



USAID
DEL PUEBLO DE LOS ESTADOS
UNIDOS DE AMERICA

USAID|PERU PRO-INTEGRIDAD

FIFTH QUARTERLY REPORT JANUARY 1 - MARCH 31, 2014

APRIL, 2014

This publication was produced for review by the United States Agency for International Development (USAID) and prepared by Tetra Tech DPK (Tt DPK), a division of ARD Inc. in Peru

USAID|PERU PRO-INTEGRIDAD

FIFTH QUARTERLY REPORT

JANUARY 1 - MARCH 31

Program name:	USAID Project for the Promotion of Justice and Integrity in the Public Administration (Pro-Integridad)
USAID Financing Office:	USAID/Peru
Contract number:	AID-527-C-13-00001
Contractor:	ARD Inc.
Issue Date:	MARCH 31, 2014

APRIL, 2014

The opinions issued by the authors in this publication do not necessarily reflect the point of view of USAID or of the Government of the United States of America.

TABLE OF CONTENTS

Table of contents.....	3
LIST OF ACRONYMS	4
I. INTRODUCTION.....	5
II. EXECUTIVE SUMMARY	5
III. OBJECTIVES AND RESULTS OF THE PROJECT.....	6
IV. WORK MEETINGS	19
V. ADMINISTRATIVE SUMMARY.....	23
VI. RELEVANT ELEMENTS OF THE PERUVIAN JUSTICE NATIONAL CONTEXT	23
VII. PRODUCTS AND DELIVERABLES	21
VIII. FINANCE QUARTERLY REPORT.....	22
IX. SUMMARY OF ACTIVITIES PLANNED, ACCOMPLISHED AND UNDER PROGRESS FOR THE PERIOD COVERING FEBRUARY 15 TO JUNE 30, 2014	23
ANNEX NO 1: UPDATED INDICATORS TABLE.....	25

LIST OF ACRONYMS

AWP	Annual Work Plan
COP	Pro-Integridad Chief of Party
CPC	Criminal Procedures Codes
DCOP	Deputy Chief of Party
GIZ	German International Cooperation Agency
GOP	Government of Peru
MoU	Memorandum of Understanding
IDC	Implementation District Committee
IIM	Institutional Integrity Model
ITT	Implementation Technical Team
ITCO	International Technical Cooperation Office of the Judiciary
ITCPOPM	International Technical Cooperation and Project Office of the Public Ministry
JCA	Judicial Council Academy
M&E P	Monitoring and Evaluation Plan
MINJUS	Ministry of Justice and Human Rights
NJC	National Judicial Council
NGOs	Non-governmental Organizations
OCMA	Office for the Control of the Judicial Council
ODECMA	Decentralized Offices for the Control of the Judicial Council
PJ	The Judiciary
PMP	Performance Management Plan
PM	Public Ministry
PROJECT	Pro-Integridad Project
PUCP	Pontificia Universidad Católica del Perú
SCOs	Civil Society Organizations
TSO-SCICPC	Technical Secretary Office of the Special Commission for the Implementation of the Criminal Procedures Code
TOT	Training for Trainers
USAID	United States Agency for International Development
USG	Government of the United States of America

I. INTRODUCTION

This document contains the fifth quarterly work report corresponding to the USAID/Peru Pro-Integridad Project, which is implemented by Tetra Tech ARD¹ pursuant to contract number 527-C-13-00001. This report covers the period January 1-March 30, 2014, and the activities scheduled in Pro-Integridad Project's annual Action Plan (the Project).

II. EXECUTIVE SUMMARY

This report marks the first year of the Project performance, in which relevant achievements were obtained such as the Baseline Study, the Gender Inclusion Strategy, the Assessment and Identification of Improvements on the Corporate model and management of court offices and prosecution offices for corruption crimes incurred by officers, the Assessment of the Training Plan Requirements for Judges and Prosecutors, the Strategic Plan Proposal to strengthen the implementation of the Criminal Procedures Code (CPC) for the period 2014-2020, the Status Assessment of the institutional integrity system in the Ministry of Justice and Human Rights of Peru (MINJUS), the Analysis and Mapping of the Civil Society Organizations (CSOs) and the award of two grants on topics pertaining to Training in and Promotion of the CPC and Reporting.

The abovementioned activities and products form the grounds for the Project's second year planning, which is anticipated to be very busy with a number of activities both for the achievement of contractual obligations and for the full performance of the diagnosed and scheduled activities.

The Project's key actions during this period were:

- **Assessment of the corporate models for court and prosecution offices management:** The qualitative and quantitative baseline study on the Anticorruption Justice System in nine judicial districts analyzed the system's weaknesses, strengths and needs. It also allowed to focus on the project's technical assistance areas, especially in matters of management of judicial and prosecution offices. Deriving from this document, the assessment and identification of Improvements phase was initiated to proceed to the implementation of six improved processes in the Judiciary and the Public Prosecution Service.
- **Training for Judges and Prosecutors:** The result of the participatory study of the training requirements along with the Training Plan, allowed scheduling the certification course for 50 judges, 50 prosecution officers and 5 attorneys who implement the CPC in corruption cases in the project's target judicial districts.² This certification course shall be developed with the Institute for Democracy and Human Rights of the Pontificia Universidad Católica del Perú (IDEHPUCP) over a period of 17 months.
- **Action Plan for the Technical Secretariat to the Special Commission for the Implementation of the Criminal Procedures Code.** This document contains strategies to improve the implementing institutions' formulation of the implementation of the CPC, with the Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedures Code (ST-CEICPP), which shall be associated with the monitoring plan.

¹ Since Tetra Tech DPK is an operating and subsidiary division that is fully owned by ARD, it is considered to be the main point of contact for all aspects of this contract.

² Upon request of USAID Perú, the certification course shall include 5 prosecution officers who implement the CCP in corruption cases in the project's target judicial districts.

- **Institutional Integrity Model in the MINJUS:** Development and presentation of the Status Assessment of the institutional integrity system in the Ministry of Justice and Human Rights” to Minister Dr. Daniel Figallo. This presentation was attended by the USAID Director, Ms. Deborah Kennedy, Ms. Cara Thanassi, Head of the Democratic Initiatives Office and Dr. Martín Castro, Project's COR. The meeting was attended by Dr. Ignacio Vallejos, General Secretary of the MINJUS, Ms. Martina Torres, Director of the General Planning and Budgeting Office and Ms. María Portillo, Head of the General Cooperation and Projects Office. The presentation was conducted by Josefina Coutiño, Pro-Integridad COP, Carlo Velarde, Pro-Integridad grants and subcontracts manager, and Jaris Mujica, Pro-Integridad consultant.
- **Institutional Integrity Model in the Public Prosecution Service:** Upon express request of the Nation's Prosecution Office, Dr. José Antonio Peláez, the development of the situation assessment of the PM's institutional integrity was initiated.
- **Communications strategy:** This product is considered to be of fundamental importance for the implementation of the CPC, since no effective promotion plan had been developed at the beginning of the implementation. During this quarter, la proposal was submitted to the high level national counterparts for their consideration and approval. The Communications strategy was developed through a subcontract with Falkom S.A.C. in alliance with the Proética NGO.
- **Grants:** During this quarter, the process of awarding two grants to Forum Solidaridad - Forum and the Comision Andina de Juristas (CAJ) for “Training and Promotion of the reform of the criminal justice, with an emphasis on the application of the CPC in corruption cases” and for “Reporting through social research concerning the fight against corruption and matters of criminal justice” was finalized.
- **Gender and access to justice:** With the development of the Gender Strategy, training and CSOs strengthening actions were identified and taken into consideration for planning the Project's activities during its term.

III. OBJECTIVES AND RESULTS OF THE PROJECT

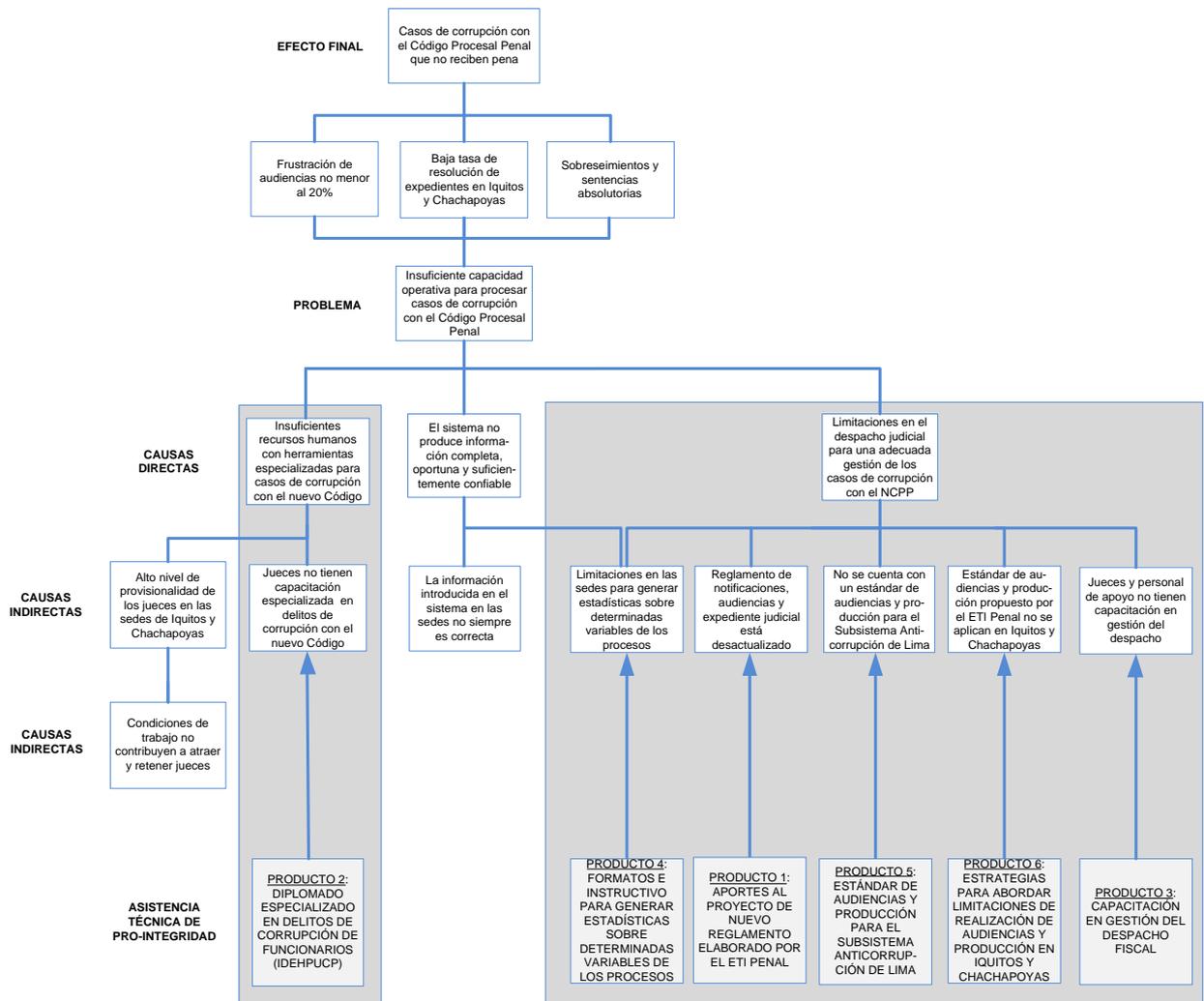
3.1 Objective 1: To increase the judicial system's capacity to solve corruption cases in Lima, Lima Norte, Lima Sur and Callao, and the judicial districts of the Peruvian Amazonia

3.1.1 Strengthening the management and the corporate model in the Judiciary

During this quarter, Pro-Integridad supported the corporate model management strengthening actions in the Judiciary. During this period, the information survey was completed in Iquitos, Chachapoyas and Lima Centro, which were designated as pilot sites. The assessment was submitted to the Judiciary on January 27, 2014.

The most relevant results of the assessment were the materials to perform a cause-effect analysis. The result shown below highlights the technical assistance that Pro-Integridad Project will provide:

Cause-effect analysis and the technical assistance to be provided by Pro-Integridad to the Judiciary



As a result of the assessment, the proposed improvement areas set forth to the Judiciary are the following:

- **Topic 1: Management of court office:** Pro-Integridad expects to make contributions to the Hearings, Summons and Proceedings Regulation project elaborated by the Criminal Institutional Technical Team (CITT). This product is key to the office management because it provides the operators with criteria to better apply the CPC in the courts.
- **Topic 2: Scheduling and hearings management:** Pro-Integridad has identified the need to provide technical assistance to the Judiciary in order to estimate the standard hearing schedule for courts specialized in official corruption crimes (those incurred by public officials) and to develop strategies that help diminish the number of failed hearings at the pilot sites, especially due to failure to serve summons.
- **Topic 3: Procedural burden management:** The Project has planned to support the Judiciary in the preparation of standards of resolved proceedings for courts which hear in corruption crimes, as well as in the design of strategies that

contribute to increase the rate of solved proceedings/entries in the Project's pilot sites.

Once the highlighted improvements have been developed, Pro-Integridad will provide training on those topics for judges and key administrative staff of the three pilot sites, who shall be in charge of implementing it.

3.1.2 Training for operators who apply the Criminal Procedures Code in corruption cases (Diploma course)

This quarter, Pro-Integridad finalized the contract with the Pontificia Universidad Católica del Perú through the Institute for Democracy and Human Rights of the Pontificia Universidad Católica del Perú (IDEHPUCP) for the provision of the Certification course specialized in corruption crimes.

The Certification course is designed for 50 judges, 50 prosecutors and 5 attorneys who apply the CPC in corruption cases in the different target judicial districts of the Project.³ The following activities were carried out during this quarter:

1. Submission and approval of the technical guidelines for the application of attendees to the Certification course. During the work breakfast carried out on January 27 the Judiciary was introduced to the guidelines for the access and participation in the certification course, which include the target audience, the participant's profile, as well as the application letter to be filed by the interested party. The proposal was approved by Dr. Duberli Rodríguez Tineo, Supreme Court Chief Judge and President of the National Training Commission for Judges.

Furthermore, on January 31, at the meeting held at the PM, the National Prosecutor, Dr. José Antonio Peláez Bardales, approved the technical guidelines and the application form letter for the participation of the anti-corruption prosecutors in the Diploma course.

2. Invitation to the participants of each institution. In view of the approval by the Judiciary of the invitation to apply for the Diploma course, in the week of February 3-7, the Pro-Integridad technical team addressed the Presidents of the target Supreme Courts of the Project in order that they publicize and encourage judges to apply for participation in the Diploma course. Likewise, on February 27 and 28, the PM published the invitation to participate in the Diploma course in the Intranet of the institution. As at March 26, 2014, 120 application letters were received from judges and prosecutors of the project's target judicial districts.
3. The applications from the interested parties were sent to the Judiciary and the PM in order that the competent authorities selected the judges and the prosecutors who would participate in the Diploma course.

3.1.3 Strengthening the management and the corporate model in the Public Ministry

During this quarter, Pro-Integridad supported the corporate model management, strengthening actions in the PM. In this period, the information survey was completed in Iquitos, Chachapoyas and Lima Centro, which were designated as pilot sites. The assessment was submitted to the Nation's Public Prosecutor on January 31, 2014.

³ Lima, Lima Norte, Lima Sur, Callao, Amazonas, Loreto, Madre de Dios, San Martín and Ucayali.

The most relevant results of the assessment were the materials to perform a cause-effect analysis (See Figure below with the results and the technical assistance that Pro-Integridad will provide).

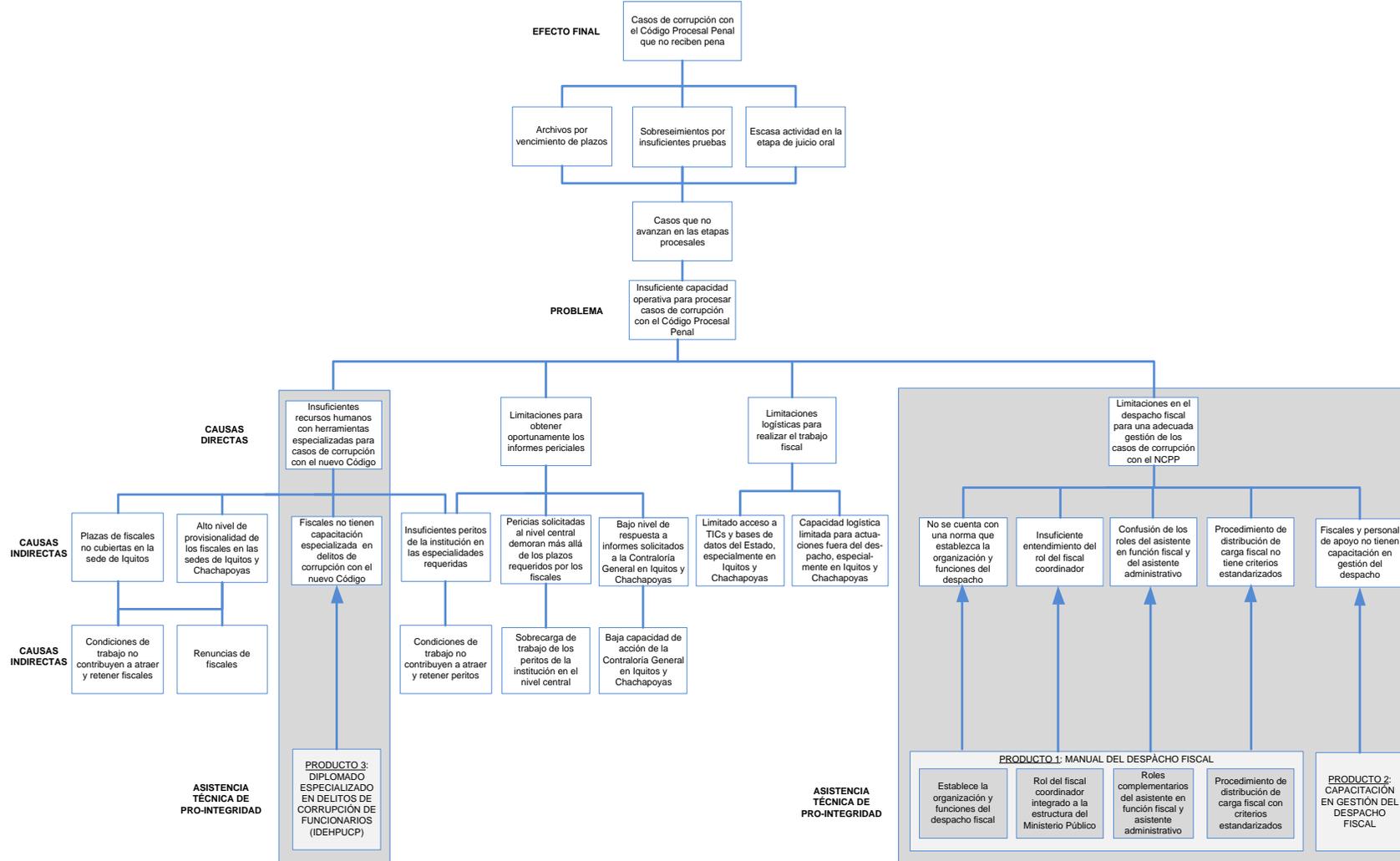
As a result of the assessment, the proposed improvement areas set forth to the PM are the following:

- **Topic 1: Management of the Prosecution Office:** The need has been identified to establish the organization and the tasks of the corporate criminal prosecution office, in order to define the areas of work, the positions by which it is formed, their tasks and the lines of authority.
- **Topic 2: The role of the coordinator, the assistant to the prosecution and the administrative assistant:** With regard to the coordinating prosecutor, a clearer definition of their functions is required and it is necessary that it is not contrary to the structure of the PM. With regard to the roles of the assistant to the prosecution and the administrative assistant, it is necessary that both positions have defined supplementary roles.
- **Topic 3: Distribution of the prosecution's burden:** It is necessary to have standard procedures and criteria available for the distribution of cases that helps to achieve a higher degree of fairness in the distributed workload and, consequently, a higher joint production from the prosecution officers who form part of the office.

These three topics will be addressed in the Prosecution's Office Manual, the preparation of which started in March. For that purpose, the National Prosecutor, by Resolution dated February 27, has designated a special commission formed by prosecutors and other officers, in order to develop the Manual with Pro-Integridad's technical assistance. The proposal will ready in April.

Once the Prosecution's Office Manual has been finalized and approved by the Nation's Prosecutor, Pro-Integridad shall provide training to prosecutors and key administrative staff of the three pilot sites, who shall then apply the improved model.

Cause-effect tree and technical assistance provided by Pro-Integridad to the Public Ministry



Preparation: Own

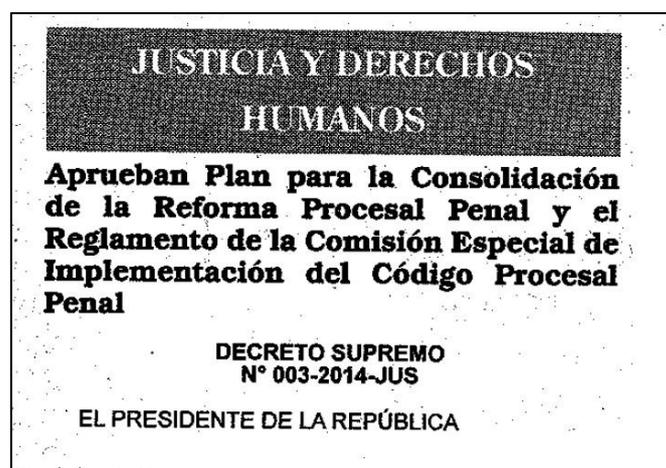
In order to keep a control over the progress of the corporate model at the Judiciary and the PM, as described in numerals 3.1.1 and 3.1.3, the following table is provided with details of the planning and the achievements obtained:

STAGE	PRODUCT	STATE	TIMELINE	TEAM
Stage I: Design and improvement proposal	Assess of the processes and workflows within the Judiciary and the Public Prosecution Service regarding the treatment of corruption cases, specifically about the consolidation of the Corporate Offices within the framework of the application of the Criminal Procedures Code. Filed.	Developed and submitted in due time	November – January 2014	Project's Team and national consultant
	Initial proposal for the improvement of the corporate management model for the reform of the criminal procedure. Corporate model of court offices and prosecution offices for the treatment of corruption crimes. Filed.		February 2014	Project Team and national consultant
Phase II: Implementation	Manuals and Protocols on the new corporate management model for the Judiciary and the Public Prosecution Service for the treatment of corruption crimes, within the framework of the application of the Criminal Code of Procedures. Designed and filed. This product shall include the final version of the proposals to improve the corporate management model.	In progress	February – May 2014	Project Team, national consultants and international consultant
	Judges, prosecutors and key administrative staff of the three pilot sites with training on the new management model.	--	June – July 2014	Project's Team and international consultant
	New judiciary management model implemented at the pilot sites of the court offices and the prosecution's office.	--	July – September 2014	Project Team
Stage III: Monitoring and sustainability	Identification and measurement of the improvements achieved at operating level, especially in the management and flow of corruption cases. Strategies and activities undertaken for their achievements. Lessons learned and success stories (snapshots) evidenced in different locations, delivered.	--	August - December 2014	Project Team
	Visit the pilot sites for consultation or corrective actions. Promotion of the institutional commitment to sustainability.	--	August 2014 – December 2015	Project Team
	Minutes of the meetings or of the training sessions imparted, aimed at the promotion or the introduction of the “circles of innovation” methodology.	--	August 2014 – December 2015	Project Team

3.1.4. Assistance to the Technical Secretariat of the Special Commission for the Implementation of the Criminal Procedures Code

On January 27, 2014, Pro-Integridad submitted the “Proposal of a Strategic plan for the implementation of the CPC” to the Technical Secretary of the Special Commission for the Implementation of the Criminal Procedures Code (TSO-SCICPC), Dr. Carlos Vasquez, who stated that “*Pro-Integridad's work helped build the Plan for the consolidation of the reform of the Criminal Procedure and the Regulation of the Special*

Commission for the Implementation of the Criminal Procedures Code, prepared by the ST-CEICPP'. The Plan was approved by Supreme Decree No 003-2014-JUS, of March 31, 2014.



Supreme Decree of March 31, 2014 - El Peruano newspaper.

The strategic plan is the result of an inter-institutional effort coordinated by the TSO-SCICPC with Pro-Integridad's technical assistance. The process included workshops with the technical teams of the Judiciary, the PM, the Public Defender's Office (PDO) and the Judicial Council Academy (JCA), as well as the Technical Secretariat, whose representatives provide materials for the assessment and strategies in the areas of planning, training, standards, monitoring, promotion and coordination.

The analysis of the FODA, which forms part of the plan elaborated with Pro-Integridad's assistance, highlighted four important aspects: (i) there is a need to update the regulatory basis that regulates the functions, attributes and interrelations of the bodies in charge of the CPC, since these regulations date back to 2004-2006; (ii) in many cases, there is neither planning, training, promotion nor monitoring of key areas for the implementation process; (iii) not every institution has monitoring indicators; and (iv) although the TSO-SCICPC has an Interinstitutional Training Committee, the institutions do not necessarily have standards for the preparation of thematic contents for the reform.

Furthermore, the plan elaborated with Pro-Integridad's assistance includes the following strategic objectives: (i) to prepare and ensure the adequate implementation of the CPC in every judicial district; (ii) to strengthen the application of the CPC for cases of corruption and organized crime, among others; and (iii) to guarantee the sustainability of the implementation of the CPC in the national territory upon completion of the respective timeline in effect.



Alejandrina Luglio from the CPC-ITT (third from left) and Vivian Weiner, from the Judiciary's ITCO (seventh), with Pro-Integridad's team.



Members of the General Directorate for the Public Defender's Office of the MINJUS, in a working session conducted by Pro-Integridad.



Oscar Quintanilla and Teresa Valverde, from the Judicial Council Academy and Roberto Uceda, from the TSO-SCICPC, in a meeting with a Pro-Integridad's team.

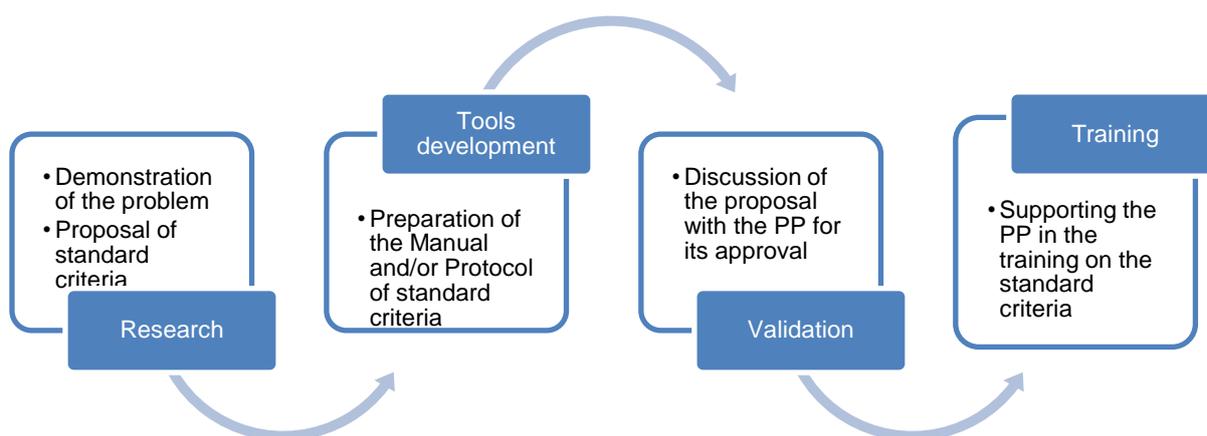


Roberto Uceda, from the TSO-SCICPC, César Aliaga and David Díaz, representatives of the CPC-ITT from the PM, during a session conducted at that institution.

3.1.5. Technical Assistance to the Public Prosecutor General Specialized in Corruption Crimes

On the Project's second year, technical assistance to the Public Prosecutor General is provided with the purpose of developing standardized criteria to calculate the damages caused to the State by corruption cases, as well as the development of tools that include these criteria in order to be applied nationwide by the specialized Public Inspectorate General. This product shall greatly benefit the counterparts because, to date, there are no criteria for calculating that can be used to guide the prosecutors' work.

On the other hand, this quarter, the new Public Prosecutor General, Dr. Christian Salas, took over following the resignation of Dr. Julio Arbizu. At the work session held by the Project's technical team along with Dr. Salas, the proposal was validated for the work strategy for the development of the standardized criteria, which shall be carried out in four stages, as listed below:



This quarter, the products of the Objective 1 were the following:

- Product 15: Strategic plan for the implementation of the CPC for corruption cases and the monitoring associated with the achievements of the plan (deliverable to USAID, January 30, 2014).
- Product 16: Assessment and identification of improvements to the corporate model for the management of court offices submitted to the counterparts and approved by USAID (deliverable to USAID, February 14, 2014).
- Product 17: Assessment and identification of improvements to the corporate model for the management of prosecution offices submitted to the counterparts and approved by USAID (deliverable to USAID, February 14, 2014).

3.2 Objective 2: Promote the Institutional Integrity Model Within the Justice Sector

As it can be observed in amendment No. 2 to Contract No. 527-C-13-00001, dated March 5, 2014, the Project's Objective 2 has not only changed its title but also its contents, the core objective of which is now the promotion of the IIM in the PM and in the MINJUS. Both institutions have begun assessing and implementing activities, as detailed below.

Public Ministry: The IIM was submitted before the National Public Prosecutor, Dr. José Antonio Peláez Bardales, on January 31, for consideration and inclusion as an institutional policy. At the meeting, Dr. Peláez approved the development of the situational assessment of the PM and confirmed Lic. Carmen Condorchúa, Manager of

the International Technical Cooperation and Project Office of the Public Ministry (ITCPOPM), to proceed with the scheduled actions.

Over February and March, Pro-Integridad developed the assessment, which highlights the following:

- The first chapter of the assessment that develops the conceptual framework of the IIM and the records and indicators matrix. As noted in previous reports, an assessment of similar characteristics was carried out at the MINJUS several months before. The innovation of this assessment is that it adds three aspects of registration adjusting the model even further to the standards of the International Convention against Corruption: public policies and practices to prevent corruption; internal measures for the prevention of corruption in relation to the improvement of accounting and auditing standards; and the participation of civil society in the monitoring of the institution,
- Methodological design of tools for field information surveying, which includes a plan of interviews and monitoring sheets for each proposed indicator on each aspect to investigate.
- Conversations with key officers of the PM, especially those who form part of the administrative system: Human Resources Office, Administration General Office and Provisioning Office.

Ministry of Justice and Human Rights: With the official presentation of the Assessment to Minister Daniel Figallo on February 20, the stage was set to proceed to the second stage of the IIM implementation at the MINJUS.

At this meeting, the Minister highlighted that the focus of the development of the IIM in the institution is aimed at *“becoming an example of integrity before the Anticorruption High Level Commission and before the President’s Office of the Council of Ministers, which shall be executed with an expansive effect when spreading the seeds of integrity to bloom in other institutions of the State.”*

The meeting centered on the results of the assessment submitted by the Project’s team formed by Josefina Coutiño and Carlo Mario Velarde, as well as by Mr. Jaris Mujica, the consultant hired for the development of the implementation.

The initial implementation stage included the following key activities:

- Preparation of the IIM Implementation Workplan at the MINJUS, jointly developed by the Ministry’s team leader.
- Technical assistance for the review of the Institutional Code of Ethics, which is under consideration by the General Secretary of MINJUS and subsequently by the Minister.
- Technical assistance to elaborate the training material for the directors of the IIM.
- Technical assistance to elaborate the Training plan for the MINJUS’ officers within the framework of the Development Plan for the People regarding IIM.



From left to right: Martina Torres, Director of Planning and Budgeting – MINJUS; Ignacio Vallejos, General Secretary – MINJUS; Martín Castro, Project's COR – USAID; Josefina Coutiño, Pro-Integridad's Director; Daniel Figallo, Minister – MINJUS; Deborah Kennedy, USAID Director; Carlo Velarde, Pro-Integridad's Grants and Subcontracts manager; Jaris Mujica, consultant to Pro-Integridad; María Portillo, Head of Cooperation and Projects – MINJUS; and Cara Thanassi, Head of USAID's Democratic Initiatives Office.

3.3 Objective 3: Strengthen the judicial system's capacity to address corruption through effective civil society engagement

3.3.1 Communications strategy

This quarter, the communications strategy for the application of the CPC was submitted. This tool is an innovation in the Peruvian justice system because since the beginning of the reform of the criminal procedure, every institution had only carried out isolated activities of limited extent within each region with a message difficult to be understood by the general public. Instead, the strategy provided by Pro-Integridad has a systemic approach because it involves every operator and brings the reform closer to the ordinary people with no knowledge of legal concepts. The contents which are more relevant to the strategy are highlighted below:

- Development of the assessment: By means of discussions and focus groups it was possible to gather information from members of the civil society and communication teams of the project counterpart entities, with the aim of determining the communicational needs and blanks within the process of implementation of the Criminal Procedures Code.
- Design of the strategy and communicational keys: The strategy has been planned in two levels: (i) Internal level, aimed at the key components of the justice sector, and (ii) External, aimed at the citizens within the project's target regions, to increase their knowledge of the reform of the criminal procedure. The communicational keys have been developed in a very creative way, incorporating elements such as popular music, color and poetry, which give it a powerful communicational effect.

The strategy has been validated with the entities that form part of the SCICPC, in two workshops. The former was a presentation of the proposal, conducted on March 12 and the latter workshop was a presentation of the adjustments, conducted on March 20, 2014. At this moment, Pro-Integridad is awaiting the formal approval of the TSO-SCICPC to initiate the training.



Presentation of the communication strategy of promotion of the New Criminal Procedures Code



Presentation conducted by Isabella Falco, representative of Falkom SAC,



Carlos Bazo, Roberto Uceda and Carlos Vásquez, from the TSO-SCICPC and Martín Castro, Project's COR



María A. Delgado, Head of the ITCO of the Judiciary and Bonifacio Meneses, President of the CPC-ITT from the Judiciary.

3.3.2 Processing grants under contract

On January 24, 2014, four proposals were received from civil society organizations which expressed interest in implementing projects in connection with such topics as: a) Training and promotion of the CPC and the fight against corruption, and b) Reporting to understand the impact of the CPC in the fight against corruption.

The organizations who submitted their proposals were:

Organization	Topic 1: Training and promotion on the CPC and the fight against corruption	Topic 2: Reporting for understanding the impact of the CPC in the fight against corruption
Andean Committee of Legislators (CAJ)	Submitted	Submitted
Fórum Solidaridad	Not submitted	Submitted
Institute of the Public Defender Proética	Submitted	Submitted
	Submitted	Submitted

The award process was according to plan. Grants were awarded to the following organizations:

- Topic 1: Forum Solidaridad.
- Topic 2: Comisión Andina de Juristas (CAJ)

This quarter, the product of the Objective 3 was the following:

- Product 18: Memorandum for Grant Agreements with Forum Solidaridad and the Comisión Andina de Juristas (CAJ) (deliverable to USAID on March 15, 2014).

3.4 Follow up of the Gender Inclusion Strategy

The Project's gender inclusion had the following progress in the quarter:

OBJECTIVE	ACTIVITIES	STATUS
1. OB1: MANAGEMENT	<ul style="list-style-type: none"> • To identify the existence of regulations, protocols and guidelines for the assistance of female users in corruption cases within the CPC application framework to corruption cases (within the management diagnosis development framework). • To promote the development of guidelines for the assistance to female users in corruption cases (within the technical assistance framework in matters of management). 	<ul style="list-style-type: none"> • Performed. • Scheduled.
2. OB1: TRAINING	<ul style="list-style-type: none"> • To encourage female participants in training courses that will be conducted by the Project. • To encourage the participation of women from the education spectrum. • To include in the training courses the analysis of cases that raise awareness among the justice operators regarding the importance of the gender equality approach. 	<ul style="list-style-type: none"> • The TDRs for the Diploma course specialized in corruption crimes promotes an equitable number of women participants. • The TDRs for the Diploma course specialized in corruption crimes includes the gender approach in two specialized courses: Public International Law and Human Rights and Oral hearing of corruption crimes incurred by officers.
3. OB1: MONITORING	<ul style="list-style-type: none"> • A gender equality approach will be interdisciplinary applied in the PMP's indicators review, which shall consider the special features of each indicator. 	<ul style="list-style-type: none"> • Standard indicators for USAD have been identified and the code was assigned according to gender.
4. OB2: INTEGRITY	<ul style="list-style-type: none"> • To identify institutional policies and strategies aimed at an equalitarian valuation of the human potential from the gender equality perspective (within the framework of the development of the integrity diagnosis). • To recognize whether the institutional instruments for the promotion of ethics, such as the Code of Ethics or other internal norms, include a gender equality approach. 	<ul style="list-style-type: none"> • The IIM Implementation Workplan at the MINJUS shall include the gender perspective.
5. OB3: CIVIL SOCIETY	<ul style="list-style-type: none"> • To encourage female participants to engage in the actions that the Project shall carry out to provide support to the civil society (donation). • To promote reporting mechanisms on corruption cases that affect women. • Interdisciplinary application of the gender equality approach in the development of communicational campaigns: messages, training courses and indicators. 	<ul style="list-style-type: none"> • The RFA for donations adds the gender strategy and it has been defined as an evaluation criterion the incorporation of the gender approach in the technical proposals.

3.5 Project monitoring

This quarter, the Project's initial indicators were updated in terms of results, objectives, strategies of each Project objective and the contributions of COR Martín Castro and the responsible persons from USAID in charge of monitoring, who requested they were sent "the indicators follow-up table". Following is a segment thereof:

Nro	Indicator	Type of indicator (Custom / Standard)	Standard Indicator Code	Required PPR	BASELINE	FY 2013		FY 2014		FY 2015	
						TARGET	RESULT	TARGET	RESULT	TARGET	RESULT
1	% of improvements and/or processes implemented in judicial and/or prosecution offices at central headquarters of the pilot districts.	Custom			0	0	0	60%	0	40%	0
	Chachapoyas:				0	0	0	60%	0	0%	0
	Iquitos:				0	0	0	60%	0	0%	0
	Lima:				0	0	0	60%	0	0%	0
	Lima Regional				0	0	0	0%		40%	0
2	% of judges and prosecutors registered in the Diploma Course Specialized in Anti-corruption for Justice Operators who approve the program and are certified by the Pontifical Catholic University of Peru under rigorous academic standards	Custom			0	0	0	30%	0	60%	0
	Women				0	0	0	0	0	TBD	0
	Men				0	0	0	0	0	TBD	0
3	Number of judges, prosecutors and Support personnel in target judicial districts trained in office management	Estándar	2.1.2-7		0	0	0	60	0	20	0
	Prosecutors and administrative personnel at PM				0	0	0	30	0	0	0
	Judges and administrative personnel at JB				0	0	0	30	0	0	0
	Prosecutors and Administrative Staff at National PM				0	0	0	0	0	10	0
	Judges and administrative staff at National JB				0	0	0	0	0	10	0
	Men		2.1.2-7a		0	0	0	TBD	0	TBD	0
	Women		2.1.2-7b		0	0	0	TBD	0	TBD	0

Preparation: Own

Source: USAID Monitoring and Evaluation

Within the PMP, a total of 9 indicators were obtained, each with a precise definition and disaggregation matrices.

At the same time, the attached indicators tables show the progress of indicator No. 7 under Objective 2. (See Annex 1: Updated Project Indicators Table).

IV. WORK MEETINGS

4.1 Meetings at USAID

On February 27, a meeting called by Dr. Daniel Figallo, Minister of Justice and Human Rights was held with USAID Director, Ms. Deborah Kennedy Iraheta and Cara Thanassi, Martín Castro, Josefina Coutiño and Armando Plazolles. The purpose of the meeting was to establish the necessary coordination mechanisms to strengthen the Plan for the Implementation of the Criminal Procedures Code 2014-2020. At the meeting, Minister Figallo reported on the relaunch of the Reform process defined by the Special Commission for the Implementation of the CPC, through which the Technical Secretary strengthens its coordinating function with the aim of targeting resources and ideas to the common lines.

4.2 Coordination Meetings with National Counterparts

a. Meetings with the International Technical Cooperation Office of the Judiciary

Throughout January, the Project team participated in meetings with the Judiciary's ITCO, with the purpose of coordinating actions concerning the following topics:

- Updated information on the results of the assessment and identification of improvements in the anticorruption system corporate model for Lima, Iquitos and Chachapoyas, as well as the strategy for the selection of participants to the Diploma course specialized in corruption crimes. These topics were discussed in a work breakfast with high level authorities of the Judiciary, on January 27. It was attended by Dr. Duberlí Rodríguez Tineo, Supreme Court Judge and President of the National Training Commission for Judges, Dr. Bonifacio Meneses, member of the Executive Council and President of the Judiciary's CPC-ITT, Dr. Iván Sequeiros, President of the Superior Courts of Lima, Dr. Susana Castañeda,

Coordinator of the Anticorruption Sub-system for Lima, Dr. María A. Delgado, Head of the ITCO and Lic. Vivian Weiner, Advisor with the ITCO. Representing the USAID, Ms. Cara Thanassi and Dr. Martín Castro. Furthermore, Pro-Integridad's Director, Josefina Coutiño and the project's technical team.



Dr. Duberli Rodríguez Tineo, Supreme Court Judge and President of the National Training Commission for Judges.



Dr. Susana Castañeda Otsu, Coordinator of the Anticorruption Sub-system for Lima, Martín Castro, Project's COR and Vivian Weiner, advisor with ITCO.



Dr. Bonifacio Meneses Gonzales, President of the Judiciary's CPC-ITT, Cara Thanassi, USAID Head of the Democratic Initiatives Office.



Presentation of the assessment results and identification of improvements of the corporate court office.

- In turn, at the request of the Chair of PJ technical assistance was coordinated with the OCTI for the preparation and publication of a Manual of Style for writing all documents issued by the court. This request was forwarded to USAID and it was agreed that the activity planned in Objective 1.4 of the Project related to the Judicial Observatory be substituted by the mentioned Manual. This substitution was included in the USAID contract modification 002 and in the deliverables table which is part of the contract.

b. Meeting with the Nation's Prosecutor

On January 31, the results of the assessment and identification of improvements in the corporate prosecution offices at the home offices in Lima, Iquitos and Chachapoyas, the proposal of the Diploma course with the IDEHPUCP and the development of the diagnosis for the implementation of the IIM were submitted to the National Prosecutor, Dr. José Antonio Peláez. At this meeting, Dr. Peláez approved to proceed with the development and implementation of the mentioned topics.

The meeting was attended by USAID's representatives, Ms. Cara Thanassi and Dr. Martín Castro, and Pro-Integridad's members Josefina Coutiño, Armando Plazolles and

Lourdes Rivera-Santander. It was also attended by Lic. Carmen Condorchúa Vidal, Manager of ITCPOPM, and Dr. Víctor Yaipén Zapata, Technical Secretary to CPC-ITT.

c. Meeting with the International Technical Cooperation and Project Office of the Public Prosecution Service

On January 20, a meeting with representatives of ITCPOPM and Pro-Integridad was held in which topics and next steps to be executed in 2014 were discussed. The meeting's agenda included updated information on the activities under progress and a proposal of the next steps in the following areas:

- Corporate office management model and results of the assessment.
- Criteria for the invitation to the Diploma course specialized in corruption crimes.
- ITCPOPM's request to provide technical assistance in the development of the diagnosis on the PM's IIM.

During the meeting it was agreed to present these topics to Dr. José Antonio Peláez, the National Prosecutor.

d. Workshop for the development of the Prosecution's Office Manual

As a result of the meeting with the National Prosecutor, a 16-member working committee was designated, which was formed by prosecutors and officers of the PM with the purpose of elaborating the Prosecution's Office Manual. For this task, Pro-Integridad provided technical assistance through a consultant to systematize the document and impart the workshops. The final product to the National Prosecutor is expected to be delivered in April.



Lic. Carmen Condorchúa, Manager of the ITCPOPM has inaugurated the workshop of the Prosecution's Office Manual.



The PM's Committee appointed to elaborate the Prosecution's Office Manual.

e. Meeting with the President and officers of the Superior Court of Amazonas

Through January 8-10, the Pro-Integridad team held work meetings in Chachapoyas with Dr. Luz Vigil, President of the Higher Court of Justice of Amazonas, to explain the extent of the intervention of Pro-Integridad Project to strengthen the court's office. Furthermore, visits to the court offices that apply the CPC were conducted and meetings with judges of criminal courts were held. One of the interviews was held with Dr. Alejandro Espino, President of the Criminal Court of Appeals and Settlements, as well as the administrator of the Criminal Module of the CPC, Dr. Luis Mendoza.



Dr. Luz Vigil, President of the Court of Amazonas, with Pro-Integridad's team

f. Meetings with the President of the Higher Prosecution Board and officers of the Prosecution District of Amazonas

Within the framework of the technical assistance for the strengthening of the corporate model in the PM, through January 8-10, Pro-Integridad's team organized a working trip to Chachapoyas. Meetings were held with Dr. Oswaldo Bautista, President of the Higher Prosecutor, Dr. Silverio Ñope Coscco, as well as with the representatives of the Provincial Prosecution Office Specialized in Corruption Crimes incurred by Public Officers.



Pro-Integridad's team met Dr. Ronald Chiguala, Provincial Public Prosecutor of Anticorruption for Amazonas and deputy prosecutors

g. Meeting with the Public Prosecutor General Specialized in Corruption Crimes

A presentation meeting was held in January with the Dr. Christian Salas Beteta, who took over as Public Prosecutor Specialized in Corruption Crimes, upon resignation of Dr. Julio Arbizu Gonzales. This meeting was attended by Pro-Integridad's Director Josefina Coutiño and the technical team. Pro-Integridad's proposal of technical assistance for the development of standardized criteria for the estimation of damages in favor of the State in corruption crimes was presented during this meeting, as well as the strategy for the development of the work, which was validated by Dr. Salas Beteta.

4.3 Coordination with other Projects of International Cooperation and Key Institutions.

a. Meeting with the Project of Support to the Criminal Procedure Reform of GIZ

On February 25 a working meeting was held at Pro-Integridad's office with Dr. William Ramírez, head advisor of the Project of Support to the Reform of the Criminal Procedure of GIZ, with the purpose of exploring the possible areas of collaboration between Pro-Integridad and GIZ to provide technical assistance to the Public Inspectorate General Specialized in Corruption Crimes. As a result of this meeting,

concrete topics were identified in which both projects could collaborate, such as hiring experts to monitor the development of the work by the technical team on the standardized criteria for the estimation of damages resulting from corruption crimes. In this work meeting, Pro-Integridad was represented by its Director, Dr. Josefina Coutiño and by Dr. Lourdes Rivera-Santander.

V. ADMINISTRATIVE SUMMARY

The following administrative activities were developed during this quarter:

- The evaluation of the course for the Non-profit organizations who participated in the call to the process for the two grants.
- In March, the contract with IDEHPUCP was signed to execute the Diploma course specialized in corruption crimes.
- In February, a fifth category contract was signed with Mr. Armando Plazolles in the post of Sub-Directorate of Pro-Integridad's project.
- In February and March, the following consultants participated in the project:
 - Jorge Rosas: Development of the Prosecution's Office Manual for the Public Prosecution Service.
 - Juan Villegas: Development of the training guide for MINJUS's staff in IIM.
 - Jaris Mujica: Assessment of the IIM in the Public Prosecution Service.

VI. RELEVANT ELEMENTS OF THE PERUVIAN JUSTICE NATIONAL CONTEXT

This quarter, determination to move forward in the reform has been observed from the part of the institutions who form the Special Commission for the Implementation of the Criminal Procedures Code, to complete the enforcement of the Code in the whole country⁴, since the progressive implementation thereof was suspended in October 2012, as to strengthen the oral model in such areas where it is already in force. In this sense, on February 28, the Special Commission approved the Consolidation Plan for the Reform of the Criminal Procedure and a new Regulation to establish the interinstitutional coordination mechanisms and strengthen the role of the Technical Secretariat. The Supreme Decree that approves the Plan and the Regulation was published on March 31.

One of the agreements of the Special Commission was to communicate the international cooperation agencies about the request to focus their actions on the areas of the Consolidation Plan and to channelize their support through the Special Commission. In this sense, on February 27, a meeting with Dr. Daniel Figallo, Minister of Justice and Human Rights, was held with the participation of USAID Director, Ms. Deborah Kennedy Iraheta and Cara Thanassi, Martín Castro, Josefina Coutiño and Armando Plazolles. In this meeting, Minister Figallo expressed the agreement with the Special Commission and the need to coordinate the efforts of all the international cooperation agencies.

In other topic, on January 10, the Supreme Resolution whereby the resignation of Dr. Julio Arbizu from the post of Public Prosecutor specialized in corruption crimes had

⁴ To date, the Code of Criminal Procedure is in force and applicable to all crimes in 23 out of 31 judicial districts over the country. The reform began in Huaura on July 1, 2006, and the last districts to fully join the new model were Loreto and Ucayali, on October 1, 2012. The implementation is pending in Lima, Lima Norte, Lima Sur, Callao, Apurímac, Ayacucho, Huancavelica and Junín. It is worth mentioning that since April 1, 2011, the Code of Criminal Procedure is applicable for official corruption crimes throughout the country.

been accepted was published. The same resolution includes the appointment of Dr. Christian Salas, who until that date performed his duties as deputy to Dr. Arbizu. This transition has neither affected nor delayed the technical assistance provided for by Pro-Integridad, since the new Public Prosecutor participated in the coordination with the Project since he was appointed. Besides, Pro-Integridad has presented and validated its strategies with Dr. Christian Salas and his team.

On the other hand, by Administrative Decision of January 14, the Executive Council of the Judiciary ruled the intervention of the jurisdictional and administrative management of the Higher Court of Justice of Madre de Dios, presumably to protect through protective measures against illegal mining in that region. In this sense, the Executive Council formed a special commission in charge of inspections, investigations, audits and special examinations with the aim of evaluating the conduct of judges, jurisdictional clerks, officers and staff. This serious situation, which affects one of the target districts, is monitored by Pro-Integridad, which shall be on the alert for actions that the Executive Council of the Judiciary may adopt.

VII. PRODUCTS AND DELIVERABLES

Project Deliverables Summary & Tracking Sheet												
Peru Promoting Justice and Integrity in Public Administration – Pro-Integridad												
Project Contract No.: AID-527-C-13-00001												
QUARTERS 1, 2 and 3	CONTRACT REFERENCE	February 2013 – June 2013					July 2013-Sept, 2013			Oct. 2013 – Dec. 2013		
		Feb-2013	Mar-2013	Apr-2013	May-2013	Jun-2013	July-2013	Ago-2013	Sep 2013	Oct 2013	Nov 2013	Dec 2013
Initial Annual Workplan	F.6(A)(a)		3/18									
Integrated Gender Strategy	C.3 (page C.10)				5/17							
Disabilities Integration Strategy	C.3 (page C.10)		3/18									
Performance Monitoring Plan (PMP)	F.6(B)			4/1								
Quarterly Progress/Financial Reports	F.6(C) & (D)			4/30								
Quarterly Progress/Financial Reports	F.6(C) & (D)					6/30						
CSO Mapping developed						6/30						
Third Quarterly Progress/Financial Reports	F.6(C) & (D)								9/30			
Baseline Study	F.6(C) & (D)							8/15				
Analysis of participatory training requirements and training programs for judges, prosecutors and court staff.	F.6(C) & (D)						7/31					
Four Quarterly Progress/Financial Reports	F.6(C) & (D)											12/31
QUARTERS 1, 2 and 3	CONTRACT REFERENCE	Feb-2014	Mar-2014	Apr-2014	May-2014	Jun-2014	July-2014	Ago-2014	Sep 2014	Oct 2014	Nov 2014	Dec 2014
Report on developed strategic plan for CPC implementation on corruption cases and associated monitoring of the achievement of the plan approved by USAID	F.6(C) & (D)	01/31										
USAID approved and finalized report on <i>Organizational and Work Flow Management</i>	F.6(C) & (D)	02/14										

<i>Redesign and Improvements for Corruption Cases</i>												
Memo for Two grants awarded to increase public understanding of the criminal justice reform under the CPC for corruption cases	F.6(C) & (D)		03/15									
Fifth Quarterly Progress/Financial Reports	F.6(C) & (D)				04/30							

VIII. FINANCE QUARTERLY REPORT

Project's budgeting lines	Budget approved to date	Total quarterly expenses	Total expenses to date	Remaining balance
CLIN 001 – To increase the Judicial System's Capacity to Solve Corruption Cases in Lima, Callao and the Judicial Districts of the Peruvian Amazonia	\$2,939,782	\$198,346.74	\$981,365	1,958,417
CLIN 002 – Promotion of the Institutional Integrity Model	\$ 318,919	\$28,171.67	\$121,229	197.690
CLIN 003 – To reinforce the Judicial System's Capacity to face Corruption through an Effective Commitment of the Civil Society	\$ 965,700	\$99,248.59	\$317,973	647.727
CLIN 004 – Fixed fees	\$240,505	\$16,835.35	\$86,582	153.923
Total costs	\$4,464,906	342,602.35	\$1,507,149	\$2,957,757

IX. SUMMARY OF ACTIVITIES PLANNED, ACCOMPLISHED AND UNDER PROGRESS FOR THE PERIOD COVERING FEBRUARY 15 TO JUNE 30, 2014

The following table shows a summary of the status of the implementation of the workplan for the first year of Pro-Integridad's application. In general, the project is within the year 1 workplan products compliance goals.

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
OBJECTIVE 1: Increase the Capacity of the Judicial System in Lima, Callao, and Peruvian Amazon Regions to Resolve Corruption Cases					
Result 1.1: Increased capacity of judges and court staff in the targeted regions to manage and resolve corruption cases.					
Activity 1: Establish efficient, timely and continuous systems for case management					
Organize coordination meetings with the <i>Presidency of the Judiciary</i>	Permanent	Project Team	Judiciary's ITCO,	Redesign Plans and Improvements on the Organizational Management and Workflow of Corruption Cases (for judges and court office staff)	In permanent progress
Detailed evaluation of current systems and case management processes	November 2013 - February 14, 2014	Consultant, Project Team	Judiciary's Criminal CITT.		Submitted
To organize improved management processes for the judicial offices at the selected pilot sites in the Amazonía and Lima Centro	March-September 2014 –	Consultant, Project Team	Judiciary's ITCO, Judiciary's Criminal CITT.	Report on the improved organizational management processes approved by USAID for the selected pilot sites.	In permanent progress
Elaborate a Manual and Protocols for the new Management model for judges and supporting staff.	March-September 30, 2014	Consultant, Project Team	Judiciary's ITCO, Judiciary's Criminal CITT.	Manual and Management Protocols and the new management model for corruption cases.	In progress.
Develop an international workshop for judges and prosecutors, with the purpose of facilitating the implementation of the improved processes	April, 2014	Consultant, Project Team	Judiciary's ITCO, Judiciary's Criminal CITT.	Reports by the consultants, with materials, conclusions and recommendations of international experts.	In progress
Activity 2: Train and implement case management systems in accordance with the CPC in general and specifically in the management of corruption cases.					
To make a participative analysis of training required for corruption cases.	April-July 2013	Consultant, Project Team,	Judiciary's ITCO, Judiciary's Criminal CITT, PM's ITCPOPM.	Analysis of the training requirements and participative training programs for judges and auxiliary staff	Submitted
Development of the Diploma course for 50 judges and 50 prosecutors	March 2014 – May 2015	IDEHPUCP, Project Team	Judiciary's ITCO, Judiciary's criminal CITT, PM criminal CITT and PM ITCPOPM.	Final report of the first Diploma course implemented.	In progress Diploma course IDEHPUCP.
Training on the processes improved at the pilot sites for judges and supporting staff)	March - September 2014	Consultant, Project Team	Judiciary's ITCO, Judiciary's Criminal CITT.	Report on the training in processes improved at the selected pilot sites	In progress

Result 1.2: Strengthen the capacity of prosecutors and support staff in the target judicial districts to process corruption cases.					
(ii) The PM uses tools to improve the prosecution's performance					
Activity 1: Support the PM to improve the tools used by the anticorruption prosecutors					
Support the PM in the drafting of a Training Manual on Corruption Cases for prosecutors (product of the Diploma course).	April-August 31 – 2014	Project Team, IDEHPUCP	PM Criminal CITT and PM's ITCPOPM.	Report on the development of manuals and protocols approved by USAID	In progress
Implement new improved management processes for the prosecution offices at the selected pilot sites in the Amazonía and Lima Centro	February 2014 – September 2014	Consultant, Project Team	PM Criminal CITT and PM's ITCPOPM.	Report on the improved organizational management processes approved by USAID for the selected pilot sites.	In progress
Result 1.3: Standard methodology for the quantification of damages caused to the State in corruption cases.					
Develop a study that allows to determine standards/methodologies developed to estimate and recover damages in corruption cases	June - December - 2014	Consultant, Project's Technical Team	Consultant and Technical Team for the Public Defender's Office	Study (deliverable to USAID on 12-31-2014)	In progress
Result 1.4: The Judiciary improves its capacity to implement the Criminal Procedures Code					
Activity 1: Establish goals and standards in core areas where the judicial performance can be measured other than the process of appeals.					
Analyze the current systems of monitoring and evaluation, as well as procedures used for the application of the CPC with respect to corruption cases	March- June 30, 2014	Project Team,	Judiciary's ITCO, Judiciary's Criminal CITT.	M&E system for the implementation of the CPC	In progress
Result 1.5: Strengthening the Special Commission's functions of coordination and monitoring of the implementation of the Criminal Procedures Code.					
(i) Improvement of the Special Commission's monitoring and evaluation of the implementation of the CPC					
Activity 1: Improve the Special Commission's capacity with respect to M&E					
Strategic Plan proposal for the implementation of the CPC concerning corruption cases	September - 2013- January, 2014	Consultant, Project Team	Technical Secretariat for the Special Commission	Strategic plan for the implementation of the CPC and related monitoring for the performance of the plan	Submitted
Technical Assistance to update the systems of monitoring and evaluation, for the application of the CPC with respect to corruption cases	March-December 2014	Project Team,	Technical Secretariat for the Special Commission	M&E system for the implementation of the CPC	In progress
Result 1.6: Promote the furtherance of good practices and lessons learned in the judicial system to ensure the effective implementation of the Criminal Procedure Code (CPC) for the prosecution of corruption cases					
Make a research trip to Costa Rica with the Judiciary	March- September 2014	Project Team	Judiciary's ITCO and Judiciary's CITT	Report	In progress

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterpart		
OBJECTIVE 2- Promotion of the Institutional Integrity Model within the Justice Sector					
Activity 2.1: Promote the Institutional Integrity Model in Justice institutions (IIM)					
Technical assistance to continue with the actions related to the implementation of the IIM in the MINJUS)	February 2014-January, 2015	Project Team	MINJUS	IIM MINJUS diagnosis	Submitted
To identify leaders within each institution to lead the conceptual design of the IIM.	October 2013 and onwards	Project's Team, Short-term Expert	MINJUS	MINJUS diagnosis IIM PM diagnosis	Submitted 20% MINJUS PM in progress
To develop the concept and development of training materials in the MINJUS	January-April, 2014	Project's Team, Short-term Expert	MINJUS	IIM implemented	In progress
To develop training workshops on IIM for institutional staff.	June - November, 2014	Project's Team, Short-term Expert	MINJUS	IIM implemented	In progress
To perform a situational diagnosis for the implementation of the IIM in the PM.	June - November, 2014	Project Team Short term expert	Advisor to the Office and PM's ITCPOPM	PM IIM diagnosis	In progress

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterparts		
OBJECTIVE 3: Strengthen the judicial system's capacity to address corruption through effective civil society engagement					
Result 3.1: Civil society organizations contribute to raise the public understanding of the reform of the criminal justice					
Activity 1: Elaborate a specific communications plan to raise public awareness regarding the reform of the criminal justice					
Prepare a Communications Strategy to raise awareness among the general public with respect to the reform of the criminal justice (*deliverable to USAID)	November 2013 - April 7, 2014	Project's Team and Falkom company		Communications strategy	In progress
Develop the process to grant donations. a) To elaborate RFA; b) Agreement of donations submitted to USAID;	December 2013-March 15, 2014	Coordinator of Objective 3 and Project Team	Civil Society Organizations	Donation agreement submitted to USAID for review (deliverable to USAID, March 15')	In progress
Award the grant to the grantees.	April 2014-February 2015	Coordinator of Objective 3 and Project Team	Grantee Civil Society Organizations	Donation agreement signed by the grantee organization.	Under progress.

ANNEX NO 1: UPDATED INDICATORS TABLE

Progress in the indicators:

- Indicator No. 7, IIM institutionalized by the counterpart institutions: this quarter the MINJUS shows a progress of 30% accumulated upon its implementation, since the institution has i) the resolution to adopt the IIM, ii) assessment, and iii) conceptual design.

N°	Indicator	Results	Impact	Source of data	Disaggregated	Periodicity	Baseline	Year 1	Year 2	Year 3	Total Target
								Target	Target	Target	
Objective I: Increase the Capacity of the Judicial System in Lima, Callao, and Peruvian Amazon Regions to Resolve Corruption Cases											
1	% of improvements and/or processes implemented by the judicial and/or prosecution offices at the central headquarters of the pilot districts.		x	Project records	Processes, judiciary offices, prosecutor's offices, pilot judicial districts	Six-monthly	0	0	60%	40%	100%
									Chachapoyas: 60% Iquitos: 60% Lima: 60%	Lima Regional: 40%	Chachapoyas: 60% Iquitos: 60% Lima: 60% Lima Regional: 40%
2	% of the judges and prosecutors registered for the Diploma course specialized in corruption crimes for Justice operators who pass the program and are certified by the Pontificia Universidad Católica del Perú under strict academic standards. <i>% of the judges and prosecutors that are registered in the Anticorruption Specialization Program for Justice Operators that pass the program and are certified by the Catholic University of Peru, under rigorous standards</i>		x	Records of the University and the Project	Judges, prosecutors / qualification.	Six-monthly	0	0	30%	60%	90%
Result I.1: Strengthen the capacity of judges and support staff in the target regions to process and solve corruption cases.											
Result I.2: Strengthen the capacity of prosecutors and support staff in the target regions to process corruption cases.											

N°	Indicator	Results	Impact	Source of data	Disaggregated	Periodicity	Baseline	Year 1	Year 2	Year 3	Total Target
								Target	Target	Target	
3	Number of judges, prosecutors and support staff in the target judicial districts who are trained in office management.	x		Records of the project/Judiciary/PM	Judges, prosecutors and support staff/training activities	Quarterly	0	0	60	20	80
Result 1.4: The Judiciary improves its capacity to implement the Criminal Procedures Code. (i) The Judiciary effectively monitors and assesses the implementation of the CPC.											
4	CPC Monitoring System for corruption cases implemented in the Judiciary.	x		Matrix for the progress of the implementation of the project's monitoring system	Indicators/training workshops	Six-monthly	0	0	70%	100%	100%
Result 1.5: Strengthen the Special Commission's functions of coordination and monitoring of the implementation of the Criminal Procedures Code. (i) Improvement of the monitoring and evaluation of the implementation of the CPC.											
5	CPC Monitoring System for corruption cases implemented in the Technical Secretary.	x		Matrix for the progress of the implementation of the project's monitoring system	Indicators/training workshops	Six-monthly	0	0	70%	100%	100%
Objective 2: PROMOTION OF THE INSTITUTIONAL INTEGRITY MODEL IN JUSTICE SECTOR.											
Result 2.1: Promotion of the Institutional Integrity Model (IIM)											
6	Number of managing staff of the MINJUS who are trained in the IIM.	x		MINJUS/Project records	Gender	Quarterly	0	0	38		38
7	IIM Institutionalized by the counterpart institutions.		X	Project records/Institutions data	Minus/PM	Six-monthly	0	MINJUS: 20%	MINJUS: 60%	MINJUS: 20%	MINJUS: 100%
								Present: 20%	Present: 10%		
								PM: 20%	PM: 60%	PM: 20%	PM: 100%

N°	Indicator	Results	Impact	Source of data	Disaggregated	Periodicity	Baseline	Year 1	Year 2	Year 3	Total Target
								Target	Target	Target	
Objective 3: To strengthen the judicial system's capacity to face corruption through the effective commitment of the civil society organizations											
Result 3.1: Civil society organizations contribute to raise the public's understanding of the reform of the criminal justice											
8	Number of people who are affiliated to CSOs that are trained in the reform of the criminal justice for corruption cases through the donations granted by the project.	X		CSOs and Project's records	Region	Quarterly	0	0	180	180	360
Result 3.2: Civil society organizations at the target judiciary districts that monitor the judicial system in the field of corruption cases.											
9	Number of monitoring activities performed on the justice system in corruption cases, implemented by the SCOs who receive donations by the project.		X	SCOs records	Location, court, type of monitored activity, SCO's name	Six-monthly	0	0	0	5	5