



**CLIMATE CHANGE PROJECT PREPARATION FACILITY FOR ASIA AND
THE PACIFIC
(ADAPT ASIA-PACIFIC)**

**QUARTERLY REPORT
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**By
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1.0 Summary

In this quarter, which covers the period July 1-September 30, 2012, AECOM International Development (AECOM) continued implementation of activities in line with the approved Work Plan and PMP. Principal achievements this quarter centered on: establishing a new knowledge platform that serves both APAN and ADAPT Asia-Pacific; preparing an ADAPT Asia-Pacific CoP implementation plan; developing a concept paper for ADAPT Asia-Pacific Second Annual Forum (Forum, hereafter); organizing two regional workshops on CCA financing in cooperation with UNDP; developing a Regional Economics of Climate Change Adaptation Program; concluding the assistance to Government of Lao PDR and UNDP for project preparation under GEF-LDCF funding; facilitated a Letter of Cooperation between ADB and USAID/RDMA on joint activities in the field of climate change adaptation; and providing technical assistance to the Samoa Government for the design of a national climate change adaptation trust fund and preparation of a GEF-compliant project proposal.

2.0 Performance Objectives and Expected Outputs

Project performance objectives for the quarter focused on continued activity implementation in line with the annual Work Plan. Core performance objectives and expected outputs include:

- Strengthening partnership with APAN through integration of knowledge platform;
- Developing the Community of Practice (CoP) implementation plan;
- Preparing a concept note for the Forum;
- Undertaking the assessment of capacity building needs and gaps in the Pacific;
- Developing an “Economics of Climate Change Adaptation” capacity building/training course to be delivered in October 2012;
- Assisting Thailand to strengthen climate change coordination capacity;
- Delivering the Regional Clinic on the Design and Management of National Climate Funds course, and the Climate Public Expenditure and Institutional Reviews (CPEIR) course in cooperation with UNDP;
- Completion of two reports on gender in CCA projects and in climate finance;
- Introduction of the ADAPT-Asia-Pacific project to USAID/India and exploration of areas of potential partnership;
- Successfully completed the project document (ProDoc) for Lao PDR Effective Governance for Small Scale Rural Infrastructure and Disaster Preparedness in a Changing Climate for UNDP endorsement and submission to GEF that will access USD4.7 million in GEF financing and USD25.9 million in co-financing, in climate financing; and
- Continuing technical inputs to the preparation of the UNDP ProDocs in Nepal, Tuvalu, and Samoa to be financed by GEF.

3.0 Summary of Key Program Development and Implementation Activities

AECOM continued with development and implementation of various program initiatives at both regional and national level during the fourth quarter of project implementation. Key activity highlights and results are provided below by project task.

Task One: Knowledge Sharing Platform

Summary of Key Accomplishments

Key accomplishments in the fourth quarter saw the implementation of key recommendations of IGES’s previously conducted capacity assessment, which emphasizes strengthening the

ADAPT Asia-Pacific-Asia Pacific Adaptation Network (APAN) partnership. Accomplishments include establishing a new knowledge platform website that serves both APAN and ADAPT Asia-Pacific and preparing detailed implementation plans for the Community of Practice (CoP), which will operate on the new website.

Partnership with APAN

APAN's partners agreed that ADAPT Asia-Pacific should take the leading role in the development of the new APAN knowledge sharing platform. ADAPT Asia-Pacific's knowledge platform will be integrated into a merged APAN knowledge platform (APAN and Adaptation Knowledge Platform), creating a new, enhanced knowledge management platform that will focus on climate change adaptation finance in the Asia-Pacific region. The key features of the new platform will be a unified resource library, informative and current news and event section, and the collaborative CoP feature.

Knowledge Products on ADAPT Asia-Pacific Website

This quarter saw the ADAPT Asia-Pacific website produce and post four new knowledge products, which encompass three sub-tasks: proceedings from the 1st Annual Forum, an assessment of international climate funds, and a discussion paper on an approach to adaptation project preparation. During the quarter, the website added 46 pieces of new content, including the aforementioned knowledge products and 11 video clips from the 1st Annual Forum. Traffic to the website is also increasing. During the quarter, it recorded 6,989 page views, a 68% growth from the previous quarter. Even more noteworthy was the number of unique visitors, which more than doubled from 745 to 1,804.

Community of Practice for ADAPT Asia-Pacific

The draft final ADAPT Asia-Pacific CoP implementation plan was developed to define: i) the outcomes each project task hopes to achieve through the CoP and measurable indicators for each; ii) the specific activities required to achieve the outcomes; iii) human and IT resources required to complete the activities; iv) attributing roles and responsibilities for each activity; and v) a timeline for achieving the outcomes. ADAPT Asia-Pacific is also working on a supporting document that will include strategies on Knowledge Management and Communication/Outreach to enhance the planned CoP activities.

Task Two: ADAPT Asia-Pacific Annual Forum

Summary of Key Accomplishments

Major quarterly accomplishments under this component are development of a concept paper and discussion points for the ADAPT Asia-Pacific Second Annual Forum. Measures to utilize the CoP for pre-, during- and post-forum activities were also identified and integrated into the concept paper. In addition, the project developed a concept note for the development of ADAPT Asia-Pacific Climate Change Adaptation Champions, which sets out the process and methodology for nomination, short-listing, and award.

ADAPT Asia-Pacific Second Annual Forum

The Forum aims to provide national government officials from ministries of finance, planning, environment and line agencies with opportunities for enhancing knowledge and capacity on adaptation financing options and learning from other country experiences and lessons learned on improving access to finance. Based on the increasing importance of the Pacific region to the ADAPT Asia-Pacific program, ADAPT Asia-Pacific is planning to hold the Second Annual Forum in the Pacific back-to-back with a regional event in the Pacific organized by a Council of Regional Organizations of the Pacific (CROP) agency. This strategy will: (1) allow the project to

disseminate a broad range of relevant lessons learned and experience from its year 1 activities in the Asia region; (2) present an excellent opportunity for discussion with partners and stakeholders of current key issues of interest to the Pacific region related to different modalities for improved access to climate adaptation finance; and (3) provide a venue for a short, targeted training course on a topic of regional interest. ADAPT Asia-Pacific is investigating alternate regional events to be organized by the CROP agencies to identify the most cost effective and technically strategic option for the Forum. The final concept paper on the Forum will be developed during the first quarter of FY13 and will be shared with USAID and partners. The scale of the forum will remain similar to the first year. However, this year's objective is to provide a more informal and interactive setting that allows for in-depth discussion on specific climate finance or capacity development-related topics.

Task Three: Climate Change Adaptation Capacity Building Program

Summary of Key Accomplishments

ADAPT Asia-Pacific focused on four major accomplishments during this quarter. First, discussions with Thailand's Office of Natural Resources and Environmental Policy and Planning (ONEP) yielded agreement on a package of support that includes advisory services and projects. As of the end of September, one senior policy advisor was recruited, recruitment of consultants to assist ONEP's new Climate Change Adaptation Unit was initiated, and procurement of services for a national climate change research strategy and M&E center was underway. Second, ADAPT Asia-Pacific partnered with UNDP to deliver two important and well-attended regional workshops dealing with climate financing that were directed particularly at practitioners from ADAPT Asia-Pacific countries. Third, preparations continued for a regional workshop on the economics of climate change adaptation, in which ADAPT Asia-Pacific is partnering with UNDP, the Asian Institute of Technology and Yale University. Fourth, an assessment of capacity building needs/gaps was initiated for the Pacific, which is expected to result in the design of a program of capacity building support from ADAPT Asia-Pacific beginning in the first quarter of FY 13.

Assistance to Develop Thailand's New Climate Change Coordination Office

ADAPT Asia-Pacific is assisting Thailand's Ministry of Natural Resources and Environment to strengthen its new Office of Climate Change Coordination (OCCC), which is the country's inter-departmental climate change coordination agency and Thailand's national focal point agency to the UN Framework Convention on Climate Change (UNFCCC). Since August 2012, ADAPT Asia-Pacific has been supporting a senior policy advisor for the national focal point agency to the UN and is currently providing technical assistance to design a national climate change research strategy and monitoring and evaluation (M&E) center. This will facilitate the production of high quality, evidence-based climate change programs, increasing the opportunities for domestic and international financing support.

ADAPT Asia-Pacific and UNDP Partner to Advance Climate Finance in Asia and the Pacific

With support from ADAPT Asia-Pacific, a "Regional Clinic on the Design and Management of National Climate Funds" and "Climate Public Expenditure and Institutional Reviews: Workshop on Past Experience and the Way Forward" were held back-to-back in Bangkok in September 2012. The events were attended by over 100 practitioners from more than 25 Asian and Pacific countries representing ministries of finance and planning, line ministries, managers of national climate funds, multilateral development banks, donors and civil society. The second workshop also included African and Latin American representatives. Both events offered platforms for participants to discuss climate finance issues with leading international experts and to exchange

national experiences and lessons learned. Among the outputs were draft reports on methodological approaches and best practices/lessons learned, recommendations for collaborative work, and identification of proposed future actions, including twinning partnership possibilities.

Economics of Climate Change Adaptation Training Program

ADAPT Asia-Pacific, UNDP, Asian Institute of Technology (AIT) and Yale University are partnering to design and deliver a regional economics of climate change adaptation program. ADAPT Asia-Pacific is providing technical support to UNDP in designing a program on the economics of climate change adaptation. The program aims to develop technical skills within relevant national institutions in Asia and advance regional knowledge exchange on the economics of adaptation to support cost-benefit analysis of adaptation projects and programs, as well as help mainstream adaptation considerations into medium and long-term national/sub-national development planning and budgeting. In coordination with other ongoing UNDP-supported initiatives, this program is expected to contribute, among other things, to further strengthening the competitiveness of countries when seeking international climate change adaptation financing. An initial regional scoping workshop will be held in Bangkok from 24 to 26 October 2012. It will bring together officials from Ministries of Finance/Planning and line Ministries to inform them of the program's proposed goals and structure, and to receive their feedback. The feedback will be used to lay out an overarching schedule and description of program training events around a series of sector-specific assessments on the economics of adaptation.

Assessment of Capacity Building Needs and Gaps in the Pacific

ADAPT Asia-Pacific is undertaking an assessment of capacity building needs and gaps in the Pacific, and will use the assessment findings to design capacity building support to the region. Initial findings have highlighted the severe human resource constraints faced by many government agencies and cautioned against relying on trainings, meetings and conferences that take government staff away from their places of work. Consequently, ADAPT Asia-Pacific is, among other measures, exploring the possibility of working with the University of the South Pacific (USP) and other partners in strengthening their existing curricula and particularly in employing USP's distant learning capabilities.

Project Preparation Support to WB Project in Cambodia (PPCR 1)

ADAPT Asia-Pacific has recognized that the Pilot Program on Climate Resilience is one of the largest sources of funding for climate change adaptation in the region, but it applies to only a few countries, of which Cambodia is one. Therefore, to gain access to the key implementing agencies such as Ministry of Environment and Ministry of Economy and Finance, technical assistance is being provided through the capacity building efforts of the World Bank (responsible for PPCR Phase 1) and ADB (responsible for Phase 2). By participating in regular supervision missions and various project workshops, ADAPT Asia-Pacific is gaining a better understanding of the capacity gaps in government, as well as providing capacity building assistance to these key ministries.

Technical Assistance to Indonesia Climate Change Trust Fund

ADAPT Asia-Pacific is providing assistance to the Indonesia Climate Change Trust Fund (ICCTF) to prepare it for a transition from a UNDP-managed trust fund to a nationally owned and managed fund. Specific technical assistance, through an International Project Management Consultant and three national thematic consultants (agriculture, fisheries, and public health), is planned to: (i) develop a ranking and prioritization system for potential adaptation projects that may be funded by the ICCTF; and (ii) a monitoring and evaluation system to monitor progress

during implementation, make mid-term corrections, capture learning from the supported projects and to feed back into future selection of projects. The international consultant and one national consultant have been identified, with the other two consultants currently being selected.

Project Preparation and Capacity Building Activities in the Philippines

ADAPT Asia-Pacific is providing technical assistance to the Philippine Department of Finance (DOF) to assess the department's institutional capacity and readiness to apply for accreditation as a national implementing entity (NIE) of the Adaptation Fund (AF). The AF is currently the only multilateral climate change fund that has fully operationalized direct access by developing countries. The DOF-led assessment team gathered approximately 53 documents that demonstrate DOF's capacity and compliance with the AF's accreditation criteria. One of the most useful aspects of the assistance was twinning the team with an international expert on NIE accreditation, who provided one-on-one, detailed advice based on his experience as the head of the first NIE accredited by the AF. On 28 September, the team presented the summary of findings to Ms. Rosalia V. De Leon, Undersecretary of DOF. The assessment found that DOF is well positioned to meet the AF's criteria, and the assessment report includes indicative next steps to guide DOF in proceeding with the application. Undersecretary De Leon said that DOF plans to submit its application to AF by the end of the year.

Supporting Samoa Trust Fund Work

The ADAPT Asia-Pacific project is supporting the Government of Samoa and the World Bank in providing technical oversight to the design of a national climate change adaptation trust fund. Government mobilized the assignment's international finance specialist during the latter half of July. Mobilization of the international legal specialist is delayed. The finance specialist produced an Inception Report and an Issues Paper. ADAPT's trust fund specialist, Brian Bell, reviewed and provided comments on the Issues Paper. Brian spent three days in mid-September meeting with Government stakeholders as part of his review of the Issues Paper. In addition to commenting on the issues highlighted by the finance specialist, Brian identified three additional issues that need to be addressed as part of trust fund design: (1) separating the trust fund's governance structure from operations management; (2) managing the financial risks of trust fund investments; and (3) mainstreaming climate change into all programs vs. setting up a parallel process for climate change programs and projects within the trust fund framework. Brian's next input in Samoa is tentatively scheduled for the October/November timeframe.

Gender Work and Capacity Building in Climate Change Adaptation

ADAPT Asia-Pacific produced two reports on gender to help guide the work of the project in gender considerations. *Gender Considerations in Climate Change Adaptation: A Desk Study* provides an overview of gender considerations, good practices and case studies related to climate change adaptation. It lays the groundwork for the project's gender integration efforts. *Gender Requirements in Climate Change Adaptation Funds* describes gender integration requirements in eight climate change adaptation funds.

Task Four: Technical Assistance in Project Preparation

Summary of Key Accomplishments

ADAPT Asia-Pacific continues to demonstrate a high level of demand to assist target countries in preparing adaptation projects, albeit through the intermediation of multilateral agencies such as UNDP, ADB, and the World Bank. Project preparation activities range from assisting UNDP with preparation of project documents for the Least Developed Countries Fund (Lao, Nepal, Tuvalu, and Samoa) to potentially assisting the Government of Cambodia to design rural water supply and sanitation projects under a sector project (grant-funded) funded by the ADB.

Project Preparation Support and Follow-on Capacity Building Activity in Lao PDR

With this reporting period, ADAPT Asia-Pacific assistance to the Government of Lao PDR and UNDP for project preparation under the Global Environmental Facility (GEF)-administered Least Developed Countries Fund (LDCF) draws to conclusion. Ongoing UNDP LDCF project preparation links the provision of small-scale rural infrastructure with a specific focus on water resource facilities benefitting rural communities vulnerable to climate change impacts in the southern part of the country. ADAPT Asia-Pacific engaged an international rural infrastructure specialist and an international adaptation project economist for this assignment. During the month of July, the ADAPT helped respond to comments and made final modifications/additions to the draft ProDoc, which had previously been submitted to UNDP and the Lao PDR government in June. ADAPT Asia-Pacific also provided comments to UNDP on the draft final project document.

During the preparation of the UNDP project document, the Lao PDR Government's Ministry of Natural Resources and Environment (MoNRE), UNDP's principal client for the LDCF initiative, took the decision to establish a Climate Change Adaptation Unit (CCAU) under the Department of National Disaster Management and Climate Change. The CCAU will support a more effective and integrated approach to climate and disaster management. ADAPT Asia-Pacific recognizes, however, there are challenges in capacity within MoNRE and CCAU to transition quickly into managing and facilitating the country's current and anticipated adverse effects of climate change. As a follow-up to its collaboration with UNDP and to build on the lessons learned, ADAPT Asia-Pacific proposes to carry out a quick assessment of MoNRE and CCAU to identify capacity gaps, particularly as they relate to accessing finance for climate change adaptation-related activities that could be addressed through a targeted program of capacity building. ADAPT Asia-Pacific developed a ToR for a quick assessment, which has been discussed with MoNRE and the U.S. Embassy in Vientiane. To move this initiative forward, ADAPT Asia-Pacific worked with RDMA and the U.S. Embassy to develop an official note to Lao PDR's Ministry of Foreign Affairs (MoFA) to request a meeting with MoNRE to introduce the program and to discuss the proposed capacity building initiative.

In mid-September, the UNDP Project Officer informed ADAPT Asia-Pacific that the Lao PDR LDCF project document had been formally submitted to GEF for endorsement. He thanked USAID ADAPT Asia-Pacific for providing technical support and analysis, which he said has significantly strengthened the overall product. This activity is now complete.

Project Preparation Support to UNDP project in Nepal

ADAPT Asia-Pacific's input to the preparation of the project document (ProDoc) for the UNDP GEF-financed Nepal: Community Based Flood and Glacier Lake Outburst Risk Reduction Project entered its final stage during the reporting period. The ADAPT team worked closely with UNDP, ICIMOD and the Kathmandu University (KU) to respond to numerous questions and comments on the draft ProDoc that ICIMOD submitted to UNDP in mid-June. At the same time, the ADAPT team assisted KU to complete final drafts of its reports on an engineering design for the outlet drainage works at Imja Lake and for a pre-feasibility study for a comprehensive community-based early warning system (CBEWS) for the communities downstream of Tsho Rolpa Lake. To review ongoing progress and provide guidance to the successful completion of both reports, UNDP/Nepal organized a meeting in early July of all stakeholders in which KU presented its preliminary designs for both activities and received valuable comments. On the basis of the feedback received, KU submitted to UNDP final drafts of both documents by mid-July. The ADAPT team also worked with The Mountain Institute's (TMI's) Peruvian glaciologist to complete two of his deliverables on: (1) "Assessment Report on Available Technical Studies

on Imja Lake and Further Studies Required”; and (2) a detailed technical note on the “Theory and Practice of Lake Risk Reduction”. Both reports, which were completed and submitted during the reporting period, will add immeasurably to the detailed design of the risk reduction works at Imja Lake that will commence once the GEF-financed ProDoc is approved. TMI’s glaciologist returned to Kathmandu in late August and to meet with stakeholders and make a presentation to representatives from Government, UNDP, KU and USAID/Nepal. His presentation reviewed and made recommendations on KU’s engineering design of the outlet channel work for Imja Lake. ADAPT awaits the TMI consultant’s final deliverable on his detailed assessment and recommendations on the UNDP GEF ProDoc and KU report on the engineering design for the drainage of Imja Lake. ADAPT’s input to this UNDP initiative will conclude in mid-October with the participation of ADAPT’s CoP at a USAID High Mountain Glacial Watershed Program-hosted partners workshop in Kathmandu, which will bring together all stakeholders who have been involved in the design to reduce the risk of a GLOF event at Imja Lake to share lessons learned and to map the way forward.

Project Preparation Support to UNDP Project in Tuvalu

In partnership with UNDP/Asia Pacific Regional Office in Bangkok, ADAPT Asia-Pacific continued providing technical assistance to the government of Tuvalu and the UNDP project preparation team in developing a full-scale climate change adaptation project in Tuvalu to be financed under the Least Developed Countries Fund (LDCF) of GEF. ADAPT Asia-Pacific’s consultants focused on preparation of technical backup documents on coastal livelihood and disaster risks management issues for the project proposal. ADAPT Asia-Pacific’s Marine Livelihood Advisor led the project preparation team’s work in designing a baseline survey for project activities, including a sample survey of the population and the organization of focus groups, assisting with all components of the project (Disaster Risk Management and Governance) in the design of their questions, creating the questionnaire, and creating a database to store and organize the data. ADAPT Asia-Pacific’s technical advisors conducted detailed analysis of the survey results, which will be included in the PPG as an Annex. The report describes critical support for the project by stakeholders and provides baseline data against which project achievements can be measured during implementation. ADAPT Asia-Pacific’s consultants will continue to support the project preparation process until the end of December 2012, providing technical inputs and reviewing the project proposal documents.

Project Preparation Support to Samoa

At the request of UNDP Samoa, ADAPT Asia-Pacific’s Senior Project Preparation Specialist is assisting UNDP, the Samoa Tourism Authority (STA), and the Samoa Ministry of Natural Resources and Environment (MNRE) in the preparation of a Global Environment Facility (GEF) USD1.95 million (USD7.1 million including co-financing) compliant project proposal. The project, **“Enhancing the resilience of tourism-reliant communities to climate change risks”**, focuses specifically on the small-medium scale tourist operators and their neighbouring communities. The assignment commenced in July with an initial round of discussions with UNDP and Samoa government representatives. Due to the various specialist requirements in preparing this GEF-compliant proposal, ADAPT Asia-Pacific further engaged the services of two additional international specialists, an adaptation economist and gender specialist, with support from three national consultants in *infrastructure, natural systems and gender* to assist in project formulation, conducting assessments and consultations required for the project document. The project is now in its full proposal formulation phase, which involves review of relevant tourism-reliant projects (past, current and in the pipeline), programs and technical documents, and consultations with all stakeholders. The timeframe for developing the Pro-Doc for submission to UNDP is by late October 2012 with final write-up and submission to GEF in November 2012.

Project Preparation Support to ADB Projects

ADAPT Asia-Pacific has signed a letter of cooperation with ADB and is engaged in regular communication with ADB staff on potential niche roles that can be played in project preparation. Current project opportunities being monitored include: (i) **Cambodia** - Mainstreaming climate resilience into development planning of key vulnerable sectors; (ii) **Sri Lanka** – road sector capacity development TA project with Road Development Authority; (iii) **Cambodia** - Second Rural Water Supply and Sanitation Sector Project; (iv) **Regional** – Living Himalayas Initiative – Bhutan, India, Nepal, and Bangladesh; (v) **Greater Mekong Sub-region** Climate Resilience and Green Infrastructure Project; (vi) **Vietnam** Coastal Zone Adaptation; and (vii) **Pacific Islands** – Strategic Program on Climate Resilience.

Project Preparation Support to World Bank Project in Samoa

The World Bank, as part of the Pilot Program for Climate Resilience (PPCR) “Enhancing the Climate Resilience of Coastal Resources and Communities”, has requested ADAPT Asia-Pacific support the Government of Samoa by providing a coastal and marine specialist and a coastal engineer, who will focus on measures to reduce vulnerability of coastal ecosystems and communities and increase their resilience. The startup of this assignment has been delayed. The World Bank now anticipates that project preparation will commence in January 2013.

Task Five: Overarching Program Management and Coordination

Project Management and Coordination

Lee Baker, COP of ADAPT Asia-Pacific and Saengroaj Srisawaskraisorn, Climate Change Adaptation Specialist of USAID/RDMA, visited USAID/India to present the project and identify potential areas for engaging in climate change adaptation work in India. ADAPT Asia-Pacific has identified a few areas for partnership with USAID/India in the field of capacity building and project preparation work [support organizing a regional workshop on climate finance and cooperating with USAID/India’s Office of Food Security in developing an agricultural sector climate change adaptation project at the local and nation levels through the National Bank for Agriculture and Rural Development (NABARD)]. ADAPT Asia-Pacific will continue discussions with USAID/India to move forward these ideas.

ADAPT Asia-Pacific facilitated a Letter of Commitment between USAID/RDMA and ADB on potential joint activities on climate change adaptation through the USAID-funded ADAPT Asia-Pacific throughout the implementation period of the ADAPT Asia-Pacific project (September 2012-September 2016).

On project management, the ADAPT Asia-Pacific has developed a draft work plan for FY13 and submitted for COR’s review and approval.

Monitoring and Evaluation

During this quarter, the ADAPT Asia-Pacific team continued to collect and review data from project activities to track progress in achieving project performance indicators. Data collected are stored in the One Source online PMP tracking system for further updating and reporting.

Staffing

ADAPT Asia-Pacific submitted a TCN waiver request for hiring Chris Manu, a citizen of the Cook Islands/New Zealand for USAID/RDMA’s approval. Chris Manu is prepared to start the assignment as soon as a decision is taken by USAID/RDMA. Several short-term specialists have been contracted to support tasks under capacity building and project preparation during this quarter.

Registration of ADAPT Asia-Pacific in Thailand

TICA has approved the formal registration in Thailand of ADAPT Asia-Pacific project. We are working with USAID and TICA to obtain non-immigrant visas and resident permits for project expatriate staff.

Communication and Outreach

The ADAPT-Asia-Pacific has released the first issue of the quarterly e-newsletter during this quarter. The newsletter was distributed to more than 2,000 recipients (government officials, NGO and academic representatives, and international organization) in the region.

4.0 Outstanding Project Implementation Tasks and Challenges

Outstanding project implementation tasks and challenges during the fourth quarter were: (1) finalizing introductory meetings with USAID bilateral missions and other potential partners to present the ADAPT Asia-Pacific project; and (2) continuing recruiting for the remaining coordinator position.

ADAPT Asia-Pacific continues meeting with different bilateral USAID missions to inform them about the program and gain their support in initiating program activities in their respective countries. Due to the conflicting and busy schedules of the different actors involved during this quarter, the ADAPT Asia-Pacific team has not had the opportunity during this quarter to meet with the remaining key bilateral missions (USAID/Bangladesh, USAID/Sri Lanka, USAID/Timor-Leste and USAID/Mongolia) to introduce the project and identify partnership opportunities in the respective countries. The team plans to work with the COR to arrange meetings or teleconferences with these missions in the next quarter.

5.0 Resource Leveraging and Sustainability

During the fourth quarter, AECOM worked closely with RDMA to initiate ADAPT Asia-Pacific's core implementation strategy of leveraging programming resources and capabilities with project partners, including UNDP. ADAPT Asia-Pacific was successful in leveraging contributions in the total amount of USD 40,994 from UNDP and UNFCCC for participants to two jointly held regional events: 1) Regional Clinic on the Design and Management of National Climate Funds, held in Bangkok, Thailand on September 6-8, 2012; and 2) Climate Public Expenditure and Institutional Review (CPEIR): Workshop on Past Experience and the Way Forward, also held in Bangkok, Thailand on September 10-12, 2012.

To ensure local ownership and sustainability of the newly designed capacity building activities, the ADAPT Asia-Pacific team identified several local universities and training centers (AIT, ADPC, AIM, South Pacific University, etc.) in the region to engage them in the organization of capacity building activities to be carried out by ADAPT Asia-Pacific. ADAPT Asia-Pacific will continue exploring innovative strategies for cooperation that will include resource leveraging and ensuring overall program sustainability.

6.0 Performance Objectives for Next Quarter (October 1-December 31, 2012)

In the first quarter of performance in FY13, AECOM will focus on activity implementation under all tasks per the second year work plan. Key performance objectives for the quarter are as follows:

- Development of sustainable adaptation KM strategies and identification of key actions;
- Finalization of the concept note for the ADAPT Asia-Pacific Second Annual Forum;
- Pursue signing of Letters of Cooperation between USAID RDMA and UNEP and the World Bank;
- Continue providing technical assistance to ONEP to strengthen its climate change adaptation capacity;
- Organize the first workshop within the joint capacity building program on economics of climate change adaptation with UNDP, Yale University and AIT in October 2012;
- Finalize ongoing phase of capacity building support to the DOF of the Philippines;
- Continue providing technical assistance to the Indonesia Climate Change Trust Fund in establishing project selection and monitoring and evaluation systems;
- Support DOF of the Philippines, if requested, with preparation of its application for accreditation with the Adaptation Fund;
- Continue supporting the establishment of the Samoa Trust Fund in partnership with World Bank;
- Establish and maintain networks of course graduates for continued sharing of information on climate change adaptation related topics;
- Finalize assessment of capacity building needs/gaps in the Pacific and design a comprehensive capacity building program with regional partners;
- Prepare a concept paper of next steps for a follow-up capacity building program on economics of climate change adaptation for country teams of the Asia region;
- Continue supporting multilateral agency CCA projects in Nepal, Tuvalu, Samoa and Cambodia;
- Conduct meeting with Lao PDR Ministry of Environment and Natural Resources (MoNRE) to explore interest in carrying out an assessment of targeted capacity building needs to improve access to climate finance;
- Explore with ADB and the CROP agencies a potential niche for ADAPT Asia-Pacific in the design and implementation of the proposed Regional Technical Support Mechanism for the Pacific region;
- Finalize scoping and staffing of ADAPT Asia-Pacific's support to the ADB Cambodia: second rural water and sanitation project, which will entail an assessment, recommendations, and an illustrative project(s) to adapt village community ponds to the impacts of climate change;
- Continue engagement with ADB and other IFIs to identify project preparation activities which need technical assistance support;
- Complete assessment of public financing of climate change adaptation investments with the aim of identifying an appropriate entry point for ADAPT Asia-Pacific, including assistance to UNDP with CPEIR work and development of a tagging/tracking tool with OECD; and
- Develop FY12 Performance Plan and Reporting (PPR), Annual Report for FY12, and revisions to the PMP per the guidance received from USAID/RDMA.

7.0 Expenditures by Contract Budget Category

ADAPT Asia-Pacific CLINs	Total Contract Budget	Cumulative Expenditures Prior this Quarter	Expenditures this Quarter (July 1-September 30, 2012)	Cumulative Expenditures through September 30, 2012	Contract Balance
CLIN 1: ADAPT General	\$3,561,878	\$273,058	\$116,307.43	\$389,365.19	\$3,172,513
CLIN 2: ADAPT Annual Forum	\$2,294,785	\$277,892	\$34,787.65	\$312,679.31	\$1,982,106
CLIN 3: ADAPT Climate Change Adaptation Capacity Building Program	\$3,890,882	\$164,198	\$274,578.82	\$438,776.51	\$3,452,105
CLIN 4: ADAPT Technical Assistance in Preparing Funding Proposals	\$2,833,310	\$317,333	\$298,004.04	\$615,337.00	\$2,217,973
CLIN 5: ADAPT Regional Knowledge Platform	\$964,425	\$62,805	\$16,937.04	\$79,742.23	\$884,683
Indirect Costs	\$2,511,407	\$327,764	\$184,926	\$512,689.22	\$1,998,718
Fixed Fee	\$962,416	\$85,383	\$55,532	\$140,915.49	\$821,501
TOTAL	\$17,019,103	\$1,508,432	\$981,073	\$2,489,504.95	\$14,529,598
Funds Obligated	\$6,790,000			\$2,489,505	\$4,300,495