q2-Q3</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.1: One climate change adaptation project approved or in the pipeline as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.5: TRIAL A SCREENING TOOL FOR CLIMATE CHANGE ADAPTATION ON ASIAN DEVELOPMENT BANK (ADB) INVESTMENT		
Location: Regional	Partners: Asian Development Bank (ADB)	Start Date: June 2014
<p>Description of Activity:</p> <p>In response to an Asian Development Bank (ADB) Board of Directors instruction, all ADB investment projects submitted to the Board for approval must have passed through a climate screening process and demonstrate that climate change has been considered adequately in the project design. USAID Adapt Asia-Pacific is providing a senior consultant to assist ADB in climate risk screening and assessment of projects to ensure that they are climate resilient, supporting climate risk and vulnerability assessment (CRVA) for specific investment projects deemed at risk to climate change, providing overall quality assurance of CRVA approaches at the country level, and assisting in preparation of high-quality climate change adaptation investment proposals.</p> <p>In FY 2015, USAID Adapt Asia-Pacific will finalize the initial phase of trialing the screening tool and anticipates assisting ADB project staff to apply the rapid assessment software (AWARE) which has been developed to conduct such screening, and where necessary preparing applications for specialized climate adaptation funding to assist in improved project preparation. In addition, in consultation with ADB, USAID Adapt Asia-Pacific may select a pilot country for trialing the rolling out of the Aware climate change screening software at a national level.</p>		
Activities and Results in FY14		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Screened ADB project pipelines and provided comments • Assisted in accessing climate funds for project preparation • Prepared improved projects to be submitted for Board approval • Reviewed and commented on draft paper, “ A Mechanism for Assessment of Climate Change Risks and Identification of Adaptation Options for PARD Projects and Programs” • Initiated climate change adaptation-relevant sections of the draft Country Partnership Strategy for Timor Leste 		<p>Results:</p> <p>N/A</p>
Planned Activities and Results in FY15		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Finalize reporting for initial screening and determine results • Ensure all project staff in ADB are capable of using the screening tool • Select a pilot country for trialing the rolling out of the Aware climate change screening software • Commence trial of the Aware software 	<p>Timing:</p> <p>Q1-Q2</p> <p>Q2-Q4</p> <p>Q3</p> <p>Q4</p>	<p>Expected Results:</p> <ul style="list-style-type: none"> • IR 2.1: One climate change adaptation project approved or in the pipeline as a result of USG assistance • IR 2.5: One climate mitigation and/or adaptation tool, technology, and methodology, developed, tested, and/or adopted as a result of USG assistance – vulnerability assessment tool

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.6: DEVELOPING A DEMONSTRATION SITE ON CLIMATE CHANGE ADAPTATION, GENDER AND COMMUNITY FORESTRY

Location: Nepal	Partners: RECOFTC – The Center for People and Trees, and Nepal's Sarlahi District Forestry Office and Soil Office	Start Date: FY 2014 Q3
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Description of Activity:

In the last quarter of FY14, USAID Adapt Asia-Pacific signed a partnership with Regional Community Forestry Training Center for Asia and the Pacific (RECOFTC) – The Center for People and Forests for a two-year co-funded activity. Through this activity a demonstration and learning site has been established in Bishnupur of Sarlahi District of Nepal. The aim is to: (i) develop a long-term demonstration site that will identify gender-sensitive community forestry based climate change adaptation activities through participatory approaches; (ii) support the District Forestry Office (DFO) and a women-only community forestry user group (CFUG) in the development of project proposals; and (iii) implement those activities in a women-only CFUG. Additionally, the proposed activity will promote learning and replication nationwide through visits by representatives from national/sub-national Ministry of Forests and Soil Conservation and other Nepal government officials, civil society organizations, CFUGs from other regions of Nepal, and other interested parties.

During FY15, USAID Adapt Asia Pacific will continue its partnership with RECOFTC – The Center for People and Trees working in Nepal's Sarlahi District to provide adaptation support to the District Forestry Office (DFO) and the women-only community forestry user group (CFUG). The activity will establish and trial a community forestry landscape-based vulnerability assessment and adaptation option identification methodology that leads to the development of projects for funding. This methodology is intended to be scaled up to RECOFTC's other focal countries around the region in the future. To date, the USAID Adapt Asia-Pacific/RECOFTC team developed a draft vulnerability assessment. Over FY15, the activity's overall framework and methodology will be refined, leading to a finalized vulnerability assessment and identification of adaptation interventions in the first half of the year. In the second half of the year, the project will begin to implement these interventions and to develop the necessary project proposals for external funding of at least one of the interventions. Additionally, a second field visit is envisaged for the second half of FY15 in order to run a training for the DFO officials and community members on conducting vulnerability assessment and identifying adaptation interventions.

Activities and Results in FY14

Main Activities:

- Field mission and launch of activity
- Initial vulnerability and adaptation assessment in the project area completed
- Held one-day workshop for validation of V&A

Results:

N/A

Planned Activities and Results in FY15

Planned Activities:

- Consultation on demonstration site, V&A methodology and potential adaptation options
- Refine and finalize vulnerability assessment and identification of adaptation interventions
- Work with District Forestry Office and District Soil Office to incorporate CCA into Community Forestry Operation Plan for demonstration site
- Train DFO officials and community members on conducting V&A and identifying adaptation interventions
- Develop project proposal for external funding

Timing:

Q1

Q1-Q2

Q1-Q3

Q3

Q3-Q4

Expected Results:

- IR 2.1: One climate change adaptation project approved or in the pipeline as a result of USG assistance
- IR 2.2: One facilitated project approved or in the pipeline with a specific gender component

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.7: TIMOR LESTE – BUILDING SHORELINE RESILIENCE TO PROTECT LOCAL COMMUNITIES		
Location: Timor Leste	Partners: UNDP, Government of Timor Leste	Start Date: FY15 Q2
<p>Description of Activity: UNDP and USAID Adapt Asia-Pacific have agreed to partner in the preparation (PPG team) of a US\$7 million shoreline resilience project for Global Environment Fund (GEF) LDCF financing. The project aims to: (i) establish the policy framework and institutional capacity for climate resilient coastal management; (ii) establish mangrove-based livelihoods to incentivize mangrove rehabilitation and protection; and (iii) adopt integrated approaches to coastal adaptation to contribute to protection of coastal populations and productive lands. In defining its overall role in project preparation, USAID Adapt Asia-Pacific will explore with UNDP opportunities to develop an additional activity directly with Timor Leste national government institutions related to the design and implementation of the present coastal management project. USAID Adapt Asia-Pacific will contribute two international specialists (ecosystem and gender) to the PPG team.</p>		
Activities and Results in FY 2014		
Main Activities: New Activity		Results: N/A
Planned Activities and Results in FY15		
Planned Activities:	Timing:	Expected Results:
<ul style="list-style-type: none"> Participate in the inception workshop launching the project preparation Design and implement parallel capacity building activity to support PPG preparation USAID Adapt Asia-Pacific's consultants work with the Project Preparation Team on the design of the full-scale project documents Full-scale project preparation document finalized and submitted for GEF's approval 	<ul style="list-style-type: none"> Q2 Q2-Q3 Q2-Q4 Q4 	<ul style="list-style-type: none"> IR 1.2: One institution with improved capacity to address climate change issues as a result of USG assistance IR 2.1: One climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.2: One facilitated project approved or in the pipeline with a specific gender component IR 2.3: Approximately 20,000 people benefiting from the climate change adaptation project approved or in the pipeline as a result of USG assistance IR 2.4. Approximately US\$34.5 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance

TASK FOUR: PROJECT PREPARATION

ACTIVITY 4.8: IMPLEMENTATION OF ARAFURA AND TIMOR SEAS STRAEGIC ACTION PROGRAMS		
Location: Timor Leste	Partners: UNDP	Start Date: FY 2015
<p>Description of Activity:</p> <p>This project is intended to implement a strategic action plan for the Arafura and Timor Seas prepared by UNDP and the Governments of Indonesia and Timor Leste. USAID Adapt Asia-Pacific will support this activity once there is confirmation by UNDP of accessing finance and composition of their team. We also need to clarify any potential connection with USAID-supported Coral Triangle Initiative and the extent to which climate change is a significant focus of this project</p> <p>USAID Adapt Asia-Pacific is requested to attend the project preparation inception workshop during the week of April 13 to be held in Dili. It is expected that the USAID Adapt Asia-Pacific consultant(s) will be on board before that and available to participate in the Dili workshop. The design team will remain in TL for field work and consultations for about a week. Thereafter, they will proceed to Jakarta for consultations and then proceed to suggested project sites, over 3 weeks of mission. This schedule will be finalized as soon as the hiring of the UNDP team is finalized.</p>		
Activities and Results in FY 2014		
Main Activities: New Activity		Results: N/A
Planned Activities and Results in FY15		
Planned Activities:	Timing	Expected Results:
• Attend Inception Workshop	Q2	• IR.2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance
• Mobilize team for field work	Q 2-3	
• Prepare draft project document	Q3	
• Submit project document to GEF for funding	Q4	

