



Climate Adaptation and Disaster Resilience (CADRE)  
Stakeholder Coordination, Advocacy, Linkages and Engagement for Resilience  
Program (SCALE-Resilience)

First Quarterly Performance Report  
(October 2010 – December 2010)

Submitted to:



Submitted: January 2011

**Mercy Corps Contact Person:**  
Pramita Harjati, Chief of Party  
Email: [pharjati@id.mercycorps.org](mailto:pharjati@id.mercycorps.org),  
Phone: 021-788-42686, Graha STK F01 Floor  
Jl. Taman Margasatwa No.3 Rt.001/01  
Ragunan Pasar Minggu, Jakarta Selatan

## Table of Contents:

### Acronym and Abbreviation

I	Executive Summary.....	4
II	Background.....	4
III	Project Management.....	5
IV	Key Activities and Results.....	6
	1. Project Planning.....	
	2. Sensitization and Launch The Scale Resilience Program.....	
	3. Preparation of Provincial Advisory Committee (PAC) Establishment...	
	4. Coordination with National Government Institutions.....	
V	Activities Planned For Next Quarter.....	10

Annex : Project Management Plan

## Acronyms and Abbreviations

Bappeda	<i>Badan Perencanaan Pembangunan Daerah / Regional Development Planning Board</i>
BNPB	<i>Badan Nasional Penanggulangan Bencana / National Board of Disaster Management</i>
BPLHD	<i>Badan Pengelolaan Lingkungan Hidup / Environmental Board</i>
DNPI	<i>Dewan Nasional Perubahan Iklim / National Council of Climate Change</i>
Kelurahan	Sub district
KLH	<i>Kementrian Negara Lingkungan Hidup / Ministry of Environment</i>
IPB	<i>Institute Pertanian Bogor / Bogor Agriculture Institute</i>
PKK	<i>Pemberdayaan dan Kesejahteraan Keluarga / Women Welfare Association</i>
PMI	<i>Palang Merah Indonesia / Indonesian Red Cross</i>
PAC	Provincial Advisory Committee
RW	<i>Rukun Warga / Neighborhood</i>
SK Gubernur	<i>Surat Keputusan Gubernur / Governor's Decree</i>
Walhi	<i>Wahana Lingkungan Hidup / Environmental NGO</i>

## I. Executive Summary

Stakeholders Coordination, Advocacy, Linkage and Engagement for Resilience (SCALE Resilience) is a project that aims at improving resilience towards disaster and climate change impact of vulnerable communities. SCALE-R started in October 2010 and has completed its first quarter of implementation.

- This first quarterly performance report focuses on documenting program achievements within the period of October to December 2010, which includes: Staff recruitment and mobilization
- Development of Year One Annual Workplan and Program planning – including the development of the Project Management Plan (approved by USAID)
- Consolidation of Provincial Advisory Committee (PAC) established through coordination with government partners at provincial level in Jakarta and West Sumatera,
- Organizing start-up workshop.

Coordination with key government partners has started at national level with the Ministry of Environment, National Council of Climate Change and National Board of Disaster Management to socialize the project and develop work agreements as well as acquiring letter of recommendation to accelerate coordination with government institutions at lower level.

Project activities for the next quarter will complete the formation of PAC and SDWG in Jakarta and West Sumatera provinces, selection of two priority sub districts in West Jakarta and West Sumatera, conduct stakeholder mapping, provide trainings for PAC and SDWGs members . In line with the above activities, MPBI will continue working with BNPB to develop and test the training modules.

## II. Background

**Purpose:** The Stakeholder Coordination, Advocacy, Linkages, and Engagement for Resilience Program (SCALE-Resilience) builds on Mercy Corps' experience in disaster risk reduction (DRR) and climate change adaptation (CCA) to develop and promote an integrated participatory model to increase resiliency in some of the most hazard-prone areas of Indonesia. SCALE-Resilience has embedded scale-up of this model through a multi-level governance approach that builds the capacity of the national, district, and sub-district governments to replicate program activities. To strengthen governance, SCALE-Resilience will empower multi-stakeholder groups that link government, civil society, academics, and the private sector to take action

**Goal :** The overall goal of the project is improved resiliency of populations vulnerable to natural hazards and the impact of climate change to strengthening the capacity of government, civil society, and the private sector to reduce risks and adapt to climate change in Indonesia.

**Objectives:** Specifically, SCALE-Resilience will achieve three reinforcing objectives:

*Objective 1: Improved linkages between national-level government, provincial and district governments, and communities, leading to more coordinated and inclusive planning for disaster risk reduction and climate change adaptation.*

*Objective 2: Government and vulnerable communities demonstrate improved awareness of specific risks from hazards and climate change*

*Objective 3: Targeted vulnerable communities have reduced their vulnerability to hazards and climate change impacts, by developing and implementing pilot projects in collaboration with local government.*

### **Project Area:**

Mercy Corps has selected four diverse and vulnerable areas for SCALE-Resilience: West Sumatera, Lampung, Maluku, and Jakarta. Within each of these areas, the program will undergo a participatory selection process for two focus sub-districts. During this first quarter, the project areas are:

- Jakarta Province, with a focus on working in West Jakarta sub districts
- West Sumatera Province

### **III. Project Management**

Mercy Corps Indonesia assigned Ms. Pramita Harjati as the Chief of Party for SCALE Resilience Project and this was approved by USAID. She was the Coordinator of Asian Cities Climate Change Resilience Network (ACCCRN), a climate change adaptation project funded by Rockefeller Foundation, which has developed multi stakeholders engagement models in building city resilience in two selected cities: Semarang (Central Java) and Bandar Lampung (located in the southern tip of Sumatera island). SCALE Resilience project was developed from lessons learned and best practices taken from ACCCRN project. The Chief of Party brings a large extent of climate adaptation context to this project, has a lot of experience working with government in different level – from national to local-, as well as a wealth of expertise on issues of governance and urban affairs.

Most of the key team members have been selected through a robust and prudent recruitment process. USAID AOTR has approved Ujang Dede Lesmana as DRR Specialist as a team member replacing Wawan Yulianto. Ujang has strong experience in Disaster Risk Reduction and was the previous program manager for Mercy Corps leading a DRR project in Padang. The DRR Specialist brings an in-depth capacity on improving DRR knowledge for both internal staff, partners and target beneficiaries. His experience networking with organizations working for DRR in Indonesia in cooperation with MPBI will strengthen capacity building activities particularly for the Provincial Advisory Committee and Sub District Working Groups.

Due to the work plan which will start implementing the project in Jakarta and West Sumatera during the first six months, we have completed the recruitment of two project officers for Jakarta, two project officers for West Sumatera and one administrative assistant. Currently we are still continuing with the recruitment process for Project Coordinator. The composition of SCALE Resilience key team members at the end of this quarter is as follow:

Chief of Party: Pramita Harjati

DRR Specialist: Ujang Dede Lesmana

Project Officers (Jakarta): Intan Manikam Asmara and Dini Isnaeni

Project Officers (West Sumatera): Wahyudi and Moeslem

Administrative Assistant: Nini Mulyawati

In the beginning of project start up in October 2010, Scale Resilience was supported by the Indonesia Country Director and Dr. Jim Jarvie – Director of Climate Change, Environment and Natural Resource from Mercy Corps Headquarters. This project team is also supported by a new Urban Development and Climate Advisor, Omar Saracho, who has provided assistance to the team since November 2010.

In order to implement the project, MPBI has recruited personnel for Preparation Module Coordinator and Liason / Advocacy Officer positions in addition to the key personnel that are already in place. The composition of MPBI key team members for Scale Resilience is:

Project Coordinator : Ivan Valentina Ageung  
Module Development Coordinator: Iskandar Leman  
Liason/Advocacy Officer: Victor Rembeth  
Senior Finance : Rosalind Hasibuan

#### **IV. Key Activities and Results for Quarter 1 of Year 1**

##### **1. Project Planning**

The SCALE Resilience project was designed to be implemented from October 2010 to August 2013. During the reporting period the project completed the annual work plan and the project monitoring plan. During the first six months, the project will focus on implementation in Jakarta and West Sumatera, followed by Lampung province in the following sixth months (April – September 2011). The project implementation in Maluku will start in 2012. The detailed Project Management Plan is provided in Annex 1.

The project planning includes the following activities that are to occur in the first year:

##### A. Mobilize Provincial Advisory Committee (PACs) in target areas

To initiate SCALE-Resilience activities, Mercy Corps and MPBI will mobilize PACs or work with the existing PLANAS provincial platform, as is the case in West Sumatera. Mercy Corps' experience shows that the mobilization of this type of multi-stakeholder group must be done carefully to ensure all appropriate stakeholders are at the table and to secure their buy-in. In Jakarta and Maluku, mobilizing the PAC will first require a detailed stakeholder mapping; in Lampung we have started this analysis and in West Sumatera we will work with the existing platform. This activity has been started in the first quarter and will be ongoing in the second quarter.

##### B. Participatory selection of focus sub-districts and mobilisation of SubDistrict

To select two priority sub-districts in each area, Mercy Corps will work with the PAC to run a competitive application process. Selection criteria will ensure selection of sub-districts that are some of the most vulnerable among multiple dimensions including economic, social, and geographic. Additionally, sub-districts that currently have Mercy Corps DRR or climate change programs will not be eligible, and sub-districts without any other NGO activities will be prioritized. Mercy Corps will ensure that the selected sub-districts also represent a diversity of sites including urban, rural, coastal, mountainous, wet, and dry areas. This activity will take place in the second quarter of the year. The most important aspect is

ensuring that there is participation from the PAC and other key stakeholders to ensure ownership and active engagement in future activities. This activity will occur in the second quarter following the establishment of the PACs.

#### C. Support PACS and SDWGs to promote coordinated and inclusive planning for DRR and CCA

SCALE-Resilience will empower the PACs and SDWGs to achieve their aim through sensitization on climate change and disaster risk reduction, conducting vulnerability and capacity assessments, and implementation of a pilot project. This capacity building and learning-by-doing, which will build in complexity over time, will contribute to the PACs and SDWGs effectiveness in their main aim: to promote coordinated and inclusive planning for disaster risk reduction and climate change adaptation. At each meeting, Mercy Corps program officers will help the group define specific objectives and deliverables based on their priorities. Mercy Corps will facilitate the definition of specific roles for participants at the provincial, district, and community levels. The PACs and SDWGs will own their agenda and be responsible for implementing prioritized actions; Mercy Corps will help facilitate innovative solutions to identified problems and link the group to appropriate resources and information

#### D. Advanced national Disaster Management Agency training package

As communities, NGOs, and the private sector begin to demand better planning and preparation for disasters and climate change, government must also be more receptive to this increased demand. SCALE-Resilience will support this partially through the regional workshops and national symposium, and in the case of disaster management, the program will also work to improve national level training to provincial and district Disaster Management Agency staff. MPBI has started to work with the National Disaster Management Agency to develop more comprehensive training modules to focus on increasing government's capacity, competency, and ability to be proactive. Potential improvements to the training curricula include improving information on running a simulation, managing an inclusive vulnerability and capacity assessment process, and developing emergency planning. Once improvements have been completed to selected training modules, MPBI will conduct a training of trainers for National Disaster Management Agency staff in the second quarter.

#### E. Training and sensitisation on DRR and CCA for PACs and SDWGs

To empower PAC and SDWG stakeholders to affect planning for DRR and CCA, they need to thoroughly understand both subjects. Mercy Corps and MPBI will create a tailored comprehensive set of trainings and accompanying informational materials on DRR and CCA. These trainings will be incorporated into PAC and SDWG meetings and be rolled out in stages, to build complexity over time. Relevant material will be presented as the group has determined to address a particular issue; this will ensure just-in-time learning and rapid application of the subject matter. Initial work has been started in the first quarter to develop the appropriate training materials.

## **2. Sensitization and Official Launch of SCALE Resilience Program**

During the first quarter the socialization and launch of Scale Resilience Program was held on the 20<sup>th</sup> of December 2010 at Jakarta Government Provincial meeting room on City Hall Building 22<sup>nd</sup> floor – Central Jakarta. Instead of having only a formal launching, the event was designed as a thematic discussion focused on Disaster Risk Reduction and Climate Change Adaptation.

The objectives of this event was to publicize the importance of building resiliency to disaster and adaptation to climate change by the community through strengthening the capacity and networking between multi-stakeholders at the local level. This event was also held to gather all potential networks from national and local government, Local NGOs, and universities to synergize in identifying vulnerability and to create resiliency towards disasters and climate change impact. As outlined in the terms of reference distributed to participants and resource persons, the main scopes of this event are as follow:

- To discuss climate change adaptation and disaster risk reduction issue which incorporate the government and community necessity.
- Introduction of SCALE Resilience program and its work plan.
- Sharing experiences and lessons learnt from existing climate change adaptation and disaster risk reduction programmes.
- Exploration of intention and possibility of networking and cooperating between stakeholders and institutions in conducting Scale Resilience program.



This socialization and discussion event of SCALE Resilience program was conducted half day. It comprised government policy presentation, SCALE Resilience program explanation, panel discussion, and share learning experiences. The opening speech was delivered by Sean Granville-Ross, Mercy Corps Country Director who emphasized the opportunity to integrate Disaster Risk Reduction and Climate Change Adaptation at local and provincial level by leveraging multi stakeholders participation and collaboration

combined with commitment from the government to integrate with government policy and planning mechanism. Representative from Bappeda who gave the opening speech describing the government perspective toward DRR and CCA and what has been done by Jakarta government. Mr. Benny Agus Chandra who represented the Head of Bappeda formally opened the discussion event and then followed by plenary session from resource persons.

Presentations were given by representatives from National Board of Disaster Management (BNPB), Ministry of Environment (KLH), National Council of Climate Change (DNPI) and International Federation of Red Cross (IFRC). Mr. Sutopo Purwo Nugroho from BNPB explained how national government programs relate to disaster risk management and the challenges of implementing DRR. The second speaker, Mr. Haneda Mulyanto who represented the Ministry of Environment highlighted the opportunities from civil society to contribute the formulation of climate change adaptation policies. Climate Change Researcher, Mr. Armi Susandi who also represented National Council of Climate Change has made several studies on vulnerabilities in Jakarta, he presented



differences of climate change impact in urban and rural areas. Mr. Febby Dwirahmadi who was representing IFRC shared their experience in implementing the Disaster Risk Reduction and Climate Change Adaptation project particularly in some sub districts in West Jakarta – in collaboration with Indonesian Red Cross (PMI).



The socialization session was attended by 86 participants from different government institutions at Jakarta provincial level, civil society, universities, UN agencies, and organizations, also national government institutions such as: Ministry of Meteorology and Climatology, Ministry of Public Works, municipal governments, Walhi, and Centre for Climate Risk and Opportunity IPB. Some representatives from provincial government of West Sumatera and Lampung attended this event to get an overview of the project for their area. We invited a number of media however only 2 covered the event. Media coverage for this event was published through Antara’s website, an official Indonesian News Centre. For the purpose of this event we produced communication materials such as one pager, back drop, poster, video tape and press release.

### **3. Preparation of Provincial Advisory Committee (PAC) Establishment**

In preparation of establishing the PAC, SCALE-R team will establish a formal agreement between Mercy Corps and the provincial government of Jakarta as the legal base of the PAC formation, overall project implementation and also the framework addressing commitments from both parties for the work life of SCALE Resilience project will be incorporated into the agreement.

Preparation for Provincial Advisory Committee has been started in Jakarta with several meetings being held with key persons from Jakarta Development Planning Board (Bappeda) and the Environmental Board (BPLHD). These key persons include; Ms. Sarwo Handayani – Head of Bappeda, Mr. Benny Agus Chandra – Housing and Spatial Planning Division, Ms. Fitratunnisa – Climate Change Division of BPLHD. As the Scale Resilience part of climate change project, it was suggested that BPLHD is the leading agency and the main contact during project implementation. The meeting suggested that PAC can be formed by optimizing The Climate Change Task Force – a working group consisting of different representatives from various provincial agencies. This task force was in the process of legalization get Governor approval in a Governor Decision Letter (SK Gubernur). Since they are still in the process, it was an opportunity to extend the membership not exclusively to government officials but also to representatives from local NGOs, academics and private sectors – as mandated in PAC.



The second preparation meeting was conducted in the BPLHD office chaired by Mr. Rusman Sagala – Head of Environmental Division. The meeting was attended by BPLHD related staffs and also attended by representatives from West, North, and South Jakarta municipal officials. Another idea came up from the meeting in relation to the formulation of agreement with the Governor, it was to conduct a community scale event and invite the Governor to attend. This will be an entry point to elaborate a specific agreement for SCALE Resilience. However, we consider this as an option and since the preparation for the event will take extra time and potentially running out of the schedule, we plan to gear the first option to elaborate an agreement without necessarily organizing an event.

Decision has not been made and still in the process of further discussion with BPLHD. The representatives from other municipalities were interested to be involved in the learning process in order to prepare replication of SCALE Resilience activities in their areas and it should be managed formally since the beginning in such a way that each municipal government can allocate their time and appropriate representatives. The audience raise some recommendations related to making collaboration at sub district level with a somewhat successful Corporate Social Responsibility program namely “Jakarta Green and Clean” run by BPLHD in partnership with PT. Unilever, Delta FM and Republika. This program has formed a well run working groups at neighbourhood level in West Jakarta and implemented greening and cleaning activities promoted by multi stakeholders such as PKK cadres, community leaders under supervision of sub district leader (Lurah).

PAC preparation in West Sumatera was discussed informally with Bappeda officials during their participation in the start up workshop. Similar with Jakarta, there were some suggestions to elaborate a working agreement between Mercy Corps and West Sumatera provincial government as the basis for this project implementation and building commitment of government involvement until the conclusion of the project in 2013.

#### **4. Coordination with National Government Institutions**

As Mercy Corps has had a Technical Agreement with the Ministry of Environment for Asian Cities Climate Change project, the coordination with this institution will be formalized by extending the agreement to cover SCALE Resilience project activities. From the initial discussion with Assistant Deputy for Climate Change Adaptation, the involvement and role of this institution should be mentioned clearly within the agreement, such as: providing information and resource persons, facilitate coordination with other national ministries and other related program under their supervision and facilitate project replication.

Coordination with National Board of Disaster Management was to socialize the project and acquire letter of recommendation to accelerate partnership with disaster institutions at lower level. For this project, Mercy Corps continues our role as the member Adaptation Working Group in the National Council of Climate Change.

#### **V. Activities Planned for the Next Quarter**

- Stakeholder mapping for Jakarta and updating mapping for West Sumatera, by URDI
- Continue the process of Provincial Advisory Committee (PAC) establishment in Jakarta Province and West Sumatera Province
- Finalizing criteria and participatory selection of two pilot sub districts in West Jakarta and two sub districts in West Sumatera
- Mobilize PAC and SDWG and provide training for the members on DRR, climate change, and integration of DRR and climate change adaptation (CCA).
- Continue developing training modules for government disaster officials, by MPBI
- Supporting National Disaster Management Agency Training Center to develop more comprehensive training modules for BNPB to focus on increasing the government’s capacity, competency, and ability to be proactive by MPBI