



**USAID**  
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USAID|PERU  
PRO-INTEGRIDAD

NINTH QUARTERLY  
REPORT

FROM JANUARY 1 TO MARCH 31, 2015

**MARCH 2015**

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# USAID|PERU PRO- INTEGRIDAD

## NINTH QUARTERLY REPORT

FROM JANUARY 1 TO MARCH 31, 2015

<b>Program name:</b>	<b>USAID Project for the Promotion of Justice and Integrity in the Public Administration (Pro-Integridad)</b>
<b>USAID Financing Office:</b>	<b>USAID/Peru</b>
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### **MARCH 2015**

The opinions issued by the authors in this publication do not necessarily reflect the point of view of USAID or of the Government of the United States of America.

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# LIST OF ACRONYMS

AMAG	Academy of the Magistracy
AWP	Annual Work Plan
CAJ	Comision Andina de Juristas
COP	Pro-Integridad Chief of Party
CPC	Criminal Procedures Codes
CSO	Civil Society Organization(s)
DCOP	Deputy Chief of Party
DIPLOMA	Diploma Course Specialized in the Judicial System to resolve corruption cases
ETI-CPC	Institutional Technical Team for the Implementation of the Criminal Procedure Code
GIZ	German International Cooperation Agency
GOP	Government of Peru
IDC	Implementation District Committee
IDEHPUCP	Institute of Democracy and Human Rights of the Pontifical Catholic University of Peru
IIM	Institutional Integrity Model
IIS	Institutional Integrity System
JB	Judicial Branch – Judiciary
JCA	Judicial Council Academy
JRC	Judicial Research Center
M&EP	Monitoring and Evaluation Plan
MEF	Ministry of Economy and Finances
MINJUS	Ministry of Justice and Human Rights
MoU	Memorandum of Understanding
NJC	National Judicial Council
NGOs	Non-governmental Organizations
OCTI	Office of International Technical Cooperation of the Judicial Branch
OPROCTI	Projects and International Technical Cooperation Office of the Public Ministry
PM	Public Ministry
PMP	Performance Management Plan
PROJECT	Pro-Integridad Project
PUCP	Pontifical Catholic University of Peru
ST-CEICPP	Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedure Code
TOT	Training for Trainers
USAID	United States Agency for International Development
USG	Government of the United States of America

## I. INTRODUCTION

This document provides the ninth quarterly report of the USAID/Peru Pro-Integridad Project – Project, implemented by Tetra Tech ARD<sup>1</sup> pursuant to contract number 527-C-13-00001. This report covers the period January 1 – March 31, 2015, and the activities scheduled in Pro-Integridad Project's Annual Work Plan – AWP.

## II. EXECUTIVE SUMMARY

This quarterly report reflects the progress of work that the project team successfully completed pursuant to the implementation scheduled in the AWP for Years 2 and 3 as approved by USAID. The most significant actions of the project during the current quarter were:

- **Implementation of judicial and prosecution corporate management models:** Pro-Integridad has continued coordination with counterparts to consolidate the improvements designed in year 2 (2014). First, on 12 March, 2015 the Judiciary approved by an administrative decision of the Executive Board the "Guidelines for programming and management of hearings in the trial stage with the Criminal Procedure Code", paper prepared with technical assistance from the Project. The next step is to support its implementation. This is expected to help increase the number of oral trials conducted pursuant to the Criminal Procedure Code – CPC. Dr. Pablo Sanchez, who took over as Prosecutor General on January 5, 2015, expressed his desire that the Public Ministry – PM restart the implementation of the corporate model for the criminal prosecutor's office included in the manual developed in 2014 which was completed with technical assistance from Pro-Integridad. This aims to achieve the improvements designed in the management model for prosecution offices.
- **Implementation of the Clear Language Judicial Manual Accessible to Citizens.** In March 2015 Pro-Integridad began developing a training module on the use of the manual developed with technical assistance from the project and approved by the President of the Judiciary in December 2014. Technical assistance will include training workshops for trainers in Lima and Iquitos and transfer the module to the Judiciary so as to be able to replicate it in other sites throughout the country.
- **Diploma of Specialization in the judicial system to resolve cases of Corruption – Diploma:** At the end of this quarter, the Diploma is completed in Loreto and in progress in San Martin, Amazonas and Lima (Edition III). The impact of this training can be observed in the daily work of judges or prosecutors who are applying the knowledge acquired in the diploma, according to the results of interviews that Pro-Integridad is conducting to that end.
- **Technical Assistance to the Public Attorney's Office Specialized in Crimes of Corruption:** This quarter the Project began developing a simulator to calculate the amount of civil damages in crimes against public administration. The simulator is an automated tool that will facilitate for the Public Attorney's Office the practical application of the methodology to calculate civil damages in official corruption crimes as approved in 2014 by the counterpart.
- **Institutional Integrity Model – IIM:** Pro-Integridad has continued its technical assistance to consolidate the implementation of the IIM in the Ministry of Justice and Human Rights – MINJUS. In 2015, emphasis will be given to the topics identified through the intermediate evaluation conducted in November 2014. An example of this is the improvement of citizen access to public information, for which the Project is developing a "*Guide for the practical application of the Transparency and Access to Public Information Law*".
- **Grants:**
  - **Grant 1 "Conocer para vigilar" (learn to monitor):** Forum Solidaridad – Forum continued its training in the course "*Conocer para vigilar*" through a workshop in San Martin on

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<sup>1</sup> Since Tetra Tech DPK is an operating and subsidiary division that is fully owned by ARD, it is considered to be the main point of contact for all aspects of this contract.

February 27 and 28, attended by 71 participants. This will increase to 455 the total number of people trained within the framework of this grant. The outputs of this course are the generation of synergies among the participants and a vigilant attitude from their social organizations on the justice system to prevent corruption acts.

- **Grant 2 “Social audit on the justice system”**: After completing the systematizing of 167 judicial resolutions from the districts of Lima, Amazonas and Loreto, the Comisión Andina de Juristas – CAJ has published the personal workbooks of jurisdictional decisions under the CPC in the following site: [http://www.auditoriajudicialandina.org/?page\\_id=3910](http://www.auditoriajudicialandina.org/?page_id=3910). This quarter CAJ reports that, as a result of the dissemination of the workbooks, 5,163 download of resolutions have taken place, which shows improved transparency and the justice system’s outreach to society.
- **Grant 3 “Monitoring Capacity of Civil Society”**: As of this report, the grantee Proetica has conducted three training workshops on citizen surveillance in Amazonas, Loreto and Lima. A total of 108 people participating have been registered (50 in Chachapoyas, 41 in Iquitos, and 17 in Lima). Building on the training, Proetica has started citizen surveillance activities established in their work plan.
- **Grant 4 “Civil Society Monitoring”**: This grant awarded to Proetica started in January 2015, aims to develop of a citizen monitoring instrument on the treatment by the criminal justice system of the indigenous population of Amazonas and Loreto. The first version of this instrument is to be ready in April.

### III. PROJECT OBJECTIVES AND RESULTS

#### 3.1 Objective 1: Increase the judicial system's capacity to process corruption cases in Lima, Lima North, Lima South and Callao, and the judicial districts of the Peruvian Amazon Region

##### 3.1.1 *Strengthening the corporate management model in the Judiciary*

This past quarter Pro-Integridad continued strengthening the corporate management model in the Judicial Branch – JB. On March 12, 2015 an Administrative Resolution from the Executive Council N° 062-2015-CE-PJ was published approving the “Guidelines for programming and management of hearings in the trial stage with the CPC”. This tool, developed with Project technical assistance, is expected to contribute in increasing the criminal judges’ number of oral trials finished. At the time of this report, Pro-Integridad is preparing the workshops on the practical application to the guidelines to be conducted in the pilot sites during April and May of 2015.

The Project also gave technical assistance to the update of the Criminal Judicial Office Regulation with the CPC. This law rules aspects such as the organization and functions of the judicial office, notifications and summons, and the judicial file. The approval of this tool by the JB should take place next quarter.

## Consejo Ejecutivo del Poder Judicial

RESOLUCIÓN ADMINISTRATIVA N° 062 - 2015 - CE - PJ

Lima, 6 de febrero de 2015

### SE RESUELVE:

**Artículo Primero.-** Aprobar los “Lineamientos para la Programación y Gestión de Audiencias en la Etapa de Juicio con el Código Procesal Penal”, los cuales se detallan en el documento anexo, el mismo que forma parte integrante de la presente resolución.

Regístrese, publíquese, comuníquese y cúmplase.

S.



  
VÍCTOR TICONA POSTIGO  
Presidente

### **3.1.2 Training for officials who apply the Criminal Procedures Code in corruption cases (Diploma of Specialization in the judicial system to resolve cases of Corruption)**

#### **Diploma in Loreto**

Once the virtual phase was finished in 2014, the in-presence classes took place from January 23 to February 8, 2015. This also occurred with editions I and II in Lima and Callao (finished in 2014), where the professors reviewed the most important topics of the virtual phase during the first part of the in-presence phase and then worked on case studies. Of 14 participants initially registered in Loreto, four left due to personal or academic reasons and one did not meet the minimum points to approve, so nine participants have successfully completed the evaluation by the Institute of Human Rights of the Pontifical Catholic University of Peru – IDEHPUCP.

#### **Diploma in San Martín**

With 10 participants initially registered, the virtual phase was carried out between November 3, 2014, and March 18, 2015. The in-presence phase started two days later in Tarapoto and will end on April 12, 2015. For the in-presence sessions, IDEHPUCP is following the same methodology as in Lima and Loreto.

#### **Diploma in Amazonas**

With 14 participants initially registered, the virtual phase was carried out between October 1, 2014, and February 15, 2015. The in-presence phase will take place in Chachapoyas between April 24 and May 17, 2015.

#### **Diploma in Lima and Callao (III edition)**

The last diploma course for the judges at the National Criminal Chamber and the judges and prosecutors of Lima, Lima North, Lima South, Lima East and Callao started on January 2, 2015, with 40 participants initially registered. At the time of this report, the virtual phase is undergoing successfully and will finish by May 18, 2015. The in-presence sessions will take place between May 22 and June 7, 2015.

Note that from interviews carried out by Pro-Integridad to participants in the diploma, it appears that they are using the knowledge acquired through the courses for their daily work as judges or prosecutors. A prosecutor from Loreto gave as example that in investigations that he is conducting he is using the Civil Code rules to sustain his request for the payment of civil damages. A judge

from Lima indicated that he sustained a judgment under the United Nations Convention against Corruption to reply to the questions raised by the defense of the accused on the concept of public official.

As a final note to this section, during this quarter Pro-Integridad has met with representatives of the Academy of the Magistracy – AMAG and of IDEHPUCP to promote the transfer of the diploma courses to the national counterpart for its sustainability.



Judges and prosecutors participate in an in-presence session of the diploma carried out in Tarapoto (San Martin) in March 2015.

### **3.1.3 Strengthening management and the corporate model in the Public Ministry**

On March 17, 2015, the Project team met Dr. Pablo Sanchez, Prosecutor General since January 5, 2015, to present the Project’s technical assistance planned for year 3. Dr. Sanchez made known his decision to boost matters related to the implementation of the CPC. Mainly, the PM will resume the application of the “Organization and functions manual of the corporate criminal prosecution office” which was suspended in August 2014 by former leadership.

This decision will make possible the consolidation of the improvements of the corporate prosecution model designed with technical assistance of Pro-Integridad (prosecution office management, coordinator prosecutor and administrative staff’s role, and distribution of the prosecution load) in the Project’s pilot districts. Pro-Integridad will also conduct workshops on teamwork and coaching for prosecutors and administrative staff in those sites that will contribute to a cultural change and adaptation to the new way of working as of the corporate office model.



Presentation of Pro-Integridad Project to the Prosecutor General. Left to right: César Hernández, OPROCTI manager; Armando Plazolles, Project’s COP (a); Martín Castro, USAID COR; Dr. Pablo Sánchez, Prosecutor of the Nation; Lourdes Rivera-Santander, criminal investigation specialist and regional coordinator with Pro-Integridad; and Carlo M. Velarde, civil society liaison coordinator and grants manager with Pro-Integridad.

### **3.1.4. Technical Assistance to the Public Attorney's Office Specialized in Corruption Crimes**

During this quarter the Project is working with the Public Attorney's Office in the implementation of the "Methodology to calculate civil damages in crimes against public administration", developed with the Project's technical assistance and approved in 2014. This work includes the development of an automated tool (simulator) that will facilitate the practical application of the methodology for the members of the Attorney's Office, as well as training workshops with case studies.

On February 9, the Project team met Dr. Joel Segura, Public Attorney, to present him with the proposed work plan. Dr. Segura is committed to provide any and all facilities to develop the simulator and implementation of the methodology.



Technical meeting on the development of the simulator. Left to right: Vladimir Zavala, coordinator of the information analysis Unit of the Public Attorney's Office, and members of Pro-Integridad Flor Torres and Oscar Cornejo.

Pro-Integridad has hired Dr. Julio Arbizu, expert who participated in the development of the methodological proposal in 2014, and Mr. Dante Moran, IT programmer, to the technical team that started working on the development of the simulator in March 2015. Work is ongoing.

### **3.1.5 Technical Assistance to the Judiciary to strengthen its capacity for the implementation of the Criminal Procedure Code (the Judiciary Monitors and Evaluates the CPC)**

In 2014 Pro-Integridad provided technical assistance to the JB developing a monitoring system for the criminal procedure reform, which includes 24 indicators. According to what Atty. Alejandrina Luglio, Technical Secretary of the Institutional Technical Team Implementing the CPC – ETI-CPC of the JB, informed, this proposal was presented to the Executive Council for its approval. Once this happens, the Project, through Eng. Flor Torres, M&E specialist, will provide technical assistance to the counterpart to include the 24 indicators in the Judicial Integrated System – SIJ, and generate the monitoring reports.

The products of this quarter under Objective 1 were:

- Product N° 47: Report on pilot data from the monitoring system on corruption cases of Lima North, Lima South, Lima and Callao.
- Product N° 48: Report on the technical assistance from the monitoring system for cases of corruption of the Judicial Branch.
- Product N° 49: Training module on the guidelines to program and manage hearings in the trial stage with the CPC.
- Product N° 50: Report on the workshops on the guidelines to program and manage hearings in the trial stage with the CPC conducted in Iquitos, Moyobamba and Chachapoyas

## **3.2 Objective 2: Promote the Institutional Integrity Model within the Justice Sector**

### **3.2.1 Ministry of Justice and Human Rights - MINJUS**

As a result of the intermediate evaluation of the implementation of the Institutional Integrity Model – IIM in the MINJUS of November 2014, the institution moved from "at risk" (6 points out of 39 possible) to "path to integrity" (21 points out of 39 max). Building on it, this quarter the Project has provided technical assistance to the leader team implementing the IIM to develop a work plan that allows the MINJUS to move to the "integrity" position (from 35 points on). The plan has prioritized the following, among others:

- Develop an evaluation system of the institutional performance and individual production of the staff.
- Design a system of awards.
- Developing and implementing a virtual evaluation of the ethical performance of the functions of all MINJUS employees.
- Development and dissemination of a study on organizational climate.
- Development of a study on pre-established competences for each position with evaluation instruments.
- Measurement of quantitative and qualitative aspects of efficiency, effectiveness, honesty and quality of Service that include identification of non-satisfactory performance cases.
- Monitoring production and quality of performance of the administrative processes commission with sanctioning indicators.
- Development of a "Manual for the application of the Law on Transparency and Access to Public Information in the MINJUS."
- Creation of open and transparent mechanisms of civil society participation in the process of public policing in the MINJUS.

A core factor for the implementation of the IIM is the high authority leadership. That is why it is important to mention that Dr. Daniel Figallo, who supported the adoption of the IIM in the MINJUS, was replaced in the position on February 17, 2015, by Dr. Fredy Otarola. Other changes were made during March with members of the leading team implementing the IIM, such as the secretary general, the general director of the administrative office, and the head of the office of communications and institutional image. This situation has not significantly impacted the advance of programmed activities during the quarter because Atty. Carlos M. Velarde, liaison with the civil society coordinator and grants and subcontracts manager with Pro-Integridad has continued working in close collaboration with Ms. Martina Torres, head of the planning and budget execution office at MINJUS. She has been in charge of the executive coordination for the IIM implementation since the very beginning. Another factor that favors the Project work continuity is that the IIM is included in the institutional planning with its own budget allocated for year 2015, which provides an optimistic outlook to continue consolidating the model in the future.

### **3.2.2 Public Ministry**

In February 2014, Pro-Integridad submitted an assessment on the IIM in the PM with specific areas for improvement. The institution did not implement the model then. This situation has recently changed with the interest shown by Dr. Pablo Sanchez, new Prosecutor General, during the presentation of March 17, in promoting integrity throughout the PM. To that end, it was agreed that Pro-Integridad will provide advisory support to the general management and the Projects and International Technical Cooperation Office of the Public Ministry – OPROCTI to develop a road map to implement the IIM that will be proposed to the Prosecutor General.

### 3.3 Objective 3: Strengthen the judicial system’s capacity to address corruption through effective civil society engagement

#### 3.3.1 Learn to oversee/monitor Grant (Forum Solidaridad)

This quarter Forum Solidaridad – Forum continued with the activities programmed under the grant, highlighting the training course “*Conocer para vigilar*” (learn to oversee) conducted in Tarapoto (San Martin) on February 27 and 28, 2015, with 71 participants (37 men and 36 women). Among the participants were nine representatives of the Public Ministry, five lawyers from the Public Attorney’s Office Specialized in Corruption Crimes, representatives of emblematic organizations, such as the Front of Defense of San Martin and the four kechwa territorial organizations of indigenous peoples of the region, and journalists from leading media in San Martin (Diarios Voces, Diario Ahora, Radio Tropical, Radio Imagen, among others). Mr. Giancarlo Castiglione, executive director of Forum, and Ms. Nora Penadillo, regional coordinator of the Table for Poverty Reduction of San Martin, were in charge of the inauguration. At this event, Mr. Carlos M. Velarde, a member of Pro-Integridad, lectured on public ethics, fighting corruption and citizen participation and oversight.



Carlos M. Velarde, member of Pro-Integridad, during his presentation at the course “*Conocer para vigilar*” conducted in Tarapoto (San Martin).

After this course in Tarapoto, the number of people trained under this grant has increased to 455. The expected result is to create synergies among the participants and promote a vigilant attitude from their social organizations regarding the justice system to prevent corruption acts.

Below is the financial summary of the grant implemented by Forum Solidaridad as of March 2015:

Status	Period of Performance		Grant Amount US Dollars	Costs Incurred Prior FY 2015 US Dollars	Costs Incurred in FY 2015 US Dollars	Grant Balance US Dollars
	From	To				
Active	24-Apr-14	30-Sep-15	\$75,000.00	\$30,155.47	\$18,125.43	\$26,719.10

#### 3.3.2 Social audit to the justice system Grant (Comisión Andina de Juristas)

Once the systematizing of 167 judicial resolutions on crimes against official corruption with the CPC in the districts of Lima, Lima South, Amazonas and Loreto,<sup>2</sup> handed over by voluntary judges to law students in those districts, the CAJ has set-up the personal workbooks of jurisdictional decisions under the CPC and has published them in the following site:

[http://www.auditoriajudicialandina.org/?page\\_id=3910](http://www.auditoriajudicialandina.org/?page_id=3910). Among the voluntary magistrates that provided resolutions for CAJ’s personal workbooks are Dr. Aldo Atarama, President of the

<sup>2</sup> The origin of the rulings systematized by the CAJ in the context of this grant is as follows: 38 judgments of 6 judges from Lima; 30 resolutions of a Judge from Lima Sur; 21 judgments of 6 judges from Amazonas; and 78 resolutions of 15 judges from Loreto.

Superior Court of Loreto, and Dra. Susana Castañeda, coordinator of the anti-corruption sub-system of the Superior Court of Lima.

CAJ has disseminated the workbooks throughout the media. As a result, the grantee reports 5,163 download of resolutions for this quarter, which shows improved transparency and the justice system's outreach to society.

The following is the financial summary of the grant implemented by CAJ as of March 2015:

Status	Period of Performance		Grant Amount US Dollars	Costs Incurred Prior FY 2015 US Dollars	Costs Incurred in FY 2015 US Dollars	Grant Balance US Dollars
	From	To				
Active	21-Apr-14	30-Apr-15	\$35,000.00	\$12,958.66	\$12,288.57	\$9,752.77

### 3.3.3 Citizen Surveillance/Monitoring (Proética)

In January 2015 Proética finished the phase of identification of the civil society training needs to promote their involvement in citizen surveillance of the criminal procedure reform. To that end, "workshops to hear" were held in Lima and Iquitos, and individual and collective interviews in Chachapoyas. There were preliminary visits in the three cities and work performed with local stakeholders to identify and convene participants.

Site of the "workshop to hear" *	Participants		
	Total	Men	Women
Iquitos	46	24	11
Lima	35	29	17
Total	81	53	28

\* Individual and collective interviews were conducted in Chachapoyas instead of the "workshop to hear".

As a result of the identification phase, Proética found that, directly or indirectly through acquaintances, the vast majority of participants have encountered a negative experience with the justice system. This generates rejection or fear and ends up aggravating the gap between citizens and the justice System. At the same time, people want a justice system that treats them without discrimination and with respect and dignity, and to be efficient, transparent, and honest.

This said, the grantee needs to include in their trainings the knowledge of the judicial system and the related procedures, how to organize themselves with other citizens and liaise with other public entities, and how to file claims.

Building on the aforementioned, during March 2015 training workshops for citizens were conducted in Chachapoyas, Iquitos and Lima for a total of 108 participants distributed as follows:

Site of the "training workshop"	Participants		
	Total	Men	Women
Chachapoyas	50	30	20
Iquitos	41	17	24
Lima	17	7	8
Total	108	54	52

The following chart shows the positions occupied by the participants in the training workshops:

Position	Chachapoyas	Iquitos	Lima	Total
Academy (students or professors)	9	1	1	11
Indigenous, social or political leaders	10	28	12	50
Journalists	3	0	0	3
NGO	5	0	1	6

Position	Chachapoyas	Iquitos	Lima	Total
Churches	4	8	0	12
Public officials	15	4	1	20
Independent professionals	3	0	2	5
Not registered	1	0	0	1
Total	50	41	17	108



Training workshop on the justice system and citizen participation held in Iquitos on March 12, 2015.



Training workshop on the justice system and citizen participation held in Lima on March 17, 2015.

The main outcome of the training workshops is that the participants have been able to establish links between their everyday life and the justice system and, moreover, have found useful the surveillance on the justice operators. The detailed information on the criminal process by actors and their roles has been a very helpful orientation for the participants.

The following is the financial summary of the grant implemented by Proetica as of March 2015:

Status	Period of Performance		Grant Amount US Dollars	Costs Incurred Prior FY 2015 US Dollars	Costs Incurred in FY 2015 US Dollars	Grant Balance US Dollars
	From	To				
Active	19-Oct-14	30-Sep-15	\$46,729.14	\$0.00	\$32,897.41	\$13,831.73

### **3.3.4 Monitoring Criminal Justice Regarding Vulnerable Populations in Corruption Cases**

This grant awarded to Proetica started January 2015. This is focused on the development of a citizen monitoring tool on how the criminal justice system treats the indigenous populations of Amazonas and Loreto. Currently Proetica is in the process of collecting information and developing the instrument, the first version of which should be completed in April 2015. To better determine the benefits of this grant and its needs, Pro-Integridad has facilitated coordination meetings between Proetica and the people responsible of the National Office of Justice of the Peace and Indigenous Justice – ONAJUP of the Judicial Branch.

The following is the financial summary of the grant implemented by Proetica as of March 2015:

Status	Period of Performance		Grant Amount US Dollars	Costs Incurred Prior FY 2015 US Dollars	Costs Incurred in FY 2015 US Dollars	Grant Balance US Dollars
	From	To				
Active	30-Jan-15	30-Jul-15	\$10,071.94	\$0.00	\$1,764.70	\$8,307.24

The products during this quarter under Objective 3 were:

- Product N° 51: Quarterly Report Grant Agreement with Forum Solidaridad (Grant 1).
- Product N° 52: Quarterly Report Grant Agreement with Comisión Andina de Juristas (Grant 2).
- Product N° 53: Quarterly Report Grant Agreement with Proética (Grant 3).
- Product N° 54: Quarterly Report Grant Agreement with Proética (Grant 4).

### 3.4 Follow up of the Gender Inclusion Strategy

The gender inclusion throughout the Project had the following advances in the quarter:

OBJECTIVE	ACTIVITIES	STATUS
<b>1. OB1: MANAGEMENT</b>	<ul style="list-style-type: none"> <li>▪ Identify the existence of regulations, protocols and guidelines for the assistance of female users in corruption cases within the CPC application framework to corruption cases (within the management assessment framework).</li> <li>▪ Promote the development of guidelines for the assistance to female users in corruption cases (within the technical assistance framework in management matters).</li> </ul>	<ul style="list-style-type: none"> <li>▪ Performed.</li> <li>▪ Scheduled.</li> </ul>
<b>2. OB1: TRAINING</b>	<ul style="list-style-type: none"> <li>▪ Encourage female participants in training courses that will be conducted by the Project.</li> <li>▪ Encourage the participation of women in the education spectrum.</li> <li>▪ Include in the training courses the analysis of cases that raise awareness among the justice operators regarding the importance of the gender equality approach.</li> </ul>	<ul style="list-style-type: none"> <li>▪ 13 of the 30 participants initially registered for editions I and II of the Diploma course in Lima and Callao are women.</li> <li>▪ Of 14 participants in the Diploma course in Loreto 3 are women</li> <li>▪ Of 14 participants in the Diploma course in Amazonas 3 are women</li> <li>▪ Of 10 participants in the Diploma course in San Martin 2 are women</li> <li>▪ Of 40 initially registered for edition III in Lima and Callao, 23 are women</li> <li>▪ The courses include gender perspective as applicable, as well as material and procedural matters that apply to the trial and investigation of crimes against the environment.</li> </ul>
<b>3. OB1: MONITORING</b>	<p>In reviewing the PMP indicators, a cross-cutting gender focus will be included, considering the special features of each indicator.</p>	<p>For a more detailed report on indicators sub-divided by gender, see Annex N°1.</p>
<b>4. OB2: INTEGRITY</b>	<ul style="list-style-type: none"> <li>▪ Identify institutional policies and strategies aimed at an equalitarian valuation of the human potential from the gender equality perspective (within the framework of the integrity assessment).</li> <li>▪ Identify whether the institutional instruments to promote ethics, such as the Code of Ethics or other internal norms, include a gender equality approach.</li> </ul>	<p>The IIM Implementation work plan at the MINJUS includes the gender perspective and incorporates constant women participation in the development of the IIM.</p>
<b>5. OB3: CIVIL SOCIETY</b>	<ul style="list-style-type: none"> <li>▪ Encourage female participants to engage in the actions that the Project shall carry out to provide support to the civil society (grants).</li> <li>▪ Dissemination of reporting mechanisms on corruption cases that affect women.</li> <li>▪ Interdisciplinary application of the gender equality approach in the development of communication campaigns: messages, trainings and indicators.</li> </ul>	<ul style="list-style-type: none"> <li>• The RFA for grants adds the gender strategy developed by the Project, and has been defined as an evaluation criterion.</li> <li>• Also, in the development of training courses "Learn to monitor" conducted by Forum in Lima and Loreto, the program has included a module called "The New Criminal Procedure Code and its Treatment of Gender and Cultural Diversity." This implies that all the trainees have understood the importance of the gender perspective in the development of justice in our country. It must be noted that the trainings developed by Forum Solidaridad and by Proetica have had an equitable number of participants between men and women.</li> </ul>

### 3.5 Project Monitoring

Annex 1 shows the indicators' table with updated achievements during this quarter, with an indicator advance narrative.

## IV. WORK MEETINGS

### 4.1 Meetings at USAID

On the occasion of the visit to Peru of Mr. Jan Auman, President of ARD, Inc. and President of International Development Services Division at Tetra Tech, on January 30<sup>th</sup>, 2015 a meeting at USAID was held to discuss the progress of the Project. Attendees included Rachel Sorey, Chief of the Democratic Initiatives Office at USAID/Peru, Martin Castro, COR, Sobeida Gonzales, COR, Patricia Valdivieso, Project Officer at Tetra Tech DPK and Armando Plazolles, acting COP of Pro-Integridad.

### 4.2 Coordination meetings with national counterparts

#### 4.2.1 Meetings with the International Technical Cooperation Office /ETI-CPP of the Judiciary

Date	Objective	Participants
02.18.2015 y 03.06.2015	Coordination of local and international technical assistance to strengthen hearing scheduling and management	Dr. Bonifacio Meneses, President of ETI-CPP, Alejandrina Luglio, Technical Secretary ETI-CPP, Armando Plazolles and Edith Sicha.
02.19.2015	Presentation of AWP 3	Dr. Maria A. Delgado, Chief of OCTI, Elizabeth Arteaga, OCTI Specialist, Martín Castro, COR at USAID, Armando Plazolles and Lourdes Rivera-Santander.
03.11.2015	Coordination to develop a training to trainers module in the use of the "Judicial Manual of Plain and Accessible Language for Citizens"	Dr. Maria A. Delgado, Chief of OCTI, Elizabeth Arteaga, OCTI Specialist, Armando Plazolles and Jose Reátegui.

#### 4.2.2 Presentation of the Annual Work Plan to the Prosecutor General

Date	Objective	Participants
03.17.2015	Meeting with the Prosecutor General	Dr. Pablo Sánchez, Prosecutor General, Paul Hernández, Manager OPROCTI, Armando Plazolles, Lourdes Rivera-Santander and Carlo M. Velarde, Pro-Integridad, and Martin Castro, USAID COR.

#### 4.2.3 Meetings with the Projects and International Technical Cooperation Office /ETI-CPP of the Public Ministry

Date	Objective	Participants
29.01.2015	Coordination to promote the implementation of the Manual of Organization and Functions of the Corporate Criminal Prosecutor Office	Jorge Rosas, Technical Secretary of ETI-CPP, Armando Plazolles and Edith Sicha.
18.02.2015	Coordination to promote the adoption of the Regulations of Anticorruption Prosecutor Office	César Zanabria, Superior Prosecutor, National Coordinator of Anticorruption Prosecutor Offices, Jorge Rosas, Technical Secretary of ETI-CPP, Armando Plazolles and Edith Sicha.
25.02.2015	Coordination to promote the adoption of the Regulations of Anticorruption Prosecutor Office	Patricia Benavides, Deputy Supreme Prosecutor at the Prosecutor General Office, Armando Plazolles and Edith Sicha.
27.02.2015	Presentation of AWP 3	Jessica Martínez, Manager of OPROCTI, Armando Plazolles and Lourdes Rivera-Santander
03.12.2015 y 03.13.2015	Preparation of the meeting with the Prosecutor General	Paul Hernández, Manager of OPROCTI, Armando Plazolles and Carlo Mario Velarde.

#### 4.2.4 Meetings with the Public Attorney's Office Specialized in Corruption Crimes

Date	Objective	Participants
02.09.2015	Presentation of the technical assistance proposal to develop a simulator to calculate civil reparations.	Joel Segura, Public Attorney, Armando Plazolles, Lourdes Rivera-Santander, Flor Torres and Oscar Cornejo.
03.04.2015, 03.12.2015 y 03.24.2015	Discussion of the work plan, coordination rules and selection of cases.	Vladimir Zavala, Public Attorney's Office Observatory, Flor Torres and Oscar Cornejo.

#### 4.2.4 Meetings with the MINJUS

Date	Objective	Participants
01.27.2015, 02.12.2015, 02.20.2015, 03.03.2015, 03.17.2015 y 03.26.2015	Provide technical assistance to the leading implementation team of the Institutional Integrity Model.	Chief of the Planning and Budgeting Office, Chief of the HR Office, Chief of the Legal Advice Office, Chief of the Administration Office, Secretary General Advisor and Carlo M. Velarde.

#### 4.3 Coordination with Grantees and Subcontractors

Date	Objective	Participants
01.21.2015	Coordination meeting with IDEHPUCP	Erick Guimaray, Yvana Novoa y Julio Rodríguez from IDEHPUCP, Josefina Coutiño and Lourdes Rivera-Santander.
02.04.2015 y 03.03.2015	Coordination meetings with con Proética and Judiciary's ONAJUP about grant 4.	Fernando Meza, Chief of ONAJUP, Jaime Escobedo, ONAJUP advisor, Cecilia Blondet, Samuel Rotta y María Eugenia Esparza, from Proética, Armando Plazolles and Carlo M. Velarde.
02.17.2015	Information meeting about Diploma Course proposal for judges and prosecutors at AMAG	Iris Jave, Yván Montoya, Erick Guimaray, Yvana Novoa and Julio Rodríguez from IDEHPUCP, Armando Plazolles and Lourdes Rivera-Santander.
02.27.2015	Meeting about Diploma Course proposal for judges and prosecutors	Erick Guimaray, Yvana Novoa and Julio Rodríguez from IDEHPUCP, and Lourdes Rivera-Santander.

#### 4.4 Coordination with other International Cooperation Projects and Key Institutions

Date	Objective	Participants
02.24.2015	Probable collaboration in management of criminal prosecutor office	George Zachary, Criminal Justice Advisor at the British Embassy in Lima, Martín Castro, COR at USAID, Armando Plazolles and Edith Sicha.
03.04.2015	Presentation of the Diploma Course proposal for judges and prosecutors at AMAG	Dr. Duberli Rodríguez, Supreme Judge and President of AMAG, Margoth Cuadros, Updating and Upgrading Program Director at AMAG, Erick Guimaray and Julio Rodríguez from IDEHPUCP, Armando Plazolles and Lourdes Rivera-Santander
03.20.2015	Probable adoption of IIM by High Level Anticorruption Commission at the Prime Minister's Office (CAN by its initials in Spanish).	Dr. José Ávila, Coordinator General of CAN, Martín Castro, COR at USAID, Armando Plazolles and Carlo M. Velarde.
25.03.2015	Presentation of the Diploma Course proposal for judges and prosecutors at AMAG	Dr. Sissy Villavicencio, CEO, Teresa Valverde, Academic Affairs Director, Margoth Cuadros, Updating and Upgrading Program Director at AMAG, Erick Guimaray, Yvana Novoa and Julio Rodríguez from IDEHPUCP, Armando Plazolles and Lourdes Rivera-Santander.

## **V. ADMINISTRATIVE SUMMARY**

- On January 15, 2015 the Grant Agreement 4 was signed with Proética, DOF-004-Pro-Integridad, which aims at the development of a monitoring instrument of the criminal justice regarding vulnerable populations in corruption cases.
- Josefina Coutiño finished her tenure as COP for Pro-Integridad on January 20, 2015, leaving that responsibility to DCOP Armando Plazolles.
- On February 13, 2015, a consultant agreement was signed with Mr. Juan Villegas to develop a “Practical Guide for the application of the Law on Transparency and Access to Public Information in the MINJUS” within the IIM.
- On March 6, 2015, a consultant agreement was signed with Mr. Kenneth Garcés to develop a training module in the use of the “Clear Language Judicial Manual Accessible to Citizens”.
- On March 6, 2015, a consultant agreement was signed with Ms. Mariana Montes to support Mr. Garces in the methodological part of the training module.
- On March 9, 2015 USAID contract modification was received to change the contract deliverables, including the development of the simulator for calculation of civil damages for corruption crimes.
- On March 23, 2015, a consultant agreement was signed with Mr. Julio Arbizu to support the Public Attorney’s Office in adopting the Standard Methodology to calculate damages to the State due to corruption acts.
- On March 23, 2015, a consultant agreement was signed with Mr. Dante Moran to develop a simulator to calculate civil damages in corruption crimes.

## **VI. RELEVANT ELEMENTS OF THE PERUVIAN JUSTICE NATIONAL CONTEXT**

This quarter significant changes have happened in the justice system institutions receiving Project assistance. In the JB, Dr. Victor Ticona took office as President of the Supreme Court last January 1<sup>st</sup> for the period 2015 – 2016. This has not affected Pro-Integridad activities as the Project counterparts in OCTI and ETI-CPP continue in their positions. Likewise, Dr. Ticona has shown special interest in supporting the criminal procedure reform and implementing the “Clear language Judicial Manual accessible to the citizens” and the “Compendium of legal terms related to official corruption”, developed in 2014 with technical assistance from the Project.

In the PM, Dr. Pablo Sanchez is the acting Prosecutor General since January 5, 2015, after the National Council of the Magistracy – CNM suspended the predecessor, Dr. Carlos Ramos, in his position. Since then, Dr. Sanchez has shown special interest and supported topics in which the PM has received Project technical assistance, such as the implementation of the “Organizational and Functions Manual for the corporate criminal prosecution office” and the IIM, which were suspended during Ramos’ tenure. Dr. Sanchez has recently replaced two technical level officials who coordinated work with Pro-Integridad, OPROCTI’s manager and the ETI-CPP technical secretary. Nevertheless, this has not affected Project activities underway as coordination meetings have been held with both new officials, one of them being Dr. Jorge Rosas, who was a Pro-Integridad consultant in 2014 to develop the manual for the prosecution office. At this time there are good conditions to work with the PM, but the Project must consider the decision that the CNM will make regarding Dr Ramos’ situation by the end of June, which could bring new changes to the institution’s high level administrative and technical authorities.

Regarding MINJUS, on February 17, 2015, Dr. Daniel Figallo was replaced as Minister by Dr. Fredy Otárola. This change has not significantly affected the Project’s work with MINJUS even though there were changes in the team leading the IIM implementation, such as the Secretary General, the Director General of the administrative office, and the head of the communications and corporate image office. Still, Ms. Martina Torres has continued coordinating the IIM implementation, which she did since the beginning of the program in the Ministry. Another factor that favors the Project work continuity is that the IIM is included in the MINJUS institutional

planning with its own budget allocated for year 2015, which ensures that there will be no significant barriers to continue consolidating the model.

In the Public Attorney's Office, Dr. Joel Segura continues in office and driving the simulator development to calculate civil damages.

## VII. PRODUCTS AND DELIVERABLES

Project Deliverables Summary & Tracking Sheet												
Peru Promoting Justice and Integrity in Public Administration – Pro-Integridad Project Contract No.: AID-527-C-13-00001												
QUARTERS 1, 2 and 3 2013	CONTRACT REFERENCE	February 2013 – June 2013					July 2013-Sept.2013			Oct. 2013 – Dec. 2013		
		Feb-2013	Mar-2013	Apr-2013	May-2013	Jun-2013	July-2013	Ago-2013	Sep 2013	Oct 2013	Nov 2013	Dec 2013
Initial Annual Work Plan	F.6(A)(a)		3/18									
Integrated Gender Strategy	C.3 (page C.10)				5/17							
Disabilities Integration Strategy	C.3 (page C.10)		3/18									
Performance Monitoring Plan (PMP)	F.6(B)			4/1								
Quarterly Progress/Financial Reports	F.6 ( C ) & (D)			4/30								
Second Quarterly Progress/Financial Reports	F.6 ( C ) & (D)					6/30						
CSO Mapping developed						6/30						
Third Quarterly Progress/Financial Reports	F.6 ( C ) & (D)								9/30			
Baseline Study	F.6 ( C ) & (D)							8/15				
Analysis of participatory training requirements and training programs for judges, prosecutors and court staff.	F.6 ( C ) & (D)						7/31					
Four Quarterly Progress/Financial Reports	F.6 ( C ) & (D)											12/31
QUARTERS 1, 2 and 3 2014	CONTRACT REFERENCE	January 2014 – June 2014					July 2014-Sept.2014			Oct. 2014 – Dec. 2014		
		Jan-Feb-2014	Mar-2014	Apr-2014	May-2014	Jun-2014	July-2014	Ago-2014	Sep 2014	Oct 2014	Nov 2014	Dec 2014
Report on developed strategic plan for CPC implementation on corruption cases and associated monitoring of the achievement of the plan approved by USAID	F.6 ( C ) & (D)	01/31										
USAID approved and finalized report on <i>Organizational and Work Flow Management Redesign and Improvements for Corruption Cases</i>	F.6 ( C ) & (D)	02/14										
Memo for two grants awarded to increase public understanding of the criminal justice reform under the CPC for corruption cases	F.6 ( C ) & (D)		03/15									
Fifth Quarterly Progress/Financial Reports	F.6 ( C ) & (D)				04/30							
Conduct one international workshop focused on judges and key support staff to facilitate the implementation of the improved processes.					04-(7-11)							
Report on the development of the CPC M&E system of the Judicial Branch						06/30						
Methodological handbook for the systematizing and analysis of jurisprudence in corruption cases, approved by USAID						06/30						
IIM Assessment Document at the Public Ministry						06/26						
Report on the development of the Communications Strategy to improve citizen understanding regarding the			04/07									

criminal justice reform.												
Grant agreement signed by CAJ (Grant 2).			04/21									
Grant agreement signed by Forum (Grant 1).			04/24									
Sixth Quarterly Progress/Financial Reports	F.6( C ) & (D)					06/30						
Seventh Quarterly Progress/Financial Reports	F.6( C ) & (D)								09/30			
One grant awarded to support citizen oversight programs focusing on the performance of the judicial system and its handling of corruption cases in targeted regions and to train CSOs on mechanisms to foster consistent and sustainable oversight of the judicial system	F.6( C ) & (D)									10/15		
Report on Circles of Innovation as a tool for the sustainability of the improved processes in pilot sites.										10/30		
Second Quarterly Progress /Financial Reports Forum								09/30				
Second Quarterly Progress /Financial Reports CAJ								09/30				
Grant agreement signed by Proética (Grant 3).										10/19		
Plain Language Bench book approved by USAID.										10/29		
Partial report on Diploma course implementation approved by USAID that includes partial evaluation reports.										10/30		
Study of standards/methodologies developed to calculate and recover damages in corruption cases										10/24		
Manual and/or Protocol proposal to calculate and recover damages in corruption cases										10/24		
Annual indicators report										10/23		
Thesaurus for systematizing and analysis of jurisprudence in corruption cases											11/05	
Manual and Protocols for the improved management model including best practices for corruption cases											12/01	
Report summarizing study tours conducted, approved by USAID.											12/01	
Evaluation report on the implementation of the IIM at MINJUS												12/31
Eight Quarterly Progress/Financial Reports	F.6( C ) & (D)											12/31
Third Quarterly Progress /Financial Reports Forum (Grant 1)												12/31
Third Quarterly Progress /Financial Reports CAJ (Grant 2)												12/31
First Quarterly Progress /Financial Report Proética (Grant 3)												12/31
<b>QUARTERS 1, 2 and 3 2015</b>	<b>CONTRACT REFERENCE</b>	<b>February 2015 – June 2015</b>					<b>July 2015-Sept.2015</b>			<b>Oct. 2015 – Dec. 2015</b>		
		<b>Feb-2015</b>	<b>Mar-2015</b>	<b>Apr-2015</b>	<b>May-2015</b>	<b>Jun-2015</b>	<b>Jul-2015</b>	<b>Ago-2015</b>	<b>Sep 2015</b>	<b>Oct 2015</b>	<b>Nov 2015</b>	<b>Dec 2015</b>
One grant awarded to develop an instrument that will support vulnerable populations in three locations of Peru, in monitoring the implementation of the CPC, particularly related to corruption cases.	F.6( C ) & (D)	01/13										
Annual Work Plan Year 3	F.6(A)(a)	01/13										
Grant agreement signed by Proética (Grant 4).		01/30										
Fourth Quarterly Progress /Financial Reports Forum			03/31									

(Grant 1).											
Fourth Quarterly Progress /Financial Reports CAJ (Grant 2)			03/31								
Second Quarterly Progress /Financial Report Proética (Grant 3).			03/31								
First Quarterly Progress /Financial Report Proética (Grant 4).			03/31								
Eighth Quarterly Progress/Financial Reports	F.6( C ) & (D)			04/30							

## VIII. FINANCE QUARTERLY REPORT

Project's budgeting lines	Budget approved to date	Total quarterly expenses	Total expenses to date	Remaining balance
CLIN 001 – Increase judicial system capacity in Lima, Callao, and Peruvian Amazon regions to resolve corruption cases	\$2,939,782	\$184,507.68	\$2,085,316	\$854,466
CLIN 002 – Promotion of Institutional Integrity Model	\$ 318,919	\$17,652.7	\$228,349	\$90,570
CLIN 003 – Strengthen the judicial system's capacity to address corruption through effective civil society engagement	\$ 965,700	\$68,315.49	\$688,130	\$277,570
CLIN 004 – Fixed Fee	\$240,505	\$16,835	\$182,782	\$57,723
<b>Total Costs</b>	<b>\$4,464,906</b>	<b>\$287,310.86</b>	<b>\$3,184,577</b>	<b>\$1,280,329</b>

## IX. SUMMARY OF ACTIVITIES PLANNED, ACCOMPLISHED AND UNDER PROGRESS FOR THE PERIOD COVERING FEBRUARY 15, 2013 TO MARCH 31, 2015

The table below shows a summary of the implementation status of the work plan for the second year of Pro-Integridad. In general, the Project is within the product goals of year 2 work plan which ended on February 14, 2015. With regard to Project year 3, several Project activities started immediately on from February 15 on and that they are underway. They do not appear in the table below as the products will be realized starting the second quarter of year 2015.

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>OBJECTIVE 1: Increase the Capacity of the Judicial System in Lima, Callao, and Peruvian Amazon Regions to Resolve Corruption Cases</b>					
<b>Result 1.1: Increased capacity of judges and court staff in the targeted regions to manage and resolve corruption cases</b>					
<b>Activity 1: Establish efficient, timely and continuous systems for case management</b>					
Organize coordination meetings with the <i>Presidency of the Judiciary</i>	Permanent	Project Team	OCTI PJ, Criminal ETI PJ.	Redesign Plans and Improvements on the Organizational Management and Workflow of Corruption Cases (for judges and court office staff)	In permanent progress
Detailed evaluation of current systems and case management processes	November 2013 - February 14, 2014	Consultant, Project Team			Submitted
Implement improved management processes for the judicial offices at the selected pilot sites in the Amazonia and Lima Center	March-September 2014	Consultant, Project Team	OCTI PJ, Criminal ETI PJ.	Report on the improved organizational management processes for the selected pilot sites approved by USAID.	In permanent progress
Develop a Manual for the new Management model for judges and supporting staff	March-September 30, 2014	Consultant, Project Team	OCTI PJ, Criminal ETI PJ.	Manual for the new management model for corruption cases.	Submitted
Develop an international workshop for judges and prosecutors, with the purpose of facilitating the implementation of the improved processes	April, 2014	Consultant, Project Team	OCTI PJ, Criminal ETI PJ.	Consultancy reports, with materials, conclusions and recommendations from international experts.	Conducted by one international consultant from April 7 to 11, 2014
<b>Activity 2: Train and implement case management systems in accordance with the CPC in general and specifically in the management of</b>					

<b>corruption cases</b>					
Make a participative analysis of training required for corruption cases	April-July 2013	Consultant, Project Team	OCTI PJ, Criminal ETI PJ. OPROCTI-PM.	Analysis of the training requirements and participative training programs for judges and auxiliary staff	Submitted
Development of the Diploma course for 50 judges and 50 prosecutors	March 2014 – May 2015	IDEHPUCP, Project Team	OCTI PJ, Criminal ETI PJ. ETI Penal MP and OPROCTI-PM .	Final report of the first Diploma course implemented	Finished the Diploma in Lima (I and II) and Loreto; in progress Diploma in Amazonas, San Martin and Lima (III)
Training on the processes improved at the pilot sites for judges and supporting staff	March - September 2014	Consultant, Project Team	OCTI PJ, Criminal ETI PJ.	Report on the training in improved processes at the selected pilot sites.	Submitted
<b>Result 1.2: Strengthen the capacity of prosecutors and support staff in the target judicial districts to process corruption cases</b>					
<b>(ii) The PM uses tools to improve the prosecutors' performance</b>					
<b>Activity 1: Support the PM to improve the tools used by the anticorruption prosecutors</b>					
Support the PM in the drafting of a Training Manual on Corruption Cases for prosecutors (product of the Diploma course)	April-August 31, 2014	Project Team, IDEHPUCP	Criminal ETI MP and OPROCTI-PM.	Report on the development of manuals and protocols approved by USAID	Eliminated according to USAID contract modif 05 of 03/09/2015.
Implement new improved management processes for the prosecution offices at the selected pilot sites in the Amazonia and Lima Center.	February 2014 – September 2014	Consultant, Project Team	Criminal ETI MP and OPROCTI-PM	Report on the improved organizational management processes approved by USAID for the selected pilot sites.	Manual for the corporate prosecutor's office submitted.
<b>Result 1.3: Standard methodology for the quantification of damages caused to the State in corruption cases.</b>					
Carry out a study that allows to determine standards / methodologies developed to estimate and recover damages in corruption cases	June- December 2014	Consultant, Technical Project Team	Consultant and Technical Team from the Public Attorney's Office	Study deliverable to USAID (31-12-2014)	Submitted To USAID 10/27/2014
Report on completed revision of manuals and protocols and training on how to apply standards approved by USAID	January-June 2015	Consultant, Technical Project Team	Consultant and Technical Team from the Public Attorney's Office	Training Guide deliverable to USAID (June 30, 2015)	Submitted To USAID 10/27/2014
<b>Result 1.4: The Judiciary improves its capacity to implement the Criminal Procedures Code</b>					
<b>Activity 1: Establish goals and standards in core areas where the judicial performance can be measured other than the process of appeals.</b>					
Analyze the current systems of monitoring and evaluation, as well as procedures used for the application of the CPC with respect to corruption cases	March- June 30, 2014	Project Team	OCTI PJ, Criminal ETI PJ.	M&E system for the implementation of the CPC	Submitted
<b>Result 1.5: Strengthening the Special Commission's functions of coordination and monitoring of the implementation of the Criminal Procedures Code</b>					
<b>(i) Improvement of the Special Commission's monitoring and evaluation of the implementation of the CPC</b>					
<b>Activity 1: Improve the Special Commission's capacity with respect to M&amp;E</b>					
Strategic Plan proposal for the implementation of the CPC concerning corruption cases	September -2013- January, 2014	Consultant, Project Team	Technical Secretariat for the Special Commission	Strategic plan for the implementation of the CPC and related monitoring for the performance of the plan	Submitted
Technical Assistance to update the systems of monitoring and evaluation, for the application of the CPC with respect to corruption cases	March-December 2014	Project Team	Technical Secretariat for the Special Commission	M&E system for the implementation of the CPC	Eliminated according to USAID contract modif 05 of 03/09/2015.
<b>Result 1.6: Promote the furthance of good practices and lessons learned in the judicial system to ensure the effective implementation of the Criminal Procedure Code (CPC) for the prosecution of corruption cases</b>					
Make a research trip to Costa Rica with the Judiciary	March-September 2014	Project Team	OCTI PJ, Criminal ETI PJ.	Report	Submitted

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>OBJECTIVE 2- Promotion of the Institutional Integrity Model within the Justice Sector</b>					
<b>Activity 2.1: Promote the Institutional Integrity Model in Justice institutions (IIM)</b>					
Technical assistance to continue with the actions related to the implementation of the IIM in the MINJUS	February 2014- January, 2015	Project Team	MINJUS	IIM MINJUS assessment	Submitted
Identify leaders within each institution to lead the conceptual design of the IIM.	October 2013 and ongoing	Project Team, Short-term Expert	MINJUS	MINJUS assessment IIM PM assessment	Submitted 100% MINJUS

Develop the concept and training materials in the MINJUS	January-April, 2014	Project Team, Short-term Expert	MINJUS	IIM implemented	Submitted
Develop training workshops on IIM for institutional staff.	June - November, 2014	Project Team, Short-term Expert	MINJUS	IIM implemented	Submitted
Conduct a situational diagnosis for the implementation of the IIM in the PM	June - November, 2014	Project Team, Short-term Expert	Office Advisor and PM's OPROCTI del MP	IIM PM assessment	Submitted

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
<b>OBJECTIVE 3: Strengthen the judicial system's capacity to address corruption through effective civil society engagement</b>					
<b>Result 3.1: Civil society organizations contribute to raise the public understanding of the reform of the criminal justice</b>					
<b>Activity 1: Elaborate a specific communications plan to raise public awareness regarding the reform of the criminal justice</b>					
Prepare a Communications Strategy to raise awareness among the general public with respect to the reform of the criminal justice (*deliverable to USAID)	November 2013 - April 7, 2014	Project Team and Falkom		Communications strategy	Submitted
<b>Activity 2: Continue Grants Process for training/dissemination and production of information</b>					
Develop the process to grant donations. a) To elaborate RFA; b) Agreement of donations submitted to USAID	December 2013- March 15, 2014	Objective 3 Coordinator and Project Team	Civil Society Organizations	Donation agreement submitted to USAID for review (deliverable to USAID, March 15)	Submitted
Award the grant to the grantees.	April 2014-February 2015	Objective 3 Coordinator, Project Team	Civil Society Organizations	Donation agreement signed by the grantee organization.	Submitted
Administer and monitor grants	April 2014-February 2015	Objective 3 Coordinator and Project Team	Grantee Civil Society Organizations	Quarterly indicators advance reports.	Ongoing
<b>Result 3.2: Civil society organizations in targeted regions monitor the judicial system related to corruption cases</b>					
<b>Activity 1: Conduct processes to award two Grants: 1) Support Citizen Monitoring Programs, 2) Support youth, women and Afro-Peruvians to address their particular needs under the new CPC</b>					
Carry out a process to award a Grant to support citizen monitoring programs focused on the judicial system performance and the management of corruption cases in selected regions and train CSOs on mechanisms to encourage coherent and sustainable supervision of the judicial system	May- August 2014	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Grants memorandum submitted to USAID for review August 31, 2014	Submitted
Sign agreements with grantees	August 2014	Objective 3 Coordinator and Project Team		Grant agreement signed by the grantee	Fully signed
Administer and monitor grants	August 2014- August 2015	Objective 3 Coordinator and Project Team		Quarterly indicators advance reports.	In Progress
Carry out a process to award a Grant to support youth, women and Afro-Peruvians to address their particular needs under the new CPC	May - August 2014 Second process Oct - Dec 2014	Objective 3 Coordinator and Project Team	Grantee civil society organizations	Grants memorandum submitted to USAID for approval Jan 6, 2015	Submitted
Sign agreements with grantees	January 2015	Objective 3 Coordinator and Project Team		Grant agreement signed by the grantee	Fully Signed
Administer and monitor grants	Jan - July 2015	Objective 3 Coordinator and Project Team		Quarterly indicators advance reports.	In Progress

## ANNEX N° 1 PMP: UPDATED TABLE OF INDICATORS

### Advance in indicators:

Project Year 2 ended on February 14<sup>th</sup>, 2015 and Project Year 3 started the next day, explaining why this quarterly report contains updates related to both Project years. This section is a summary of the indicators that progressed during this quarter and the attached matrix summarizes the cumulative progress for the Project up to date.

**Indicator 1: Number of improvements and/or processes implemented in judicial and/or prosecution offices at central headquarters of the pilot districts:** This quarter (Year 3) the Project implemented 2 process improvements in the pilot sites Chachapoyas and Iquitos. The two processes improved are “Hearing scheduling and management” and “caseload management.” Such progress was achieved when the Judiciary approved the “Guidelines on hearing scheduling and management at the trial stage”, implemented the Circles of Innovation and carried out training workshops aimed at disseminating the processes improved. Up to date, progress of indicator 2 for Year 3 reaches 44% (4 of 9 processes improved).

### Indicator 2: percentage of judges and prosecutors registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program:

#### Summary Year 2:

This quarter the Diploma Course has ended in Lima and Callao (editions I and II) and Loreto, with the results indicated below:

Edition	Registered	Approved	Dropped	Not Approved	In Progress
Loreto	14	9	4	1	-
Lima and Callao (I and II)	30	26	1	0	3
Total	44	35	5	1	3

The chart indicates that in Year 2 35% of participants received a passing grade in the Diploma Course, which is higher than the 30% targeted.

### Indicator 7: Number of people affiliated with non-governmental organizations receiving USG supported anti-corruption training:

#### Summary Year 2:

Forum Solidaridad grantee delivered a workshop in Loreto that had 73 participants, which increases the total number of people trained in Year 2 to 384 persons.

#### Beginning of Year 3:

Forum Solidaridad grantee delivered the course “Learn to Oversee” in Tarapoto, which had a total attendance of 71 persons (37 males and 36 females). Likewise, Proetica grantee carried out three workshops on citizen surveillance that had 108 trainees, distributed as follows: 50 in Chachapoyas, 41 in Iquitos and 17 in Lima. This quarter (Year 3) the total number of trainees comes to 179 persons.

### Indicator 9: Number of government officials receiving USG-supported anti-corruption training:

This is a consolidated indicator reflecting all the training offered to officials. This quarter there were new participants registered for the Diploma Course III in Lima. 40 people registered for the Course, including 40 prosecutors and 22 judges, who have initiated the online phase.

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
<b>Objective 1: Increase judicial system capacity in Lima, Callao, and Peruvian Amazon regions to resolve corruption cases.</b>												
1	Number of improvements and/or processes implemented in judicial and/or prosecution offices at central headquarters of the pilot districts.	With Project assistance implement improvements and/or processes in judicial and/or prosecution offices at central headquarters of the 3 pilot districts that are Amazonas, Loreto and Lima. The key improved management processes identified are 6.	Project Records	Bi-annual	0	0		Chacha poyas: 3	Chacha poyas: 3	Chacha poyas: 3	Chacha poyas: 2	Chacha poyas: 6
								Iquitos: 3	Iquitos: 3	Iquitos: 3	Iquitos: 2	Iquitos: 6
								Lima: 3	Lima: TBD	Lima: 3	-	Lima: 6
2	Percentage of judges and prosecutors registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program and are certified by the Pontifical Catholic University of Peru under rigorous academic standards	This indicator refers to the total percentage of judges and prosecutors (50 judges and 50 prosecutors) registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program and are certified by the Pontifical Catholic University of Peru.	University and Project Records	Bi-annual	0	0		30%	35%	60%		90%
<b>Result 1.1: Increased capacity of judges and court staff in targeted regions to manage and resolve corruption cases.</b>												
<b>Result 1.2: Increased capacity of prosecutors and Support personnel in targeted regions to prosecute corruption cases.</b>												
3	Number of judges and judicial personnel trained with USG Assistance	Judges, prosecutors and support personnel who receive training in different thematic areas aimed at the improvement of their performance in judicial and prosecution offices management in pilot sites	Project/Judicial Branch/Public Ministry Records	Quarterly	0	0		60	143	30		90
<b>Result 1.4: The Judicial Branch improves its capacities to implement the Criminal Procedures Code. (i) The Judicial Branch effectively monitors and evaluates the CPC implementation.</b>												

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
4	Percentage of progress in implementing the system for monitoring for the CPP for corruption cases in the Judicial Branch	Pro-Integridad will assist the Judiciary in the development of a monitoring system for the CPC for corruption cases implementation. The system will include the following set of activities: 1. Assessment (20%); 2. Definition of indicators (20%); 3. Validation of indicators with authorities (10%); 4. Training PJ personnel to use the monitoring system (20%); 5. Implementation of the monitoring system by PJ personnel (30%).	Advance matrix for the implementation of the Project's monitoring system.	Bi-annual	0	0		70%	70%	30%		100%
<b>Objective 2: Promote the Institutional Integrity Model in the justice sector.</b>												
5	Percentage of progress in implementing the Institutional Integrity Model IIM	The IIM institutionalization means the adoption of the model as institutional policy (administratively and functionally) disaggregated in 10 elements.	Project Records / Institutional Information	Bi-annual	0	MINJUS: 20%	MINJUS: 20%	MINJUS: 60%	MINJUS: 70%	MINJUS: 20%		MINJUS: 100%
						MP: 20%	0%	MP: 60%	MP: 10%	MP: 20%		MP: 100%
<b>Result 2.1: Institutional Integrity Model (IIM) Promotion</b>												
6	Number of MINJUS personnel trained in IIM.	Number of key personnel with the Ministry of Justice strengthens their skills relating the Institutional Integrity Model.	MINJUS / Project Records	Quarterly	0	0		38	845	10		48
<b>Objective 3: Strengthen the judicial system's capacity to address corruption through effective civil society engagement</b>												
<b>Result 3.1: Civil society organizations generate increased public understanding of the criminal justice reform under the CPC</b>												
7	Number of people affiliated with non-governmental organizations receiving USG supported anti-corruption training	This indicator measures the number of persons affiliated to a CSO that will be trained in topics related to criminal justice reform to process corruption cases as well as monitoring and supervision mechanisms to the judiciary in the 3 pilot sites of the Project (Lima Centro, Iquitos and Chachapoyas).	CSO and Project Records	Quarterly	0	0		180	384	180	179	360
<b>Result 3.2: Civil society organizations in targeted regions monitor the judicial system and its handling of corruption cases.</b>												

**Matrix/Table of Indicators**

N°	Indicator	Definition	Data Source	Data	Baseline	Year 1 target	Year 1 actual	Year 2 target	Year 2 actual	Year 3 target	Year 3 actual	Total Target
8	Number of monitoring activities to the justice system in corruption cases implemented by the CSO that receive project grants.	Number of activities for social audit/ civil surveillance for corruption cases developed by the CSO who receive Project grants.	CSO Records	Bi-annual	0	0		0		5		5
<b>Indicator total</b>												
9	Number of government officials receiving USG-supported anti-corruption training	This indicator measures the number of prosecutors, judges, solicitors and other staff of the judicial districts where the project will be implemented (Lima, Callao and the Amazon region) that will receive specialized training in anti-corruption issues, such as investigation techniques to address corruption, oral litigation, methodologies to assess and calculate damages caused by corruption, and case management, among others.	University and Project Records	Bi-annual	0	0		175	287	100	40	275