



**USAID**  
DEL PUEBLO DE LOS ESTADOS  
UNIDOS DE AMERICA

# USAID|PERU PRO-INTEGRIDAD

# TWELFTH QUARTERLY REPORT

FROM OCTOBER 1<sup>ST</sup> TO DECEMBER  
31<sup>ST</sup>, 2015

Program name:	USAID Project for the Promotion of Justice and Integrity in the Public Administration (Pro-Integridad)
USAID Financing Office:	USAID/Peru – Martin Castro, COR
Contract number:	AID-527-C-13-00001
Contractor:	ARD Inc.
Issue Date:	January 2016

## **JANUARY 2016**

This publication was produced for review by the United States Agency for International Development (USAID) and prepared by Tetra Tech DPK (Tt DPK), a division of ARD Inc. in Peru.

The opinions issued by the authors in this publication do not necessarily reflect the point of view of USAID or of the Government of the United States of America.

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# LIST OF ACRONYMS

AMAG	Academy of the Magistracy
ARECODE	Strategic Area of the Coordination Against Criminality of the Public Ministry
AWP	Annual Work Plan
CAN	Anti-Corruption High Level Commission
CAJ	Andean Commission of Jurists
CIJ	Center for Judicial Research
CNM	National Council of the Magistracy
COP	Pro-Integridad Project Director
CPC	Criminal Procedure Code
CSO	Civil Society Organization(s)
DCOP	Deputy Chief of Party
DIPLOMA	Diploma Course Specialized in the Judicial System to resolve corruption cases
ETI-CPC	Institutional Technical Team for the Implementation of the Criminal Procedure Code
GOP	Government of Peru
IDEHPUCP	Institute of Democracy and Human Rights of the Pontifical Catholic University of Peru
IIM	Institutional Integrity Model
JB	Judicial Branch – Judiciary
MINJUS	Ministry of Justice and Human Rights
PM	Public Ministry
NCPC	New Criminal Procedure Code
OCTI	Office of International Technical Cooperation of the Judicial Branch
ONAJUP	National Office of Justice of the Peace and Indigenous Justice of the Judicial Branch
OPROCTI	Projects and International Technical Cooperation Office of the Public Ministry
PMP	Performance Management Plan
PROJECT	Pro-Integridad Project
ST-CEICPP	Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedure Code I
TOT	Training of Trainers
USAID	United States Agency for International Development
USG	Government of the United States of America

## I. INTRODUCTION

This document provides the twelfth and final quarterly report of the USAID/Peru Pro-Integridad Project – Project, implemented by Tetra Tech ARD<sup>1</sup> pursuant to contract number 527-C-13-00001. This report covers the period October 1 – December 31, 2015, and the activities scheduled in Pro-Integridad Project's Annual Work Plan – AWP for year 3, as approved by USAID

## II. EXECUTIVE SUMMARY

This final quarterly report reflects the progress of work that the project team successfully completed during the past quarter. The most significant actions during the current quarter were:

- **Implementation of the judicial and prosecution corporate management models:** Pro-Integridad has continued technical support to the Judicial Branch – JB and the Public Ministry – PM aimed at strengthening the corporate office with the Criminal Procedure Code – CPC. In addition, an International Congress was held in Lima from November 24 to 26, 2015, and a Conference in Iquitos on November 27, 2015, for judges, prosecutors and general actors from the justice sector, with the participation of speakers from Costa Rica, Chile, United States and Great Britain. The conference addressed topics relevant to the fight against corruption, drug trafficking, asset laundering and procedures on crimes in flagrante delicto.
- **Programming and management of hearings.** Conducted visits to the judiciary's sites in Iquitos and Moyobamba to reinforce the programming and management of hearings.
- **Workshops for prosecutors.** Technical assistance was provided in the Public Ministry to the Coordination of Strategies Against Criminality Area – ARECODE, to organize and hold workshops for prosecutors specialized in crimes of corruption, organized criminality and assets laundering in topics such as efficient collaboration, appropriate charging, and preventive detention.
- **Training in the use of the Clear Language Accessible to Citizens Judicial Manual:** The 50-hour training module on the use of this Judicial Manual for 34 representatives of the program “*Justicia en tu comunidad*” (Justice in your community) ended on November 23, 2015. The module is loaded on the Judiciary's platform to be replicated by its institution throughout the rest of the country.
- **Diploma Studies:** On October 25, 2015, the Diploma Studies to resolve cases of corruption dictated by IDEHPUCP in agreement with the Academy of the Magistracy – AMAG, sponsored and funded by USAID Pro-Integridad ended. 28 participants (13 judges and 15 prosecutors) of Lima and Callao successfully completed this training.
- **Technical Assistance to the Public Attorney's Office Specialized in Crimes of Corruption:** During the quarter, Pro-Integridad's team, in coordination with the Anti-Corruption Public Attorney's Office, visited pilot sites in Iquitos, Tarapoto and Chachapoyas to reinforce the use of the methodology and simulator to calculate civil damages in corruption cases, confirming that these tools are now in use by the counterparts in real cases.
- **Technical Assistance to the Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedure Code – ST-CEICPP:** Pro-Integridad provided technical assistance to the ST-CEICPP in the development of a base line on the criminal system functioning under the Criminal Procedure Code of 1940 in Lima as well as a comparative study with the sites applying the new CPC, in order to demonstrate the greater efficiency, efficacy and quality of the new model before the Ministry of Economy and finances as well as the general public.
- **Institutional Integrity Model – IIM:** The final evaluation of the IIM implementation in the Ministry of Justice and Human Rights – MINJUS was held in November 2015, showing an

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<sup>1</sup> Since Tetra Tech DPK is an operating and subsidiary division that is fully owned by ARD, it is considered to be the main point of contact for all aspects of this contract.

ongoing implementation since the date of its base line, achieving very good results. An update of the base line at the Public Ministry ended in November and the institution will start IIM implementation following the guidelines provided by Pro-Integridad.

- **Strengthening dialogue between indigenous populations and the justice system to prevent corruption:** As part of the work started in June 2015, Pro-Integridad, in coordination with the National Office of the Justice of the Peace and the Indigenous Justice – ONAJUP of the JB, held a roundtable on October 16, 2015, in Bagua (Amazonas) with participation by indigenous leaders, judges and prosecutors participation. As a result, several recommendations to promote better access to justice for indigenous populations were made.
- **Policy for the justice system reform.** This quarter Pro-Integridad finished a policy brief on the reform of the justice system that collects inputs from former institutional officials and experts in topics such as the criminal procedure reform, inter-culturalism, gender, and selection and control of judges and prosecutors. The policy brief, together with a pamphlet and infographic summary, were presented to a group of journalists in order to position the topics listed on the public agenda and the debate of the presidential and parliamentary elections next April 2016.

### III. PROJECT OBJECTIVES AND RESULTS

#### 3.1 Objective 1: Increase the judicial system's capacity to process corruption cases in Lima, Lima North, Lima South and Callao, and the judicial districts of the Peruvian Amazon Region

##### 3.1.1 *Strengthening the corporate management model in the Judiciary*

Project officials visited the sites of Iquitos (November 5 and 6) and Moyobamba (December 14 and 15, to reinforce the implementation of the “Guidelines for hearings programming and management in the trial stage with the new CPC”, developed with technical assistance of the Project and approved by the Executive Council of the Judicial Branch in February 2015. Both visits included Dra. Rosa Avila, Coordinator of the Information Intelligence Team of the Information Technology –IT- Management of the Judiciary, who oriented the judges and administrative staff on the correct use of the hearings management module with the CPC of the Integrated Judicial System – SIJ. The participants highlighted the opportunity given to clarify their concerns regarding the handling of this IT module, which will contribute to improve the scheduling of hearings.

##### 3.1.2. *International Congress on the fight against corruption*

Pro-Integridad, in coordination with the British Embassy in Lima, organized and held an International Congress in Lima from November 24 to 26, 2015, with the participation of speakers from Costa Rica, Chile, United States and Great Britain, who discussed topics such as the struggle against corruption, drug trafficking, asset laundering and flagrante delicto procedure. The congress had 122 attendees including judges, prosecutors, solicitors, institutional representatives and local experts who participated in discussions and exchange of ideas related to the congress topics. The international experts participating in the congress were:

##### Experts funded with USAID Pro-Integridad resources:<sup>2</sup>

- Dr. Alfredo Araya  
Sitting Superior Judge of the Flagrancy Criminal Court of San José, Costa Rica
- Ing. Abel Grant  
Administrator of Court Offices at the Court of Appeals of Arica, Chile
- Dra. Criss González  
Coordinating Prosecutor at the Prosecution Office of Economic, Fiscal and Customs Crimes of the Public Ministry of Costa Rica
- Dr. Miguel Abarca

<sup>2</sup> USAID Pro-Integridad provided funds for air tickets, lodging and per diem expenses. Because they are acting magistrates, the experts did not receive consultant fees.

Assistant Prosecutor I, Assistant Prosecution Office against Organized Delinquency of San José – Public Ministry of Costa Rica

**Expert with the Embassy of the United States of America Lima:**

- Dr. Carlos Maza  
Advisor on AML/CFT in Lima, Office of Technical Assistance, Economic Crimes Team, US Department of the Treasury, US Embassy Lima, Peru

**Experts from the United Kingdom:**

- Dra. Jacqueline Mortlock  
Advisor on Criminal Justice to the British Embassy in Panama
- Dr. George Zachary  
Advisor on Criminal Justice to the British Embassy in Lima, Peru

Pro-Integridad also held a conference in Iquitos on November 27, 2015, with the participation of two prosecutors, Criss González y Miguel Abarca. The event had 100 attendants between judges and prosecutors from the pilot site of Iquitos.



Speakers and panelists at the International Congress in Lima



Presentation of the procedure and flagrancy courts by Costa Rican Judge Alfredo Araya



Inauguration of the international conference held in Iquitos.



Exposition on assets laundering in Iquitos by Costa Rican Prosecutor Miguel Abarca.

### 3.1.3. Implementation of the Clear Language Manual Accessible to the Citizens

The module for training of trainers in the use of the Judicial Manual for “Justicia en tu Comunidad” program coordinators ended this quarter after a semi-virtual mode from October 17 to November 23, 2015, with 50 academic hours. The participants detail is shown below.

Judicial District of Origin	Participants			Women			Men		
	Total	Judges	Support	Total	Judges	Support	Total	Judges	Support
Lima, Callao and Amazon Region	14	12	2	8	8	0	6	4	2
Other judicial districts of the country	20	19	1	9	8	1	11	11	0
<b>Total</b>	<b>34</b>	<b>31</b>	<b>3</b>	<b>17</b>	<b>16</b>	<b>1</b>	<b>17</b>	<b>15</b>	<b>2</b>

Elaboration: Pro-Integridad



Participants in the module of training of trainers on clear and accessible language



Consultant Mariana Montes during the in-presence phase of the module

### 3.1.4 Training for officials who apply the Criminal Procedures Code in corruption cases

The diploma studies to resolve corruption cases conducted under the agreement signed by the Academy of the Magistracy – AMAG and the IDEHPUCP, was completed on October 25, 2015, with 181 academic hours and semi-virtual mode. The detail of approved participants (judges and prosecutors of the districts of Lima, Lima North, Lima South, Lima East, Ventanilla and Callao) are shown below.

Participants	Initially Registered	Approved	Women	Men
Judges	15	13	7	6
Prosecutors	16	15	4	11
Total	31	28	11	17

Source: IDEPUCP  
Elaboration: Pro-Integridad

### 3.1.5 Strengthening management and the corporate model in the Public Ministry

This quarter Pro-Integridad continued strengthening the corporate management model of the Public Ministry's office. Specifically, the project provided support to the Area of Strategic Coordination against Organized Criminality – ARECODE in organizing and holding academic meetings for prosecutors specialized in corruption crimes, organized crimes, and asset laundering. On October 15, 2015, in coordination with the British Embassy in Lima, a workshop was conducted on "The process of efficient collaboration within the Criminal Procedure Code" at the School of the Public Ministry. During this event, the prosecutors were able to discuss and standardize criteria to apply this process in their work.

The Project also provided technical assistance to ARECODE in organizing and implementing the First Workshop for Specialized Prosecution Offices, carried out on December 10 and 11, 2015, focused on the appropriate charging and the preventive detention under the Criminal Procedure Code.



*Dra. Lourdes Rivera-Santander, Pro-Integridad DCOP, Dra. Patricia Benavides, Head of ARECODE, at the inauguration of the First Workshop of Specialized Prosecution Offices.*



*Presentation of Superior Prosecutor Frank Almanza on the needed imputation.*

### **3.1.6 Technical Assistance to the Public Attorney's Office Specialized in Corruption Crimes**

This quarter Pro-Integridad and the Public Attorney's Office carried out work visits to the pilot sites of Iquitos (October 12 and 13), Tarapoto (November 9 and 10) and Chachapoyas (November 12 and 13). These visits focused on consolidating the use of the methodology and the simulator to calculate civil damages for corruption cases. To that end, several meetings were held with members of the Public Attorney's Office in those sites to learn about their experience on the use of these tools in concrete cases, and workshops were conducted on legal presentation for the staff.

Those visits demonstrated that both the methodology and the simulator are being used to sustain requests for civil reparation in cases of corruption. Of note is that on November 10, 2015, Pro-Integridad's technical team attended an oral trial for embezzlement in the city of Lamas, when the judge ordered a payment of S/. 13,124 (S/. 5,124 for assets related damage and S/. 8,000 for non-related assets damage) based on the calculation done by public solicitors using the methodology and the simulator.

Dr. Joel Segura, Anti-Corruption Public Attorney, has highlighted Pro-Integridad's technical assistance mentioning that the simulator helps in the Public Attorney's work and expects that its internalization and acceptance will continue, gradually increasing in the legal system to become a standard to quantify damages.



*Participation of the Pro-Integridad team in a hearing, Judge Melina Vargas.*



*Iquitos Decentralized Public Attorney's Office staff and Pro-Integridad technical staff after the workshop on arguing and follow-up on the use of the simulator.*

### **3.1.7 Assistance to the Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedure Code**

This quarter ended the technical assistance to the ST-CEIPP to develop a base line on the functioning of the criminal system with the *Code of Criminal Procedures of 1940 in Lima* and the comparative analysis with the judicial districts that apply the new *CPC of 2004*. As it was noted

earlier, this supports the greater efficiency, efficacy and quality of the new model before the Ministry of Economy and Finances and the citizens.

**Objective 1 products for this quarter were:**

- Product N° 80: Report on the accompaniment in the use of the methodology and the simulator to calculate civil damages in corruption cases.
- Product N° 81: New criminal justice, fight against corruption and international best practices.
- Product N° 82: Report on and materials from the international congress.
- Product N° 83: Report on the training to trainers module on the Clear Language and Accessible to Citizens Judicial Manual for the “Justicia en tu Comunidad” Program.
- Product N° 84: Report on the diploma studies to resolve corruption cases.
- Product N° 85: Base line study on the application of the Code of Criminal Procedures or 1940 in Lima and comparative analysis with judicial districts that apply the new Criminal Procedure Code of 2004.

**3.2 Objective 2: Promote the Institutional Integrity Model within the Justice Sector**

**3.2.1 Ministry of Justice and Human Rights - MINJUS**

The final evaluation of the IIM implementation in the Ministry of Justice and Human Rights – MINJUS was held in November 2015. The results achieved with technical assistance of Pro-Integridad have been positive since the assessment presented in October 2013. The results are shown on the progression scale designed at the beginning of this work.<sup>3</sup> At the assessment point, MINJUS obtained 6 record units vs a total of 39 possible units, which placed the institution at the position of “at risk”. In November 2014 the institution advanced to 21 units of 39, placing it at the position of “on the path to integrity”. By November 2015, the MINJUS reached 26 units, maintaining the “on the path to integrity” position. Potentially, by July 2016 the MINJUS could advance to 31 units, bringing it significantly near the “integrity” position, which is reached at 35 points. The following chart shows the detail of MINJUS results achieved:

Aspects	Record Units Fulfilled			Potentiality by July 2016	Maximum possible
	October 2013	November 2014	November 2015		
1. Studies of culture/Organizational climate	0	2	5	6	7
2. Public perception regarding the MINJUS	0	4	4	4	6
3. Productivity statistics	0	3	3	3	4
4. Human capital management systems (notice, selection, training, performance evaluation)	2	3	3	6	7
5. Regulations related to ethics and/or anti-corruption	1	6	6	7	7
6. Management of public information and transparency	3	3	5	5	8
Total record units fulfilled	6	21	26	31	39
Integrity 100%					ΣX = 39
Integrity					35 ≤ X
On the path to integrity					21 ≤ X ≤ 34
At risk situation					X ≤ 20

Elaboration: Pro-Integridad

<sup>3</sup> The progression scale designed for the implementation of the IIM at the MINJUS considers 6 aspects and 39 record units on a discrete scales with the following positions:

- From 0 to 20 units fulfilled: At risk situation.
- From 21 to 34 units fulfilled: On the path to integrity.
- From 35 to 39 units fulfilled: Integrity.

### 3.2.2 Public Ministry

In February 2014 USAID Pro-Integridad Project submitted to the Public Ministry – PM an assessment on the level of development of the indicators of the Institutional Integrity Model – IIM in that institution. The report highlighted concrete areas to be strengthened in order to implement the IIM and thus enhance the institutional integrity. At that time the PM did not continue the implementation of the IIM. The situation changed at the appointment of Dr. Pablo Sanchez as Prosecutor General. During a meeting held on March 17, 2015, Dr. Sanchez expressed his interest in promoting integrity throughout the PM and implement the IIM. Mr. Jose Luis Echevarria, General Manager, ordered the update of the assessment and start the IIM implementation in the PM.

The final report on the implementation of the IIM includes the results of the updated assessment of the PM’s institutional integrity, ended in November 2015. Both at the assessment of February 2014 and at the update, the PM has reached 27 record units out of 57 possible units,<sup>4</sup> placing the institution at the position of “at risk situation”, but close to go to the “on the path to integrity” position. The following chart shows in detail the results obtained at the Public Ministry:

Aspects	Record Units Fulfilled		Maximum possible
	February 2014	November 2015	
1. Studies of culture/Organizational climate	7	4	7
2. Public perception regarding the Public Ministry	3	3	6
3. Productivity statistics	0	2	5
4. Human capital management systems (notice, selection, training, performance evaluation)	1	1	7
5. Regulations related to public ethics	2	2	7
6. Public policies and practices of prevention of corruption	0	1	6
7. Internal measures to prevent corruption related to improvement of accounting and audit regulations	7	7	7
8. Management of public information and transparency	7	7	8
9. Participation of society in monitoring the institution	0	0	4
Total record units fulfilled	27	27	57
Integrity 100%	$\Sigma X = 57$		
Integrity	$45 \leq X$		
On the path to integrity	$28 \leq X \leq 44$		
At risk situation	$X \leq 27$		

Elaboration: Pro-Integridad

#### **Objective 2 products for this quarter were:**

- Product N° 78: Report on the final evaluation of the implementation of the Institutional Integrity Model in the Ministry of Justice and Human Rights.
- Product N° 79: Report on the final evaluation of the implementation of the Institutional Integrity Model in the Public Ministry.

### **3.3 Objective 3: Strengthen the judicial system’s capacity to address corruption through effective civil society engagement**

#### ***3.3.1 Strengthening the dialogue between indigenous populations and the justice system to prevent corruption***

<sup>4</sup> The progression scale designed for the implementation of the IIM at the Public Ministry considers 9 aspects and 57 record units on a discrete scales with the following positions:

- From 0 to 28 units fulfilled: At risk situation.
- From 29 to 44 units fulfilled: On the path to integrity.
- From 45 to 57 units fulfilled: Integrity.

As part of the work with the National Office for Justice of the Peace and Indigenous Justice – ONAJUP, on October 15, 2015, Pro-Integridad conducted a roundtable in Bagua Grande with 10 participants including six Awajún indigenous leaders, the President of the Superior Court of Amazonas, Dr. Alejandro Espino, and three superior prosecutors from that judicial district, to discuss guidelines to improve the access to justice of the indigenous populations. The base line for this activity was the assessment workshop held in Imacita on July 10, 2015, and the qualitative investigation of the Amazonas indigenous population perceptions regarding the obstacles encountered to access the justice system.

Among the recommendations from the participants is the creation of instances similar to those of ONAJUP in other justice sector institutions, strengthening accountability, greater presence of interpreters in judicial processes, promote good treatment, and generate spaces to approach the civil society.



Mr. Carlo Mario Velarde, Liaison with the Civil Society at Pro-Integridad, Dr. Alejandro Espino, President of the Superior Court of Amazonas and Awajún leader, Merino Trigoso.



Participants at the roundtable in Bagua held in October 2015.

### **3.3.2 Document on policies on the criminal procedure reform**

On October 28 and November 13, 2015, Pro-Integridad had two work breakfasts with former high level officers and experts in justice system reform. They collected the information needed for the development of a policy brief to be used by opinion leaders to increase citizen understanding of the justice system reform. The work breakfasts were held at the *Instituto de Estudios Peruanos* with 21 attendants including four former ministers of State and a former Prosecutor General. The resulting policy brief includes topics such as the criminal procedure reform. Inter-culturalism, gender, and selection and control of judges and prosecutors, and are available at the following web address: [www.dialogosperu.pe](http://www.dialogosperu.pe).

This work is integrated into the initiative of the *Instituto de Estudios Peruanos* known as “Dialogues of Public Politics.” This aims to result in the construction of an agenda of priorities to achieve efficient public management and quality. This agenda includes 11 topics of national interest such as health, education, decentralization, justice, among others, and contains a series of recommendations.

The policy brief, together with a pamphlet and infographic summary, were presented to a group of journalists in meetings held on November 24 and 27, 2015.



Work Breakfasts with experts in justice reform conducted in October 2015 at the Instituto de Estudios Peruanos.

**Objective 3 products for the quarter were:**

- Product N° 86: Proposed policy guidelines to improve Access to justice of indigenous populations.
- Product N° 87: Proposed accountability mechanisms for the MINJUS.
- Product N° 88: Policy brief on the justice system reform, pamphlet and infographic summary.

**3.4 Follow up of the Gender Inclusion Strategy**

The gender inclusion in the Project had the following advances during the quarter:

OBJECTIVE	ACTIVITIES	STATUS
<b>1. OB1: MANAGEMENT</b>	<ul style="list-style-type: none"> <li>▪ Identify the existence of regulations, protocols and guidelines for the assistance of female users in corruption cases within the CPC application framework to corruption cases (within the management assessment framework).</li> <li>▪ Promote the development of guidelines for the assistance to female users in corruption cases (within the technical assistance framework in management matters).</li> </ul>	<ul style="list-style-type: none"> <li>▪ Performed.</li> <li>▪ Performed.</li> </ul>
<b>2. OB1: TRAINING</b>	<ul style="list-style-type: none"> <li>▪ Encourage female participants in training courses that will be conducted by the Project.</li> <li>▪ Encourage the participation of women in the education spectrum.</li> <li>▪ Include in the training courses the analysis of cases that raise awareness among the justice operators regarding the importance of the gender equality approach.</li> </ul>	<ul style="list-style-type: none"> <li>▪ Of 92 judges, prosecutors and attorneys who approved the Diploma Course, 42 were women and 50 were men.</li> <li>▪ Of 28 judges and prosecutors who approved the diploma studies with the AMAG and IDEHPUCP, 11 were women and 17 were men.</li> </ul>
<b>3. OB1: MONITORING</b>	In reviewing the PMP indicators, a cross-cutting gender focus will be included, considering the special features of each indicator.	For a more detailed report on indicators sub-divided by gender, see Annex N°1.
<b>4. OB2: INTEGRITY</b>	<ul style="list-style-type: none"> <li>▪ Identify institutional policies and strategies aimed at an egalitarian valuation of the human potential from the gender equality perspective (within the framework of the integrity assessment).</li> <li>▪ Identify whether the institutional instruments to promote ethics, such as the Code of Ethics or other internal norms, include a gender equality approach.</li> </ul>	The IIM Implementation work plan at the MINJUS includes the gender perspective and incorporates constant participation by women in the development of the IIM.
<b>5. OB3: CIVIL SOCIETY</b>	<ul style="list-style-type: none"> <li>▪ Encourage female participants to engage in the actions that the Project shall carry out to provide support to the civil society (grants).</li> <li>▪ Dissemination of reporting mechanisms on corruption cases that affect women.</li> <li>▪ Interdisciplinary application of the gender equality approach in the development of communication campaigns: messages, trainings and indicators.</li> </ul>	<ul style="list-style-type: none"> <li>• The RFA for grants adds the gender strategy developed by the Project, and has been defined as an evaluation criterion.</li> <li>• Also, in the development of training courses "Learn to monitor" conducted by Forum in Lima and Loreto, the program has included a</li> </ul>

		<p>module called "The New Criminal Procedure Code and its Treatment of Gender and Cultural Diversity." This implies that all the trainees have understood the importance of the gender perspective in the development of justice in our country. It must be noted that the trainings developed by Forum Solidaridad and by Proetica have had an equitable number of participants between men and women.</p> <ul style="list-style-type: none"> <li>• Of 530 people trained by Forum, 228 were women</li> </ul>
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### 3.5 Project Monitoring

Annex 1 shows the indicators' table with updated achievements during this quarter, with an indicator advance narrative.

## IV. WORK MEETINGS

This section indicates the institutions with which coordination have been made to implement Project activities.

### 4.1 Coordination meetings with national counterparts

Institution	Area / Office
Judiciary	Office of International Technical Cooperation
	<i>Justicia en tu Comunidad</i> Program
	National Office of Justice of the Peace and Indigenous Justice
Public Ministry	Strategies against Criminality Coordination Area
MINJUS	General Secretariat
	General Direction of Planning and Development
	Public Attorney's Office Specialized on Corruption Crimes
	Technical Secretariat of the Special Commission of the CPC Implementation

### 4.2 Coordination with Grantees and Subcontractors

Several coordination meetings have been held with IDEHPUCP for the implementation of the diploma studies to resolve corruption cases.

### 4.3 Coordination with other International Cooperation Projects and Key Institutions

Coordination meetings have been held with the British Embassy in Lima to organize and carry out the international congress "New criminal justice, anti-corruption efforts, and international best practices" and the workshop "The efficient collaboration process within the Criminal Procedure Code."

## V. ADMINISTRATIVE SUMMARY

- The fifth category contracts for Pro-Integridad technical members Edith Sicha, Flor Torres and Carlos Mario Velarde were extended by January 31, 2016, due to counterpart requirements and Project needs.
- Fifth category contracts for Oscar Cornejo and Jose Reategui were terminated on December 31, 2015.

- On November 18, 2015, the consultant contract with Ms. Mariana Montes ended (methodological support to the Judicial Branch in the use of the Clear Language Accessible to Citizens Judicial Manual for *Justicia en tu Comunidad* program coordinators).
- On November 18, 2015, the consultant contract with Mr. Kenneth Garces ended (technical assistance to the Judiciary in the use of the Clear Language Accessible to Citizens Judicial Manual for *Justicia en tu Comunidad* program judges).
- On November 20, 2015, the consultant agreement with Mr. Juan Villegas Abrill ended (technical assistance to the ONAJUP of the Judiciary in collecting information and developing guidelines for administration of justice policies to combat corruption and strengthen skills of indigenous population to monitor and propose improvement actions).
- On December 15, 2015, the consultant agreement with Mr. Kenneth Garces ended (legal advice to develop a policy brief on the reform of the justice system and the criminal procedure reform in Peru, emphasizing on corruption cases, focused in improving positioning and citizen understanding of these topics driven from the civil society).
- On December 2, 2015, the subcontract 006-Tt DPK with Ms. Cecilia Blondet ended (policy brief on the reform of the justice system and the criminal procedure reform in Peru, emphasizing on corruption cases, focused in improving positioning and citizen understanding of these topics driven from the civil society).
- On December 15, 2015, the subcontract 004-Tt DPK with Mr. Eli Castillo ended (specialized service to conduct a base line study on the application of the Criminal Code of Procedures of 1940 in the Judicial District of Lima and a comparative analysis with the judicial districts that apply the Criminal Procedure Code of 2004).
- On December 15, 2015, subcontract 005-Tt DPK with Ms. Paula Valdez ended (methodological statistic support to conduct the base line study on the application of the Criminal Code of Procedures of 1940 in the Judicial District of Lima and a comparative analysis with the judicial districts that apply the Criminal Procedure Code of 2004).
- On November 30, 2015, the subcontract amendment 003-Tt DPK with the Pontifical Catholic University of Peru ended (diploma course for judges and prosecutors under agreement with the Academy of the Magistracy).

## VI. PRODUCTS AND DELIVERABLES

Project Deliverables Summary & Tracking Sheet												
Peru Promoting Justice and Integrity in Public Administration – Pro-Integridad												
Project Contract No.: AID-527-C-13-00001												
QUARTERS 1, 2 and 3 2013	CONTRACT REFERENCE	February 2013 – June 2013					July 2013-Sept.2013			Oct. 2013 – Dec. 2013		
		Feb-2013	Mar-2013	Apr-2013	May-2013	Jun-2013	July-2013	Ago-2013	Sep 2013	Oct 2013	Nov 2013	Dec 2013
Initial Annual Work Plan	F.6(A)(a)		3/18									
Integrated Gender Strategy	C.3 (page C.10)				5/17							
Disabilities Integration Strategy	C.3 (page C.10)		3/18									
Performance Monitoring Plan (PMP)	F.6(B)			4/1								
Quarterly Progress/Financial Reports	F.6( C ) & (D)			4/30								
Second Quarterly Progress/Financial Reports	F.6( C ) & (D)					6/30						
CSO Mapping developed						6/30						
Third Quarterly Progress/Financial Reports	F.6( C ) & (D)							9/30				
Baseline Study	F.6( C ) & (D)							8/15				
Analysis of participatory training requirements and training programs for judges, prosecutors and court staff.	F.6( C ) & (D)						7/31					
Four Quarterly Progress/Financial Reports	F.6( C ) & (D)											12/31
QUARTERS 1, 2 and 3 2014		February 2013 – June 2014					July 2013-Sept.2014			Oct. 2013 – Dec. 2014		

	CONTRACT REFERENCE	Jan-Feb-2014	Mar-2014	Apr-2014	May-2014	Jun-2014	July-2014	Ago-2014	Sep 2014	Oct 2014	Nov 2014	Dec 2014
Report on developed strategic plan for CPC implementation on corruption cases and associated monitoring of the achievement of the plan approved by USAID	F.6( C ) & (D)	01/31										
USAID approved and finalized report on <i>Organizational and Work Flow Management Redesign and Improvements for Corruption Cases</i>	F.6( C ) & (D)	02/14										
Memo for two grants awarded to increase public understanding of the criminal justice reform under the CPC for corruption cases	F.6( C ) & (D)		03/15									
Fifth Quarterly Progress/Financial Reports	F.6( C ) & (D)				04/30							
Conduct one international workshop focused on judges and key support staff to facilitate the implementation of the improved processes.					04-(7-11)							
Report on the development of the CPC M&E system of the Judicial Branch						06/30						
Methodological handbook for the systematizing and analysis of jurisprudence in corruption cases, approved by USAID						06/30						
IIM Assessment Document at the Public Ministry						06/26						
Report on the development of the Communications Strategy to improve citizen understanding regarding the criminal justice reform.			04/07									
Grant agreement signed by CAJ (Grant 2).			04/21									
Grant agreement signed by Forum (Grant 1).			04/24									
Sixth Quarterly Progress/Financial Reports	F.6( C ) & (D)					06/30						
Seventh Quarterly Progress/Financial Reports	F.6( C ) & (D)							09/30				
One grant awarded to support citizen oversight programs focusing on the performance of the judicial system and its handling of corruption cases in targeted regions and to train CSOs on mechanisms to foster consistent and sustainable oversight of the judicial system	F.6( C ) & (D)									10/15		
Report on Circles of Innovation as a tool for the sustainability of the improved processes in pilot sites.										10/30		
Second Quarterly Progress /Financial Reports Forum								09/30				
Second Quarterly Progress /Financial Reports CAJ								09/30				
Grant agreement signed by Proética (Grant 3).										10/19		
Plain Language Bench book approved by USAID.										10/29		
Partial report on Diploma course implementation approved by USAID that includes partial evaluation reports.										10/30		
Study of standards/methodologies developed to calculate and recover damages in corruption cases										10/24		
Manual and/or Protocol proposal to calculate and recover damages in corruption cases										10/24		
Annual indicators report										10/23		

Thesaurus for systematizing and analysis of jurisprudence in corruption cases												11/05	
Manual and Protocols for the improved management model including best practices for corruption cases												12/01	
Report summarizing study tours conducted, approved by USAID.												12/01	
Evaluation report on the implementation of the IIM at MINJUS													12/31
Eight Quarterly Progress/Financial Reports	F.6( C ) & (D)												12/31
Third Quarterly Progress /Financial Reports Forum (Grant 1)													12/31
Third Quarterly Progress /Financial Reports CAJ (Grant 2)													12/31
First Quarterly Progress /Financial Report Proética (Grant 3)													12/31
<b>QUARTERS 1, 2 and 3 2015</b>	<b>CONTRACT REFERENCE</b>	<b>February 2015 – June 2015</b>					<b>July 2015-Sept.2015</b>			<b>Oct. 2015 – Dec. 2015</b>			
		<b>Feb-2015</b>	<b>Mar-2015</b>	<b>Apr-2015</b>	<b>May-2015</b>	<b>Jun-2015</b>	<b>Jul-2015</b>	<b>Ago-2015</b>	<b>Sep 2015</b>	<b>Oct 2015</b>	<b>Nov 2015</b>	<b>Dec 2015</b>	
One grant awarded to develop an instrument that will support vulnerable populations in three locations of Peru, in monitoring the implementation of the CPC, particularly related to corruption cases.	F.6( C ) & (D)	01/13											
Annual Work Plan Year 3	F.6(A)(a)	01/13											
Grant agreement signed by Proética (Grant 4).		01/30											
Fourth Quarterly Progress /Financial Reports Forum (Grant 1).			03/31										
Fourth Quarterly Progress /Financial Reports CAJ (Grant 2)			03/31										
Second Quarterly Progress /Financial Report Proética (Grant 3).			03/31										
First Quarterly Progress /Financial Report Proética (Grant 4).			03/31										
Ninth Quarterly Progress/Financial Reports	F.6( C ) & (D)			04/30									
Fifth Quarterly Progress /Financial Reports CAJ (Grant 2).				04/30									
Fifth Quarterly Progress /Financial Reports Forum (Grant 1)						06/30							
Third Quarterly Progress /Financial Report Proética (Grant 3).						06/30							
Second Quarterly Progress /Financial Report Proética (Grant 4).						06/30							
Report on the simulator to calculate civil reparations in crimes against the public administration	F.6( C ) & (D)					06/30							
Tenth Quarterly Progress/Financial Reports	F.6( C ) & (D)						07/30						
Sixth Quarterly Progress /Financial Reports Forum (Grant 1)									09/30				
Fourth Quarterly Progress /Financial Report Proética (Grant 3).									09/30				
Third Quarterly Progress /Financial Report Proética (Grant 4).							07/30						
Final report on the diploma course with IDEHPUCP	F.6( C ) & (D)								09/30				
Eleventh Quarterly Progress/Financial Reports	F.6( C ) & (D)									10/30			

Close-out plan and Disposition of Assets Proposal	F.6( C ) & (D)										11/05	
Final report on the implementation of the IIM at MINJUS and Public Ministry	F.6( C ) & (D)										11/30	
Twelfth Quarterly Progress/Financial Reports	F.6( C ) & (D)											1/30

## VII. QUARTERLY FINANCIAL REPORT

Project's budgeting lines	Budget approved to date	Total quarterly expenses	Total expenses to date	Remaining balance
CLIN 001 – Increase judicial system capacity in Lima, Callao, and Peruvian Amazon regions to resolve corruption cases	\$2,939,782	\$210,237.98	\$2,790,893	\$148,888
CLIN 002 – Promotion of Institutional Integrity Model	\$ 318,919	\$17,854.30	\$91,274	\$27,645
CLIN 003 – Strengthen the judicial system's capacity to address corruption through effective civil society engagement	\$ 965,700	\$83,200.16	\$920,505	\$45,195
CLIN 004 – Fixed Fee	\$240,505	\$37,076.00	\$228,478	\$12,027
<b>Total Costs</b>	<b>\$4,464,906</b>	<b>\$348,368.14</b>	<b>\$4,231,149</b>	<b>\$233,757</b>

The December 2015 invoice to USAID has a FY2013-FY2014 NICRA Adjustment on Fringe Benefits of **(\$10,466.85)** and a FY2013-FY2014 NICRA Adjustment on Indirect Costs (G & A) of **(\$27,687.23)**, which make a total adjustment of **(\$38,154.08)**.

## VIII. SUMMARY OF ACTIVITIES PLANNED, ACCOMPLISHED AND UNDER PROGRESS FOR THE PERIOD COVERING OCTOBER 1, 2015 THROUGH DECEMBER 31, 2015

The table below shows a summary of the implementation status of the work plan for the third year of Pro-Integridad which started on February 15, 2015.

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>Result 1.1: Increased capacity of judges and court staff in the targeted regions to manage and resolve corruption cases</b>					
<b>Activity 1: Establish efficient, timely and continuous systems for case management</b>					
Organize coordination meetings with the liaisons of the Judiciary (OCTI and ETI-CPP).	February 2015— February 2016	Project Team	Judicial Branch (Presidency Advisory Cabinet, Institutional Technical Team for the Implementation of the CPC in the Judiciary, Office for International Technical Cooperation)	Coordination meetings	Ongoing

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
Continue strengthening, follow-up and monitoring activities for the improvements implemented in the pilot project districts of Iquitos, Chachapoyas and Moyobamba, which contribute to the sustainability of the improvements.	February - November 2015	Consultant Project Team	Judicial Branch (Cabinet of Presidency Advisors, Institutional Technical Team for Implementation of the CPC in the Judicial Branch, Office of International Technical Cooperation)	Report on results of the implementation of improvements in the judicial office of the Project's pilot sites.	Completed
Training judges and support personnel on the improved processes in the sites mentioned above and continue monitoring the circles of innovation installed.	March – September 2015	Consultant Project Team	Judicial Branch (Cabinet of Presidency Advisors, Institutional Technical Team for Implementation of the CPC in the Judicial Branch, Office of International Technical Cooperation)	Report on the training in improved processes in the selected pilot sites.	Completed Iquitos, Moyobamba, Chachapoyas. and Lima
International technical assistance for strengthening the programming and management of hearings that will: <ul style="list-style-type: none"> <li>• Provide support to the implementation of the Guidelines for hearings programming and management.</li> <li>• Based on international best practices, design strategies to improve hearings programming and management</li> </ul>	March – June 2015	International Consultant, Project Team	Judicial Branch (Presidency Advisory Cabinet, Institutional Technical Team for the Implementation of the CPC in the Judiciary, Office for International Technical Cooperation)	Report on results of the implementation of improvements in the judicial offices at the Project's pilot sites.	Completed
<b>Activity 2: Train judges in management of corruption cases under the CPC</b>					
Follow-up on the Diploma course developed by the IDEHPUCP (3 trips to accompany the in-presence module in the Project's pilot regions).	February – September 2015	IDEHPUCP Project Team	Judicial Branch (Cabinet of Presidency Advisors, Institutional Technical Team for Implementation of the CPC in the Judicial Branch, Office of International Technical Cooperation)	Final report on results of the implementation of the Diploma course by the IDEHPUCP, addressed to 50 judges, 50 prosecutors and 5 Solicitors, approved by USAID. (September 25, 2015)	Completed
Develop circles of innovation and study with the graduates of the Diploma course in Lima in coordination with the IDEHPUCP.	April-June 2015	IDEHPUCP Project Team	Judicial Branch (Cabinet of Presidency Advisors, Institutional Technical Team for Implementation of the CPC in the Judicial Branch, Office of International Technical Cooperation)	Report on results	Completed
Develop activities to measure the impact of training on judges and prosecutors graduated from the Diploma course in Lima.	February – March 2015	IDEHPUCP Project Team	IDEHPUC Project Team	Report on results	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
Prepare jointly with the IDEHPUC a Graduation from the Diploma course ceremony	August 2015	Project Team and IDEHPUCP	Judicial Branch (Presidency Advisory Cabinet, Institutional Technical Team for the Implementation of the CPC in the Judiciary, Office for International Technical Cooperation)	Publication and photos	Completed
<b>Activity 3: Train judges on the use of clear language accessible to citizens.</b>					
Technical assistance for the design of a module to train trainers in the use of the Clear Language Judicial Manual Accessible to Citizens.	March – April 2015	Consultants, Project Team	Judicial Branch (Presidency Advisory Cabinet, Institutional Technical Team for the Implementation of the CPC in the Judiciary, Office for International Technical Cooperation)	Training of trainers module validated by the counterpart.	Completed
Training workshops for trainers in Lima and a pilot site in the Amazon Region.	April – May 2015	Consultants, Project Team	Judicial Branch (Presidency Advisory Cabinet,, Office for International Technical Cooperation)	Workshops completed.	Completed
Develop a report on the results with a strategy for the Judiciary to replicate the training in the use of the manual.	May - June 2015	Consultants, Project Team	Judicial Branch (Presidency Advisory Cabinet,, Office for International Technical Cooperation)	Report on results of training provided and a strategy to replicate the training of trainers' module by the Judiciary.	Completed
<b>Activity 4: Provide assistance for the implementation of the Compendium of legal terms on official corruption</b>					
Technical assistance for the association of judgments, quality control, display and production commission of the "Compendium of Legal Terms on Official Corruption."	May – July 2015	Consultants, Project Team	Judicial Branch (Presidency Advisory Cabinet,, Office for International Technical Cooperation)	Report on results	Completed
Develop a procedure for the update and administration of the Compendium by the Judiciary.	July – August 2015	Consultants, Project Team	Judicial Branch (Presidency Advisory Cabinet,, Office for International Technical Cooperation)	Procedure validated by the counterpart	Completed
<b>Result 1.2: Increased capacity of prosecutors in targeted regions to prosecute corruption cases</b>					
<b>Sub-Result (i): Increased skills to manage, investigate, and prosecute corruption cases demonstrated by prosecutors in targeted regions</b>					
<b>Activity 1: Training to improve prosecutors' skills</b>					
Follow-up on the Diploma course developed by the IDEHPUCP (3 trips to accompany the in-presence module in the Project's pilot regions).	February – September 2015	IDEHPUCP Project Team	Public Ministry (Institutional Technical Team for the Implementation of the CPC and OPROCTI)	Final report on Diploma course implementation for judges, prosecutors and public attorneys approved by USAID. September 30, 2015	Completed
Develop circles of innovation and study with the graduates of the Diploma course in Lima in coordination with the IDEHPUCP.	April -June 2015	IDEHPUCP Project Team	Public Ministry (Institutional Technical Team for the Implementation of the CPC and OPROCTI)		Completed
Develop activities to measure the impact of training on judges and prosecutors graduated from the Diploma course in Lima.	February - March 2015	IDEHPUCP Project Team		Report on results	Completed
Graduation Ceremony.	August 2015	IDEHPUCP Project Team	Public Ministry (Institutional Technical Team for the Implementation of the CPC and OPROCTI)	Publication and photos	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>Sub-Result (ii): The PM uses tools to improve the prosecutors' performance</b>					
<b>Activity developed in years 1 and 2 of the Project</b>					
<b>Sub-Result (iii): The PM's case management system is more efficient</b>					
<b>Activity 1: Conduct analysis of the Systems and processes currently used by the PM for case management</b>					
Continue coordination meetings with the liaisons at the Public Ministry for Project activities	February 2015 – February 2016	Project Team	Public Ministry (Office of Projects and International Technical Cooperation and Institutional Technical Team for the Implementation of the CPC)	Coordination meetings	Ongoing
Continue technical assistance to develop activities to make possible the implementation by the Public Ministry of the Organization and Functions Manual of Corporate Prosecution Offices in the project's pilot districts. <ul style="list-style-type: none"> <li>Management of the corporate prosecution office.</li> <li>Distribution of workload.</li> <li>Role of the coordinator prosecutor and assistants.</li> </ul>	February - December 2015	Project Team	Public Ministry (Office of Projects and International Technical Cooperation and Institutional Technical Team for the Implementation of the CPC)	Report on results of the technical assistance to make possible the implementation of the Organization and Operating Manual for the Corporate Prosecution Office	In progress
Training prosecutors and Support personnel in topics to strengthen the implementation of the corporate prosecution office, through workshops on: <ul style="list-style-type: none"> <li>Team work.</li> <li>Coaching.</li> </ul>	February - June 2015	Consultant, Project Team	Public Ministry (Office of Projects and International Technical Cooperation and Institutional Technical Team for the Implementation of the CPC)	Report on results of the training for the implementation of the corporate prosecution office (team work and coaching).	Completed in Iquitos, Moyobamba. and Lima North
<b>Result 1.3: Standard methodology to quantify damages caused to the State in corruption cases.</b>					
<b>Activity 1: Conduct a study to determine standards/methodologies developed to estimate and obtain damage reparation in corruption cases</b>					
Technical assistance (technological and legal) for the design and automated development of a Simulator to calculate the civil damage to the States due to corruption acts.	February - June 2015	Consultant, Project technical staff	Project technical staff and Technical Team of the Public Attorney's Office	Final report on the design of the Simulator approved by the Public Attorney's Office Specialized in Corruption Crimes.	Completed
Train public solicitors in the use of criteria to calculate the civil damage and use of the Simulator.	April-June 2015	Consultant, Project technical staff	Project technical staff and Technical Team of the Public Attorney's Office	Report on results of the training workshops for Solicitors in the use of the tool and methodology.	Completed
Assist with the development of the Simulator and pilot test on cases concluded identified by the institution on the application of the methodology for the calculation of civil damages and transfer of programming logic of the Simulator to the IT managers of the Specialized Public Attorney's Office.	February -June 2015	Consultant, Project technical staff	Project technical staff and Technical Team of the Public Attorney's Office	Final report on the technical assistance to train in standards/methodologies and simulator to calculate and recover damages in corruption cases (practical case). Report submitted to USAID on June 29, 2015.	Completed.
<b>Result 1.4: The Judiciary improves its capacity to implement the Criminal Procedures Code</b>					
<b>Sub-Result (i): The judiciary monitors and evaluates the implementation of the CPC</b>					
<b>Activity 1: Establish goals and standards in core areas where the judicial performance can be measured</b>					
Project technical assistance through Eng. Flor Torres to	April- December 2015	Criminal ETI of the JB	Judicial Branch (Presidency Advisory Cabinet,	Report on results of the implementation of the JB's	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
facilitate the implementation by the JB of the CPC monitoring system in corruption cases developed jointly with the ETI-CPC-JB conducting coordination, work and induction meetings on the system developed		and Project Team	Institutional Technical Team for the Implementation of the CPC in the Judiciary, Office for International Technical Cooperation)	CPC monitoring system for corruption cases.	
<b>Result 1.5: Strengthening the Special Commission's functions of coordination and monitoring of the implementation of the Criminal Procedures Code</b>					
<b>Sub-Result (i): Improvement of the Special Commission's monitoring and evaluation of the implementation of the CPC</b>					
<b>Activity 1: Improve the Special Commission's capacity with respect to M&amp;E</b>					
Continue providing technical assistance to the Technical Secretariat of the Special Commission to coordinate activities to be implemented by the Project related to the criminal procedure reform in corruption matters.	February - December 2015	Project technical staff	ST-CEICPP	Coordination report	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>Activity 2.1: Promote the Institutional Integrity Model in Justice institutions (IIM)</b>					
Technical assistance to develop the training materials related to the IIM in the MINJUS (Guide for the implementation of the Law on Transparency and Access to Public Information and Regulations).	March-August 2015	Consultant, Project Team	MINJUS Technical Team.	Guide for the implementation of the Law on Transparency and Access to Public Information and Regulations	Completed
Issue final report on the outputs and achievements of the IIM in the MINJUS, including a sustainability strategy.	August- November 2015	Project Team	MINJUS Technical Team.	Report on final evaluation of the implementation of the IIM in the MINJUS November 30, 2015	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>Result 3.1: Civil society organizations contribute to raise the public understanding of the reform of the criminal justice</b>					
<b>Activity 1 : Continue the Grants Process on training/dissemination and generation of information</b>					
Monitor grantees' results in the pilot sites and perform 3 trips to follow-up and monitor: two to Chachapoyas and one to Loreto	March-July 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Partial reports on results of the follow-up and monitoring of the grants awarded.	Completed
Administer and monitor grants awarded (training and dissemination, and generation of information)	February- September 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Final report (impact) on grants awarded to FORUM and Comisión Andina de Juristas submitted to USAID	Completed CAJ and Forum Grants
Promote a roundtable (event) of citizen networks in Lima for anti-corruption citizen surveillance, which contributes to the sustainability of the results achieved.	September - November 2015.	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Reports on results of the event	Completed

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>Result 3.2: Civil society organizations in targeted regions monitor the judicial system related to corruption cases</b>					
<b>Activity 1: One grant awarded to support citizen oversight programs focusing on the performance of the judicial system and its handling of corruption cases in targeted regions and to train CSOs on mechanisms to foster consistent and sustainable oversight of the judicial system</b>					
Administer and Monitor Proética' s results as awardee of the grant	February- September 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Final report on grants awarded: Strengthening the M&E capacities of the CSOs.	Completed Proética
Accompany the monitoring of five citizen surveillance activities developed by Proética	March- July 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations		Completed
Participate in the review of the publication of the report on results, including a sustainability strategy.	August- September 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations		Completed
<b>Activity 2: One grant focusing on youth, women, and Afro-Peruvians addressing their particular issues under the new CPC</b>					
Administer and Monitor the results of Proética in the development of the Monitoring Instrument to be used by base NGOs or those under Proética's network.	February- July 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Final Report on the grant awarded to develop the instruments for citizen monitoring and surveillance in vulnerable populations.	Completed Proética
Participate in the Review of the report on results, including a sustainability strategy.	June-July 2015	Objective 3 Coordinator and Project Team	Grantee civil society organizations		Completed
<b>Activity 3: Strengthening the justice system in the treatment of indigenous population through the effective engagement of civil society to fight corruption</b>					
Conduct qualitative research to describe the factors / causes that contribute to distrust and perception of corruption among the indigenous population regarding the administration of justice in the targeted Project judicial districts.	June-July 2015	Technical staff Pro-Integridad, Local Consultant	ONAJUP, Representatives of indigenous communities, Civil society organizations	Report on the proposal of policy guidelines to improve access to justice in indigenous communities and a greater participation in corruption cases	Completed
Develop materials to socialize with the indigenous population of the pilot districts on issues related to citizen monitoring of corruption and justice administration with an intercultural approach	August 2015	Technical staff Pro-Integridad, Local Consultant	ONAJUP, Representatives of indigenous communities, Civil society organizations		Completed
Conduct roundtables in pilot districts to develop an agenda that affects improvements in management policies of the justice system towards indigenous populations, making them more efficient and accessible	October 2015	Technical staff Pro-Integridad, Local Consultant	ONAJUP, Representatives of indigenous communities, Civil society organizations		Completed
Draft a proposal of policy guidelines to improve the access to justice in indigenous communities and greater participation in corruption cases.	October 2015	Technical staff Pro-Integridad, Local Consultant	ONAJUP, Representatives of indigenous communities, Civil society organizations		Completed
Socialize the policy guidelines and transfer them to the National Bureau of Justice of the Peace and Indigenous Justice - ONAJUP of the Judiciary	November 2015	Technical staff Pro-Integridad	ONAJUP.		Completed

## ANNEX N° 1 PMP: UPDATED TABLE OF INDICATORS

The following indicators show results from October to December 2015.

### Indicator 3: Number of judges and judicial personnel trained with assistance of the Government of the United States – USG:

#### Judicial Branch

This quarter 34 judicial officials throughout the country have been trained on the use of the Clear Language Accessible to Citizens Judicial Manual. In terms of the indicator, the only trainees considered are those of the Project's target zone, which are 14 persons.

Location in- presence phase	Event	Dates	Participants			Women			Men		
			Total	Judges	Support	Total	Judges	Support	Total	Judges	Support
Lima	Use of the Clear Language and Accessible to Citizens Judicial Manual	October 17 to November 23, 2015	14	12	2	8	8	0	6	4	2

### Indicator 5: Percentage of progress in implementing the Institutional Integrity Model – IIM

The indicator takes place at two institutions and it is cumulative. To date, the indicator shows the progress indicated below:

#### Public Ministry

This quarter there was a 30% advance in the IIM implementation on the following components: concept design, presentation of the proposed training methodology and dissemination journeys, achieving a 40% implementation accumulated during the three years.

#### MINJUS

This quarter was presented the final progress evaluation equivalent to a 10% implementation, with which this indicator achieved 100% implementation accumulated during the three years.

### Indicator 9: Number of government officials receiving USG-supported anti-corruption training:

This indicator consolidates all the training activities provided by the Project to public officials. This quarter 62 public officials were trained. Details are provided in the chart below.

Diploma (indicator 2)	Total	Men	Women
Judges	15	14	17
Prosecutors	16		
Sub Total	31		
<b>Case Management (indicator 3)</b>			
Judges	12	6	8
Judiciary's administrative staff	2		
Sub Total	14		
<b>IIM –Public Ministry</b>			
Directors and heads of areas	17	14	3
<b>Total</b>	<b>62</b>	<b>34</b>	<b>28</b>

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
<b>Objective 1: Increase judicial system capacity in Lima, Callao, and Peruvian Amazon regions to resolve corruption cases.</b>												
1	Number of improvements and/or processes implemented in judicial and/or prosecution offices at central headquarters of the pilot districts.	With Project assistance implement improvements and/or processes in judicial and/or prosecution offices at central headquarters of the 3 pilot districts that are Amazonas, Loreto and Lima. The key improved management processes identified are 6.	Project Records	Bi-annual	0	0		Chacha poyas: 3	Chacha poyas: 3	Chacha poyas: 3	Chacha poyas: 2	Chachapoyas:6
								Iquitos: 3	Iquitos: 3	Iquitos: 3	Iquitos: 2	Iquitos: 6
								Lima: 3	Lima: 3	Lima: 3	Lima: 2	Lima: 6
2	Percentage of judges and prosecutors registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program and are certified by the Pontifical Catholic University of Peru under rigorous academic standards	This indicator refers to the total percentage of judges and prosecutors (50 judges and 50 prosecutors) registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program and are certified by the Pontifical Catholic University of Peru.	University and Project Records	Bi-annual	0	0		30%	35%	90%	94%	90%
<b>Result 1.1: Increased capacity of judges and court staff in targeted regions to manage and resolve corruption cases.</b>												
<b>Result 1.2: Increased capacity of prosecutors and Support personnel in targeted regions to prosecute corruption cases.</b>												
3	Number of judges and judicial personnel trained with USG Assistance	Judges, prosecutors and support personnel who receive training in different thematic areas aimed at the improvement of their performance in judicial and prosecution offices management in pilot sites	Project/Judicial Branch/Public Ministry Records	Quarterly	0	0		60	143	30	300	90
<b>Result 1.4: The Judicial Branch improves its capacities to implement the Criminal Procedures Code. (i) The Judicial Branch effectively monitors and evaluates the CPC implementation.</b>												

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
4	Percentage of progress in implementing the system for monitoring for the CPP for corruption cases in the Judicial Branch	Pro-Integridad will assist the Judiciary in the development of a monitoring system for the CPC for corruption cases implementation. The system will include the following set of activities: 1. Assessment (20%); 2. Definition of indicators (20%); 3. Validation of indicators with authorities (10%); 4. Training PJ personnel to use the monitoring system (20%); 5. Implementation of the monitoring system by PJ personnel (30%).	Advance matrix for the implementation of the Project's monitoring system.	Bi-annual	0	0		70%	70%	100%	85%	100%
<b>Objective 2: Promote the Institutional Integrity Model in the justice sector.</b>												
5	Percentage of progress in implementing the Institutional Integrity Model IIM	The IIM institutionalization means the adoption of the model as institutional policy (administratively and functionally) disaggregated in 10 elements.	Project Records / Institutional Information	Bi-annual	0	MINJUS: 20%	MINJUS: 20%	MINJUS: 60%	MINJUS: 70%	MINJUS: 20%	MINJUS: 10%	MINJUS: Target 100% Actual 100%
						MP: 20%	0%	MP: 60%	MP: 10%	MP: 20%	MP: 30%	MP: Target 100% Actual 40%
<b>Result 2.1: Institutional Integrity Model (IIM) Promotion</b>												
6	Number of MINJUS personnel trained in IIM.	Number of key personnel with the Ministry of Justice strengthens their skills relating the Institutional Integrity Model.	MINJUS / Project Records	Quarterly	0	0		38	845	10	464	48
<b>Objective 3: Strengthen the judicial system's capacity to address corruption through effective civil society engagement</b>												
<b>Result 3.1: Civil society organizations generate increased public understanding of the criminal justice reform under the CPC</b>												
7	Number of people affiliated with non-governmental organizations receiving USG supported anti-corruption training	This indicator measures the number of persons affiliated to a CSO that will be trained in topics related to criminal justice reform to process corruption cases as well as monitoring and supervision mechanisms to the judiciary in the 3 pilot sites of the Project (Lima	CSO and Project Records	Quarterly	0	0		180	384	180	252	360

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
		Centro, Iquitos and Chachapoyas).										
<b>Result 3.2: Civil society organizations in targeted regions monitor the judicial system and its handling of corruption cases.</b>												
8	Number of monitoring activities to the justice system in corruption cases implemented by the CSO that receive project grants.	Number of activities for social audit/ civil surveillance for corruption cases developed by the CSO who receive Project grants.	CSO Records	Bi-annual	0	0		0		5	8	5
<b>Indicator total</b>												
9	Number of government officials receiving USG-supported anti-corruption training	This indicator measures the number of prosecutors, judges, solicitors and other staff of the judicial districts where the project will be implemented (Lima, Callao and the Amazon region) that will receive specialized training in anti-corruption issues, such as investigation techniques to address corruption, oral litigation, methodologies to assess and calculate damages caused by corruption, and case management, among others.	University and Project Records	Bi-annual	0	0		175	287	100	446	275

