

ADAPT Asia-Pacific

Fiscal Year 2013 Work Plan

Implemented by AECOM International Development



Year Two Work Plan

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Climate Change Project Preparation Facility for Asia and the Pacific (ADAPT Asia-Pacific)

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Climate Change Project Preparation Facility for Asia and the Pacific (ADAPT Asia-Pacific)

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Acronyms

ADAPT	Asia Climate Change Project Preparation Facility
ADB	Asian Development Bank
ADPC	Asian Disaster Preparedness Center
AF	Adaptation Fund
AIT	Asian Institute of Technology
APAN	Asia Pacific Adaptation Network
AusAID	Australian Agency for International Development
CANSA	Climate Action Network South Asia
CCA	Climate Change Adaptation
CI	Conservation International
COR	Contracting Officer's Representative
CPEIR	Climate Public Expenditure and Institutional Review
CROP	Council of Regional Organizations of the Pacific
DO	Development Objective
DOF	Department of Finance
GCF	Green Climate Fund
EWC	East-West Center
GEF	Global Environmental Facility
GIZ	German International Cooperation Agency
IGES	Institute for Global Environmental Strategies
ICCTF	Indonesia Climate Change Trust Fund
ICLEI	International Council for Local Environmental Initiatives
IR	Intermediate Result
IRRI	International Rice Research Institute
IWMI	International Water Management Institute
JICA	Japanese International Cooperation Agency
KM	Knowledge Management
LDCF	Least Developed Countries Fund
LOC	Letter of Cooperation
MDBs	Multilateral Development Banks
MIS	Management Information System
MOEJ	Ministry of Environment of Japan
MONRE	Ministry of Natural Resources and Environment
MOU	Memorandum of Understanding
M&E	Monitoring and Evaluation
NIE	National Implementing Entity
OCCC	Office of Climate Change Coordination
ONEP	Office of Natural Environmental Policy and Planning
PIFS	Pacific Islands Forum Secretariat
PPCR	Pilot Project on Climate Resistance
PMP	Performance Management Plan
RDMA	Regional Development Mission for Asia
REO	Regional Environmental Office of RDMA

RTG	Royal Thai Government
RTSM	Regional Technical Support Mechanism
SEI	Stockholm Environment Institute
SIDA	Swedish International Development Cooperation Agency
SPREP	Secretariat of the Pacific Regional Environment Programme
TA	Technical Assistance
TICA	Thailand International Cooperation Agency
UNEP	United National Environment Program
UNFCCC	United Nations Framework Convention on Climate Change
USP	University of South Pacific
WRI	World Resources Institute

Table of Contents

Work Plan Summary.....	7
1.0 Background and Context	9
1.1. Contract Overview	9
1.2. FY12 Results Overview	10
1.2.1. Key Results in FY12	10
1.2.2. FY12 PMP Results.....	12
1.3. Work Plan Overview.....	13
2.0. FY 13 Work Plan Implementation Approach	13
3.0. ADAPT Asia-Pacific FY13 Work Plan Activities	15
3.1. Regional Knowledge Sharing Platform.....	15
3.1.1. Implementation Approach	16
3.1.2. Developing Overarching Knowledge Management Strategies	16
3.1.3. Supporting APAN as an Integrated Knowledge Management Platform.....	16
3.1.4. Establishment of Communities of Practice as a Knowledge Sharing Tool.....	17
3.2. ADAPT Asia-Pacific Annual Forum	17
3.2.1. Implementation Approach	17
3.2.1. Preparing the Annual Forum	17
3.2.2. Annual Forum	18
3.2.3. Post Forum Activities	18
3.3. Climate Change Adaptation Capacity Building Program.....	19
3.3.1. Implementation Approach	19
3.3.2. Support to Existing Capacity Building Programs and Institutions	19
3.3.3. Developing New Capacity Building Programs	20
3.3.4. Direct Technical Support to Government Institutions.....	21
3.3.5. Capacity Building through TA Projects.....	22
3.3.6. Incorporating Gender Considerations into ADAPT Asia-Pacific Activities	22
3.4. Technical Assistance in Preparing Funding Proposals	22
3.4.1. Implementation Approach	22
3.4.2. Project Preparation Support in Asia	23
3.4.3. Project Preparation Support in the Pacific.....	24
3.5. Overarching Program Management and Coordination	24
3.5.1. Maintain Robust Project and Financial Reporting Systems	24
3.5.2. Coordination with Bilateral Missions and Development Partners.....	25
3.5.3. Development of Outreach and Communication Tools and Activities	25
4.0. FY13 Timeline for ADAPT-Asia-Pacific	27

5.0.	Performance Management Indicators and Targets for FY13	28
6.0.	Attachment A: Activities by Countries	29
7.0.	Attachment B: Activity Summary Sheets.....	35

Work Plan Summary

Key Challenges. The Asia-Pacific region is highly vulnerable to the impacts of climate change and related natural disasters due in part to the high concentration of people living in vulnerable coastal areas and river basins, and current climate variability. Many countries in the region already face flooding, drought, and intensifying storm events. Key economic sectors, especially in developing economies in the region, are also vulnerable to climate change.

Globally, the financing needs for climate change adaptation in developing countries for 2010-2050 are estimated at \$100 billion per year. As part of the 2009 Copenhagen Accord, Parties to the United Nations Framework Convention on Climate Change agreed to provide eligible developing countries worldwide \$30 billion in “fast-start” financing for 2010-2012, while setting a goal of mobilizing \$100 billion per year by 2020. Developing countries, however, face major capacity challenges in preparing project proposals to access these funds. An urgent task for the development community, therefore, is to assist developing countries in preparing high quality adaptation projects. At present there is no adequate mechanism in Asia and the Pacific meeting this need.

Program Approach. Promoting sustainability is at the heart of ADAPT Asia-Pacific. Key elements of the ADAPT Asia-Pacific approach include:

- Employing stakeholder-driven programming that ensures close dialogue between countries and funds;
- Collaborating closely with development partners, including multilateral and bilateral missions and donors, through strategic partnerships that leverage each partner’s comparative advantages and mutual interests; and
- Facilitating counterpart exchange, including sustained twinning partnerships, which leverage country knowledge and commitment.

Summary of Tasks and Key Activities in FY13. The principal objective of ADAPT Asia-Pacific is to establish a fully functional and self-sustaining adaptation project preparation facility that will not only support preparation of specific projects, but also build the capacity of the region’s governments to independently access climate adaptation financing. To establish a sustainable project preparation facility, in FY13 ADAPT Asia-Pacific will work closely with funding organizations, capacity building institutions, multilateral institutions, and government agencies from countries across the region in focused activities in five key areas:

1. Sustainable regional knowledge sharing platform strengthened:
 - Formulate a strategy for the strengthening of the merged Asia Pacific Adaptation Network (APAN)/Adaptation Knowledge Platform (AKP) knowledge platform.
 - Develop and operationalize a web-based integrated knowledge management (KM) platform that serves both ADAPT Asia-Pacific’s and APAN’s needs and activities.
 - Design and launch a Community of Practice (CoP) as a knowledge sharing tool.
 - Develop and incorporate into the platform various information and knowledge products, including Annual Forum-related materials, database for adaptation finance, regular

newsletters, upcoming event information, and summaries of e-discussions hosted by the CoP.

2. Annual forum to bring partners together to share knowledge and information:
 - Organize and conduct a two-day, including half-day training session, ADAPT Asia-Pacific Second Annual Forum in the Pacific region in the July-August 2013 timeframe back-to-back with a Council of Regional Organizations of the Pacific (CROP) institution, such as Secretariat of the Pacific Regional Environment Programme (SPREP), Secretariat of the Pacific Community (SPC), or Pacific Island Forum Secretariat (PIFS); the Annual Forum will focus on key climate change adaptation financing challenges facing the Pacific region.
 - Organize a 1-2 hour parallel session at APAN's Third Adaptation Forum to be held in late February/early March 2013 in either Malaysia or Korea.
3. Climate change adaptation project capacity building program:
 - Support existing capacity building programs and institutions, including support attendance of government officials at high-quality training programs; strengthen the capability of regional institutions to provide programs that support national efforts to produce and access financing for high-quality CCA programs/projects; and support to the University of the South Pacific (USP) in developing a sustainable capacity building program that will provide training courses for government officials in the Pacific.
 - Develop new capacity building programs, including design and delivery of "Economics of Climate Change Adaptation" and other training courses.
 - Provide direct technical support to government institutions, including strengthening the capacity of Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) of MONRE (Ministry of Natural Resources and Environment); supporting other selected target countries in gaining access to international climate funds; supporting CPEIR (Climate Public Expenditure and Institutional Review) work in selected target countries; strengthening the capacity of other national institutions on a demand basis; and mainstreaming climate finance through targeted technical assistance in partnership with ADB and other development organizations.
 - Provide capacity building programs, which will make use of best practices and case studies from ADAPT Asia-Pacific-supported projects.
 - Incorporate gender considerations in ADAPT Asia-Pacific activities through the introduction of gender considerations in TA projects and capacity building programs and development of a gender and CCA sourcebook.
4. Technical assistance in preparing adaptation funding proposals:
 - Provide project preparation support in Asia through the establishment of a facility/database to rapidly mobilize teams to support provision of necessary technical assistance to develop adaptation proposals; preparation of a project selection, ranking, and tracking mechanism that can be used in multiple countries; and cooperation with multilateral development partners to prepare joint CCA projects for financing.
 - Provide project preparation support in the Pacific. This will entail completing project preparation work underway in Tuvalu and Samoa; exploring potential support of AusAID and other stakeholders for the proposed Regional Technical Support Mechanism (RTSM) under the regional PPCR (Pilot Project on Climate Resilience) program in the Pacific;

exploring potential joint work with AusAID on establishment of national climate funds and domestic financing of climate change adaptation; and continuing to identify project preparation opportunities on a strategic basis, particularly in partnership with UNDP, ADB, and the World Bank.

5. Overarching program management and coordination for the aforementioned four technical tasks.
- ADAPT Asia-Pacific will continue supporting key technical tasks with robust reporting and outreach activities, including a quarterly e-newsletter, success stories and website updates on key accomplishments.

As cross-cutting themes, ADAPT Asia-Pacific will promote regional networking, gender mainstreaming and other social equity issues.

Implementation Team. AECOM serves as the prime contractor for ADAPT Asia-Pacific, providing overall technical, management, coordination and communications support in combination with subcontractors and resource partners. Further, IGES serves as the principal subcontractor implementing key tasks related to knowledge management and the annual forum. AECOM will also engage, as appropriate, small business subcontractors (e.g., dTS and Kaizen Company) and resource partners (APAN, USP, EWC, AIT, AIM, and ADPC) into the implementation of key activities in project year two.

1.0 Background and Context

1.1. Contract Overview

Based in Bangkok, Thailand, the United States Agency for International Development (USAID) Regional Development Mission for Asia (RDMA) supports regional activities that address regional and transnational development and environment priorities, and provides value-added support to USAID bilateral missions. To support achievement of the RDMA's Development Objective of "Improved response to environmental challenges in Asia," RDMA awarded a technical support services contract to AECOM to implement the Climate Change Adaptation Project Preparation Facility for Asia and the Pacific, hereinafter referred to as the ADAPT Asia-Pacific project. ADAPT Asia-Pacific addresses capacity and information needs of eligible governments in the Asia and Pacific Islands regions in accessing climate change adaptation funds and accelerating investments in initiatives that increase resilience to the negative impacts of climate change.

The principal objective of ADAPT Asia-Pacific is to establish a fully functional and self-sustaining adaptation project preparation facility that will not only support preparation of specific projects, but also build the capacity of the region's governments to independently access climate adaptation funds. To establish a sustainable project preparation facility, the ADAPT Asia-Pacific will work closely with funding organizations and government agencies from countries across the region in focused activities in five key areas: (1) sustainable regional knowledge sharing platform; (2) annual forum to bring adaptation funds and project proponents together; (3) climate change adaptation project capacity building program; (4) technical assistance in preparing funding proposals; and (5) overarching program management and coordination for the afore-mentioned four technical tasks.

Geographic Scope:

The following Asian countries are eligible to participate in ADAPT Asia-Pacific: Bangladesh, Cambodia, India, Indonesia, Laos, Maldives, Mongolia, Nepal, Philippines, Sri Lanka, Thailand, Timor-Leste, and Vietnam. Eligible nations in the Pacific include: Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu.

1.2. FY12 Results Overview

1.2.1. Key Results in FY12

The key outputs for ADAPT Asia-Pacific in FY12 can be highlighted as follows, with the targets achieved under the contract's Performance Management Plan (PMP) set out in the following section

1.2.2.:

- Successful project start-up, including staff mobilization; office arrangements; and project management systems.
- FY12 Work Plan and PMP developed and approved by RDMA.
- ADAPT Asia-Pacific First Annual Forum and Proceedings.
- Initial successful engagement with USAID bilateral missions and relevant development partners (UNEP, UNDP, ADB, and World) to introduce ADAPT Asia-Pacific and explore partnership opportunities.
- Design, development and launch of ADAPT Asia-Pacific website.
- Completion of three key baseline assessments on existing climate change adaptation projects, climate change adaptation funds/financing mechanisms, and existing regional capacity building/training courses and regional training institutions in the Asia region, which underpinned ADAPT Asia-Pacific's strategic approach to activity identification and design during FY12 for tasks 3 and 4, capacity building and project preparation, and will guide the way forward for FY13.
- Established cooperation with UNDP on preparation of Global Environment Facility (GEF) Least Developed Country Fund (LDCF)-financed climate-resilient adaptation infrastructure projects in Lao PDR, Nepal, and Samoa, which led to approximately 180,000 people benefiting from the climate change adaptation projects and leveraged over US\$35M from GEF and co-financing sources.
- Designed the concept and initiated a Community of Practice (CoP) in conjunction with the integrated ADAPT Asia-Pacific/APAN website.
- Conceptualized and designed an "Economics of Climate Change Adaptation" capacity building program in cooperation with UNDP and Asia Institute of Technology (AIT), Bangkok.
- Partnered with UNDP in the design and conduct of a training course entitled "Regional Clinic on the Design and Management of National Climate Funds" with the participation of more than 50 government officials, climate change fund managers and development partners from Asia and the Pacific.
- Conducted a desk study on gender in climate change adaptation (CCA) projects and climate finance.

- With the inclusion of the 14 Pacific island countries into ADAPT Asia-Pacific's Geographic Scope, the ADAPT Asia-Pacific team undertook successful consultative missions to USAID/Philippines and to Suva, Fiji, to meet with the U.S. Embassy officials and a broad range of bi- and multilateral development partners (UNDP, ADB, AusAID, and EU) and regional organizations (SPC, PIFS, and USP).
- Reached agreement with ADB to cooperate in the preparation of adaptation projects in a range of countries, including Cambodia (3), Sri Lanka (1), Regional Living Himalayas Initiative (1), Lao PDR (1), and Vietnam (4).
- Reached agreement with the World Bank to cooperate in the preparation of adaptation projects and the strengthening of institutional adaptive capacity in Cambodia, the Philippines, Samoa, and the Solomon Islands.
- Facilitated signing of a Letter of Cooperation (LoC) between USAID RDMA and ADB to partner with ADAPT Asia-Pacific on activities of mutual interest and discussions ongoing with UNEP, UNDP, and the World Bank for similar LoCs.
- Started technical assistance program to strengthen institutional adaptive capacity of Thailand's ONEP, the Philippines Department of Finance (DoF), and Indonesia's Climate Change Trust Fund (ICCTF) to improve the conditions to access climate adaptation financing.

1.2.2. FY12 PMP Results

ADAPT-Asia-Pacific achieved or exceeded the targets set out under the contract's PMP, as follows.

Program Element 4.8.2. Clean Productive Environment

PMP Indicators		FY12 Targets	FY12 Results
D.O.1	Number of stakeholders with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance	2	2
1.1	Number of laws, policies, strategies, plans, agreements, or regulations addressing climate change formally proposed, adopted or implemented as a result of USG assistance	1	1
1.2	Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	\$70,000	\$91,274
2.1	Number of institutions with improved capacity to address climate change issues as a result of USG assistance	2	2
2.2	Person hours of training completed in climate change supported by USG assistance	400	1,817 (1,282.5 men hours; 534.5 women hours)
3.1	Number of climate change adaptation projects approved or in the pipeline with facilitated access to finance	2	3
3.2	Number of facilitated projects approved or in the pipeline with a specific gender component	1	1
3.3	Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance	5,000	176,767 (47% women)
3.4	Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance	0	\$ 35,572,896
3.5	Number of climate change adaptation tools, technologies, methodologies and best practices developed and/or adopted as a result of USG assistance	1	1
4.1	Number of regional environmental platforms created or strengthened	1	1

1.3. Work Plan Overview

The ADAPT Asia-Pacific FY13 Work Plan consists of five main sections and two attachments: (1) Background and Context; (2) Implementation Approach; (3) Work Plan by Task; (4) Program Activities Timeline Table; and (5) Performance Management Plan Indicators and Targets for FY13. Attachment A provides a summary of potential activities by target countries. Some of these activities are at the planning stage and will require further assessment prior to taking a decision to proceed to implementation. Attachment B (Activity Summary Sheets) provides detailed information about key activities that ADAPT Asia-Pacific will implement in FY13.

2.0. FY 13 Work Plan Implementation Approach

Strategic Approach to Work Plan Implementation

The original premise of the ADAPT Asia-Pacific project was that national governments in the Asia and Pacific region had recognized the increasing impacts of climate change, but were facing a range of constraints in accessing the presumed increasing quantity of external climate change adaptation funds available globally. The original aim of the project was to assist national governments overcome these constraints and accelerate access to adaptation funds through targeted technical assistance and capacity building.

Early baseline investigation by the project found that there was very little adaptation funding available from the specialized climate change funds (like the UNFCCC Adaptation Fund) for adaptation purposes and, in any case, direct access by the national governments themselves was the exception, rather than the rule. Until very recently, there was not one national institution in either the Asia or Pacific regions that had achieved accreditation for direct access to the Adaptation Fund. In addition, ADAPT Asia-Pacific's initial assessment determined that most of the external funding was either intermediated by or provided directly by multilateral development agencies or through bilateral aid programs. As a result, ADAPT Asia-Pacific has evolved toward a more collaborative effort with the multilateral agencies to supplement their own project preparation financing and human resources. This gradual evolution in strategic approach proved very successful during FY12 with joint project preparation underway with UNDP, ADB, and the World Bank in various countries in the Asia and Pacific regions, including Lao PDR, Nepal, Tuvalu, Cambodia, and Samoa. However, as an approach to building long-term national capacity to prepare bankable climate change adaptation projects and to increase access to climate adaptation financing, ADAPT Asia-Pacific always perceived this cooperation as a short-term vehicle. The principal objective was to quickly launch project activities and to help identify entry-points where ADAPT Asia-Pacific can pursue its longer-term goal of building sustainable capacity with national governments.

In addition, investigation of the need for climate change adaptation financing suggests that external financing is unlikely to be sufficient, even if the USD100 billion amount pledged for 2020 materializes (of which approximately half would be available for adaptation). Therefore, the FY13 Work Plan builds on the successes and lessons learned from FY12 to further broaden ADAPT Asia-Pacific's strategic approach to include investigation of current levels of financing available through domestic public finance sources for climate adaptation and the capacity of national government officials to

mobilize domestic public finance, in addition to analysis of domestic financing mechanisms, such as national climate funds. These analyses will round out ADAPT Asia-Pacific's understanding of how best to assist national governments to increase access to adaptation financing. The project's intent with this type of capacity building activity is to ultimately enhance the ability of national governments to effectively and efficiently utilize public climate finance for the preparation of bankable climate change adaptation projects. This continuing evolution in approach has already led to ADAPT Asia-Pacific capacity building initiatives during FY12 in the Philippines and Indonesia, with the potential for similar type activities in FY13 in Viet Nam and Samoa.

Adaptive Management

Constant changes in the climate change finance environment, such as establishment of the Green Climate Fund, require flexibility and adaptive management in implementing a climate change finance-related project. In implementing key tasks under ADAPT Asia-Pacific, AECOM will employ a strategic, demand-driven approach that identifies key opportunities and adjusts emphasis, as appropriate, to ensure the highest probability of achieving ADAPT Asia Pacific program targets. As such, the ADAPT Asia-Pacific's management team will work closely with the COR and bilateral missions to identify the most promising target country opportunities and make the course adjustments necessary to ensure optimal project results and outcomes.

Twinning Partnerships

Central to achieving ADAPT Asia-Pacific's long-term objective of sustainability is leveraging the capabilities and good will of counterpart agencies through peer-to-peer exchanges and learning. ADAPT Asia-Pacific will employ a series of activities from the outset of the project that will promote building linkages between counterpart country agencies to enable sharing of information on what works and what does not. Linkages will take the form of information exchange, shared learning, sustained twinning partnerships, and joint creation of toolkits and other knowledge products. In FY13 ADAPT Asia-Pacific will begin to initiate twinning partnerships to ensure that successes are demonstrated and replicated across the region.

Demand-Driven Programming

The success of ADAPT Asia-Pacific will depend on meaningful buy-in from target country USAID Missions and government agencies and close collaborative engagement with relevant government institutions. To ensure effective collaboration with these stakeholders, from the inception phase of program implementation, ADAPT Asia-Pacific reached out to key partners, bilateral missions and government institutions to gauge their interest in climate change adaptation project preparation and capacity development in participating countries. To support ongoing collaboration, ADAPT Asia-Pacific is establishing collaborative mechanisms such as decision-maker working groups, Letters of Cooperation (LoCs), interactive information-sharing tools, and Communities of Practice (CoP) to increase national government interest in the program's proposed activities. ADAPT Asia-Pacific will continue to reach out to participating countries to provide technical assistance in developing bankable climate change adaptation projects in order to effectively and efficiently access adaptation finance.

Strategic Partnership with Development Partners

Strategic partnerships with funding organizations and development agencies have proven critical to the rapid start-up and comprehensive development of the ADAPT Asia-Pacific project preparation facility. From the outset of programming, ADAPT Asia-Pacific has reached out to priority funding agencies and development partners to discuss and arrange strategic partnerships aimed at “quick start” successes in terms of financed adaptation projects, and cooperative mechanisms for leveraging ADAPT Asia-Pacific resources. ADAPT Asia-Pacific offers a range of collaborative inputs in terms of project technical assistance, networking and knowledge management that help funding organizations and development partners meet their own funding targets.

Integrated Approach to Capacity Building and Project Preparation

A self-sustaining knowledge transfer project preparation facility implies effective integration of ADAPT Asia-Pacific Tasks 3 (Capacity Building) and 4 (Project Preparation) activities. While for the purposes of developing the FY13 Work Plan, capacity building and project preparation are described separately, both tasks are linked and fall along a continuum of program technical support that at points in time will evolve from project preparation to capacity building and vice versa. For example, an activity that begins as a Task 4 project preparation collaborative initiative with a multilateral development partner will ideally evolve into a capacity building or mentoring effort with the host national government in the process of introducing the tools and methodologies employed and the lessons and best practices learned from the initial activity. Conversely, a Task 3 institutional strengthening activity, for example to build capacity to access adaptation financing through accreditation with an adaptation fund and/or through design and establishment of a national trust fund, could evolve to a project preparation activity when projects submitted for financing by national line ministries are screened, evaluated and prioritized for their appropriateness.

3.0. ADAPT Asia-Pacific FY13 Work Plan Activities

For ADAPT Asia-Pacific, AECOM is implementing activities in five main tasks areas:

- Task 1: Regional Knowledge Sharing Platform;
- Task 2: ADAPT Asia-Pacific Annual Forum;
- Task 3: Climate Change Adaptation Capacity Building Program;
- Task 4: Technical Assistance in Preparing Funding Proposals; and
- Task 5: Overarching Program Management and Coordination.

Under the guidance of RDMA and in consultation with USAID bilateral missions and development partners, AECOM’s FY13 Work Plan identifies main activities, outputs, expected outcomes and resource requirements in accordance with the project PMP.

3.1. Regional Knowledge Sharing Platform

ADAPT Asia-Pacific is working in close coordination with the Asia Pacific Adaptation Network (APAN) as the project’s regional knowledge sharing platform. The ADAPT Asia-Pacific/APAN partnership is

supporting the project preparation facility by disseminating information on adaptation, including on accessing adaptation finance; promoting exchange of best practices and eligible activities; facilitating practitioner networking; and informing stakeholders on available capacity building programs. This activity is led by IGES.

3.1.1. Implementation Approach

The KM component of ADAPT Asia-Pacific proposes an integrated approach for the FY13 work plan. KM capacity will be strengthened by further integrating the platform with APAN and streamlining the operations of KM through greater collaboration with APAN, as well as with ADAPT Asia-Pacific's Task 3 and 4 activities. The project will achieve the former by increasing technical support to APAN in developing and operating an improved KM platform for the Asia-Pacific region (Activity 3.1). The project will achieve the latter by operationalizing a CoP through synergy with APAN's regional activities to support organizing ADAPT Asia-Pacific's second Annual Forum and trainings (Activity 3.2).

3.1.2. Developing Overarching Knowledge Management Strategies

ADAPT-Asia-Pacific will develop an overarching strategy to guide Activities 3.1 and 3.2 with identified concrete knowledge products, as well as to increase the long-term sustainability of KM activities. ADAPT Asia-Pacific will formulate this overarching strategy in close discussion and coordination with APAN and its current partner institutes, such as UNEP-Regional Office for Asia Pacific (ROAP), Stockholm Environment Institute (SEI) and Asia Institute of Technology (AIT)-Regional Resource Center for Asia and the Pacific (RRC.AP). The strategy will aim to address KM in a broader context, rather than simply applying a conventional, web-based knowledge sharing approach, with a special focus on: (i) mobilizing practitioners' knowledge through tools, such as a CoP; and (ii) developing a mechanism or institutional arrangement that ensures the provision of sustainable technical services to the region beyond the life of the ADAPT Asia-Pacific project, as well as an effective mode of communications and outreach to support the proposed mechanism.

Main deliverables include a LOC between USAID RDMA and UNEP, which will lay the foundation for collaboration between the two institutions on supporting APAN and awaits signing by UNEP; a coordinated work plan for Activities 3.1 and 3.2.; an overarching strategy paper for KM for the Asia-Pacific region; and quarterly progress reports on overall KM products.

3.1.3. Supporting APAN as an Integrated Knowledge Management Platform

ADAPT Asia-Pacific carried out a number of specific activities under Task 1 in FY12, including a capacity assessment for APAN, an online survey conducted to identify features for the new APAN knowledge platform, a concept paper developed for the new platform, and a series of technical discussions on web design. Based on these activities, ADAPT Asia-Pacific will continue to develop and operationalize a web-based integrated KM platform that serves both APAN's and ADAPT Asia-Pacific's needs and activities. The new platform will act as the primary gateway for disseminating information and knowledge products and outreach, and will incorporate the existing ADAPT Asia-Pacific website where project information is presently provided. The platform will incorporate various information and knowledge products, including not only ADAPT Asia-Pacific's Forum-related materials, the designed and operationalized CoP (see following section), and the database for adaptation financing information, but also a suite of regular newsletters, event information, an

adaptation technology/project database, a summary of e-discussions hosted by the CoP, and upcoming conferences/workshops/trainings to be held by ADAPT Asia-Pacific and/or APAN.

3.1.4. Establishment of Communities of Practice as a Knowledge Sharing Tool

Based on a design developed in FY12, ADAPT-Asia-Pacific will launch and operationalize a CoP that will support and facilitate Task 3 and 4 activities, as well as communication with Annual Forum participants. Main activities of the CoP include establishing Annual Forum alumni groups and providing CoP members with opportunities to discuss current topical themes. The success of the CoP will depend on effective moderation and evaluation of CoP e-discussions to ensure meaningful and high-quality discussion. The key points of CoP e-discussions will be summarized in the form of knowledge products. Also, the CoP activity is expected to contribute to identifying climate change adaptation champions and other up-to-date information/knowledge, which will be shared in the knowledge library. After the CoP is operationalized, ADAPT-Asia-Pacific may add additional CoP tools to address specific topics depending on demand. ADAPT-Asia-Pacific will encourage collaboration with existing CoPs, including ones operated by UNDP, to ensure meaningful synergies.

3.2. ADAPT Asia-Pacific Annual Forum

3.2.1. Implementation Approach

ADAPT Asia-Pacific plans to organize the second Annual Forum in the Pacific region in partnership with a regional CROP institution and/or other organizations actively working in the region to encourage synergy and to ensure an event grounded in the priority needs and challenges of the region. The ADAPT Asia-Pacific Second Annual Forum will focus on key climate change adaptation financing challenges in the Pacific based on ongoing discussions with development partners in the Pacific region. ADAPT Asia-Pacific is planning to invite key officials from Pacific island countries, regional CROP institutions, and bi- and multilateral institutions active in the region, who are involved in climate change adaptation project preparation and accessing adaptation financing. In addition to the Pacific participants, ADAPT Asia-Pacific will invite selected government officials from Asian countries that face similar climate change challenges as the Pacific region to share their experiences and to explore possible twinning partnerships. ADAPT Asia-Pacific will also use the Annual Forum to share experience on project preparation and access to adaptation finance to help identify new opportunities for cooperation. In addition and as part of the Annual Forum, the ADAPT Asia-Pacific team will conduct a training session on topics identified through an online survey.

Further, ADAPT Asia-Pacific will organize a session during APAN's Third Adaptation Forum in Malaysia related to ADAPT Asia-Pacific's ongoing activities on access to adaptation finance and project preparation. ADAPT Asia-Pacific's intervention will introduce the latest developments in climate change adaptation finance and share best practices learned during the program's first two years of implementation.

3.2.1. Preparing the Annual Forum

The ADAPT Asia-Pacific Second Annual Forum plans to organize the forum back-to-back with an event sponsored by a CROP agency, such as SPREP, SPC, PIFS, etc. One good option is to align with the Pacific Island Forum Secretariat (PIFS)-sponsored Forum Economics Ministers' Meeting (FEMM).

In addition to Pacific participants, ADAPT-Asia-Pacific will invite selected participants from Asian countries.

In addition, as mentioned above, ADAPT Asia-Pacific plans to organize one parallel session at APAN's Third Forum to be held in late February-early March 2013 in which ADAPT Asia-Pacific target country representatives from Asia can participate. This event will highlight ADAPT Asia-Pacific's specific contributions to adaptation finance in a region-wide setting. The APAN Third Adaptation Forum is currently exploring the possibility of co-hosting this event with the Malaysian or Korean Governments. Both climate change adaptation events are aimed at providing participants with opportunities to: (i) share experience, discuss, and develop capacity in adaptation project preparation and access to finance through small group, in-depth discussions; and (ii) gain knowledge and expand networking opportunities through major regional/sub-regional events. ADAPT Asia-Pacific will organize the ADAPT Asia-Pacific Second Annual Forum and the parallel session at the APAN Forum in close collaboration with APAN.

3.2.2. Annual Forum

The ADAPT Asia-Pacific Second Annual Forum aims to create a setting whereby participants can share ideas and information on topics relevant to the Pacific island countries on increasing access to adaptation finance, such as direct access, climate change trust funds, a regional implementing entity (RIE), a Regional Technical Support Mechanism (RTSM), etc., The Second Annual Forum will be a 2-day workshop that consists of plenary sessions, breakout sessions, and a discrete training session that will help identify new project preparation and capacity building activities and build capacity. In the opening plenary session, the overall context will be given by high-profile, regional keynote speakers. Also, ADAPT Asia-Pacific will share the results of the First Annual Forum and FY12 achievements. ADAPT Asia-Pacific will also facilitate in-depth discussion with a smaller number of participants through informal breakout sessions on specific topics selected in response to specific Pacific region needs. ADAPT Asia-Pacific will also invite resource persons from partner institutions, such as ADB, WB, UNDP, SPC, SPREP, PIFS, AusAID, GIZ, EU, etc. to provide their insights and experiences. In the closing plenary session, results of the discussion from the breakout sessions will be reported back for further discussion by the Forum participants.

ADAPT Asia-Pacific will organize a parallel session at the APAN Third Adaptation Forum tailored to the interests of country representatives from ADAPT Asia-Pacific's target countries on accessing adaptation financing and knowledge management for national government officials. ADAPT Asia-Pacific is proposing a two-hour session with interventions by one or two speakers and a few panelists from target countries and/or partners/resource institutes of ADAPT Asia-Pacific. ADAPT Asia-Pacific will moderate the session.

3.2.3. Post Forum Activities

ADAPT Asia-Pacific will summarize the results and outcomes of both events for use as knowledge products and uploaded to the ADAPT Asia-Pacific website. For the Second Annual Forum, as well as the APAN parallel session, ADAPT Asia-Pacific will register participants as alumni in ADAPT Asia-Pacific's participant database and invite them to engage in CoP e-discussions to provide a platform for continued discussion. ADAPT Asia-Pacific will invite alumni of the 2nd Annual Forum to engage in various climate change adaptation-related discussions through the CoP and e-discussions. Main

deliverables of the activity will include: Forum Final Report and outreach materials on results of the Forum.

3.3. Climate Change Adaptation Capacity Building Program

3.3.1. Implementation Approach

Building on capacity building assessments and gap analyses for Asia and for the Pacific, and identification and in-depth discussions with potential partner institutions, ADAPT Asia-Pacific will: (1) support selected government officials to attend selected capacity building events; (2) support and strengthen capacity building programs at regional learning institutions, and (3) design and deliver with these partner institutions new capacity building programs that help fill existing capacity gaps. Moving forward, ADAPT Asia-Pacific will give increasing attention to providing direct technical support to governments, on a demand basis, to heighten their capacity to access climate change adaptation financing from a variety of sources.

3.3.2. Support to Existing Capacity Building Programs and Institutions

Key principles of the capacity building program are to ensure sustainability of project activities by teaming up with capable institutions in the region that can continue to deliver ADAPT Asia-Pacific outputs after project completion, and to avoid “reinventing the wheel” by supporting existing programs where possible. In FY12, ADAPT Asia-Pacific developed a competitive system based on a set of criteria for selecting government officials to attend capacity building programs and identified institutions to help design and deliver capacity building programs. In FY13, ADAPT Asia-Pacific will build on these FY12 achievements.

Key Activities:

- *Support attendance of government officials at high quality training programs.* In FY12, ADAPT Asia-Pacific designed a competitive system for government officials to receive project support for attending training courses and other capacity building events. ADAPT Asia-Pacific will employ this approach in FY13 by providing financial support for training for approximately 30-35 government officials from Asia and the Pacific regions.
- *Strengthen the capability of regional institutions to provide programs that support national efforts to produce and access financing for CCA programs/projects.* ADAPT Asia-Pacific will undertake consultations with premier regional learning institutions to identify and define specific areas in which ADAPT Asia-Pacific can provide strategically placed support to their existing programs and thereby enhance their capacity to deliver high quality capacity building programs in CCA financing and related areas. Among the institutions that may be consulted are: (i) Bangladesh: International Center for Climate Change and Development (ICCCAD); (ii) India: The Energy and Resources Institute (TERI); (iii) Indonesia: Center for International Forestry Research (CIFOR) and Bogor University; (iv) Nepal: International Centre for Integrated Mountain Development (ICIMOD), South Asian Network for Development and Environmental Economics (SANDEE), and Himalayan Cryosphere, Climate and Disaster Research Center; (v) Philippines: Asian Institute of Management (AIM) and the World Agroforestry Centre (WAC); (vi) Sri Lanka: International Water Management Institute

(IWMI); (vii) Thailand: the Asian Institute of Technology (AIT), Asian Institute of Management (AIM), and Asian Disaster Preparedness Center (ADPC); and (viii) Viet Nam: DRAGON Institute and Can Tho University. While it is expected that only 2-4 institutions will receive support from ADAPT Asia-Pacific in FY2013, the ongoing and proposed consultations will further strengthen the program's knowledge about strengths and weaknesses in the region, and lay a foundation for possible support in the future. This support may also include additional training workshops/clinics for such organizations as CANSA (Climate Action Network for South Asia)/APAN.

- *Support the development of short climate change courses with the University of the South Pacific (USP).* Preliminary findings from a consultation visit to Suva by the ADAPT Asia-Pacific team in FY12 indicated that support to USP should be the core of capacity building support to the Pacific in FY13. This has been echoed by initial findings from a capacity building needs assessment for the Pacific that is currently underway with ADAPT Asia-Pacific support and scheduled for completion at the end of October 2013. Possible support would include strengthening of USP's CCA curriculum, with a focus on distance learning, and focused support for selected regional workshops, e.g., a training workshop connected with the 2013 Forum Economic Ministers Meeting that PIFS is planning to organize on climate financing.

3.3.3. Developing New Capacity Building Programs

The regional capacity building assessments done for Asia in FY12 indicated gaps in capacity and capacity building initiatives. To fill these gaps, ADAPT Asia-Pacific will strengthen existing programs, and also work with partners to develop new programs in areas with little or no attention to key CCA and adaptation financing elements. In FY13, ADAPT Asia-Pacific will develop and deliver the "Economics of Climate Change Adaptation" capacity building program and will design, but not deliver, one additional program:

Key Activities:

- *Design and deliver Economics of Climate Change Adaptation.* Designed with UNDP and other partners in FY12, the program will, after 18-24 months, deliver a cadre of trained government officials and strengthened national systems for integrating economic analysis into key CCA sectors and national planning and budgeting processes. It will begin with a regional scoping workshop in October 2012, followed by formation of country teams that will be trained to test, refine and administer data surveys over a period of about 6 months. ADAPT Asia-Pacific will analyze these datasets, and present findings to senior decision makers at country and regional levels in mid-2014. ADAPT Asia-Pacific will then introduce results into the planning and budgeting process for each country, and track impact. In 2014, ADAPT Asia-Pacific plans to organize another policy level regional meeting to share experiences on mainstreaming climate change adaptation into national planning, budgeting and project preparation.
- *Design one additional capacity building course.* ADAPT Asia-Pacific will design at least one additional new course in FY13. This may include either: (1) a training course on urban and land use planning, which will help city planners to prepare urban climate change investment projects and access financing; or (2) a training course on climate proofing infrastructure,

which will help road and water resource project designers/engineers to better incorporate climate resilient and cost/benefit analysis into planning.

3.3.4. Direct Technical Support to Government Institutions

Government agencies in Asia and the Pacific have requested assistance from ADAPT Asia-Pacific to help strengthen their capacity to access climate change adaptation financing. ADAPT Asia-Pacific will provide demand-driven, targeted support to fill gaps in CCA support offered by other institutions. Support will emphasize sustained, on-the-job capacity building by ADAPT Asia-Pacific-supported specialists but will also include twinning arrangements where appropriate. The ADAPT Asia-Pacific project will also assist selected countries in preparing for direct access to the Adaptation Fund in the expectation that this experience will assist them in readiness for the direct access promised by the Green Climate Fund (GCF).

Key Activities:

- *Strengthen the capacity of Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP).* ADAPT Asia-Pacific will help strengthen the capacity of ONEP's Office of Climate Change Coordination (OCCC), which is Thailand's national climate change focal point. This will include long-term advisory support in meeting OCCC's national coordination responsibilities, short-term advisory support for OCCC tasks related to major CCA events or obligations, and periodic topic-specific support. An example of the latter is planned support for development of a climate change research strategy for Thailand that will ultimately lead to preparation of high quality climate change adaptation programs and projects that will in turn improve financing possibilities.
- *Support countries in gaining access to international climate funds.* ADAPT Asia-Pacific will support countries' application for accreditation of a national organization as National Implementing Entity (NIE) for the Adaptation Fund. This will include: (1) mentoring assistance from an NIE in Senegal to the Philippines' Department of Finance in assessing its readiness for NIE status; (2) assistance to the Indonesia Climate Change Trust Fund (ICCTF) in developing a screening mechanism for project proposals and development of an M&E system; and (3) support for development of a national climate change adaptation trust fund for Samoa.
- *Support CPEIR work in selected countries:* ADAPT Asia-Pacific will support improved understanding of the challenges in domestic financing through participation by selected countries in Climate Public Expenditure and Institutional Review (CPEIR) processes, including possible participation in selected CPEIR studies being undertaken by UNDP (such as Timor-Leste and/or Lao PDR), with a view to developing an improved tool/methodology on CPEIR to be used by UNDP in other countries to increase access to domestic public finance for climate adaptation.
- *Strengthen the capacity of other national institutions on a demand basis.* As ADAPT Asia-Pacific works to enhance national dialogues, it is expected that additional country demands for direct support will arise. Responses will include opportunities for South-South as well as North-South twinning arrangements.
- *Mainstream Climate Finance:* In FY13, ADAPT Asia-Pacific will:(1) investigate private sector financing of adaptation, including public-private partnerships and the role of national

development banks; and (2) work with governments to help them mainstream climate change adaptation into national development planning, budgeting, and sector plans. One possible activity includes assistance to Cambodia through the World Bank Pilot Program on Climate Resilience (PPCR) Phase 1 and Phase 2 activities on mainstreaming. ADAPT Asia-Pacific will carry out this activity in combination with the Task 4 activities to help identify new sources of finance for potential climate change adaptation projects.

3.3.5. Capacity Building through TA Projects

ADAPT Asia-Pacific supports projects that are on the cutting edge of CCA application and finance, including the Climate Investment Fund's Pilot Program for Climate Resilience (PPCR). ADAPT Asia-Pacific will collect and disseminate lessons learned and prepare case studies from these projects for use in project capacity building programs. These efforts will also include efforts to introduce gender considerations into project design.

Key Activities:

- *Collect best practices and case studies from TA projects.* ADAPT Asia-Pacific will develop best practices and case studies from TA projects developed under the Task Four. ADAPT Asia-Pacific will make these available to partner learning institutions and incorporate them into existing and new capacity building programs, as appropriate. ADAPT Asia-Pacific will use APAN to disseminate these case studies and best practices to a wider audience in the region.

3.3.6. Incorporating Gender Considerations into ADAPT Asia-Pacific Activities

ADAPT Asia-Pacific prepared a desk study of gender considerations in CCA and a compendium of gender requirements in CCA financing in FY12, which provide a basis for further expansion in FY13. Activities will focus on awareness raising and the development of guidelines and tools for use in CCA projects as well as CCA financing.

Key Activities:

- *Introduction of gender considerations in TA projects and capacity building programs.* In consultation with its partners and taking into consideration that gender is an important evaluation criterion of climate change funds and related donors, ADAPT Asia-Pacific will actively seek to introduce gender elements into suitable TA projects with which it is involved. Similarly, ADAPT Asia-Pacific will seek to ensure that gender elements are built into capacity building programs that ADAPT Asia-Pacific supports.
- *Develop a gender and CCA sourcebook.* Building on the desk study and compendium completed in FY12, ADAPT Asia-Pacific will prepare a sourcebook for gender in CCA projects and financing as a practical guidance for project planners in incorporating gender elements into CCA projects.

3.4. Technical Assistance in Preparing Funding Proposals

3.4.1. Implementation Approach

All of the priority countries for ADAPT Asia-Pacific have some form of climate change action plans or strategies. To support project preparation, ADAPT Asia-Pacific reviews the projects identified by each country and by major donors, examines the extent of readiness (availability of concept papers, costing, pre-feasibility studies, implementing agency, source of funding, etc.), and identifies the most promising points of entry and the possible capacity building needs. Based on this analysis, ADAPT Asia-Pacific then poses the following questions in order to determine the way forward with project preparation:

1. Are there sufficient adaptation projects identified by the selected countries that are still not funded, or do the countries need to identify new projects?
2. Can the countries directly access external financing, such that ADAPT Asia-Pacific can work directly with the project implementing agencies to improve the project proposals and facilitate access to the relevant adaptation funds?
3. If direct access is not possible, can ADAPT Asia-Pacific work with financial intermediaries, such as the UN agencies and multilateral development banks, to assist the countries in accessing adaptation funds?
4. If the specialized adaptation funds are not sufficient or not available, are there other financing options that can be considered?
5. If there is a surplus of both projects and financing sources, what criteria should be used to prioritize project selection, and are these criteria country specific?

3.4.2. Project Preparation Support in Asia

To identify and track potential adaptation projects for ADAPT Asia-Pacific support, in FY12 the project preparation team assessed existing CCA projects and their implementing institutions and funders in the target countries. For ADB, the team went one step further and examined the entire ADB investment portfolio in selected Asian countries and recommended adaptation options that could be considered in the detailed design of the projects before Board approval. The team also conducted a comprehensive analysis of funding mechanisms and fund sources, and determined the level of adaptation funds available for countries in the region. ADAPT Asia-Pacific presented both of these reports at the ADAPT Asia-Pacific First Annual Forum. Throughout the first year, the team continued to review the project pipelines of multilateral agencies and potential CCA projects and/or project components, and conducted detailed discussions with ADB, UNDP, World Bank, UNEP, and GIZ staff to identify potential niche areas of cooperation for CCA projects.

As a result, the team developed an effective process for identifying potential CCA projects for assistance by ADAPT Asia-Pacific. The team also conducted a review of screening and ranking tools used to prioritize CCA projects and options for adaptation measures. For FY13, ADAPT Asia-Pacific will undertake the following:

Key Activities:

- Establish a facility/database to mobilize teams to provide the necessary technical assistance to develop adaptation proposals;
- Prepare a project selection, ranking and tracking mechanism that can be used in multiple countries;
- Provide targeted technical assistance to help counterparts to prepare CCA projects for financing from climate funding sources in Lao PDR, Vietnam, Indonesia, and Cambodia, among other countries. (Possible projects might include Sri Lanka road sector capacity development (ADB), Living Himalayas Initiative (ADB), Greater Mekong Sub-region climate

resilience and green infrastructure (ADB), Vietnam lower secondary education (ADB), Vietnam MFF water sector investment (ADB), Vietnam secondary cities development project (ADB), and Vietnam coastal zone adaptation (ADB)); and

- Evaluate projects that are at a more advanced stage, including Cambodia mainstreaming climate resilience into development planning of key vulnerable sectors (ADB), and Cambodia second rural water supply and sanitation sector project (ADB).

3.4.3. Project Preparation Support in the Pacific

In FY12, the team prepared a strategy for the Pacific region. Based on consultation with USAID, ADAPT Asia-Pacific has worked with multilateral agencies to undertake project preparation activities related to coastal issues in Tuvalu (UNDP) and Samoa (UNDP and World Bank). In FY13, key activities will include:

Key Activities:

- Complete project preparation work underway in Tuvalu (UNDP-supported coastal ecosystem-based adaptation project) and Samoa (World Bank- and UNDP-supported coastal adaptation project, encompassing the tourism sector);
- Explore potential support of ADB, AusAID, PIFS (a CROP regional organization) and other stakeholders for the proposed Regional Technical Support Mechanism under the Pacific Regional PPCR program;
- Explore collaborating with AusAID on potential project preparation and establishment of national climate funds and domestic financing of climate change adaptation; and
- Continue to identify project preparation opportunities on a strategic basis, particularly in partnership with UNDP, ADB and World Bank.

3.5. Overarching Program Management and Coordination

ADAPT Asia-Pacific will undertake all required program management, coordination, work planning, communications and reporting requirements for successful implementation of project deliverables and outcomes to support achievement of the overall project objective. Key elements of Task 5 include:

1. Maintain robust project and financial reporting systems;
2. Coordinate with bilateral missions and development partners; and
3. Develop outreach and communication activities to increase visibility of the project.

3.5.1. Maintain Robust Project and Financial Reporting Systems

Key Activities:

ADAPT Asia-Pacific will implement the following key activities:

- *Develop and submit quarterly and annual progress report:* ADAPT Asia-Pacific will submit technical progress reports on a quarterly and annual basis describing program implementation, including activities to date, progress towards achieved results and targets, obstacles to program implementation, and other relevant issues.

- *Develop and submit performance monitoring and progress reports:* The ADAPT Asia-Pacific team will update the Performance Management Plan (PMP) to ensure that it reflects activities planned for FY13. Based on the PMP, and as part of USAID's Performance Review and Annual Report process, ADAPT Asia-Pacific will submit Annual Performance Management Progress Reports in accordance with the RDMA/REO's established policies. ADAPT Asia-Pacific will describe milestone events that demonstrate progress towards achieving project indicator targets, highlighted with narrative success stories at the program level. Performance Management Progress Reports will consist of both narrative and quantitative sections with indicators and targets of the ADAPT Asia-Pacific project.
- *Develop and submit Financial Reports:* ADAPT Asia-Pacific will develop pipeline analyses and financial reports: (i) on a quarterly basis; (ii) before each contract modification; and (iii) accruals information no later than the 20th of March, June, and September of FY12, covering the periods through the end of the reporting quarter. ADAPT Asia-Pacific will prepare financial reports on a quarterly basis, which will describe project expenses by task.

3.5.2. Coordination with Bilateral Missions and Development Partners

Key Activities:

ADAPT Asia-Pacific will implement the following key activities:

- *Coordinate with USAID bilateral missions:* In coordination with RDMA, ADAPT Asia-Pacific will prepare briefing documents, presentations and activity description on potential project activities, as well as organize teleconferences, briefing/debriefing meetings with USAID bilateral mission to update them on project activities and understand mission priorities and activities. ADAPT Asia-Pacific will also engage bilateral missions in identifying candidates for the Annual Forum and other potential workshops and training activities.
- *Coordinate with relevant development partners:* ADAPT Asia-Pacific was successful during year 1 in collaborating with development partners engaging in climate change adaptation work in the target countries, especially in the design and implementation of project preparation and capacity building activities, which leveraged additional funding and resources for project outcomes. ADAPT Asia-Pacific will continue building on these initial successes by exploring new partnership opportunities for joint activities with international organizations and donor community in the region, especially with ADB, World Bank, UNEP, SPREP, UNDP, AusAID, JICA, SIDA and GIZ.

3.5.3. Development of Outreach and Communication Tools and Activities

Key Activities:

ADAPT Asia-Pacific will carry out the following activities in FY13 to ensure high visibility of project activities in improving target countries' resilience to the impacts of climate change:

- *Quarterly E-Newsletter on ADAPT Asia-Pacific and other publications:* ADAPT Asia-Pacific will develop a quarterly E-newsletter, which will contain the latest news, updates, links and photos about the work of ADAPT Asia-Pacific in the Asia and Pacific regions. This will

support project outreach as well as strengthen the ADAPT Asia-Pacific network. In addition, ADAPT Asia-Pacific will develop program brochures, fact sheets and posters to support program visibility and outreach.

- *ADAPT Asia-Pacific Inclusion in USAID Communications and Outreach Activities:* ADAPT Asia-Pacific will provide brief updates for the RDMA Weekly Environment Update, as well as other internal and external communications from USAID such as the USAID website and publications wherever appropriate. ADAPT Asia-Pacific will develop success stories and press releases, showing shared learning and concrete development towards increased adaptation

4.0. FY13 Timeline for ADAPT-Asia-Pacific

Table 1: FY13 Timeline for ADAPT Asia-Pacific

Tasks/Activities	Implementation Schedule – FY 13-14				
	Q1	Q2	Q3	Q4	Q 5
Task One Activities					
Developing overarching knowledge management strategies	■	■	■	■	■
Establishment of Community of Practice (CoP) as a knowledge sharing tool	■	■	■	■	■
Task Two Activities					
Session at the Third Adaptation Forum in Asia	■	■	■	■	■
ADAPT-Asia-Pacific Second Annual Forum	■	■	■	■	■
Task Three Activities					
Support attendance of government officials at higher quality training programs	■	■	■	■	■
Development of short climate change courses with the University of the South Pacific (USP)	■	■	■	■	■
Development and delivery of a program on Economics of Climate Change Adaptation	■	■	■	■	■
Designing training courses on key climate change adaptation themes	■	■	■	■	■
Strengthening the capacity of Thailand's ONEP	■	■	■	■	■
Assessment of Institutional Capacity and Readiness of DOF for Adaptation Fund NIE Accreditation	■	■	■	■	■
Support to Indonesia Climate Change Trust Fund	■	■	■	■	■
Rapid Assessment of Capacity Building Needs of MoNRE (Lao PDR)	■	■	■	■	■
Climate Public Expenditure and Institutional Review (CPEIR)	■	■	■	■	■
Build capacity to address gender considerations in CCA	■	■	■	■	■
Supporting the development of National Climate Trust Fund in Samoa	■	■	■	■	■
Supporting the Cambodia PPCR Phase 1	■	■	■	■	■
Task Four Activities					
Supporting project preparation under Cambodia Second Rural Water Supply and Sanitation Sector Project	■	■	■	■	■
Development of Coastal Zone Adaptation Project in Samoa	■	■	■	■	■
Enhancing Climate Resilience of Coastal Resources and Communities Project in Samoa	■	■	■	■	■
Supporting Climate Change Adaptation Project Preparation in Tuvalu	■	■	■	■	■
Task Five Activities					
Develop and submit quarterly and annual progress reports	■	■	■	■	■
Develop and submit performance monitoring and progress reports	■	■	■	■	■
Develop and submit financial reports	■	■	■	■	■
Quarterly E-Newsletter on ADAPT-Asia-Pacific and other publications	■	■	■	■	■
ADAPT-Asia-Pacific inclusion in USAID communications and outreach activities	■	■	■	■	■

Notes

■ Ongoing Project Activity

▨ Impact Monitoring

5.0. Performance Management Indicators and Targets for FY13

Program Element 4.8.2. Clean Productive Environment

PMP Indicators		Type	FY13 Targets
D.O.1	Number of stakeholders with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance	Standard Indicator	5
IR 1.1	Number of institutions with improved capacity to address climate change issues as a result of USG assistance	Standard Indicator	10
IR 1.2	Person hours of training completed in climate change supported by USG assistance	Standard Indicator	5,060
IR 2.1	Number of climate change adaptation projects with facilitated access to finance	Custom Indicator	3
IR 2.2	Number of facilitated projects with a specific gender component	Custom Indicator	1
IR 2.3	Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance	Custom Indicator	29,000
IR 2.4	Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance	Standard Indicator	\$64 million
IR 2.5	Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives	Custom Indicator	\$200,000
IR 3.1	Number of regional environmental platforms created or strengthened	Custom Indicator	1

Note: Proposed changes to the FY13 PMP Indicators and Targets in the Work Plan will be reviewed and finalized in the revised Year Two ADAPT Asia-Pacific PMP, which will be subject to recommendations of USAID/RDMA's assessment of the DQA process. This assessment will be available during Q1FY13.

6.0. Attachment A: Activities by Countries

Country	Activity Description
Bangladesh	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, Climate Change Finance Workshop, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore conducting an institutional assessment of ICCCAD and other selected training institutions in Bangladesh to identify their potential role in developing climate change adaptation-related capacity building programs at the national and regional level.
	Assess possible support to Bangladesh CC trust funds.
Cambodia	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Study the impact of climate change on community ponds for the ADB grant to Cambodia on Second Rural Water Supply and Sanitation Sector Project and potentially develop a climate change adaptation project based on the results of this study.
	Provide technical assistance for the Cambodia PPCR Phase I aimed at mainstreaming climate change into regulatory procedures.
	Provide technical assistance for the Cambodia-Mainstreaming Climate Resilience into Development Planning - PPCR Phase 2.
India	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, Climate Change Finance Workshop, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific
	Explore conducting an institutional assessment of TERI and other selected training institutions in India to identify their potential role in developing climate change adaptation related capacity building programs.
	Support ADAPT Asia-Pacific principal partner the Asia Pacific Adaptation Network (APAN) and CANSA (APAN regional node for South Asia) with organizing and conducting a workshop in Delhi on climate change adaptation financing; and

Country	Activity Description
	discuss potential technical assistance/capacity building activity in the area of accessing climate change adaptation financing with India's National Bank for Agriculture and Rural Development.
Indonesia	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Provide technical assistance to the Indonesia Climate Change Trust Fund to improve its monitoring and evaluation and project appraisal capacity.
	Explore conducting an institutional assessment of selected training institutions in Indonesia to identify their potential role in developing climate change adaptation related capacity building programs.
Lao PDR	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Carry out a rapid assessment to identify and design a capacity building program to strengthen the adaptive capacity of the Ministry of Environment and Natural Resources of Lao PDR.
	Explore conducting a Climate Public Expenditure and Institutional Review in cooperation with UNDP.
Maldives	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, Climate Change Finance Workshop, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
Mongolia	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity

Country	Activity Description
	to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore potential support to Ministry of Nature, Environment & Tourism to build institutional capacity to apply for accreditation to UNFCCC's Adaptation Fund in order to access climate adaptation finance.
Nepal	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, Climate Change Finance Workshop and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore conducting an institutional assessment of selected training institutions in Nepal to identify their potential role in developing climate change adaptation related capacity building programs.
Philippines	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Conduct institutional assessment of the Department of Finance of the Philippines to determine its potential role and capacity for nomination as a National Implementing Entity (NIE) for the Adaptation Fund.
	Explore conducting an institutional assessment of selected training institutions in the Philippines to identify their potential role in developing climate change adaptation related capacity building programs.
	Explore with the Climate Change Commission opportunities for supporting the development of new tools and methodologies for the screening and prioritization and monitoring and evaluation of climate change adaptation projects.
Sri Lanka	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, Climate Change Finance Workshop, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore conducting an institutional assessment of selected training institutions in Sri Lanka to identify their potential role in developing climate change adaptation

Country	Activity Description
	related capacity building programs.
	Explore supporting ADB's road sector capacity development TA to introduce adaptation components into the design of rural roads.
Thailand	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Strengthen the capacity of Office of National Environmental Policy and Programs (ONEP) through: (1) supporting the development of climate research strategy; (2) providing capacity building support in climate change policy development, and (3) institutional capacity building.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore conducting an institutional assessment of selected regional entities located in Thailand and government training institutions to identify their potential role in developing climate change adaptation related capacity building programs.
Timor-Leste	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and (4) other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific
	Explore conducting a Climate Public Expenditure and Institutional Review in cooperation with UNDP.
Vietnam	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Selected government institutions (line ministries) will have a possible opportunity to participate in the regional Capacity Building Program on Economics of Climate Change Adaptation implemented jointly by UNDP and ADAPT Asia-Pacific.
	Explore conducting an institutional assessment of selected training institutions in Vietnam to identify their potential role in developing climate change adaptation related capacity building programs.
	Explore with ADB potential support to following projects: (1) Lower Secondary Education for the Most Disadvantaged Regions; (2) MFF Water Sector Investment Program; (3) Secondary Cities Development Project; and (4) Coastal Cities Urban Environment and Climate Change.

Country	Activity Description
Cook Islands	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Federated States of Micronesia	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Fiji	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Kiribati	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Marshall Islands	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Nauru	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Niue	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Palau	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Papua New Guinea	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-

Country	Activity Description
	driven climate change adaptation related training activities organized by other capacity building institutions and programs.
Samoa	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs.
	Provide technical support in the preparation of the project proposal entitled “Enhancing the Resilience of Tourism-reliant Communities to Climate Change” to be financed under the Least Developed Country Fund (LDCF) mechanism of GEF
	Provide technical advice to Samoa government in the design of the Samoa National Trust Fund.
	Provide technical assistance to the preparation of the “Enhancing Climate Resilience of Coastal Resources and Communities Project” under the World Bank Pilot Program for Climate Resilience (PPCR).
Solomon Islands	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs
	Explore providing technical support to World Bank team overseeing consultant team preparing Solomon Islands Adaptation project.
Tonga	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs
Tuvalu	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs
	Provide technical assistance for the development of a project proposal on “Enhancement of Resilience of Marine-based Coastal Livelihoods and Climate Hazard Response” capacity of Tuvalu, to be financed under the Least Developed Country Fund (LDCF) mechanism of GEF (Global Environmental Facility)
Vanuatu	Provide a possible opportunity for selected government officials to participate in the Second ADAPT Asia-Pacific Annual Forum, distance learning program through University of South Pacific (USP) on CCA and climate financing and other demand-driven climate change adaptation related training activities organized by other capacity building institutions and programs

7.0. Attachment B: Activity Summary Sheets

This attachment (Activity Summary Sheets) provides concise information about principal planned activities, including background, progress and results-to-date, and planned events and expected results. ADAPT Asia-Pacific will update these activity sheets on a semi-annual basis and will develop similar activity sheets for new activities initiated and developed during FY13.

List of Key Activities:

1. Knowledge sharing strategies and platform
2. Development of Community of Practice (CoP)
3. Organization of the Second ADAPT Asia-Pacific Annual Forum
4. Development and delivery a program on Economics of Climate Change Adaptation
5. Designing training courses on priority climate change adaptation themes
6. Development of short climate change courses with the University of the South Pacific (USP)
7. Strengthening the capacity of Thailand's ONEP
8. Strengthen the capability of regional capacity building institutions
9. Support to Indonesia Climate Change Trust Fund
10. Rapid Assessment of Capacity Building Needs of MoNRE (Lao PDR)
11. Climate Public Expenditure and Institutional Review (CPEIR)
12. Supporting the development of National Climate Trust Fund in Samoa
13. Assessment of Institutional Capacity and Readiness of DOF for Adaptation Fund NIE Accreditation
14. Build capacity to address gender considerations in CCA
15. Supporting the Cambodia PPCR Phase 1
16. Supporting Project Preparation under Cambodia Second Rural Water Supply and Sanitation Sector Project
17. Development of Coastal Zone Adaptation Project in Samoa
18. Enhancing Climate Resilience of Coastal Resources and Communities Project in Samoa
19. Supporting Climate Change Adaptation Project Preparation in Tuvalu

Task One: Regional Knowledge Sharing Platform Activity: Knowledge sharing strategies and platform		
Location: Thailand	Partners: Asia Pacific Adaptation Network (APAN)	Started: December 2011
<p>Description of Activity: The overall objective of ADAPT Asia-Pacific's knowledge sharing (Task 1) is to serve as the principal mechanism through which innovative practices and experiences from the project's activities are shared, replicated, and scaled-up in Asia and the Pacific. ADAPT Asia-Pacific will employ an integrated approach in FY13 in close collaboration with Asia Pacific Adaptation Network (APAN) under UNEP to strengthen the knowledge management and outreach capacity in the region and generate more concrete impact for the project in greater coordination with Task 3 and 4. To do so, in FY13 knowledge sharing strategies and platform component under Task 1 will focus on: (i) developing an overarching KM strategy; (ii) supporting APAN in developing an integrated platform and online knowledge product management; and (iii) organizing a session at the 3rd Adaptation Forum organized by APAN.</p> <p>1. ADAPT Asia-Pacific will develop an overarching KM strategy that will guide specific web-based KM activities and increase the long-term sustainability of KM activities. This component will also play a leading role in coordinating KM in both ADAPT Asia-Pacific and APAN in close discussion with APAN's partners.</p> <p>2. The project activities will focus on establishing and operationalizing a web-based integrated KM platform that serves for both APAN and ADAPT Asia-Pacific activities. The platform will act as the primary gateway for disseminating information and knowledge products and outreach incorporating the existing ADAPT Asia-Pacific's website, where project information is presently provided.</p> <p>3. ADAPT Asia-Pacific will organize a session on access to adaptation finance and project preparation at the APAN 3rd Adaptation Forum in Asia. Selected participants will share good practices and discuss challenges.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> Carried out a capacity assessment and gap analysis of APAN Developed a concept paper for an overarching strategy for KM in the region in close collaboration with APAN Assisted in developing a concept paper for an integrated KM platform website for APAN-ADAPT Designed a KM platform website for APAN-ADAPT 	<p>Results (PMP Indicators): IR 3.1: One regional environmental platforms created or strengthened</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Develop an integrated KM platform website for APAN-ADAPT Develop draft long-term KM strategy for increasing sustainability of APAN platform Develop operational manual for web site management Start implementation of long-term KM strategy 	<p>Timing:</p> <p>Q1</p> <p>Q1-2</p> <p>Q2-3</p> <p>Q2-4</p>	<p>Expected Results (PMP Indicators): IR 3.1: One regional platform strengthened</p> <p>Resources Estimate: Technical Asst. Workshops Communication</p>

Task One: Adaptation knowledge sharing in Asia and the Pacific Activity: Development of Community of Practice (CoP)		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Started: December 2011
<p>The overall objective of ADAPT Asia-Pacific's knowledge sharing (Task 1) is to serve as the principal mechanism through which innovative practices and experiences from the project's activities are shared, replicated, and scaled-up in Asia and the Pacific. ADAPT Asia-Pacific will employ an integrated approach in close collaboration with Asia Pacific Adaptation Network (APAN) under UNEP to strengthen the knowledge management and outreach capacity in the region and generate more concrete impact for the project in greater coordination with Task 3 and 4.</p> <p>To do so, this component under Task 1 will focus on launching and operationalizing a web-based ADAPT Asia-Pacific's Community of Practice (E-CoP) on the new integrated platform established by Activity 3.1.2 and facilitating knowledge sharing discussions through it. CoPs will be professionally moderated and monitored and the discussion made in a CoP will be summarized as a knowledge product.</p> <p>Main CoP activities in collaboration with Task 2, 3, and 4 of ADAPT Asia-Pacific are:</p> <ul style="list-style-type: none"> • Task2: Engage with ADAPT Asia-Pacific's Annual Forum participants to organize the Forum in a more demand-driven manner. • Task3: Provide a flexible, practical and participatory capacity building opportunity that promotes cross-learning among climate change adaptation (CCA) practitioners and thereby contributes over the long-term to a higher quality of CCA action in Asia and the Pacific. • Task4: Facilitate in increasing capacity to prepare "bankable" adaptation projects and demonstrate success in obtaining increased external and domestic adaptation funding. 		
Activities and Results in FY12		
Main Activities: <ul style="list-style-type: none"> • Developed draft CoP Implementation plan with the assistance of the Kaizen Company 		Results: IR 4.1: One regional platform strengthened
Planned Activities and Results in FY13		
Main planned Activities: <ul style="list-style-type: none"> • Develop operation manual/guideline for E-CoP, including technical requirements • Create E-community group of "Annual Forum Alumni" and "Economics of Climate Change Adaptation" training • Conduct "Ask the Expert" with technical consultants on topic(s) of highest interest) • Conduct E-discussion with moderator on topic(s) of interest • Prepare E-CoP M&E summary • Produce and upload knowledge products and recent adaptation information/news 		Timing: Q1 Q1-2 Q3-4 Q3-4 Q4 Q1-4
		Expected Results: IR 3.1: One regional platform strengthened Resources Estimate: (tentative) Communications Technical Asst.

Task Two: Second ADAPT Asia-Pacific Second Annual Forum Activity: Organization of the Second ADAPT Asia-Pacific Annual Forum		
Location: Bangkok (Thailand)	Partners: Asia Pacific Adaptation Network (APAN)	Started: September 2012
<p>ADAPT Asia-Pacific plans to organize its Second Annual Forum in the Pacific region in partnership with sub-regional and/or local organization(s) maximizing the synergy and increasing the effectiveness as well as efficiency.</p> <p>The Second Annual Forum will focus on key climate change adaptation financing challenges in the Pacific that have been identified in a fact-finding mission to the Pacific by the ADAPT Asia-Pacific in June 2012 and continuing discussions with development partners in the Pacific region. ADAPT Asia-Pacific is planning to invite key government officials from Pacific island countries, regional CROP institutions, and bi- and multilateral institutions active in the region who are involved in climate change adaptation project preparation and accessing adaptation financing. Selected government officials from Asian countries with whom ADAPT Asia-Pacific is collaborating will be also invited to share lessons and similar challenges faced by the Pacific region,</p> <p>ADAPT Asia-Pacific Second Annual Forum plans to provide a short training session on topics to be identified through an online survey to the participants.</p>		
Activities and Results in FY12		
Main Activities: <ul style="list-style-type: none"> Organized the 1st Annual Forum in March 2012 Published Forum Proceedings, conducted post-Forum survey, and developed video clips on the t ADAPT 1st Asia-Pacific Annual Forum Developed a draft concept paper for the ADAPT Asia-Pacific Second Annual Forum 		Results: IR 1.2: 793 persons-hour of training completed in climate change supported by USG assistance IR 2.5: \$50,280 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives
Planned Activities and Results in FY13		
Planned Activities: <ul style="list-style-type: none"> Prepare agenda, invitation letter, potential participants list and make logistical arrangement for the parallel session at the Third Adaptation Forum in Asia Conduct the Second Annual Forum of ADAPT Asia-Pacific Prepare Forum report and evaluation summary 		Timing: Q1-2 Q4 Q4
		Expected Results: IR 1.1: 1,000 person-hour of training completed in climate change supported by USG assistance IR 2.5: \$30,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives
		Resources Estimate: Communications Technical Asst. Workshops

Task Three: Capacity Building		
Activity: Develop and deliver a program on Economics of Climate Change Adaptation		
Location: Regional (Asia)	Partners: UNDP, Yale University, Asian Institute of Technology, World Bank, Asian Development Bank	Started: May 2012
<p>Description of Activity:</p> <p>In mid-2012, ADAPT Asia-Pacific had multiple discussions with UNDP on preparing and delivering a new program on the economics of climate change adaptation (CCA). The capacity building (CB) assessment carried out by ADAPT Asia-Pacific clearly identified this topic as one of general interest that currently is not being well served in the region.</p> <p>Broadening the discussions to include Yale University and the Asian Institute of Technology, a concept paper was prepared by ADAPT Asia-Pacific and revised in July 2012. The objective is to build competence at two levels. The program will train a cadre of government staff at the national, subnational and sector levels who can produce high quality economic analyses of standalone CCA projects and investment projects with adaptation components. The program will ultimately help mainstream CCA into national planning and budgeting processes.</p> <p>The program is expected to be implemented over a period of 18-24 months. It will begin with regional scoping and training workshops to be delivered in early FY13. This will be followed by formation of country teams that will be trained to test, refine and administer data surveys over a period of about 6 months. These datasets will be analyzed with technical support, present findings to senior decision makers in each country and then at a regional level through a major regional meeting in mid-2014. The results will then be fed into the planning and budgeting process for each country, and the impact tracked. Around December 2014 another policy level regional meeting will be held to share experiences on mainstreaming climate change adaptation into national planning, budgeting and project preparation.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> Establishment of collaborative ties with UNDP, and Yale University and the Asian Institute of Technology Preparation and refinement of a concept paper Preparation for the first scoping workshop 		<p>Results (PMP Indicators):</p> <p>None to date</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Delivery of regional scoping workshop Refinement of the program design to reflect workshop results Delivery of regional training workshop Country-level data collection Start country-level analysis and application 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1-2</p> <p>Q 2-4</p> <p>Q4</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p> <p>IR 1.2: 1,500 person-hours of training completed in climate change supported by USG assistance</p> <p>IR 2.5: \$120,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives</p>
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building		
Activity: Designing training courses on priority climate change adaptation themes		
Location: Regional (Asia and Pacific)	Partners: University of South Pacific, East-West Center, CANSAs, other regional capacity building institutions	Started: August 2012
<p>Description of Activity: ADAPT Asia-Pacific's "Rapid Assessment of Existing Adaptation Capacity Building/Training Programs and Recommendations for Capacity Building in Asia" identified urban and land use planning and climate proofing infrastructure as gaps in climate change adaptation (CCA) capacity building (CB) in Asia and the Pacific. The former is a major CCA issue for the region -- urban areas are vulnerable to flooding from storm surges and sea level rise, increasing ambient heat, and other climate change impacts on top of existing environmental and social problems. Secondary and tertiary coastal cities are particularly well placed to take effective adaptation action given adequate capacity. In collaboration with the East-West Center, ADAPT Asia-Pacific prepared a concept paper on capacity building for urban and land use planning during the last quarter of FY12. The concept paper suggests that an approximately two-week, fee-based training module be developed that will serve as the go-to reference for introducing the fundamental principles and practices of managing climate change impacts through improved urban and land use planning. The module would give participants detailed exposure to the key elements of preparing an urban climate change investment project. It would build on experience in the region supported by USAID and other agencies, e.g., the Rockefeller Foundation.</p> <p>Countries in Asia and the Pacific will undertake major new infrastructure projects well into this century. It is essential that CCA considerations be mainstreamed into the design and construction of these projects. Based on ADAPT Asia-Pacific experience across the Asia and Pacific regions, few project engineers and financial analysts know how to determine under what circumstances climate proofing elements might be required for new projects, where such elements are needed, and how to justify their cost. ADAPT Asia-Pacific will support the design of a course, perhaps backed by twinning and/or mentoring arrangements for participating government officials and their offices, that will identify tools and processes that can be used to determine when climate proofing is required, what types of proofing may be most effective, how to employ cost/benefit analysis, where to find financing, and so on. This course will complement the course on economic of climate change adaptation which will also be developed under ADAPT Asia-Pacific.</p> <p>Both courses would be offered to learning institutions in Asia and the Pacific for delivery to practitioners. One of the two courses will be taken to the design stage during FY 13 with delivery proposed in FY14.</p>		
Activities and Results in FY12		
Main Activities: <ul style="list-style-type: none"> Preparation of concept paper on urban and land use planning 		Results (PMP Indicators): None to date
Planned Activities and Results in FY13		
Planned Activities: <ul style="list-style-type: none"> Prepare a concept paper on climate proofing infrastructure course Finalization of urban concept paper Regional experts and stakeholder meeting to discuss the relevance and appropriateness of the climate proofing and urban concept papers and reach consensus on the higher priority course and the course's scope Course design Distribution of the course to regional learning institutions 		Timing: Q2 Q2 Q3 Q3 Q 4
		Expected Results (PMP Indicators): IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance
		Resources Estimate: Technical Asst. Workshops Communication

Task Three: Capacity Building		
Activity: Development of short climate change courses with the University of the South Pacific (USP)		
Location: Regional (Pacific)	Partners: University of the South Pacific (USP); and AusAID, European Union (EU) and Council of Regional Organizations of the Pacific (CROP) agencies (all three potentially)	Started: September 2012
<p>Description of Activity:</p> <p>ADAPT Asia-Pacific begun an assessment of capacity building needs and opportunities for the Pacific region in August 2012, which is scheduled for completion at the end of October 2012. As of this writing, initial recommendations have been produced based on an incomplete assessment.</p> <p>Support to USP is envisaged to include 2 stages. Stage 1 (approximately November 2012 to August 2013) would involve support for one or more of the following activities: (i) the development of a Regional Climate Financing Short Course by USP that builds on an existing USP module and would be delivered jointly by the Faculty of Business and Economics and the Pacific Centre for Environment and Sustainable Development (PACE-SD); (ii) a Climate Financing Workshop, which would be done in collaboration with the Pacific Islands Forum Secretariat (PIFS) and back-to-back with the Forum of Economic Ministers' Meeting (FEMM); (iii) the Asia-Pacific Climate Change Adaptation Forum; and (iv) the PIFS with a case study to apply their Pacific Climate Finance Assessment Framework (PFAF) to a second country after initial results are gained from a study in Nauru; this would assist PIFS in further testing and finalizing the PFAF in a larger, more representative country.</p> <p>Stage 2 (approximately September 2013 to end 2015) is only very broadly defined at this point, and would potentially include support for: (i) implementation of the Regional Climate Financing Short Course developed by USP at a country level through its regional campus network; (ii) provide the short course to other regional institutions for their use; (iii) support selected climate financing events at ongoing meetings of the FEMM and others; and (iv) design and implementation of regional climate financing capacity initiatives.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Consultations with USP senior faculty to scope out collaborative activities as part of the regional capacity building assessment 		<p>Results (PMP Indicators):</p> <p>None to date</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Completion of assessment • Finalize agreements on support program with USP, etc. • Design and deliver training • Provide support to workshop(s) 		<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1-3</p> <p>Q2-3</p> <p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p> <p>IR 1.2: 1,840 person-hours of training completed in climate change supported by USG assistance</p> <p>IR 2.5: \$30,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building Activity: Strengthening the capacity of Thailand's ONEP		
Location: Thailand	Partners: OCCC/ONEP	Started: May 2012
<p>Description of Activity:</p> <p>Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) is the focal point for coordination of national climate change policy and strategy. ONEP recently established the Office of Climate Change Coordination (OCCC) to take on the bulk of the institution's coordination role for climate change. Consequently, OCCC is still in the early stages of building its capacity to carry out its responsibility as the national climate change focal point. In particular, OCCC is taking on the focal responsibilities for climate change adaptation, and can potentially advance the national dialogue on climate change financing. ADAPT Asia-Pacific support at this time, in concert with support being provided/envisaged by other donors, can make an important contribution to furthering effective CCA action and climate financing in Thailand.</p> <p>ADAPT Asia-Pacific has, in consultation with OCCC and with approval from the ONEP Secretary General, designed a package of support for FY13 that includes the following elements: (i) a senior advisor that will provide hands-on support to OCCC/ONEP management in ensuring a well-grounded strategic and policy foundation as well as to staff in helping them gain practical experience; (ii) periodic thematic support from short-term experts and the ADAPT Asia-Pacific team on a demand basis to help OCCC/ONEP overcome capacity constraints to delivery immediate, high priority tasks; (iii) support for developing a national strategy on climate change that ultimately will improve the evidence-based quality of Thailand's CCA projects and improve their chances of competing with other countries for scarce international climate funds; and (iv) an advisor to help them meet complex and voluminous request from UNFCCC for national submissions under the newly agreed Durban Platform for Enhanced Action.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Provision of advisory support to help Thailand prepare for international climate change meetings in November/December • Initiation of support to develop a strategy for undertaking climate change research • 	<p>Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Completion of climate change research strategy • Completion of term of senior adviser • Completion of advisory support on international climate change meetings • Provision of short-term advisory support as needed • Organization of periodic seminars on relevant climate change adaptation themes 	<p>Timing:</p> <p>Q2/3</p> <p>Q4</p> <p>Q4</p> <p>Q2-4</p> <p>Q 1-4</p>	<p>Expected Results (PMP Indicators):</p> <p>DO 1: One stakeholder with increased capacity to adapt to the impact of climate variability and change as a result of USG assistance</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building		
Activity: Strengthen the capability of regional capacity building institutions		
Location: Regional (Asia and Pacific)	Partners: ICCCAD (Bangladesh), TERI & CANSA (India), CIFOR (Indonesia), ICIMOD (Nepal), AIM (Philippines), IWMI (Sri Lanka), AIT & ADPC (Thailand), DRAGON Institute & Can Tho U. (Viet Nam), & USP (Pacific)	Started: July 2012
<p>Description of Activity:</p> <p>The project's capacity building (CB) assessment and gap analysis, country discussions, and other sources were used to compile a list of potential learning institutions with which the project could partner to deliver climate change adaptation (CCA) and CCA financing CB programs. More than 30 international, regional and national institutions have been identified. Detailed discussions being held with about half these institutions are expected to result in draft partnership agreements with several institutions. These will be compiled and a strategic decision taken to finalize agreements with approximately two institutions that can most efficiently and effectively deliver high priority CCA capacity building programs in FY13 and FY14.</p> <p>FY13 activities will build on these efforts by finalizing the partnership agreements and the overall program for strengthening the capacity of regional learning institutions, and providing support as indicated in the agreements. In most cases, this support will be in the form of: (i) updating or otherwise revising current CCA programs, courses and modules; (ii) creating new modules to strengthen existing courses/programs; and (iii) providing technical and financial support to workshops, clinics and other capacity building events that can be strengthened through ADAPT Asia-Pacific involvement.</p> <p>Specifically, ADAPT Asia-Pacific is exploring supporting a workshop on climate change finance in South Asia together with APAN and CANSA. This will bring together representatives from national planning, finance and sector agencies to explore both national and cooperative regional opportunities to access and apply domestic and international climate change finance. ADAPT Asia Pacific will support USP to strengthen its delivery of CCA and climate financing capacity building programs, especially through distance learning. Support to ADPC will be explored to add and/or update training components in its 2013 program to provide greater attention to CCA and climate finance.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Identification of institutions with which ADAPT Asia-Pacific could potentially form CB partnerships • Detailed discussions with these institutions to lay out specific activities which ADAPT Asia-Pacific could support • Preparation of draft partnership agreements 		<p>Results (PMP Indicators):</p> <p>N/A</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Finalization of draft partnership agreements • Finalization of overall program • Begin implementation of partnership agreements & overall program 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q2</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 1.1. Two institutions with improved capacity to address climate change issues as a result of USG assistance</p> <p>IR 1.2: 720 person-hours of training completed climate change supported by USG assistance</p>
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>
Task Three: Project Preparation		

Activity: Support to Indonesia Climate Change Trust Fund		
Location: Indonesia	Partners: BAPPENAS, ICCTF	Started: September 2012
<p>Description of Activity:</p> <p><u>Project selection and ranking</u> – Indonesia generates a vast number of development projects every year, guided by regular 5 year development plans, sector plans, and annual plans for each line agency. Most of these are submitted to BAPPENAS, the national development planning agency, for inclusion in various lists of projects (blue book, yellow book), especially where external funding is anticipated. The Indonesia Climate Change Trust Fund (ICCTF) needs to find a way to sort through these myriad projects to distinguish between (i) projects that don't require any adaptation to climate change; (ii) projects that do require adaptation, but no adaptation component is currently incorporated in the conceptual design; (iii) investment projects that incorporate adaptation into the project design; (iv) technical assistance or capacity strengthening projects that incorporate climate change adaptation activities; and (v) standalone climate change adaptation projects, where the primary goal is adaptation. In addition, Indonesia is now finalizing its national adaptation plan of action and it would be very helpful to incorporate an agreed approach to prioritizing projects in this plan, which is expected to be finalized by November 2012.</p> <p><u>Call for proposals and subsequent design of projects</u> – Once ICCTF has a clear picture of the criteria it would use for selecting and ranking projects, then subsequent calls for proposals could specify that the project proponents address these criteria in their proposals. This will make it much easier for ICCTF to compare projects and to provide a concrete rationale for their selection choices.</p> <p><u>Monitoring and evaluation (M&E) system</u> – The selection and ranking criteria, and their incorporation in the project design logical frameworks, would then flow through into the M&E system to ensure that projects are meeting the real adaptation needs of Indonesia. Through a robust M&E system, using quantifiable criteria wherever possible, mid-term corrections may be made if a project is heading off track, and end-of-project assessments can be used to evaluate performance of the project implementing agencies. A sound M&E system would also generate information on future design parameters for similar projects. Any difficulties in collecting the necessary baseline data or project performance data can also be fed back into the next round of project selection and ranking criteria.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <p>ADAPT Asia-Pacific met twice with the ICCTF and key stakeholders to scope out the assistance to ICCTF, including preparation of ToR and budget. A Letter of Cooperation has been drafted for signing by the Regional Director, RDMA, and the Chair of the ICCTF Steering Committee.</p>		<p>Results (PMP Indicators):</p> <p>None</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Letter of Cooperation signed between RDMA and ICCTF Steering Committee • Complete draft project selection and ranking system and seek GOI approval • ICCTF to issue call for proposals based on expected level of funding for FY2013-2016 • Trial the proposed selection and ranking system and make any necessary modifications • Conduct technical exchanges with potential mentoring "twin" countries • Commence development of M&E system for projects supported by ICCTF • Ensure that projects supported by ICCTF in FY2013-2016 use the approved M&E indicators • Activity completion and final report in English and Bahasa Indonesia 		<p>Timing:</p> <ul style="list-style-type: none"> Q1 Q1 Q1-2 Q2 Q 2-3 Q2-3 Q3-4 Q4 <p>Expected Results (PMP Indicators):</p> <p>D.O.1: One stakeholder with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p> <p>Resources Estimate:</p> <p>Technical Asst. Workshops Communication/Other</p>

Task Three: Capacity Building Activity: Rapid Assessment of Capacity Building Needs of MoNRE (Lao PDR)		
Location: Vientiane, Lao PDR	Partners: Ministry of the Environment & Natural Resources (MoNRE)	Started: Q1 FY2013
<p>Description of Activity: USAID ADAPT Asia-Pacific assisted the Government of Lao PDR and UNDP prepare a project under the Least Developed Country Fund (LDCF) financed by the Global Environment Facility (GEF). The project links the provision of small scale rural infrastructure with a specific focus on water resources, benefitting rural communities vulnerable to climate change impacts in two provinces in the south of Lao PDR.</p> <p>The project preparation is nearing completion. MoNRE has recently taken the decision to establish a Climate Change Adaptation Unit (CCAU) under the Department of National Disaster Management and Climate Change. The CCAU will support a more effective and integrated approach to climate and disaster risk management. It is recognized, however, that there will be challenges in capacity within MoNRE and CCAU's ability to transition quickly into managing and addressing the current and anticipated adverse effects of climate change through improved climate change information, education, and awareness.</p> <p>USAID ADAPT Asia-Pacific recognizes that there is an immediate need for a focused, rapid assessment and evaluation of the existing situation within MoNRE and the CCAU in order to identify capacity gaps, particularly as they relate to accessing finance for climate change adaptation-related activities, and to building on the lessons learned from the UNDP LDCF small scale rural infrastructure project. The intent of the rapid assessment aims to identify and scope out a discrete capacity building activity that will effectively respond to MoNRE/CCAU's climate change adaptation needs.</p>		
Activities and Results in FY12		
Main Activities: N/A	Results (PMP Indicators): N/A	
Planned Activities and Results in FY13		
Planned Activities:	Timing:	Expected Results (PMP Indicators):
<ul style="list-style-type: none"> Carry out a rapid institutional assessment of the newly created MoNRE. Identify and evaluate gaps in capacity of climate change-related areas. Consult with MoNRE to identify and assess ongoing capacity building activities that could lead to identifying potential areas where ADAPT Asia-Pacific could contribute and/or provide niche support to an ongoing activity. Develop a capacity building/training plan of potential climate change adaptation capacity building activities. Prepare final recommendations for a discrete immediate action capacity building/training program for MoNRE/CCAU. Commence implementation of immediate action capacity building activity to build adaptive capacity of MoNRE/CCAU. 	<ul style="list-style-type: none"> Q1 Q1 Q1-2 Q2 Q2 Q2-4 	<ul style="list-style-type: none"> IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance
		Resources Estimate: Technical Asst. Workshops Communication

Task Three: Capacity Building Activity: Climate Public Expenditure and Institutional Review (CPEIR)		
Location: Timor-Leste, Lao PDR	Partners: UNDP, Governments of Timor-Leste and Lao PDR	Started: Q1 2012
<p>Description of Activity:</p> <p>Analysis of climate financing opportunities in FY12 found that (i) adaptation funding is a very small proportion of the total climate funds; (ii) most countries in Asia-Pacific don't have direct access to these funds; and (iii) the future promise of large amounts of funding based on the Copenhagen Accord, largely through the Green Climate Fund, may not eventuate in the timeframe of the project. This analysis has led to a change in strategic approach focusing on multilateral development agencies as the main source of external climate change adaptation financing. The ADAPT Asia-Pacific project has also assisted a few countries in preparing for direct access to the Adaptation Fund in the expectation that this experience will assist them in readiness for the direct access promised by the Green Climate Fund. The other realization from this analysis in FY12 is that most countries will have to rely on domestic financing for adaptation, either through budget provisions, off-budget mechanisms like national climate funds, and private sector financing.</p> <p>Using financing from the Capacity Development for Development Effectiveness Facility (CDDE), UNDP has been undertaking Climate Public Expenditure and Institutional Reviews (CPEIRs) in several ADAPT Asia-Pacific countries, including Cambodia, Bangladesh, Thailand, Philippines, and Nepal, which analyze the sources and application of domestic climate finance. This activity will involve ADAPT Asia-Pacific in further analysis of the CPEIR results to determine potential entry points for the project that would lead to improved access to climate finance and the preparation of adaptation projects, while possibly contributing to future CPEIR studies to be undertaken by UNDP in Timor-Leste, and possibly Lao PDR.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Desk assessment of CPEIRs carried out to date by UNDP highlighting lessons learned for possible involvement of ADAPT Asia-Pacific. • Participated in and partial funding with UNDP of CPEIR Workshop in September 2012. 	<p>Results (PMP Indicators):</p> <p>None in FY12</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Continue analysis and discussion of existing CPEIRs and the lessons learned from the September 2012 workshop to identify entry points for ADAPT Asia-Pacific. • Discussions with UNDP and bilateral USAID missions on timing of CPEIRs in Lao PDR and Timor-Leste • Determine possible contribution, if any, to CPEIRs in Lao PDR and Timor-Leste plus intended recruitment of consultant teams • Capture results of these two CPEIRs, if these two CPEIRs move forward • Document follow up activities indicated by the CPEIR results in relevant ADAPT Asia-Pacific countries, if these two CPEIRs move forward • Conduct additional training in analysis of current definitions of climate financing and domestic financing of adaptation. 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q2-3</p> <p>Q3</p> <p>Q 2-4</p> <p>Q4</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p> <p>IR 2.5: \$20,000 of funds from non-USAID sources mobilized and applied to support project preparation facility objectives</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building		
Activity: Supporting the development of National Climate Trust Fund in Samoa		
Location: Samoa	Partners: World Bank, Government of Samoa	Started: August 2012
<p>Description of Activity:</p> <p>The World Bank is supporting the Government of Samoa to establish a National Climate Change Adaptation Trust Fund as part of Phase 2 of the Pacific Regional Pilot Program for Climate Resilience (PPCR) under the Strategic Climate Fund (SCF) of the Climate Investment Funds (CIF). The Trust Fund is envisaged as a repository and disbursement facility for funds received by the Government of Samoa that are intended to help reduce the adverse consequences of climate change at national and sub-national levels. The World Bank has recruited an International Legal Consultant, an International Finance Consultant, and a National Consultant to assist the Government in the design of the Fund.</p> <p>ADAPT Asia-Pacific's role in the assignment is to support the Government of Samoa and the World Bank in ensuring consideration is given to all relevant aspects for designing, establishing and operating a National Climate Change Adaptation Trust Fund (inclusive of Disaster Risk Reduction) for Samoa in ways that harmonize the management and use of the funds received from diverse sources while also ensuring the effectiveness and sustainability of the assistance provided by development and other partners.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> Review progress on the design of the Trust Fund. Provide technical advice to the consultant team designing the Trust Fund to ensure the design conforms to international standards. Review and provide comments on the outputs from the consultants' work. 	<p>Results (PMP Indicators):</p> <p>None in FY12</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Review progress on the design of the Trust Fund. Provide technical advice to Government and ensure international best practices are incorporated in the design of the Trust Fund. Provide technical advice to the consultant team to ensure the design conforms to international standards. Review and provide comments on documentation related to the design of the Trust Fund, such as issues papers, frameworks, project preparation document, etc. Contribute, where relevant, to other required analyses. 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1</p> <p>Q2</p> <p>Q2</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance.</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building		
Activity: Assessment of Institutional Capacity and Readiness of DOF for Adaptation Fund NIE Accreditation		
Location: Philippines	Partners: Department of Finance, the Philippines	Started: August 2012
<p>Description of Activity:</p> <p>The Philippine Government's (GPH) Climate Change Commission, together with the Cabinet Cluster on Climate Change Mitigation and Adaptation, has decided to access the Adaptation Fund (AF) via direct access modality and endorsed the Department of Finance (DOF) as the country's national implementing entity (NIE) candidate. DOF is starting the preparation process to submit an application package to the AF Accreditation Panel. As a first step, DOF will conduct a self-assessment of its institutional capacity against the AF's accreditation criteria with the objective to understand its current capabilities vis-à-vis requirements of the Adaptation Fund's fiduciary standards and identify gaps. DOF may decide to take measures to fill the gaps before applying, leading to a strong application package which will maximize DOF's chance of success of becoming an accredited NIE.</p> <p>The ADAPT Asia-Pacific Project will provide targeted technical assistance to DOF in conducting the self-assessment by 1) supporting a national consultant to assist DOF; and 2) linking the DOF-led assessment team to an international expert on NIE accreditation to provide technical advice necessary to complete the exercise.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Technical assistance to DOF in reviewing the AF's accreditation criteria in three areas of fiduciary standards (financial management, institutional capacity, and transparency) and the Fund's expectations for required back-up evidence. • Assisted the DOF in conducting a preliminary assessment of DOF's institutional readiness for NIE accreditation by collecting all the supporting documents, creating an inventory of all the collected documents, and assessing the adequacy of these documents for substantiating DOF's capability in meeting the requirements of the AF Accreditation Panel. 	<p>Results (PMP Indicators):</p> <p>IR 1.1: One institution with improved capacity to address climate change issues as a result of USG assistance</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Review and finalization of the final assessment report. • Support DoF design, organize and conduct a workshop with partner institutions to review the assessment's principal findings, conclusions, and recommendations and to map the way forward). • Explore providing, if requested, technical support to DOF in NIE accreditation application process 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1-4</p>	<p>Expected Results (PMP Indicators):</p> <p>DO 1.0: One stakeholder with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building Activity: Build capacity to address gender considerations in CCA		
Location: Regional	Partners: Selected government institutions involved in project preparation	Started: September 2012
<p>Description of Activity:</p> <p>Government staff responsible for designing CCA projects would benefit from having a ready reference source of information on gender considerations across a range of development sectors. This activity would consist of three main parts: ADAPT Asia-Pacific will: develop a gender and climate change adaptation sourcebook that, in a single volume, will give project designers access to key information and references related to gender considerations in particular development sectors. The sourcebook, after testing and revision, will be made widely available to government offices. It also will be used in future training programs supported by ADAPT Asia-Pacific. When appropriate and agreed by all partners, ADAPT Asia-Pacific will introduce gender components into TA projects supported by ADAPT Asia-Pacific. These components are expected to add value to the TA projects' overall outputs and outcomes by helping to ensure that efficiencies or other benefits are gained through focused support for gender activities. ADAPT Asia-Pacific will also include gender considerations in capacity building programs. When identifying assistance to capacity building institutions and when designing new courses, gender components will be considered for inclusion.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> • Background document describing the range of gender considerations in two main development sectors • Compendium of gender requirements in international adaptation funds for use by ADAPT Asia-Pacific staff and government officials. 		<p>Results (PMP Indicators):</p> <p>None to date</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Expand the background document to include additional sectors • Prepare a sourcebook of gender considerations in climate change adaption project preparation • Disseminate the sourcebook through APAN and other regional networks 	<p>Timing:</p> <p>Q1</p> <p>Q1-3</p> <p>Q3-4</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 2.2: One facilitated project with a specific gender component</p>
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Three: Capacity Building Activity: Supporting the Cambodia PPCR Phase 1		
Location: Cambodia	Partners: World Bank, Government of Cambodia – Ministry of Environment, Ministry of Planning, Ministry of Economy and Finance, and Ministry of Interior	Started: August 2012
<p>Description of Activity:</p> <p>The PPCR is one of the major sources of adaptation financing, although only a few countries are eligible in the Asia-Pacific region. As one of the first countries to receive PPCR funding, Cambodia is already into the second phase, being implemented by ADB, while Phase 1 implementation has been delayed and the selected consultants only recently started work. The WB has requested ADAPT Asia-Pacific assistance for its project supervision missions in Cambodia. As a member of the World Bank project team supervising implementation, ADAPT Asia-Pacific technical expert will work with WB on Components A (National level mainstreaming of climate resilience in key ministries) and B (Sub-national mainstreaming of climate resilience) of the PPCR Phase 1. The main Cambodian Government institutions involved are the Ministry of Environment (overall coordination of climate change), Ministry of Planning (mainstreaming climate change into strategies and plans), Ministry of Economy and Finance (mainstreaming climate change into budgets and medium-term expenditure plans), and the Ministry of Interior (decentralization and building climate change into local government plans). The consultant team has been appointed and mobilized and an inception meeting was held earlier this year. As Phase 2 of the PPCR is under ADB, the assignment will include ensuring that there is consistency between these two phases. This activity will involve periodically reviewing progress on the implementation of the two components mentioned above, including participating in World Bank missions to Cambodia. More specifically, as a part of the World Bank team, the ADAPT Asia-Pacific will carry out the following tasks:</p> <p>(i) Review progress on the implementation of the mainstreaming work under WB’s PPCR Phase 1, in particular, participate in World Bank’s discussion with the Climate Change Department of MOE on issues that may be affecting technical progress of the mainstreaming work;</p> <p>(ii) Provide technical advice to the World Bank consultant undertaking the work on mainstreaming climate resilience into legislation and strategic planning documents, including recommendations on the use of international best practices;</p> <p>(iii) Provide advice to the consultant to ensure that the work is appropriately linked with relevant proposals under Phase 2 of the PPCR;</p> <p>(iv) Participate in the WB’s review with the key stakeholders/clients for this work to gauge the effect in influencing their actions in policy, planning and implementation; and</p> <p>(v) Review and provide comments on the outputs from the consultant’s work.</p> <p>The institutional strengthening focus of the assistance provided by ADAPT Asia-Pacific will be through the Ministry of Environment and Ministry of Economy and Finance.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> Participate in WB supervision missions, review progress and advise on mainstreaming approaches. 		<p>Results (PMP Indicators):</p> <p>None in FY12</p>
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Project supervision missions with WB team Prepare aide memoires for input to WB supervision reports, with any modifications needed for the project approach Provide advice to ADB, WB and the national government on integration of Phase 1 and Phase 2 activities 		<p>Timing:</p> <p>Q1-4</p> <p>Q1-4</p> <p>Q1-4</p>
		<p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One institution (MoE) with improved capacity to address climate change as a result of USG assistance</p>
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Four: Project Preparation		
Activity: Supporting Project Preparation under Cambodia Second Rural Water Supply and Sanitation Sector Project		
Location: Cambodia	Partners: Asian Development Bank, Government of Cambodia – Ministry of Environment, Ministry of Rural Development	Started: November 2012
<p>Description of Activity:</p> <p>ADB Grant 0156-CAM: Second Rural Water Supply and Sanitation Sector Project (RWSSP2) is expanding access to improved rural water supply and sanitation (RWSS) and better health of rural households in six provinces (Kampong Chhnang, Pursat, Battambang, Beanteay Meanchey, Siem Reap, and Kampong Thom). While the Ministry of Environment provides coordination of climate change issues in Cambodia, ADAPT Asia-Pacific's specific Project support will strengthen the implementing agency, the Ministry of Rural Development. The Project covers 100% of villages in each commune and aims to achieve 100% water supply and at least 75% physical sanitation coverage in each commune. It is a sector investment project with 5 outputs: (i) improved community health and hygiene practices; (ii) rehabilitated, upgraded, and developed water facilities; (iii) improved public and household sanitation; (iv) strengthened sector planning and development; and (v) improved capacity for project implementation. The intended Project outcome is improved water supply for about 377,000 rural residents and sanitation for about 290,000 rural residents in 445 villages in 40 communes in 16 districts.</p> <p>ADAPT Asia-Pacific will study the impact of climate change on community ponds at selected villages in Battambang, Pursat, and Banteay Meanchey, although Preah Vihear and Oddar Meanchey may also be considered. ADAPT Asia Pacific will work with the RWSSP2team to: (i) study current facilities and how they are managed and operated, through both the dry season and rainy season; (ii) identify the implications of more severe flooding and extended dry season on the communities served by community ponds; and(iii) identify and recommend appropriate hardware and software solutions for climate change adaption measures by the communities. Results of these studies will be utilized by the Ministry of Rural Development in preparing sustainable climate change adaptation projects at the community level.</p>		
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> • Collect primary and secondary data and prepare inception report • Field visits, meetings, and surveys • Stakeholder workshops • Prepare draft final report with adaptation measures 	<p>Timing:</p> <p>Q1</p> <p>Q1-3</p> <p>Q2-4</p> <p>Q4</p>	<p>Expected Results (PMP Indicators):</p> <ul style="list-style-type: none"> • IR 2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance • IR 2.3: 10,000 people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance • IR 2.4: 5 million of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Communication</p> <p>Workshops</p>

Task Four: Project Preparation		
Activity: Development of Coastal Zone Adaptation Project in Samoa		
Location: Samoa	Partners: UNDP, Samoa Tourism Authority, Ministry of Natural Resources and Environment	Started: July 2012
<p>Description of Activity:</p> <p>UNDP has requested ADAPT Asia-Pacific technical support in the preparation of the project, "Enhancing the Resilience of Tourism-reliant Communities to Climate Change", which is a GEF-funded USD1.95 million (USD7.1 million including co-financing) Least Developed Country Fund (LDCF) initiative. This LDCF project's objective is to increase the resilience of Samoa's tourism sector through mainstreaming climate risks into tourism-related policy processes and adaptation actions in managing coastal infrastructure. The project comprises two components, the second of which, implementation of climate change adaptation measures in nationally demarcated Tourism Development Areas (TDAs), will be the focus of ADAPT Asia-Pacific cooperation.</p> <p>ADAPT Asia-Pacific is providing an technical assistance to the project preparation team, which will assess specific adaptation measures in the management of coastal infrastructure, including water resources, shoreline and tourism recreational activities in five Tourism Development Areas involving nine villages and at least 15 community-owned beach tourism operations. ADAPT Asia-Pacific will also provide technical assistance in gender to make recommendations for mainstreaming gender into the overall formulation of the project, as well as design a discrete gender component for the UNDP project document. The first round of consultations to launch the project took place in late July 2012.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ul style="list-style-type: none"> Carry out Vulnerability & Risk Assessments of identified priority tourism sites. Identify suitable adaptation response measures, specifically in the management of tourism facilities, coastal infrastructure, water resources, and shoreline protection activities. Assist in the determination of priority sites and activities that may be used as pilot areas in the project implementation phase. 	<p>Results (PMP Indicators):</p> <p>IR 2.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Conduct a cost/benefit analysis that evaluates the proposed adaptation options focusing on the comparative cost and effectiveness in reducing future climate change impact and damage costs. Prepare an indicative project budget and investment plan based on the selected adaptation options' preliminary costs. Prepare technical information on the proposed interventions in terms of technical design (for technical interventions, cost estimates, and implementation plan (preliminary). Prepare draft sections of UNDP project document (ProDoc) 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1</p> <p>Q1</p>	<p>Expected Results (PMP Indicators):</p> <p>DO1: One stakeholder with increased capacity to adapt to the impact of climate variability and change as a result of USG assistance</p> <p>IR 2.3: 2,500 of people benefiting from climate change adaptation projects approved or in the pipeline as a result of USG assistance</p> <p>IR 2.4: 9.05 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance</p>
		<p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshops</p> <p>Communication</p>

Task Four: Project Preparation		
Activity: Enhancing Climate Resilience of Coastal Resources and Communities Project in Samoa		
Location: Samoa	Partners: World Bank, Ministry of Natural Resources and Environment	Started: November 2012
<p>Description of Activity:</p> <p>The World Bank Pilot Program for Climate Resilience (PPCR), “Enhancing the Climate Resilience of Coastal Resources and Communities” (ECRCRC), aims to reduce vulnerability to and enhance the capacity of national systems and coastal communities to recover from the impacts associated with climate change. To achieve this outcome, the project will support: (i) implementation of revised Coastal Infrastructure Management (CIM) Plans in conjunction with other planning frameworks; (ii) facilitation of increased public awareness in access to information about climate change; and (iii) enhanced participation of civil society organizations in climate change adaptation to enable them to provide more effective climate adaptation services. The World Bank has engaged a team of consultants to undertake the detailed design work for the project, including preparation of draft project documents. The WB has requested ADAPT Asia-Pacific cooperation in supporting the Government of Samoa as a member of the Bank’s team in providing technical oversight of the work being undertaken by the Bank’s consultant team. ADAPT Asia-Pacific will provide a technical assistance in coastal and marine engineering that will focus on measures to reduce vulnerability of coastal ecosystems and communities and increase their resilience. The WB anticipates launching this project preparation activity in November 2012.</p>		
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ul style="list-style-type: none"> Review progress on the consultant team’s SoW with respect to the implementation of the coastal engineering and developing a framework for the review, updating and implementation of the CIM Plans, including incorporation of EBA and CBA approaches. Provide technical advice to government and contribute as a member of the Bank team. Participate as team members in Bank preparation missions to assist government with the detailed design. Review and provide comments on the outputs of project preparation. Prepare relevant sections of the Bank’s project preparation document Contribute to other required analyses related to project preparation. 	<p>Timing:</p> <ul style="list-style-type: none"> Q1 Q1-3 Q1-3 Q1-3 Q1-3 Q1-3 	<p>Expected Results (PMP Indicators):</p> <ul style="list-style-type: none"> IR 2.1: One climate change adaptation projects approved or in the pipeline with facilitated access to finance IR 2.3: 5,000 people benefiting from climate change adaptation projects approved or in the pipeline as a result of USG assistance IR 2.4: 5 million investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance
		<p>Resources Estimate:</p> <p>Technical Asst. Workshops Communication</p>

Task Four: Project Preparation		
Activity: Supporting Climate Change Adaptation Project Preparation in Tuvalu		
Location: Tuvalu	Partners: UNDP	Started: June 2012
<p>The GEF has granted the Government of Tuvalu funds under the Least Developed Countries Fund (LDCF) administered to prepare a project linked to the enhancement of resilience of marine-based coastal livelihoods and climate hazard response capacity, benefiting all islands of Tuvalu. Both national and local authorities need additional investment and technical support in ensuring that their development agenda is not undermined by a changing climate.</p> <p>In partnership with UNDP/Asia Pacific Regional Office in Bangkok, ADAPT Asia-Pacific provides technical assistance to the government of Tuvalu and UNDP project preparation team in developing a full-scale climate change adaptation project in Tuvalu to be financed under the LDCF. The new climate change adaptation project, entitled 'Effective and Responsive Island-level Governance to Secure and Diversity Climate Resilient Marine-based Coastal Livelihoods and Enhance Climate Hazard Response Capacity' (NAPA II) will bring an additional USD 4.2 million investment and potentially USD 19.6 million co-financing to improve resilience of the outer islands of Tuvalu to emerging climate change impacts. The project has three interrelated components: (i) improving coastal marine livelihoods; (ii) enhancing disaster risk management capacity of the Tuvaluan government and eight outer islands; and (iii) mainstreaming climate finance into the local development plans, budgets and strategies.</p> <p>ADAPT Asia-Pacific is providing technical assistance to support the design of a robust and sustainable technical proposal to be financed by GEF. ADAPT Asia-Pacific will develop technical assessments, conduct survey and interviews with stakeholders and develop relevant technical sections of the final project proposal.</p>		
Activities and Results in FY12		
<p>Main Activities:</p> <ol style="list-style-type: none"> 1.. Participated in the Inception Workshop on the new climate change adaptation project in Tuvalu and made presentations on marine ecosystem and disaster risk management tools and strategies 2. Supported the collection and synthesis of baseline information on current policy framework and capacity/research gaps in disaster risk management and communication; 3. Supported the collection and synthesis of baseline information on current policy framework and capacity/research gaps in coastal marine ecosystem and livelihood in Tuvalu 	<p>Results (PMP Indicators):</p> <p>Nothing to date</p>	
Planned Activities and Results in FY13		
<p>Planned Activities:</p> <ol style="list-style-type: none"> 1. Support the development of sustainable coastal marine ecosystem and livelihood related climate change adaptation strategies and tools to be incorporated into the project preparation document 2. Develop sustainable disaster risk management tools and strategies for the project preparation document 3. Contribute to the finalization of the UNDP project preparation document (ProDoc), including technical sections, management plan and M&E sections 	<p>Timing:</p> <p>Q1</p> <p>Q1</p> <p>Q1</p>	<p>Expected Results (PMP Indicators):</p> <p>IR 1.1: One climate change adaptation project approved or in the pipeline with facilitated access to finance</p> <p>IR 1.3: 11,500 people benefiting from climate change adaptation projects approved or in the pipeline as a result of USG assistance</p> <p>IR 1.4: US\$19.6 million of Investment leveraged from private and public sources, for climate change as a result of USG assistance</p> <p>Resources Estimate:</p> <p>Technical Asst.</p> <p>Workshop</p> <p>Communications</p>

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