



**USAID**  
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UNIDOS DE AMERICA

# USAID/PERU PRO-INTEGRITY

## THIRD QUARTERLY REPORT: JULY 01 - SEPTEMBER 30, 2013

**OCTOBER 2013**

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# USAID PRO-INTEGRITY

## THIRD QUARTERLY REPORT: JULY 01 - SEPTEMBER 30, 2013

<b>Project Name:</b>	<b>Pro-Integrity</b>
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The opinions issued in this publication do not necessarily reflect the point of view of USAID or of the Government of the United States.

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# LIST OF ACRONYMS

AWP	Annual Work Plan
CPC	Criminal Procedures Code
COP	Chief of Party
DCOP	Deputy Chief of Party
GOP	Government of Peru
IDC	Implementation District Committee
IIS	Institutional Integrity System
M&EP	Monitoring and Evaluation Plan
MII	Institutional Integrity Model
MINJUS	Ministry of Justice and Human Rights
MOU	Memorandum of Understanding
NGOs	Non-governmental Organizations
OCMA	Office for the Control of the Magistracy
ODECMA	Decentralized Offices for the Control of the Magistracy
PM	Public Ministry
PMP	Performance Monitoring Plan
CSOs	Civil Society Organizations
TfT	Training for Trainers
ST-CEICPC	Technical Secretary Office of the Special Commission for the Implementation of the Criminal Procedures Code
USAID	United States Agency for International Development
USG	United States Government

# I. INTRODUCTION

This document comprises the third quarterly report of USAID's Pro-Integrity Project in Peru, implemented by ARD Inc., under Contract No. 527-C-13-00001. The report covers the period July 01 - September 30, 2013.

## II. EXECUTIVE SUMMARY

This quarter, the Project completed the following meaningful actions:

- Submitted the "*Baseline Study of the Anticorruption Justice Subsystem in nine judicial districts in Peru*" and prepared the "*Executive Synthesis of the Baseline Study on the application of the Criminal Procedures Code*"
- Designed the Project strategy to promote the improvement of the management of corruption cases in the Judiciary and the Public Prosecution Service
- Prepared and presented the "*Diagnosis and Training Plan for operators who implement the Criminal Procedures Code in corruption cases*" to USAID and the counterparts; proposed implementation thereof
- Developed and presented the criteria for the selection of pilot programs in the Peruvian Amazon region (*Amazonia*)
- Designed the "*Action Plan for the implementation of the Pro-Integrity Project in the Amazonia pilot districts*"
- Developed the strategy to implement the institutional integrity model in the Ministry of Justice and Human Rights (MINJUS) and completed phase of the institutional diagnosis for the implementation of the model
- Developed the public ethics and integrity training Plan for the officers of the MINJUS
- Designed the intervention strategy of the Project Objective 3 referred to civil society
- Developed the contents of the public ethics and integrity training guide for civil society organizations
- Strengthened the links of collaboration with counterparts (the Judiciary, the Public Prosecution Service and the MINJUS) and other collaborators such as the World Bank and the GIZ
- Initiated the competitive procurement process for the development of a communications strategy to raise awareness

### 2.1. WORK MEETINGS

#### 2.1.1. Meetings at USAID

On July 10, 2013, Pro-Integrity's Chief of Party (COP) Josefina Coutiño, Deputy Chief of Party (DCOP), Nataly Ponce and Coordinator of Amazonia Jose Reategui met with USAID Mission Director Deborah Kennedy to set out the criteria to determine the location of the Project's pilot programs in the Peruvian Amazonia region. . USAID's Democracy and Governance Officer Cara Thanassi and Contracting Officer's Representative Martín Castro also attended the meeting, which resulted in the selection of two target districts in the *Amazonia: Amazonas* and *Ucayali*. USAID Mission Director Kennedy presented an executive summary on the selection of the two target districts to US Ambassador Rose M. Likins for her information.

### **2.1.2. Meeting of Stakeholders**

On September 13, USAID called for a meeting of "Stakeholders in Decentralization" with the aim of (i) analyzing the political context in light of the country's recent economic and social sector reforms, (ii) analyzing the political context in the education, health and environment sectors and (iii) looking for proposals to improve the coordination of USAID programs nationwide.

Pro-Integrity COP, Josefina Coutiño, participated in the event and emphasized the need to consider all aspects concerning the reform of justice. In particular, Ms. Coutiño suggested that more be done to combat corruption, such as developing a support strategy.

### **2.1.3. Coordination Meetings with National Counterparts**

#### **Participation in the Eighth Public Audience of the Public Ministry on the new CPC**

From August 10 to 15, 2013, Martín Castro, USAID COR, Josefina Coutiño, Pro-Integrity COP, and Jose Reategui, coordinator of *Amazonia*, participated in the Eighth Public Audience of the Public Ministry (PM). The participants attended the meeting, held in the city of Chachapoyas, *Amazonia*, to discuss the new CPC at the special request of the Prosecutor General José Antonio Peláez Bardales.

During the visit, the Pro-Integrity team held meetings with several counterparts, including the President of the Supreme Court of Justice of Madre de Dios, the representative of the Comptroller General of the Republic, the Public Defender's Office, the Coordinator of the Anticorruption Attorney General, the representative of the Office of the Ombudsman, the representatives of the Roundtable for the Fight against Poverty and the Ministry of Environment.



Photograph: Eighth Public Audience of the Public Prosecution Service on the new CPC: Doctor Oswaldo Bautista Carranza, (President of the Amazonia Board of Prosecutors) Doctor Pedro Gonzalo Chávayry Vallejos (Supreme Prosecutor); Doctor Pablo Sánchez (Supreme Prosecutor); Doctor José Antonio Peláez Bardales ( General Prosecutor); Doctor Carlos Ramos Heredia (Supreme Prosecutor); Doctor Víctor Yaipén (Technical Secretary for the Implementation of the Criminal Procedures Code in the Public Ministry) and Doctor Luis Pacheco representative from the Public Ministry School. Chachapoyas. Monday, August 12, 2013.

### **Meeting with the team of the Ministry of Justice and Human Rights (MINJUS)**

On July 31, 2013, with the aim of submitting and validating the Project's Year 1 Work Plan, the Deputy Minister of Justice, Dr. Jorge Manuel Pando Vélchez presided over a meeting to establish the beginning of activities for the implementation of the Institutional Integrity Model (IIM) at the MINJUS. The Project's first tasks under this assistance focused on completing a diagnosis of the status of MINJUS in key areas relevant for the implementation of the IIM. Over the course of the quarter, the Project team participated in a series of meetings with the leadership team formed at the MINJUS to coordinate the completion of the diagnosis.

### **Meetings with the Judiciary and the Public Ministry**

This quarter, the Pro-Integrity team participated in several meetings with liaison officers and technical officers of the Judiciary and the PM to coordinate the activities for the adequate implementation of project activities. .

#### ***2.1.4. Coordination with Donor-funded Projects and Key Institutions***

##### **Meeting with the ABA ROLI in Peru.**

On July 25, 2013, Pro-Integrity's technical team attended a meeting with Dr. Raúl Callirgos, chief of the Support Program for Criminal Justice in Peru - ABA ROLI Peru. During the meeting, attendees discussed the need to coordinate the training schedules of each project. The discussion resulted in a proposal to perform joint trainings to educate trainers within the MP and the Judiciary.

##### **Meeting with the GIZ Justice Project in Peru**

On July 17, 2013, a coordination meeting was held with representatives of the German Cooperation Agency—GIZ in Peru. Participants in this meeting were the following: on behalf of GIZ, William Ramírez and Horst Schoenbohm; on behalf of Pro-Integrity, Martín Castro, USAID COR, Josefina Coutiño, COP, Nataly Ponce, DCOP, and Lourdes Rivera Santander and Oscar Cornejo, members of the Project's training team. The meeting addressed support areas such as the management model and strengthening of the Prosecutor General Office Specialized in Corruption Cases. The participants agreed to establish a joint strategy for Pro-Integrity to support orientation guidelines for compensating civil damages to the State in corruption cases, enabling GIZ to share training material (publications), and potentially jointly reviewing and publishing an article on civil damages.

##### **Meeting with the Justice Services Improvement Project (JSIP) of the World Bank**

On August 26, 2013, Martín Castro, USAID COR, and Nataly Ponce, Project's DCOP, attended a World Bank meeting, where officers of this institution reported on the technical assistance the World Bank is providing to strengthen the skills Judiciary and PM staff. Meanwhile, the Pro-Integrity representatives thanked the World Bank for the invitation and explained the scope of the Project, particularly regarding the application of the CPC in corruption cases. The participants agreed to strengthen links between the respective projects.

#### ***2.1.5. Meeting with the National Counterparts***

##### **Participation in the start-up of the Implementation District Committee (IDC) in Lima Norte Judicial District**

On July 12, 2013, Pro-Integrity's COP Josefina Coutiño and Criminal Reform

Implementation Specialist Edith Sicha attended the launching of the CPC Implementation District Committee (IDC) for the Judicial District of *Lima Norte*. The President of the District Court, Dr. Dante Terrel Espino, presided over the ceremony -Dr. Terrel Espino chairs the CPC, which is also integrated by Dr. Alberto Rossel Alvarado, president of the PM Board of Prosecutors, Dr. Julio Navarro Mendivil, district chief of the Public Defender's Office, and Windson Ramírez, Peru's National Police colonel.

This visit represented the first collaboration between Pro-Integrity Project and the *Lima Norte* IDC.

## III. PROJECT OBJECTIVES AND RESULTS

### 3.1. OBJECTIVE 1: INCREASE JUDICIAL SYSTEM CAPACITY IN LIMA, CALLAO, AND PERUVIAN AMAZON REGIONS TO RESOLVE CORRUPTION CASES

#### 3.1.1 Preparation of the Baseline Study and Executive Summary

This quarter, ESAN University submitted the "*Baseline Study of the Anticorruption Justice in nine judicial districts in Peru*" commissioned by the Project. The study analyzes quantitative and qualitative information in connection with the application of the CPC in the Project's target judicial districts. The study provided remarkable material for the validation and update of the Project's management instruments, including deliverables and indicators.

The Project's team prepared an "*Executive Synthesis of the Baseline Study on the application of the Criminal Procedures Code*", which analyzes the key statistics regarding the implementation of the CPC in corruption cases and explains the main findings of the qualitative analysis prepared by ESAN. The Synthesis has two matrixes: the first analyzes the management systems, the areas, the strengths and the weaknesses found in the judicial system. The second identifies the key processes involved in the diagnosis areas on the grounds of statistical information about the Judiciary and the PM. This report also describes the work actions proposed by Pro-Integrity that are based on its Year 1 Work Plan.

#### 3.1.2. Diagnosis and Training Plan for operators who implement the CPC in corruption cases

During July 4-12, 2013, Pro-Integrity developed self-evaluation workshops on the training needs of operators of the Judiciary in the judicial

**OPERADORES DEL NUEVO CÓDIGO PROCESAL PENAL DE LA CORTE DEL CALLAO PROPONEN ACTIVIDADES DE CAPACITACIÓN A PROGRAMA DE USAID**

De busca fortalecer la capacidad del sistema judicial para enfrentar la corrupción



Magistrados y servidores que aplican en el Nuevo Código Procesal Penal participaron en el taller "Autoevaluación sobre requerimientos de capacitación de jueces y personal de apoyo jurisdiccional, especializados en delitos de corrupción que aplican el NCPP en el Distrito Judicial del Callao", evento organizado por la Agencia de los Estados Unidos para el Desarrollo Internacional (USAID) a través del proyecto Pro Integridad.

En tanto, el presidente de la Corte Superior de Justicia del Callao, César Cusalléno Sierra agradeció a USAID por preocuparse en que este programa de capacitación de cobertura al Callao. No obstante, afirmó que existe un fuerte compromiso y actitud de los operadores para fortalecer y aplicar acertadamente este modelo procesal.

"Apostamos por brindar a la ciudadanía un sistema que satisfaga realmente la necesidad de justicia de la población, con equidad, transparencia y prodador", manifestó el titular de la Corte chalaca.

Durante la intervención de los jueces y trabajadores se esbozaron algunos temas para capacitación como la teoría del delito, teoría de la prueba, valoración de la prueba, técnicas de litigación oral, de dirección de audiencias, explicación de los tipos penales vigentes especializados en corrupción, también de leyes orgánicas de gobiernos locales, regionales y otras relacionadas a entidades públicas, gestión del despacho judicial, argumentación jurídica, motivación de resoluciones, entre otros.

El proyecto Pro Integridad de USAID fue presentado por los especialistas Edith Sicha Juárez, y Oscar Cornejo Gómez-Sánchez quienes señalaron el interés de reducir la corrupción y fortalecer el Estado de Derecho, a través de actividades con iniciativas de reforma judicial penal.

Según dijo la especialista en capacitación del proyecto, Edith Sicha, el objetivo del proyecto es incrementar la capacidad del sistema judicial para resolver casos de corrupción a nivel nacional, promover la integridad en los operadores del Poder Judicial, así como fortalecer la capacidad del sistema judicial para enfrentar la corrupción motivando el compromiso efectivo de la sociedad civil.

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Article published in the July issue of the Callao Superior Court of Justice's Newsletter regarding the training needs assessment of judges and jurisdictional support staff

districts of *Lima, Lima Norte, Lima Sur* and *Callao*. Based on the information obtained from these assessments and others developed during the previous quarter at the PM, Pro-Integrity's technical team prepared an integral diagnosis on the training needs of judges, prosecutors, and support staff of the Judiciary, as well as the needs of the PM to improve their skills in dealing with corruption cases. They also prepared a Training Plan aimed at operators of the criminal justice system.

The Training Plan proposed by the Project is made up of three modules which contain 10 courses strategically designed in terms of the identified needs. Additionally, the Plan proposes the development of a highly engaging, dynamic and applicable methodology for the purposes of influencing the operators' actual performance. The following table outlines the modules and the contents of the Training Plan

TRAINING PLAN FOR THE JUSTICE SYSTEM OPERATORS WHO APPLY THE CPC IN CORRUPTION CASES	
Modules (138 class hours)	Content
Module I (36 class hours: 18 theory - 18 practice)	<ul style="list-style-type: none"> <li>- Public ethics in justice administration and institutional integrity</li> <li>- Public management and contracts for the State</li> <li>- Theory of crime and corruption crimes incurred by officers</li> </ul>
Module II (42 class hours: 21 theory - 21 practice)	<ul style="list-style-type: none"> <li>- Research of corruption crimes incurred by officers</li> <li>- Evidence of corruption crimes incurred by officers</li> <li>- Procedural simplification mechanisms applicable to corruption crimes incurred by officers</li> <li>- The role of the justice system operators during the hearings of corruption crimes incurred by officers</li> </ul>
Module III (36 class hours: 18 theory - 18 practice)	<ul style="list-style-type: none"> <li>- Legal argumentation in corruption crimes incurred by officers</li> <li>- Oral hearing of corruption crimes incurred by officers</li> <li>- Corporate model and management of court offices and prosecution offices</li> </ul>
Interdisciplinary curriculum (24 class hours)	<ul style="list-style-type: none"> <li>- Gender equality, human rights and the effects of corruption acts, environment matters</li> </ul>

During this quarter, the Project's team designed the syllabus for the proposed courses, including the justification, goals, methodologies, content description, materials and evaluation. The courses will take an interdisciplinary approach by incorporating human rights, gender equality and the environment.

Pro-Integrity's technical team created and successfully applied a strategy aimed at interacting and validating the Diagnosis and the Training Plan with the counterparts. On August 27, the team held its first presentation meeting for Carmen Condorchúa, chief of the

International Cooperation Office of the Public Prosecution Service, Dr. Víctor Yaipén, technical secretary for the implementation of the CPC in the PM, and Dr. Luis Alberto Pacheco Mandujano, director of the PM Training Academy. The participants from the Project's side were COP Josefina Coutiño, DCOP, Nataly Ponce and Criminal Investigation Specialist and Regional Coordinator Lourdes Rivera-Santander. USAID COR Martín Castro also attended the meeting. On September 23, 2013, at a second work meeting with the PM team, the Project submitted the Modules' contents for review and observation.

Finally, on September 26, 2013, the Project held a diagnosis and training plan presentation meeting with authorities of the Judiciary. This meeting was held at the Sheraton Hotel, with the participation of 17 representatives of the Judiciary, some of whom were high ranking judges and officers<sup>1</sup>. Representatives of the World Bank and the Pro-Integrity Project team also participated as well as the Director of USAID's Government and Democracy Cara Thanassi, COP Josefina Coutiño and Regional Coordinator Lourdes Rivera-Santander conducted the presentation.



*Attendees to the self-evaluation workshop carried out on July 5, 2013, in the Judicial District of Lima*

The participants submitted their opinions, among which Supreme Court Judge Dr. Duberli Rodríguez's opinion deserves special highlight. He expressed his agreement with the training Plan and the implementation thereof by certified professionals and also welcomed the inclusion of the course of "public ethics and integrity" in the training Plan.

### ***3.1.3. Work plan for the strengthening of management and the corporate model in the Judiciary and the Public Ministry***

The Pro-Integrity team visited the Superior Court of Justice of Lima to observe the application of the CPC in corruption cases. Along with management specialists, they observed pre-trial hearings and oral proceedings of corruption cases. The Pro-Integrity team then explained aspects of the new system of criminal proceedings to potential future management consultants. Specifically, the team discussed the different stages of the criminal process and the roles of the judicial operators (judges and the prosecution).

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<sup>1</sup> Also participated Dr. Duberli Rodríguez Tineo, Chief Justice, President of the National Training Commission for judges of the Judiciary, Dr. Bonifacio Meneses González, Superior Judge, member of the Executive Council of the Judiciary and President of the Institutional Technical Team for the Implementation of the CPC, Dr. Susana Castañeda Otsú, Chief Justice, Coordinator of the Anticorruption Sub-system applying the CPC; Alejandro Jiménez Morales, General Manager of the Judiciary; Presidents of the Superior Courts of Lima Norte, Lima Sur and Callao; Director of the Center for Judicial Investigation of the Judiciary; Dr. María Antonieta Delgado, Chief of the International Technical Cooperation Office of the Judiciary; Felipe Chacón, Deputy Coordinator of the World Bank Justice Services Improvement Project, among other public officers.

The Project team also identified key areas that require further action to strengthen the management of corruption cases through the application of the CPC.

Finally, the team elaborated on a management system reinforcement strategy for corruption cases within the framework of the CPC, based on two phases:

Phase I: Development:

1. Coordination with the counterparts to define the scope of the technical assistance
2. International workshop
3. Management diagnosis
4. Improvement proposal

Phase II: Implementation:

1. Implementation launch event
  - Selection of pilots
2. Implementation at pilots
  - Local implementation agreements
  - Improved processes
  - Training
3. Technical assistance
4. Evaluation and publication of the results

The attendees then determined draft terms of reference for the contract of national and international consultants in charge of diagnosing the business model improvement areas to improve the criminal procedure for corruption crime cases in the target judicial districts.

#### ***3.1.4. Action Plan for the implementation of the Pro-Integrity Project in the Amazonia pilot districts***

The “Action Plan for the implementation of the Pro-Integrity Project in the Amazonia pilot districts” was developed during this quarter. This document contains the strategy to be followed by the Project for the adequate development of activities to be executed in the *Amazonia*, especially in the pilot districts of *Amazonas* and *Loreto*.

This plan provides for training activities for judges and prosecutors while considering the intercultural quality and the environment. It projects the coordination with local civil society organizations, including the organizations for the indigenous peoples, for the purposes of informing the community about the benefits of the application of the CPC. It also forms a Discussion Panel on justice and integrity. This plan covers the period from January to December of 2014.

#### ***3.1.5. Technical Assistance to the Technical Secretary Office of the Special Commission for the Implementation of the CPC***

This quarter, Pro-Integrity's technical team held coordination meetings with the representatives of the Technical Secretary Office of the Special Commission for the Implementation of the CPC (TSO-SCICPC). The Project held the meeting for the purpose of defining the technical assistance that will support the bodies that are responsible for the application of the CPC. Within this framework, the attendees drew out the terms of reference for a consultancy that will diagnose, develop an action plan, and put a manual together to regulate relations, work processes and articulation levels of the TSO-SCICPC, the Institutional Technical Teams and the Implementation District Committee (IDC). The above

is aimed at boosting an improved coordination, cooperation and a good systemic performance.

The Objective 1 products were the following:

- **Product nº 03<sup>2</sup>:** *"Diagnosis and Training Plan for operators who implement the Criminal Procedures Code in corruption cases"* and the proposal of the implementation thereof
- **Product nº 04:** *"Baseline Study of the Anticorruption Justice in nine judicial districts in Peru"*, elaborated by ESAN University
- **Product nº 05:** *"Executive Synthesis of the Baseline Study on the application of the Criminal Procedures Code"*
- **Product nº 06:** Design of the Project strategy to promote the improvement of the management of corruption cases in the Judiciary and the Public Prosecution Service.
- **Product nº 07:** Development and presentation of the criteria for the selection of pilot programs in the Amazonian region
- **Product nº 08:** Design of the *"Action Plan for the implementation of the Pro-Integrity Project in the Amazonia pilot districts"*

### **3.1 OBJECTIVE 2: INCREASE THE CAPACITY OF THE JUDICIARY TO ADDRESS INTERNAL CORRUPTION**

This Objective refers to the internal strengthening of the Judiciary's ability to combat corruption. This quarter, Pro-Integrity's team evaluated and agreed to work collaboratively on this intervention with MINJUS thanks to the significant interest of Dr. Daniel Augusto Figallo Rivadeneyra, head of MINJUS, of implementing the Institutional Integrity Model (IIM) at this entity.

Pursuant to the IIM implementation framework in the MINJUS, Carlos Velarde, Objective 2 specialist, and Josefina Coutiño, COP, held work meetings with Martina Torres Sales, general director of the General Planning and Budgeting Office. Ms. Torres Sales set up the work team that is now responsible for the IIM at the MINJUS. The team is composed of the following amongst other MINJUS authorities:

- Dr. Hugo Vallejos Campbell, general secretary,
- Mr. Alejandro Lorenzo Gonzales González, chief of the Human Resources Office
- Ms. Martina Torres Salas, chief of the Statistics and Socioeconomic Studies General Office
- Ms. Maryori Karim Valera Cárdenas, chief of the Media and Communications General Office

For the purposes of the implementation of the IIM at the MINJUS, the Project hired Dr. Jaris Mujica, an expert in institutional ethics who is responsible for the institutional diagnosis and whose report will include the institution's status report and a system of evaluation of the IIM components. The MINJUS included the implementation of the IIM as an institutional policy

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<sup>2</sup> The product numbers are cumulative. The submission of Products nº 1 and 2 were reported in the previous quarterly reports.

within its Strategic Plan, specifically in the Institutional Plan for the Fight against Corruption - Public Ethics Promotion Component and Corruption Prevention.

The Objective 2 products were the following:

- **Product nº 09:** “Diagnosis of the institutional integrity model in the Ministry of Justice and Human Rights”
- **Product nº 10:** Public ethics and integrity training Plan for MINJUS officers

### **3.2 OBJECTIVE 3: STRENGTHEN THE JUDICIAL SYSTEM'S CAPACITY TO ADDRESS CORRUPTION THROUGH EFFECTIVE CIVIL SOCIETY ENGAGEMENT**

This quarter, Pro-Integrity’s Carlos Velarde developed the Objective 3 implementation strategy based on the *Analysis/Mapping and Directory of Civil Society Organizations (CSO)* document submitted to USAID during the previous quarter.

The Objective 3 implementation strategy is built on a communicative approach to educating and enabling civil society organizations in the process of the CPC application, with an emphasis on corruption cases. Likewise, this strategy outlines interventions to increase the capacity of the CSOs to monitor corruption cases in the criminal justice system and to critically collaborate in the process.

Finally, within the Objective's compliance framework, the Project made inroads toward contracting a social communication expert consulting company to designing the communication strategy with regard to the civil society.

The Objective 3 products were the following:

- **Product nº 11:** Design of the intervention Strategy of Objective 3 referred to the civil society
- **Product nº 12:** Development of the contents of the public ethics and integrity training guide for the civil society

### **3.3 INTERDISCIPLINARY ACTIVITIES: GENDER INCLUSION STRATEGY**

Following the recommendations of Dr. Ana Garita, international expert in gender equality, Pro-Integrity sensitized its team about the importance to include a gender equality approach in the programmatic activities of the Project.

Nataly Ponce, DCOP, designed a matrix of activities to develop and monitor the gender equality approach in the performance of the Project Objectives, which received feedback from Project team members. Details are as follows:

OBJECTIVE	PROPOSED ACTIVITIES
OB1: MANAGEMENT	<ul style="list-style-type: none"> <li>• Identify the existence of regulations, protocols and guidelines to assist female users in corruption cases within the CCP application framework (within the management diagnosis development framework).</li> <li>• Promote the development of guidelines to assist female users in corruption cases (within the technical assistance framework in matters of management).</li> </ul>

OB1: TRAINING	<ul style="list-style-type: none"> <li>• Encourage female participants in training courses that will be conducted by the Project.</li> <li>• Encourage the participation of women from the education spectrum.</li> <li>• Include in the training courses the analysis of cases that raise awareness among the justice operators regarding the importance of the gender equality approach.</li> </ul>
OB1: MONITORING	<ul style="list-style-type: none"> <li>• Apply a gender equality approach in the PMP's indicators review, which shall consider the special features of each indicator.</li> </ul>
OB2: INTEGRITY	<ul style="list-style-type: none"> <li>• Identify institutional policies and strategies aimed at an equalitarian valuation of the human potential from the gender equality perspective (within the framework of the development of the integrity diagnosis).</li> <li>• Recognize whether the institutional instruments for the promotion of ethics, such as the Code of Ethics or other internal norms, include a gender equality approach.</li> </ul>
OB3: CIVIL SOCIETY	<ul style="list-style-type: none"> <li>• Encourage female participants to engage in the actions that the Project shall carry out to provide support to the civil society.</li> <li>• Promote reporting mechanisms on corruption cases that affect women.</li> <li>• Interdisciplinary application of the gender equality approach in the development of communicational campaigns: messages, training courses and indicators.</li> </ul>

## IV. ADMINISTRATIVE SUMMARY

The following activities were completed during this period:

### 4.1. LONG TERM STAFF HIRE

Three new long term employees were signed up in September to join the Pro-Integrity's technical team:

- Carlos Mario Velarde Bazán as Civil Society Liaison/Subcontracts and Grants Manager (Objective 3 Coordinator)
- Ivanna Narduzzi to server as Communications Specialist
- Flor Torres as Monitoring and Evaluation Specialist

### 4.2. PROJECT'S LEGAL REPRESENTATIVE

The Project initiated the process to appoint Pro-Integrity staff Lourdes Rivera-Santander Medina as legal representative of *ARD, Inc. – Sucursal Peru* before the local authorities and replace outside lawyer Dr. Gabriel Musso Cánepa. The appointment of Ms. Rivera is expected to be completed by mid-October. With this, the Project will discontinue payments to Dr. Musso for legal representation services and allocate those funds to better use in programmatic activities.

### 4.3. ADMINISTRATIVE ACTIVITIES

- In September, a consulting contract was signed with Anticorruption Specialist Jaris Mujica Pujazón to conduct the IIM diagnosis at the MINJUS.
- Since August, the staff has been affiliated with a Services Provision Entity, PACIFICO SALUD EPS.

## V. RELEVANT ELEMENTS OF THE PERUVIAN JUSTICE NATIONAL CONTEXT

This quarter, the Executive passed *Law N° 30077 - Law against Organized Crime*, published on August 20, 2013, which, envisioned as an initiative to efficiently combat organized crime, establishes the rules and procedures pertaining to the investigation, judgment and punishment of crimes committed by criminal organizations.

Said Law will apply to crimes such as manslaughter – murder, kidnapping, human trafficking, infringement of privacy in communications, offences against property, extortion, computer crime, crimes against the public health and crimes against the public administration – and corruption of public officers, among others. It is worthwhile highlighting that this Law establishes the application of the CPC for cases of organized crimes nationwide<sup>3</sup>. Likewise, said regulations provide for the modification of specific articles of the Criminal Code regarding crimes such as kidnapping, sexual procurement, robbery and aggravated theft, among other measures to help combat organized crime.

Additionally, on August 19, 2013, by Law N° 30076, the Criminal Code, CPC, Code of Criminal Enforcement, and Code of Children and Youth were amended to combat the insecurity of citizens.

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<sup>3</sup> The CPC is currently in force in 23 judicial districts, pending implementation in 8 judicial districts: Lima, Lima Norte, Lima Sur, Callao, Junín, Apurímac, Ayacucho and Huancavelica.

## VI. PRODUCTS AND DELIVERABLES

The following table outlines the products and deliverables that were submitted to USAID through the end of the reporting period.

Project Deliverables Summary & Tracking Sheet									
Peru Promoting Justice and Integrity in Public Administration – Pro-Integrity									
Project Contract No.: AID-527-C-13-00001									
PRODUCTS AND DELIVERABLES	CONTRACT REFERENCE	February – June 2013					July - Sept, 2013		
		Feb	Mar	Apr	May	Jun	Jul	Ago	Sep
Initial Annual Work Plan	F.6(A)(a)		3/18 <sup>4</sup>			6/18			
Integrated Gender Strategy	C.3 (page C.10)				5/21 <sup>5</sup>				
Disabilities Integration Strategy	C.3 (page C.10)		3/18						
Performance Monitoring Plan (PMP)	F.6(B)			4/1 <sup>6</sup>					
Quarterly Progress/Financial Reports	F.6( C ) & (D)			4/30			7/24		
CSO Mapping developed							7/01		
Baseline Study	F.6( C ) & (D)						7/01 <sup>7</sup>		9/23
Analysis of participatory training requirements and training programs for judges, prosecutors and court staff.	F.6( C ) & (D)						7/31 <sup>8</sup>		9/16

<sup>4</sup> A preliminary version of the annual work plan (AWP) was submitted on March 18, 2013. Following workshops with counterparts and USAID to validate the contents of the AWP, a final version was submitted to USAID on June 18, 2013.

<sup>5</sup> The English version of the approved Gender Strategy was provided to USAID on May 31, 2013

<sup>6</sup> This is a preliminary version that needs to be updated based on the final version of the work plan that was approved by USAID on July 2, 2013.

<sup>7</sup> Pro-Integrity submitted the “Preliminary Analysis of the Baseline Study Results” completed by local subcontractor Universidad ESAN. Based on comments from USAID and the Project staff, the Project completed the final version of the Baseline Study, submitted to USAID on September 23, 2013

<sup>8</sup> The English version of the approved and updated plan was submitted to USAID on September 16, 2013

## VII. FINANCIAL REPORT

Project Line Items	Approved Budget to Date	Total Expenses for the Quarter	Total Expenses to Date	Balance Remaining
<b>CLIN 001 – INCREASE JUDICIAL SYSTEM CAPACITY IN LIMA, CALLAO, AND PERUVIAN AMAZON REGIONS TO RESOLVE CORRUPTION CASES</b>	\$1,154,742	\$242,409	\$557,026	\$597,715
<b>CLIN 002 – INCREASE THE CAPACITY OF THE JUDICIARY TO ADDRESS INTERNAL CORRUPTION</b>	\$129,895	\$23,039	\$62,231	\$67,664
<b>CLIN 003 – STRENGTHEN THE JUDICIAL SYSTEM’S CAPACITY TO ADDRESS CORRUPTION THROUGH EFFECTIVE CIVIL SOCIETY ENGAGEMENT</b>	\$395,330	\$56,090	\$134,475	\$260,855
<b>CLIN 004 – FIXED FEE</b>	\$95,207	\$69,746	\$69,746	\$25,461
<b>TOTAL COSTS</b>	<b>\$1,775,173</b>	<b>\$391,284</b>	<b>\$823,478</b>	<b>\$951,695</b>

## VIII. SUMMARY OF ACTIVITIES PLANNED AND ACCOMPLISHED THROUGH THE QUARTER JULY 1 - SEPTEMBER 30, 2013

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	STATUS
		Team	Counterparts		
<b>OBJECTIVE 1 - INCREASE JUDICIAL SYSTEM CAPACITY IN LIMA, CALLAO, AND PERUVIAN AMAZON REGIONS TO RESOLVE CORRUPTION CASES</b>					
<b>Result 1.1: Increased capacity of judges and court staff in targeted regions to manage and resolve corruption cases</b>					
<b>Activity 1: Institute efficient, timely, and consistent case management systems</b>					
<b>Hold meetings to coordinate project activities with the <i>Presidencia del Poder Judicial</i></b>	March-December	Project Team	Technical Secretary Office of the Judiciary	Redesign Plans and Improvements on the Organizational Management and Workflow of Corruption Cases (for judges and court office staff)	In progress
<b>Detailed assessment of current case management systems and processes</b>	July-December	Consultant, Project Team	Technical Cooperation Management and Advisory Cabinet of the Judiciary		In progress
<b>Result 1.2: Increased capacity of prosecutors and support personnel in targeted regions to prosecute corruption cases</b>					
<b>Activity 2: Initial activities to improve prosecutor skills</b>					
<b>Facilitate a self-assessment workshop to identify training needs of prosecutors</b>  <b>Develop a participatory process to develop the work plan</b>	April-July	Consultant, Project Team	PM Training School, Technical Secretary Office of the Judiciary, Judicial Academy, judges and court office auxiliary staff in corruption cases in courthouses in the	Analysis of the training requirements and participative training programs for judges and auxiliary staff	Submitted

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	STATUS
		Team	Counterparts		
			target areas.		
<b>Result 1.4: The Judiciary improves its capacity to implement the CPC</b>					
<i>Sub-result (i): The judiciary monitors and evaluates the implementation of the CPC</i>					
<b>Activity 1: Establish goals and standards in main areas where judicial performance can be measured</b>					
<b>Conduct an analysis of current monitoring and evaluation systems and procedures regarding the implementation of the CPC as it relates to corruption cases</b>	August-December	Project Team,	Technical Secretary Office of the Judiciary, Technical Secretary Office for the Special Commission	The analysis of CPC M&E system of the Judiciary was concluded and published	In progress
<b>Result 1.5: Increased capacity of the Special Commission to coordinate and lead the CPC implementation</b>					
<i>Sub-result (i): Improve monitoring and evaluation of CPC implementation</i>					
<b>Activity 1: Improve M&amp;E capacity of Special Commission</b>					
<b>Provide technical assistance to define, promote, and disseminate a strategic plan for CPC implementation</b>	September-December	Consultant, Project Team	Technical Secretary Office for the Special Commission	Strategic plan for the implementation of the CPC and related monitoring for the performance of the plan	In progress. To be identified and to hire consultant
<b>Organize roundtables to improve inter-institutional coordination for corruption cases</b>	September and ongoing during Years 2 and 3	Project Team	Technical Secretary Office for the Special Commission, Technical Secretary Office of the Judiciary, PM, OCJC, staff of court offices with jurisdiction in	The application of the Corporate Model was optimized  Inter-institutional coordination was improved	In progress.

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	STATUS
		Team	Counterparts		
			corruption in the target areas of the Project	Criminal punishment of corruption cases has increased	
<b>Support systematization of the evaluation process for corruption cases</b>	September and ongoing during Years 2 and 3	Project Team		Transparency increased  The CPC management was optimized in conjunction with the corresponding resources	In Progress.

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterparts		
<b>OBJECTIVE 2- INCREASE THE CAPACITY OF THE JUDICIARY TO ADDRESS INTERNAL CORRUPTION</b>					
<b>Activity 1: Institute Institutional Integrity Model (IIM)</b>					
<b>Conduct integral base assessment for IIM implementation (laws related to ethics, merit systems, organizational culture, and disciplinary systems)</b>	October and ongoing	Project Team	MINJUS	IIM Diagnosis MINJUS	In progress
<b>Identify leaders within each institution to lead the conceptual design of the IIM</b>	October and ongoing	Project's Team, Short-term expert		IIM Diagnosis MINJUS	In progress
<b>Develop concept and workshops to introduce IIM and publication of training materials</b>	November and ongoing	Project's Team, Short-term expert		IIM implemented	In progress

ACTIVITY	TIMELINE	RESOURCES		EXPECTED PRODUCTS AND DELIVERABLES	Status
		Team	Counterparts		
<b>OBJECTIVE 3 - STRENGTHEN THE JUDICIAL SYSTEM'S CAPACITY TO ADDRESS CORRUPTION THROUGH EFFECTIVE CIVIL SOCIETY ENGAGEMENT</b>					
<b>Result 3.1: Civil society organizations contribute to increased public understanding of the criminal justice reform under the CPC</b>					
<b>Activity 1: Develop a Communications Strategy for increasing public understanding of criminal justice reform</b>					
<b>Develop a map of the existing NGO environment and related communication activities in terms of the CPC</b>	September– December 2013	Project Team	Special Commission for the Implementation of the CPC, Secretariats and Technical Teams of the PM, the Judiciary and the CSOs	Mapping of civil society organizations, including the identification of the activities being performed by them for the communication and education on the application of the CPC and their coordination levels in relation with the Technical Secretary Office of the Special Commission for the Implementation of the CPC (Lima and Amazonia)	In progress
<b>Coordinate with the Special Commission and the Technical Secretariat along with leaders/directors of relevant NGOs, law schools, professional associations, and media representatives to review current programs and strategies to increase public understanding of justice reform</b>	July –Year 1, 2 and 3	Project's Team, Short-term Expert in Communications, Grantees		Meetings with the Technical Secretary Office of the Special Commission to evaluate the existing programs and the design of the strategy to be used for raising the awareness of the citizens with regard to the reform in the justice sector	In progress
<b>Develop a Communications Strategy for increasing public understanding of criminal justice reform (*deliverable to USAID)</b>	July – December 2013	Project's Team, Short-term Expert in Communications, Grantees		Communications Strategy to improve the Citizens' Awareness with regard to the Reform of the Criminal Justice	In progress