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CLIMATE CHANGE PROJECT PREPARATION FACILITY FOR  
ASIA AND THE PACIFIC (ADAPT ASIA-PACIFIC)

# Quarterly Report

Fifth Quarter: October 1 to December 31, 2012

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Activity Start Date and End Date: September 26, 2011 to September 26, 2016

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# 1. PROGRAM OVERVIEW/SUMMARY

<b>Program Name:</b>	Climate Change Project Preparation Facility for Asia and the Pacific (ADAPT Asia-Pacific)
<b>Activity Start Date And End Date:</b>	September 26, 2011-September 26, 2016
<b>Name of Prime Implementing Partner:</b>	AECOM International Development
<b>Contract Number:</b>	486-C-11-00005
<b>Name of Subcontractors:</b>	Institute for Global Environmental Strategies, Kaizen Company, dTS
<b>Major Counterpart Organizations</b>	Office of Natural Resources and Environmental Policy and Planning (ONEP), Thailand
<b>Geographic Coverage (cities and or countries)</b>	Bangladesh, Cambodia, India, Indonesia, Lao PDR, Maldives, Mongolia, Nepal, Philippines, Sri Lanka, Thailand, Timor Leste, Vietnam, Cook Islands, Federated States of Micronesia, Fiji, Kiribati, Marshall Islands, Nauru, Niue, Palau, Papua New Guinea, Samoa, Solomon Islands, Tonga, Tuvalu and Vanuatu
<b>Reporting Period:</b>	October 1-December 31, 2012

## 1.1 Program Description/Introduction

The Asia-Pacific region is highly vulnerable to the impacts of climate change and related natural disasters due in part to the high concentration of people living in vulnerable coastal areas and river basins, and current climate variability. Many countries in the region already face flooding, drought, and intensifying storm events. Key economic sectors, especially in developing economies in the region, are also vulnerable to climate change. Globally, the financing needs for climate change adaptation in developing countries for 2010-2050 are estimated at \$100B per year. In Cancun, Mexico in 2010, Parties to the United Nations Framework Convention on Climate Change agreed to provide new and additional “fast-start” financing amounting to \$30B for 2010-2012, and set a goal of mobilizing \$100B per year by 2020. Developing countries, however, face major capacity challenges in preparing project proposals to access these funds. An urgent task for the development community, therefore, is to assist developing countries in preparing high quality adaptation projects. At present there is no adequate mechanism in Asia or the Pacific region meeting this need.

To support the achievement of REO’s Development Objective of *“Improved response to environmental challenges in Asia,”* RDMA awarded a technical support services contract to AECOM International Development (AECOM) to implement the USAID/Climate Change Adaptation Project Preparation Facility for Asia, which initially covered 13 countries in Asia. The geographic coverage of the project was later expanded to cover 14 Pacific Small Island Developing Countries. The title of the project was changed to “ADAPT Asia-Pacific” from “ADAPT Asia”. ADAPT Asia-Pacific addresses capacity and information needs of eligible governments in the Asia region and selected Pacific islands countries in accessing climate

change adaptation funds and accelerating investments in initiatives that increase resilience to the negative impacts of climate change.

***Principal Objectives and Activities:*** The principal objective of ADAPT Asia-Pacific is to establish a fully functional and self-sustaining adaptation project preparation facility that will not only support preparation of specific projects, but also build the capacity of the region's governments to independently access climate adaptation funds. To establish a sustainable project preparation facility, ADAPT Asia-Pacific will work closely with funding organizations and government agencies from countries across the region in focused activities in five key areas:

1. Sustainable regional knowledge sharing platform including a website;
2. Annual forum to bring adaptation funds and project proponents together;
3. Climate change adaptation project capacity building program;
4. Technical assistance in preparing funding proposals; and
5. Overarching program management and coordination for the afore-mentioned four technical tasks.

As cross-cutting themes, ADAPT Asia-Pacific will promote regional networking, gender mainstreaming and other social equity issues.

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## 1.2 Summary of Results to Date

Project Indicators	Baseline FY 2013	Annual Target	Frequency of Reporting	Q1 FY12	Q2 FY12	Q3 FY12	Q4 FY12	Annual Performance Achieved to the End of Reporting Period (%)	On Target Y/N
D.O.1 Number of stakeholders with adaptive capacity to cope with impacts of climate variability and change as a result of USG assistance (F)		8	Quarterly	2				25%	Y
1.1 Person hours of training completed in climate change supported by USG assistance/Number of people trained in climate change (F)		3,822	Quarterly	736				19%	Y
2.1 Number of climate change adaptation projects with facilitated access to finance		3	Semi-Annual	N/A				0%	Y
2.2 Number of facilitated projects with a specific gender component		1	Semi-Annual	N/A				0%	Y
2.3 Number of people benefiting from climate change adaptation project approved or in the pipeline as a result of USG assistance		29,000	Semi-Annual	N/A				0%	Y
2.4 Amount of investment leveraged in U.S. dollars, from private and public sources, for climate change as a result of USG assistance (F)		\$64 Million	Semi-Annual	N/A				0%	Y
2.5 Number of climate mitigation and/or adaptation tools, technologies, and methodologies, developed, tested, and/or adopted as a result of USG assistance (F)		1	Semi-Annual	N/A				0%	Y
2.6 Amount of funds in U.S. dollars from non-USAID sources mobilized and applied to support project preparation facility objectives (F)		\$200,000	Quarterly	\$15,470				8%	Y
3.1 Number of regional environmental platforms created or strengthened		1	Annual	0				0%	Y

*Note: The Results Performance Column depicts level of achievement expressed as a percentage of Actual versus Planned.*

## 2. ACTIVITY IMPLEMENTATION PROGRESS

### 2.1 Progress Narrative

AECOM continued with the development and implementation of various program initiatives at both the regional and national level during the first quarter of FY 2013 project implementation. Key activity highlights and results are provided below by project task. Overall, the project progresses according to the work plan.

#### **Task One: Knowledge Sharing Platform**

##### **Summary of Key Accomplishments**

Key accomplishments in the first quarter were the completion of a draft Knowledge Management (KM) strategy paper, finalization of a new website, and development of concept papers for major e-CoP activities, including draft guidelines for moderators. The draft KM strategy will be circulated to partners for comments and considered by the Steering Committee of the Asia-Pacific Adaptation Network (APAN) (the regional knowledge platform that ADAPT Asia-Pacific is supporting) in the next quarter. The websites of APAN and ADAPT Asia-Pacific were integrated with updated new content. After testing, the new website will be launched in January 2013.

##### **KM Strategy Development**

This task aims to strengthen (APAN as the go-to regional platform for adaptation knowledge management, through improving institutional capacity and sustainability of its operations. A draft strategy paper was drafted and circulated for comments to core partner institutions of APAN. In the draft, a four-pronged strategy was proposed which entailed: forging a greater presence in global policy processes; promoting sustainable financing; focusing APAN's services to generate greater impact through a comprehensive knowledge network; and redefining organizational arrangements through greater efficiency to achieve the aims delineated above. The strategy paper is to be submitted to APAN's Steering Committee for consideration in March 2013.

##### **Integrated KM Platform Website for APAN/ADAPT**

This task aims to develop and operationalize a web-based integrated KM platform which serves both APAN's and ADAPT Asia-Pacific's needs and activities. The restructured and redeveloped platform will act as the primary gateway for disseminating information and knowledge products and outreach. This new platform will integrate the accumulated information and databases from the websites of APAN and Adaptation Knowledge Platform (AKP), as well as ADAPT Asia-Pacific's products, activities and resources. The new platform will be launched in January 2013 following preliminary testing. Throughout the duration of the development of the new integrated platform, 41 pieces of new content – mostly news and event items -- were created on the ADAPT Asia-Pacific website during the reporting period.

##### **Online/Electronic Community of Practice for ADAPT Asia-Pacific (e-COP)**

This task aims to launch and operationalize e-CoP functionality as a crucial component of the redeveloped APAN platform, which will support and facilitate Task 3 and 4 activities of ADAPT Asia-Pacific. The e-CoP functions will provide interactive opportunities for discussion and learning among CoP members, including Annual Forum

participants. In this quarter, e-CoP implementation plans were developed alongside concept papers for major e-CoP activities, which include an e-discussion facility and “Ask the Expert” sessions. Operation manual draft guidelines for moderators/facilitators are also being developed and will be maintained and updated regularly. The e-CoP will be launched during January 2013 through a facilitated e-discussion with the participation of the alumni of the first ADAPT Asia-Pacific Annual Forum.

### **Task Two: ADAPT Asia-Pacific Annual Forum**

#### **Summary of Key Accomplishments**

Major achievements under this component include finalization of the concept paper for the Second Annual Forum for USAID review, identification of a topic for ADAPT Asia-Pacific’s two-hour session at the Third APAN Adaptation Forum, and compilation of a list of potential participants to support the APAN Forum.

#### **ADAPT Asia-Pacific Second Annual Forum**

The Forum will focus on key climate change adaptation financing challenges in the Pacific region, based on ongoing discussions with development partners in the region. ADAPT Asia-Pacific is planning to invite key officials from Pacific island countries, regional organizations from the Pacific (CROP agencies), and bi- and multilateral institutions active in the region, who are involved in climate change adaptation project preparation and accessing adaptation financing. In addition to the Pacific participants, ADAPT Asia-Pacific will invite selected government officials from Asian countries who are facing similar climate change challenges. Participants from the Pacific region will be encouraged to share their experiences and to explore possible twinning partnerships. A concept paper was developed and submitted for the review of USAID/RDMA.

#### **Supporting the Third APAN Adaptation Forum**

ADAPT Asia-Pacific will organize a two-hour session during APAN’s Third Adaptation Forum in Korea from March 18-20, 2013. ADAPT Asia-Pacific’s session will focus on the project’s ongoing activities in promoting access to adaptation finance and supporting project preparation. ADAPT Asia-Pacific has developed a concept paper entitled “Accessing Climate Change Adaptation Finance: International Lessons, National Concerns, and Capacity Needs”, which has been shared with the APAN Forum organizers.

### **Task Three: Climate Change Adaptation Capacity Building Program**

#### **Summary of Key Accomplishments**

Progress was made across a broad front in the project’s capacity building program. An assessment of capacity building needs was completed, pointing the way to ADAPT Asia-Pacific support to the region. A major regional initiative to improve government decision making in climate change adaptation – Economics of Climate Change Adaptation -- was launched at a scoping workshop with participation from 15 countries; consensus was reached on focus sectors and on an implementation modality for the two-year program. Seminal assistance was initiated at ONEP’s new Adaptation Unit through provision of expert support to their first two flagship programs on community-based adaptation and ecosystem-based adaptation. Background work associated with preparation of the national climate change research strategy was begun, leading to production of a paper that will propose priority thematic groupings of research programs that will be discussed in the first stakeholders’ meeting in early February 2013.

### **Pacific Regional Assessment of Capacity Building for Climate Change Adaptation**

An assessment of capacity building needs for the Pacific region was completed, following on a similar report prepared for Asia. The Pacific assessment recommends that ADAPT Asia-Pacific engage with regional institutions, in preference to attempting a country-focused approach, thereby maximizing project finances across the region. Support will be targeted at a range of government officials, including those at the policy level as well as practitioners. Special focus was given to collaboration with the University of the South Pacific (USP) to strengthen USP's capacity to deliver training on adaptation financing. Aware of the often disruptive nature of capacity building programs that take government staff from their work places, a distance learning program employing USP's network across the region is being explored.

### **Institutional Capacity Assessment of Capacity Building Institutes in Asia**

Consultations were held with selected learning institutions in Nepal and Indonesia concerning potential collaboration with ADAPT Asia-Pacific in delivering training programs and other capacity building activities. Government officials in both countries expressed an interest in ADAPT Asia-Pacific support for development of adaptation financing modules in civil service training programs, thereby reaching a broad spectrum of government officials, including current mid-level officials who are targeted by their government for future advancement to senior decision making authority.

### **Economics of Climate Change Adaptation Training Program**

This new, two-year program was launched with a regional workshop held in Bangkok during 24-26 October which was delivered by a team comprising staff from four partner organizations: UNDP, Yale University, Asian Institute of Technology and ADAPT Asia-Pacific. Attended by more than 40 government officials from 15 countries in Asia, as well as representatives of international organizations and academia, the workshop achieved a consensus on focus sectors (water and agriculture) and on an implementation process that will guide work at the national and regional levels.

### **Assistance to Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP)**

As part of an agreed package of support to ONEP, ADAPT Asia-Pacific together with IGES, placed three consultants in the newly formed Adaption Unit of the Office of Climate Change Coordination. The consultants are focusing on provision of expert input to ONEP's two initial flagship activities in adaptation, dealing with ecosystem-based adaptation and with community-based adaptation. During the reporting period, continuing support was provided to ONEP in helping them to understand international positions and provisions related to the Durban Platform for Action, in preparation for COP 18 in Doha.

### **Development of Climate Change Strategy for Thailand**

A team of climate change professionals began gathering information on the history and status of climate change research in Thailand, major knowledge gaps, Thailand's climate change policy goals and objectives, international climate change research priorities, national research strategies from around the region and the world, and other information. Using this background information, a "zero draft" report will be prepared that focuses on a proposed set of priority thematic groupings for climate research. That draft is expected to be presented to a one-day stakeholder meeting in early February 2013, with the objective of gaining a broad consensus on the highest priority thematic groupings. Those thematic groupings will then serve as the focus of the research strategy itself.

### **Technical Assistance to Indonesia Climate Change Trust Fund**

ADAPT Asia-Pacific is assisting the Indonesia Climate Change Trust Fund (ICCTF) to develop a project ranking and selection tool and monitoring and evaluation system. Originally set up under UNDP assistance, the ICCTF is intended to become an autonomous organization with a national bank acting as trustee and Bappenas (the national planning agency) as its supervisory body. This transition has been delayed as not all of the current funds have been disbursed. Therefore, the consultant team (one international and two national) funded by ADAPT Asia-Pacific is currently developing a call for proposals and modified selection rules for small grant applicants to use the remaining funds. The M&E design will commence once the UNDP consultants, who are looking at capacity building needs for ICCTF have completed their work, as one area that they are touching on relates to M&E.

### **Capacity Building Activities in the Philippines**

To assess its readiness to be accredited as a national implementing entity (NIE) of the UNFCCC Adaptation Fund (AF), the Philippines Department of Finance (DoF) requested technical assistance from the ADAPT Asia-Pacific project to conduct an internal assessment. The project provided a local consultant who formerly worked at DoF and an international consultant who is the head of the first NIE accredited by the AF to assist DoF in conducting the assessment. The assessment concluded that DoF is generally compliant with AF criteria for NIE accreditation. It also recommended several actions that DoF can take to strengthen its systems and processes. Following completion of the assessment, ADAPT Asia-Pacific provided assistance with preparing the NIE application to the AF. ADAPT Asia-Pacific will continue to assist DoF with the NIE application process and next steps, including identifying and packaging potential projects for AF funding. The DoF submitted its application to the AF in late December 2012.

### **Supporting Samoa Trust Fund Work**

The ADAPT Asia-Pacific program is supporting the Government of Samoa and the World Bank, as part of the Bank's Pilot Program for Climate Resilience (PPCR), in providing technical oversight to the design of a national climate change adaptation trust fund. The project's trust fund specialist previously reviewed and commented on an Issues Paper for the design of the fund prepared by the World Bank consultants. During the present reporting period, ADAPT's specialist reviewed and provided comments on the consultants' final report on "Establishing Samoa's Climate Change Fund". As the final stage in the design of the Samoa fund, the World Bank has invited ADAPT Asia-Pacific's trust fund specialist to participate in a high-level national forum in late January 2013, where key national stakeholders will discuss next steps on how and which PPCR recommendations will be implemented.

### **Gender Work With dTS**

ADAPT Asia-Pacific staff and dTS reviewed the existence (or lack) of existing literature and other sources of information on gender and adaptation. This is the initial step towards the potential development of a gender and adaptation sourcebook, aimed primarily at practitioners who are interested in building specific gender components into climate change adaptation projects and programs. The review will be completed in February 2013, at which time a decision will be taken on whether to proceed with the preparation of such a sourcebook.

## **Task Four: Technical Assistance in Project Preparation**

### **Summary of Key Accomplishments**

Task Four wrapped up a successful calendar year by completing preparation of climate change adaptation projects in Lao PDR, Samoa, Tuvalu, Nepal; contributing to supervision of the project preparation phase of the Pilot Program on Climate Resilience in Cambodia; preparing for ADAPT Asia-Pacific's first contribution to a sector project in Cambodia; and setting the stage for a transition to more direct work with governments in 2013. While pragmatism and the realization that governments generally do not have direct access to international adaptation funds led the project to focus on working with multilateral agency intermediaries in the first year, there was always with the intention of opening up avenues to work directly with governments and to contribute to capacity strengthening of government agencies. The challenge in 2013 is now to build on those openings and seek direct involvement with governments to prepare adaptation projects.

### **Project Preparation Support to UNDP project in Nepal**

ADAPT Asia-Pacific's support to the preparation of the project document (ProDoc) for the UNDP GEF-financed Nepal GLOF Risk Reduction Project concluded during the present reporting period. ADAPT's subcontractor, The Mountain Institute, submitted its final deliverable, which reviewed and provided comments on the project's principal technical documents related to risk reduction of a GLOF event at Imja Lake: (1) the UNDP ProDoc itself; and (2) the engineering pre-feasibility study for risk reduction at Imja Lake carried out by Kathmandu University. Although making certain observations, TMI's report took the view that the proposed Imja Lake drainage project should initially proceed with lowering the level of the lake by three meters, with the understanding that this is a first step that must be built upon to further lower the lake to a level that would significantly reduce longer-term risk. Finally, The Mountain Institute organized a meeting in Kathmandu on October 8, 2012 that brought together the key UNDP, Government of Nepal, NGO and USAID partners for informal discussion of their work and progress at Imja Lake and next steps. Lee Baker, ADAPT CoP, presented the rationale and role of USAID ADAPT's cooperation on the Imja Lake project.

### **Project Preparation Support to UNDP project in Tuvalu**

ADAPT Asia-Pacific continues its support to the development of a full-scale climate change adaptation project in Tuvalu, to be financed under the Least Developed Countries Fund (LDCF) of GEF. Marine Livelihood and Disaster Risk Management Consultants from ADAPT Asia-Pacific finalized technical backup documents on coastal livelihood and disaster risk management issues, which included adaptation options for Tuvalu in those two critical areas and submitted them to the UNDP project preparation team leader for the final review and incorporation to the final ProDoc. Once the UNDP finalizes the review, the ProDoc will be submitted to GEF for its final approval.

### **Project Preparation Support to Samoa**

ADAPT Asia-Pacific provided support in the preparation of the ProDoc for the UNDP GEF-financed Samoa Project *'Enhancing the resilience of tourism-reliant communities to climate change risk'*. Tourism is the dominant sector of the Samoan economy. Small- to medium-scale tourism facilities are located along Samoa's coastline. Increased vulnerability and reduced resilience of these facilities to natural and human induced forces will increase due to climate change factors. The ADAPT Asia-Pacific engaged consultants (including an infrastructure engineer, environmental, gender and economic specialists) completed their inputs in December 2012 by providing technical, social and

economic advice, data and information to supplement specific sections of the ProDoc and currently are providing review comments to UNDP staff assisting in the preparation of the final ProDoc. ADAPT Asia-Pacific consultants worked closely with the Samoan Tourist Authority and the Ministry of Natural Resources and Environment, including the U.S. Embassy in Apia, during the assignment period. The ProDoc is in the final stage of being formally submitted to GEF.

### **Project Preparation Support to ADB Projects**

ADAPT Asia-Pacific identified a wide range of potential adaptation projects that were at an early conceptual stage and would need project preparation support. These included projects in Cambodia, Sri Lanka, the Himalayan mountains region, the Greater Mekong Sub-region, and the Pacific islands. For various reasons, these projects have taken longer to reach the project preparation stage than originally anticipated, thus illustrating the need to have a larger pipeline of projects than the targeted deliverables each year. A follow up mission to ADB in the next quarter will be needed to expand the potential pipeline of projects and to re-assess involvement in the projects that were identified earlier.

### **Project Preparation Support to Cambodia (ABD and WB) (PPCR 1/2)**

The Pilot Program on Climate Resilience (PPCR) in Cambodia was originally designed in two phases, with Phase 1 concerned with capacity strengthening of the Government agencies involved (under World Bank supervision) and preparation of Phase 2, which was intended to be the investment stage. As the World Bank was in dispute with the Government of Cambodia, Phase 1 was delayed, and Phase 2 was prepared (and will be implemented by) the Asian Development Bank without the full benefit of Phase 1 inputs. ADAPT Asia-Pacific was asked to assist with supervision of the implementation of two components of Phase 1, dealing with mainstreaming of climate change adaptation in development planning at national and sub-national levels. The Team Leader, Adaptation Project Preparation and Finance, worked directly with the Government officials in charge of the PPCR and World Bank staff to guide and supervise the work of international and local consultants appointed for these components. This entailed several visits to Phnom Penh, participation in consultation workshops, and detailed review of draft outputs prepared by the consultants. The Team Leader also participated in Phase 2 preparatory workshops, especially focusing on design of the monitoring and evaluation system for Phase 2 investments, which will mainly focus on climate proofing road projects. The final draft reports from Phase 1 are now available and a further consultation workshop will be held in January 2013.

### **CPEIR and Domestic Finance Work**

As noted above, ADAPT Asia-Pacific has primarily worked with multilateral agencies as intermediaries in accessing international adaptation funds, with a view to opening up opportunities to work more directly with governments. Recognizing that the Fast Start financing (2010-2012) is now completed, there is no firm arrangement in place for the eventual goal of mobilizing \$100 billion per year for climate financing by 2020, and the Green Climate Fund will take at least 1-2 years before it is ready to disburse climate financing, ADAPT Asia-Pacific will need to assist developing countries to accelerate access to domestic public finance and the private sector involvement in adaptation. The first step in this process is to understand what countries are currently spending on adaptation and to compare current levels of financing and adaptation needs to identify the real financing gaps. UNDP and the World Bank have been using Climate Public Expenditure and Institutional Reviews (CPEIRs) to gather such information. Accordingly,

ADAPT Asia-Pacific assisted UNDP and the World Bank in running a workshop in Bangkok presenting the results of the pilot country CPEIRs and is continuing to work with UNDP to conduct such work in other countries.

### **Report on Mobilizing Domestic Finance for Climate Change**

In addition to the CPEIR approach outlined above, ADAPT Asia-Pacific has commissioned a public finance management specialist to conduct a literature review of current activities in relation to mobilizing domestic finance for climate change purposes, with a view to identifying specific niche areas where ADAPT Asia-Pacific could get involved. This report will be completed in January 2013. ADAPT Asia-Pacific has also formed a view that one possible area at the cutting edge of domestic public finance would be to develop a robust tracking tool whereby countries could not only compare available financing to adaptation needs but also countries could benchmark their efforts against similar countries. Early work on tracking project level information by the University of Texas and Development Gateway in Malawi looks to be a promising approach. ADAPT Asia-Pacific is exploring opportunities to bring members of this team to Asia to explain their methodology and possibly test it in one of the ADAPT Asia-Pacific countries.

### **Task Five: Overarching Program Management and Coordination**

#### **Project Management and Coordination**

ADAPT Asia-Pacific developed the Year Two Work Plan of the ADAPT Asia-Pacific project and submitted it to USAID. CoR approval was received in November 2012. The ADAPT Asia-Pacific team also contributed to the development of the PPR of USAID/RDMA through submission of a report on key accomplishment of the project in FY 2012.

#### **Monitoring and Reporting**

During this quarter, the ADAPT Asia-Pacific team continued to collect and review data from project activities to track progress in achieving project performance indicators. Data collected are stored in the One Source online PMP tracking system for further updating and reporting.

## **2.2 Implementation Challenges**

A key project implementation challenge during the fourth quarter was the difficulty experienced in organizing introductory meetings with select USAID bilateral missions and other potential partners to introduce and present the ADAPT Asia-Pacific project. ADAPT Asia-Pacific continues meeting with different bilateral USAID missions to inform them about the program and gain their support in initiating program activities in their respective countries. Due primarily to the non-availability and/or turnover of mission staff, ongoing mission procurements that involve prospective ADAPT Asia-Pacific local partners, potential overlap with ongoing mission projects, and the actual identification of convenient times to meet during this quarter, the ADAPT Asia-Pacific team has not had the opportunity during this quarter to meet with the remaining key bilateral missions: USAID/Bangladesh; USAID/Sri Lanka; USAID/Vietnam; USAID/Timor-Leste and USAID/Mongolia, to introduce the project and identify partnership opportunities in the respective countries. The team plans to work with the COR to arrange meetings or teleconferences with these missions in the forthcoming quarter.

## 2.3 Integration of Crosscutting Issues and USAID Forward Priorities

### 2.3.1 Local Capacity Development

To ensure local ownership and sustainability of the newly designed capacity building activities, the ADAPT Asia-Pacific team identified several local universities and training centers (Asian Institute of Technology, University of the South Pacific, etc.) in the region to engage them in the organization of capacity building activities to be carried out by ADAPT Asia-Pacific. ADAPT Asia-Pacific will continue exploring innovative strategies for cooperation that will include resource leveraging, twinning and ensuring overall program sustainability.

## 2.4 Stakeholder Participation and Involvement

ADAPT Asia-Pacific was successful in initiating collaboration with several development partners engaged in climate change adaptation project preparation in target countries, including UNDP, UNEP, the WB, and the ADB. The collaboration with UNDP was particularly successful in supporting the preparation of climate change adaptation projects in a number of countries: Lao PDR, Nepal, Tuvalu and Samoa. In addition, ADAPT Asia-Pacific worked with UNDP in the design and implementation of a major initiative to enhance the institutional capacity of selected national government officials from target countries in the economics of climate change adaptation. A regional consultation workshop on Economics of Climate Change Adaptation was successfully organized jointly by UNDP, Yale University, Asian Institute of Technology and ADAPT Asia-Pacific for ADAPT Asia-Pacific country partners during the quarter. There were 40 government officials from 15 countries in Asia. The first regional training program for country teams has been jointly planned for the next quarter.

ADAPT Asia-Pacific also collaborated with bilateral and development partners in the Pacific region, such as AusAID, GIZ, the Pacific Islands Forum Secretariat (PIFS), and the Secretariat of the Pacific Community (SPC) and will continue working with these institutions to develop sustainable climate change adaptation capacity building activities. Possible cooperation with PIFS is being explored for organizing the Second ADAPT Asia-Pacific Forum.

## 2.5 Management and Administrative Issues

ADAPT Asia-Pacific finalized recruitment of two Regional Technical Coordinators during this quarter. One was hired as a Regional Technical Coordinator to coordinate ADAPT Asia-Pacific's activities in Thailand and potentially in other neighboring countries. We also finalized another's recruitment after USAID/RDMA approved his TCN Waiver during the reporting quarter. The individual mobilized to Bangkok in early January 2013 and immediately started his assignment as the Regional Project Preparation Specialist. ADAPT Asia-Pacific has also identified a strong candidate for the third Regional Technical Coordinator position who is expected to start the new assignment in March 2013. With the filling of the third Regional Technical Coordinator position, ADAPT Asia-Pacific's staffing moves toward completion. .

## 2.6 Lessons Learned

One of the major lessons learned is that provision of ADAPT Asia-Pacific consultants to teams that have already been formed for project preparation implies less control over the content of the project design than if ADAPT Asia-Pacific was solely responsible for the project preparation. One imagines that this will be even more challenging as the ADAPT Asia-Pacific team works more directly with government staff in preparing project documents.

## 3. PLANNED ACTIVITIES FOR NEXT QUARTER

In the second quarter of performance in FY 2013, AECOM will focus on activity implementation under all tasks per the second year work plan. Key performance objectives for the quarter are as follows:

- Revise KM strategy paper and present it at the APAN Steering Committee in March 2013
- Implement key actions recommended in the KM strategy paper
- Launch the new APAN website
- Initiate E-CoP discussions
- Open photo galleries of ADAPT Asia-Pacific on Flickr to share project photos with a larger audience
- Publish second edition of ADAPT Asia-Pacific e-newsletter, as well as other knowledge products
- Update and finalize concept paper for ADAPT Asia-Pacific Second Annual Forum
- Support and organize a session at the third APAN Adaptation Forum which will be held on March 18-20 in Incheon, South Korea
- Continue supporting ONEP's climate change adaptation unit
- Organize a stakeholders meeting on development of Thailand Climate Change Research Strategy
- Commence annual competitive training program for government officials
- Conduct the first training program within the Economics of Climate Change Adaptation Program in March 2013
- Organize a workshop on climate change finance in South Asia
- Explore the provision of capacity building support in project preparation to the DoF, NEDA and CCC of the Philippines
- Continue to provide technical assistance to ICCTF
- Continue supporting DoF of the Philippines with the UNFCCC NIE accreditation process
- Finalize technical assistance to establish Samoa Climate Trust Fund
- Explore providing targeted technical assistance to help selected counterparts to prepare CCA projects for financing from climate funds
- Explore assisting the Department of Finance, Philippines, to prepare a project for Adaptation Fund financing

- Continue supporting CCA projects in Tuvalu, Samoa and Cambodia
  - Investigate potential ADAPT Asia-Pacific support to additional projects being prepared by UNDP, UNEP, ADB and World Bank
  - Initiate CPEIR work in one of the Pacific countries and possibly 1-2 countries in Asia
  - Complete the work on domestic public finance and potential roles of ADAPT Asia-Pacific
  - Commission an investigation of private sector funding of CCA and potential entry points for ADAPT Asia-Pacific
  - Prepare Quarterly and PPR reports
  - Develop media outreach for major project activities and outputs, in association with stakeholders.
-