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Free Trade Implementation Activity (FTIA)

Performance Management Plan and Monitoring and Evaluation Plan

January 2015

This publication was produced by Nathan Associates Inc. for review by the United States Agency for International Development.

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and Evaluation Plan

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Introduction

The Free Trade Implementation Activity, also known as USAID Facilitando Comercio, is a two-year trade facilitation project with three technical components: (1) customs policies and enforcement, (2) rules of origin, and (3) technical standards. The project has established a work plan for its first year of operation in coordination with USAID and stakeholders. The implementation of work plan activities is supported by the following Performance Monitoring Plan. The PMP provides a framework for capturing project results and output and outcome indicators at the activity level to measure progress towards project objectives.

USAID Facilitando Comercio will use quantitative (e.g., time release studies, index measurements) and qualitative (e.g., surveys, operational assessments, focus groups) instruments. This approach will give the project a real-time gauge of progress on institutional and policy reforms intended to strengthen trade facilitation and meet commitments made under the US-Colombia Trade Promotion Agreement (CTPA).

The PMP provides a monitoring and evaluation (M&E) methodology and an activity-level M&E plan with baseline data and targets. It also

- Presents logical and results frameworks that link activities to core objectives and USAID/Colombia's country strategy for promoting economic growth and inclusive and sustainable peace;
- Describes risks and assumptions that will shape the program's implementation strategy;
- Provides target outputs and outcome indicators with expected results; and
- Describes data collection and methods for measuring project progress.

USAID Facilitando Comercio will monitor progress and provide indicator data in its quarterly reports. The project will also update the PMP at the end of Year 1 to measure progress and include a revised M&E plan to capture activities programmed for Year 2. At the end of the project, the PMP will be updated again to illustrate the full two years of impact through indicators disaggregated per activity. Annual updates will be included as appendixes and will incorporate analysis of performance measures.

1. Results Frameworks

Objective

The program's objective is to continue strengthening Colombian institutions in order to facilitate trade and meet commitments made under the U.S.-Colombia Trade Promotion Agreement (CTPA). Building on progress made under the PATCB program, USAID Facilitando Comercio's technical assistance is designed to ultimately improve the ability of the Government of Colombia to clear imports in a timely manner and improve trader compliance. This, in turn, is expected to facilitate licit trade and promote economic growth under USAID/Colombia's strategic approach of reinforcing Colombia's long-term path out of conflict.

Expected Results

USAID Facilitando Comercio expects to attain a series of results under each component that will contribute to the project's objective. Activities are designed with a cause-and-effect logic to realize the following outcomes:

1. A reduction in the amount of time DIAN takes to process cargo imports, from the baseline of 216 hours and 31 minutes, through improved inspection practices, improved risk management, and use of advance rulings.
2. A reduction in the amount of time DIAN takes to process express deliveries, from the baseline range of 19–75 hours. Ultimately, the goal is to reduce the time to the CTPA requirement of 6 hours for all traders.
3. Improved understanding in the public and private sector of the application of rules of origin.
4. Improved logistical integration for the Ministry of Trade's Single Window Program/Port Community System.
5. Increased use of international standards in Colombia, by adoption, adaptation, or normative referencing.

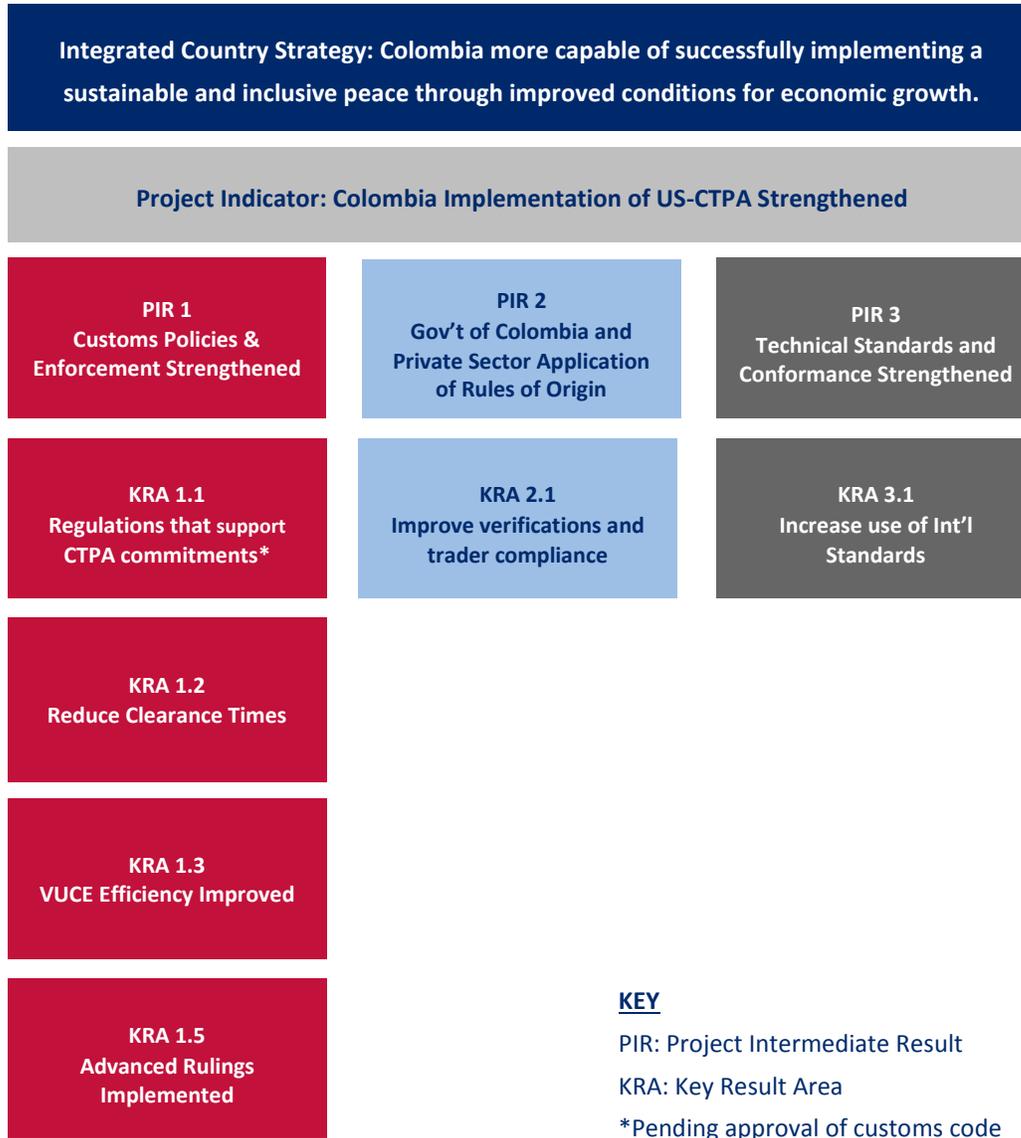
Logical Framework

The logical framework (Figure 1-1) presents the hierarchy for meeting the project's main goal. Each activity (Table 1-1) is designed to improve trade facilitation, and the impact of each will be measured quantitatively or qualitatively. The combined effects of the activities will be reflected in the indicators for intermediate results. Similar to the logic behind the project's three components, all the activities planned create a combined impact that will be measured in the overall outcome.

These outcomes are supported under the following framework, which identifies intermediate results and key result areas.

Figure 1-1

Logical Framework



Results Framework

Key result areas reflect project outcomes that will strengthen Colombia's ability to implement provisions in CTPA. The project's proposed activities will also support the goals of the U.S. Government's Trade Capacity Building assistance commitments in the CTPA (Chapter 20), the Standard Alliance Program, USAID's Country Development Cooperation Strategy, and will help Colombia meet its obligations under the World Trade Organization's Trade Facilitation Agreement.

The following results framework encompasses expected outcomes per component and tasks as detailed in the project's task order. USAID Facilitando Comercio has designed activities under each task to support expected outcomes. The framework also notes assumptions that will affect the project's success.

Table 1-1

Results Framework by Component and Key Result Area

Activities	Outputs (Deliverables & Milestones)	Outcomes/ Results	Assumptions
Objective: Contribute to Colombia's competitiveness and trade environment, so that more people can reap the benefits of open trade (Indicator: World Economic Forum Index)			
COMPONENT 1. STRENGTHEN GOC CUSTOMS POLICIES AND ENFORCEMENT PRACTICES			
Task C.4.1.1: Customs Code Revisions Implemented			
Assist DIAN in drafting regulation to support the new customs code. (Assistance will be demand-driven and depend on final approval of customs code.)	Regulations drafted. Workshops conducted on new customs procedures, pertinent guidance, and implementation.	Institutionalized regulations that support CTPA commitments; long-term: improved clearance operation	Collaborative input from DIAN All participating government authorities commit to regulatory reform and implementation of laws or policies necessary to achieve CTPA adherence.
Task C.4.1.2: Time Required to Release Goods Reduced			
Develop inspector training program Improve risk management system	DIAN officials trained Materials developed and integrated into DIAN's training program System and process recommendations Workshops and recommendations leading to action plans	Improved inspections and lower clearance time DIAN includes mandatory training in its institutional directive; Training Academy budget includes training program for inspectors. Improved Risk Management models implemented	DIAN commits time and resources DIAN provides timely feedback for material development CBP's training academy collaboration on developing training methodology DIAN focuses on trade facilitation rather than increasing control mechanisms
C.4.1.3: Single Window Efficiency Improved			
Help Dian integrate into VUCE Assist MinCIT with Port Community System (PCS) planning Assist MinCIT in developing national committee on trade facilitation	System and process integration recommendations Action plans and procurement guidelines NCTF meetings, number of institutions and associations participating	System integration measures implemented by DIAN MinCIT emits request for proposals for PCS design Increased cooperation between relevant government agencies and private sector MinCIT notifies WTO TFA committee of adherence to NCTF commitment	Willingness of key stakeholders Government counterparts secure financial resources to invest in single window and PCS Active engagement of relevant public and private actors

Activities	Outputs (Deliverables & Milestones)	Outcomes/ Results	Assumptions
C.4.1.4: Express Delivery Times Improved			
Help DIAN implement operations model designed by PATCB	Operational improvements	Reduction in clearance times for express deliveries Reduce number of goods scanned Adherence to CTPA commitments (e.g. categories)	Institutional support for regulatory and procedural reforms DIAN commits time and resources to implement new operational model. DIAN advances with implementation of Uni-Pass CMS
C.4.1.5 ADVANCE RULING USE IMPROVED			
Technical training on issuing rulings Implement appeal process for advance rulings	DIAN Technical Division and Legal Counsel Department trained on technical and procedural matters. Training or reference materials developed Process recommendations	Administrative acts emitted by DIAN establishing advance rulings process Publication of advance ruling forms on DIAN's website Rulings issued by DIAN adhering to recognized standards	Customs code gets approved and establishes advance rulings system or it gets established through a decree Commitment from DIAN's legal counsel department to establishing appeals process
COMPONENT 2. STRENGTHEN ABILITY TO APPLY RULES OF ORIGIN REQUIREMENTS UNDER CTPA			
Improve DIAN's enforcement of rules of origin Improve private sector compliance	DIAN officials trained Business representatives trained Training materials on verifications, accounting practices, production process and remanufactured parts.	Training material permanently incorporated into training platform through administrative acts Verifications conducted by DIAN with new guidelines Percentage increase in number of businesses that have incorporated standard accounting practices based on project guidelines Improved trader compliance	Engagement by DIAN and timely feedback to finalize manuals and guidelines Proexport's collaboration to reach private affiliates, and allocated resources for private sector outreach events
COMPONENT 3. IMPROVE TECHNICAL STANDARDS AND CONFORMANCE			
Conduct WTO Code of Good Practice workshop Assist with U.S. Food and Drug Administration's (FDA) Food Safety Modernization Act Compliance Coordinate between ICONTEC and U.S.-based standards developers	Workshops Reference guides Action plan for priority sectors Standards adopted Conformity assessment procedures adopted	Improved adherence to WTO and CTPA TBT Agreement Reduce technical barriers to trade through increased transparency Increased number of harmonized trade facilitating standards Sector action plans being implemented by sector-specific associations Commitments and administrative acts issued by Colombian entities resulting in improvements to local practices	Commitment of ICONTEC and MinCIT Interest of private sector in addressing standards development Interagency coordination FDA direct assistance in FSMA requirements

2. Risk and Assumptions

To achieve USAID Facilitando Comercio’s objectives, it is necessary to secure political will to implement reforms in the institutions at the forefront of the project’s activities. A basic priority is to maintain strong working relationships with the same key institutions throughout the life of the project in order to accomplish the desired results and maintain the logic of the intervention.

Another important aspect is to ensure regular communication with government officials and institutional staff at various levels—from high-level decision-makers to civil servants responsible for the day-to-day practical implementation. Changes in personnel, which can come with changes in priorities and levels of disposition, is a critical risk that can hinder activity implementation, especially considering the recent and ongoing changes in institutional leadership resulting from President Santos’ second term in office. Additional risks can result from limited institutional capacity to undertake reforms in a timely manner and with adequate resources. These risks and assumptions are listed in Table 2-1.

The project will also need to consider USAID/Colombia’s strategic objectives and be responsive to changes in bilateral trade policies. We will regularly coordinate with USAID/Colombia, the U.S. Embassy’s Foreign Commercial Office, and other relevant offices to anticipate any shifts in policy priorities.

Additionally, USAID Facilitando Comercio will foster coordination to leverage resources, when possible, and to avoid duplication of effort among programs or donors, and thus have value-added impact. Total public, private and community dollars mobilized by USG assistance for development purposes will be reported in the PMP as a Development Objective indicator (Table 3-2).

Table 2-1*Analysis of Risks and Assumptions*

Risks & Assumptions	Risk Avoidance	Risk Mitigation	Risk Reaction
Delay in final approval of the Customs Code	Promote drafting relevant regulation in preparation of the code's formal approval.	MinCIT has confirmed that if it is delayed further, a specific decree will be issued to assign DIAN relevant functions so as not to miss the CTPA deadline.	Support making advancements in this matter to reduce clearance times in the short- to mid-term under demand-driven technical assistance.
Delay in implementing system improvements due to the procurement of a new Customs Management System (Uni-Pass)	Assist DIAN develop IT applications that can easily be incorporated into new CMS.	Work with DIAN's IT department to ensure technical specifications of any IT tool developed can easily be migrated from one system to another.	Work with division directors to champion the development of IT tools to be developed so that progress can be made simultaneous to the acquisition and implementation of the new CMS.
Officials and personnel change	Build relationships with reliable career professionals and institutions. Foster a continuous relationship at the institutional level by means of memoranda of understanding/ letters of intent.	Disseminate information on project activities, commitments made, resources allocated, and results among new officials.	Develop good relationships with new authorities and gain political commitment for continuity.
Lack of commitment or political will to implement reforms	Disseminate information and build more awareness amongst high-level government offices (e.g. informing MinCIT FTA office of DIAN's CTPA short fallings).	Build alliances. Identify supporters and high-level champions across various government offices.	Strengthen private sector alliances to increase political will to implement reforms.
Change in government priorities over course of project	Build on existing areas of consensus (e.g. CTPA and WTO TFA).	Build alliances. Demonstrate effects.	Respond accordingly with demand-driven technical assistance within the context of the project's core objectives.
Competition and duplication of efforts among programs and donors	Coordinate efforts.	Find areas of cooperation and leverage resources for more comprehensive technical.	Refocus on efforts that complement other initiatives.

3. Indicators and Targets

USAID Facilitando Comercio will monitor progress of activities through two groups of indicators and targets: USAID common indicators and Facilitando Comercio project indicators. The first group consists of proposed indicators for USAID reporting, and the second group consists of indicators specified in the Task Order and customized indicators developed by the project to monitor intermediate results and impact. In both cases, indicators will collect quantitative information.

A key principle of the approach is to collect data involving gender and ethnic minorities. Therefore, USAID Facilitando Comercio's indicators will be disaggregated to the extent possible to provide information about possible issues on gender and minorities.

USAID Common Indicators

The suggested common indicators measure U.S. Government assistance directed at building trade capacity. The targets are proposed within the context of the project's design—allocated financial resources and specific objectives that require directing technical assistance to counterparts directly responsible for CTPA adherence. See Table 3-1. For USAID Facilitando Comercio, this translates to working primarily with DIAN and its officials at the central office in Bogota. While MinCIT and the private sector will also be beneficiaries of technical assistance, intervention is expected to be relatively limited.

USAID Facilitando Comercio Indicators

The indicators in tables 3-2 and 3-3 will be used to monitor and evaluate the project's progress in meeting the core objective of facilitating trade and improving adherence to the U.S.-Colombia Trade Promotion Agreement (CTPA). All key result areas for Components 1 and 2 have output indicators that contribute to the main outcome indicator of reduced customs clearance time. In addition to reduced clearance times, the project-specific indicators include the indicators mandated in the project's task order.

Several activities require institutional reform, and it often takes several years to measure the full impact of such activities. For example, it may take longer than the project's two-year period of performance before one can see the impact of inspector training and the new risk management system in time-release studies. DIAN, however, has prioritized the inspector training program and similar activities in order to address critical impediments to clearance operations. Despite the known time lag between activity and impact, the project will carry-out time-release studies near the end of Year 2 to measure DIAN's operations and provide findings that can be used to further advance trade facilitation.

The project anticipates clearance times to lower with the implementation of the new customs code and its relevant regulations, which includes trade facilitation measures recommended by the previous USAID project and regulatory recommendations made under the current project. Reduction in times, as noted below in Table 3-3, are proposed target indicators with the anticipation that the customs code will be passed with sufficient time to regulate and implement, and thus measure its impact within the project's period of performance.

The project has gathered project-specific baseline data on all indicators, which will also be supplemented by the World Economic Forum's Competitiveness indicators and World Bank's *Doing Business* indicators for trading across borders (see Table 3-4). The World Bank's measuring tools are centered on perception-based surveys, and the project will be using a time-stamp methodology. We believe it will be useful to compare indicators even if methodologies and instruments are different.

Table 3-1*USAID Common Indicators*

Common Indicator	Year 1 (2014-15) Target Results			Year 2 (2015-16) Target Results			Total Target Result		
	Men	Women	Total	Men	Women	Total	Men	Women	Total
Number of participants in USG-supported trade and investment capacity building training.			200			225			400
Number of legal, regulatory, or institutional actions taken to improve implementation or compliance with international trade and investment agreements as a result of USG assistance.			5			10			15
Number of institutions/ organizations undertaking capacity/competency strengthening as a result of USG assistance.			3			5			5

Table 3-2*Development Objective Indicator*

Common Indicator	Year 1 (2014-15) Target Results	Year 2 (2015-16) Target Results	Total Target Result
Total Public, Private and Community dollars mobilized by USG assistance for development purposes	10,000 USD	10,000 USD	20,000 USD

Table 3-3*Project-specific Indicators*

Indicator	Disaggregation	Date Source (frequency)	Data Collection Responsibility	Baseline	Target		
					Y1	Y2	LOP
COMPONENT 1							
Key Result 1.1: Customs Code Revisions							
No. of new customs code regulations drafted and adopted (pending approval of code)	N/A	Monitoring and progress reports	Customs Coordinator, M&E Expert	0		5	5
Key Result 1.2: Reduced Clearance Times							
Reduction in time needed for DIAN to process cargo imports	Import mechanism Parties involved in clearance process	Time release study	Customs Coordinator, M&E Expert	216 hours, 31 minutes	-	162hr, 39min	162hr, 39min (25% reduction)
Reduction in time needed for DIAN to process express deliveries	WCO categories for express deliveries Parties involved in clearance process	Time release study (yearly)	Customs Coordinator, M&E Expert	19–75 hours	-	Avg 23.5hrs	Avg. 23.5hrs (50%reduction)
No. of inspectors trained in new methodology	Gender and minority representation Region	Training Logs Monitoring and progress reports	COP, M&E Expert	0	-	50	50
Key Result 1.3: Single Window efficiency improved							
No. of action plans or guidelines developed and implemented to improve the single window	Platform, entity	Monitoring and progress reports	COP, M&E Expert	0	1	1	2
Key Result 1.5: Advance Rulings Improved							
No. of advance ruling forms published on DIAN's website	Type of ruling	Monitoring and progress reports	Customs Coordinator, M&E Expert	1	1	3	4
No. of officials trained on advance rulings	Gender and minority representation	Training logs Monitoring and progress reports	Customs Coordinator, M&E Expert	0	15	15	15
COMPONENT 2							
Key Result 2: Improved public and private understanding on the application of rules of origin							
No. of DIAN officials and private sector actors trained on origin practices	Gender, sector, public/private actor, and minority representation	Training logs	Customs Coordinator, M&E Expert	0	15	190	190
No. of reference guides or manuals updated or developed and integrated into training platform	Subject-area	Project	M&E Expert	0	1	1	2

Indicator	Disaggregation	Date Source (frequency)	Data Collection Responsibility	Baseline	Target		
					Y1	Y2	LOP
COMPONENT 3							
Key Result 3:1: Increase us of International Standards							
No. of international standards and/or practices adopted by INCONTEC	Sector, entity	Monitoring and progress reports	COP, M&E Expert	0	3	3	6

Table 3-4*Colombia Performance Reference Indicators*

Indicator	Disaggregation	Date Source (frequency)	Data Collection Responsibility	Baseline
Country Competitiveness Imports as a percentage of GDP	Country	World Economic Forum, <i>Global Competitiveness Report</i> (Yearly)	M&E Expert	66/144 (2014-15) 139/144 (2014-15)
Burden of customs procedure	Country	World Economic Forum (Yearly)	M&E Expert	3.7/7 (2013)
Efficiency of the clearance process	Country	Logistics Performance Index, World Bank (Every two years)	M&E Expert	64/160 (2014)
Trading Across Borders - number of days for import	Country	Doing Business, World Bank (Yearly)	M&E Expert	13 (2014)
Trading Across Borders - cost to import	Country	Doing Business, World Bank (Yearly)	M&E Expert	2.470 US/container (2015)

4. Monitoring Progress

USAID Facilitando Comercio will keep indicator data up to date and report on progress in its quarterly reports. The project's M&E methodology is further explained below and Performance Indicator Reference Sheets for USAID's common indicators and project-specific indicators are provided in Appendix A. Additional M&E indicators, which the project will be tracking to measure progress are included in Appendix B.

BASELINE DATA

PATCB conducted three time-release studies between 2012-2013 and qualitative assessments of DIAN's customs operation. These studies will serve as baseline data for USAID Facilitando Comercio. Over the course of the project, indicators will be reviewed to determine that they accurately measure progress and whether data with desired levels of disaggregation are consistently available.

The project will gather data directly and will fill data gaps by working with counterparts—DIAN, MinCIT, Proexport—to collect indicator information for each component and its expected results. Field investigations, including fact-finding workshops with stakeholders, may be used to reinforce knowledge gleaned from secondary sources. If needed, to supplement baseline studies, the project will

- ***Identify data sources.*** If existing data for an indicator suffices in measuring the degree and quality of change to be achieved—including desired levels of disaggregation—the project will identify sources from which data can be collected at periodic intervals, such as the World Bank's Trade Across Borders indicators.
- ***Obtain baselines for indicators without an established source.*** For indicators for which data are inadequate or not yet gathered, we will use random sampling to collect meaningful, cost-effective, and representative data against which to measure change. For example, data on private sector capacity and awareness, and information on gender and minorities.
- ***Establish a replicable survey methodology.*** Survey questionnaires will gather information for indicators for which there are currently no data available.

DATA COLLECTION

Data collection will entail finalizing data sources, identifying roles and responsibilities in the project team, and establishing frequency and timing of data collection and subsequent analysis.

Accurate and wide-ranging data collection at the outset will ensure that the M&E plan reflects baseline conditions.

USAID Facilitando Comercio will use various methods of collection (e.g., participatory as well as conventional, field-based, record or document based for the participatory groups). Methods for analyzing the indicators will be documented and their weaknesses, if any, discussed with USAID directly and highlighted in standard reporting mechanisms. The M&E plan's data will be quantitative and qualitative, guided by the program goals, and disaggregated by gender and socioeconomic groups where possible.

USAID Facilitando Comercio will coordinate with the mission-wide M&E contractor, other donors, and government agencies on joint data collection, and seek strategic alliances between USAID, other donors, and central authorities to save time and money. This approach will be useful in measuring progress in border management beyond DIAN's direct influence.

DATA REPORTING AND FEEDBACK

USAID Facilitando Comercio will submit weekly and quarterly reports on activities and performance to USAID. To facilitate reporting, activities and participants will be noted in a management system database. The project will maintain a schedule of briefings with USAID to cover activities, schedules, and monitoring results. Project staff will be readily available to explain results and measuring tools.

In communicating with USAID, the project will respond effectively, flexibly and promptly to manage implementation challenges. This may sometimes require adjusting strategies to achieve impact and minimize investment losses. Strategies will be closely coordinated with USAID to ensure agile response and minimal disruption of implementation.

USAID Facilitando Comercio will create an M&E database to track progress toward targets. All source documents will be kept in electronic files and be made accessible to USAID.

The project will manage information sharing with counterparts to demonstrate impact while protecting information stakeholders may deem confidential. Additionally, the project will disseminate public information and impact on beneficiaries through a bi-annual newsletter, and produce success-stories to highlight project achievements.

OVERSIGHT, QUALITY ASSURANCE, AND EVALUATION

To ensure compliance, the project's M&E Specialist will oversee data collection, compilation, and analysis. Data Quality Assessments (DQAs) will be conducted to confirm the validity and reliability of indicators, data integrity/collection methods, analytical processes, and reporting to USAID.

The project will work with M&E stakeholders to analyze progress and challenges quarterly. Plans of action for remedying immediate problems will be discussed with USAID and corrective measures taken at that time. Formal M&E will also be built into the work plans as scheduled events linked to the weekly, quarterly, and annual progress reports.

BUILDING LOCAL CAPACITY

A guiding principle for the project is to design technical assistance that ensures new capacities are put to use and help improve trade facilitation over the long-term. We will collaborate with local stakeholders and the private sector to promote trade facilitation that reduces costs and time to trade.

In addition, the project will transfer skills to stakeholders and build local capacity in data collection, management, and analysis through M&E activities (e.g., training DIAN on the time-release methodology). Such training and capacity building can be effective in shaping alliances and disseminating data that supports advocacy of trade facilitation. We will make every effort to align our monitoring systems with the abilities of local partners and beneficiaries, training them in collecting, analyzing and reporting data on indicators. Doing so will extend our results-based management approach to local processes, help ensure program sustainability, and promote cost efficiency in measuring program impact over the long-term

Trainees will require ample time to absorb information on indicators, data collection, statistics, and analytical tools. By validating the M&E plan with different groups, and involving those groups in data collection and dissemination, the output will be better suited to advocacy and institutionalization.

Appendix A. Performance Indicator Reference Sheets

Performance Indicator Reference Sheet			
Name of Indicator: Number of participants in USG supported trade capacity building trainings.			
Is this an Annual Report indicator? No ___ Yes <u>X</u> , for Reporting Year(s) 2015, 2016			
DESCRIPTION			
Precise Definition(s): Number of participants in trainings related to improving customs policies and enforcement practices, importer compliance, and technical standards			
Unit of Measure: Number of participants receiving assistance.			
Disaggregated by: men/women; regional department; institution/organization			
Justification & Management Utility: Common Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: The list and basic data of participants will be collected during each training event by USAID Facilitando Comercio.			
Data Source: USAID Facilitando Comercio's records.			
Method of data acquisition: USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: monthly			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: October 2014			
Validity: The indicator measures USAID efforts in generating capacities. Participants will mostly be public officials but there will also be private sector representatives and individuals			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Data is received 15 days after each training event and will be consolidated annually.			
Precision: Data represents the number of participants that receive training.			
Integrity: A staff member will be supervising the training events, assuring technical quality and the correct registration of participants.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: Second quarter FY 2015			
Procedures for Future Data Quality Assessments: Review report information			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio project staff and USAID annually			
Presentation of Data: Excel tables			
Review of Data: Annual review			
Reporting of Data: Annual Report			
OTHER NOTES			
Notes on Baselines/Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	220		
2016	225		
THIS SHEET LAST UPDATED ON: October 2014			

Performance Indicator Reference Sheet			
Name of Indicator: Number of legal, regulatory, or institutional actions taken to improve implementation or compliance with international trade agreements as a result of USG assistance			
Is this an Annual Report indicator? No <input type="checkbox"/> Yes <input checked="" type="checkbox"/> , for Reporting Year(s) 2015, 2016			
DESCRIPTION			
Precise Definition(s): Number of legal, regulatory, or institutional actions taken to improve implementation or compliance with international trade agreements partly or fully due to analysis or advocacy by USG-assisted organizations; includes manuals / guidelines, automation of procedures and changes in regulations.			
Unit of Measure: Number of actions.			
Disaggregated by: no disaggregation.			
Justification & Management Utility: Common Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be collected internally as activities are concluded and results are analyzed.			
Data Source: USAID Facilitando Comercio's records.			
Method of data acquisition: USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: monthly			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: October 2014			
Validity: The indicator measures USAID efforts to improve enforcement of laws and increase efficiency in public institutions oriented to comply with international agreements.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Actions are taken into account once the reform / improvement is fully implemented.			
Precision: Data represents the number of actions implemented by the Program.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained in every activity.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: Second quarter FY2015			
Procedures for Future Data Quality Assessments: Annual reports			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually			
Presentation of Data: Excel tables			
Review of Data: Annual Review			
Reporting of Data: Annual Report			
OTHER NOTES			
Notes on Baselines/Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	5		
2016	10		
THIS SHEET LAST UPDATED ON: October 2014			

Performance Indicator Reference Sheet

Name of Indicator: Number of institutions / organizations whose capacity / competency was strengthened as a result of U.S. assistance.

Is this an Annual Report indicator? No ___ Yes X, for Reporting Year(s) 2015, 2016

DESCRIPTION

Precise Definition(s): Number of institutions / organizations whose capacity / competency were strengthened.

Unit of Measure: Institutions / organizations

Disaggregated by: no disaggregation.

Justification & Management Utility: Common Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: Data will be collected internally as activities are concluded and results are analyzed.

Data Source: USAID Facilitando Comercio's records.

Method of data acquisition: USAID Facilitando Comercio will compile the data and send it annually to USAID.

Frequency and timing of data acquisition: monthly

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: M&E Expert

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: October 2014

Validity: The indicator measures USAID efforts to strengthen institutional capacity / competency related to trade.

Reliability: Indicator is objective and consistent over time.

Timeliness: Institutions / organizations are taken into account once activities are completed.

Precision: Data represents the number of institutions/ organizations assisted by the Program.

Integrity: USAID Facilitando Comercio staff will validate the results obtained in every activity.

Known Data Limitations and Significance (if any): None

Actions Taken or Planned to Address Data Limitations: None

Date of Future Data Quality Assessments: Second quarter FY 2015

Procedures for Future Data Quality Assessments: Annual reports

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually

Presentation of Data: Excel tables

Review of Data: Annual Review

Reporting of Data: Annual Report.

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015	3		
2016	5		

THIS SHEET LAST UPDATED ON: October 2014

Performance Indicator Reference Sheet			
Name of Indicator: Total Public, Private and Community dollars mobilized by USG assistance for development purposes.			
Is this an Annual Report indicator? No ___ Yes <u>X</u> , for Reporting Year(s) 2015, 2016			
DESCRIPTION			
Precise Definition(s): Invested sum of money by public or private actors in the development of the Project's activities.			
Unit of Measure: United States Dollars			
Disaggregated by: Public and private sector.			
Justification & Management Utility: Development Objective Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be provided by counterparts of the Project			
Data Source: Counterparts budget			
Method of data acquisition: USAID Facilitando Comercio will compile the data directly from counterparts.			
Frequency and timing of data acquisition: Quarterly			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: February 2015			
Validity: The indicator measures USAID efforts to foster coordination to leverage resources that support the sustainability of the Project's goals.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Budget is taken into account once activities are completed.			
Precision: Data represents the invested sum of money.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained in every activity.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: Second quarter FY 2015			
Procedures for Future Data Quality Assessments: Annual reports			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually			
Presentation of Data: Excel tables			
Review of Data: Annual Review			
Reporting of Data: Annual Report.			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	10,000 USD	0	
2016	10,000 USD	0	
THIS SHEET LAST UPDATED ON: January 2015			

Performance Indicator Reference Sheet			
Name of Indicator: Reduction in time needed for DIAN to process cargo imports			
Is this an Annual Report indicator? No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> , for Reporting Year 2016			
DESCRIPTION			
Precise Definition(s): Reduction in the amount of time DIAN takes to process cargo imports, taking into account the baseline of 216 hours and 31 minutes measured in the PATCB.			
Unit of Measure: hours			
Disaggregated by: Import mechanism, parties involved in clearance process			
Justification & Management Utility: Project-specific Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be collected and processed in the last quarter of Y2.			
Data Source: Time release study			
Method of data acquisition: Base on field work and database provided by DIAN.			
Frequency and timing of data acquisition: Time release study will be carried out in the last quarter of Y2.			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: Customs Coordinator and M&E Expert.			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: May 2016			
Validity: The indicator measures reduction in time required for DIAN to process cargo and offers an approximation to the impact of the FTIA's technical assistance.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Time release study is taken into account once activities are completed.			
Precision: Data represents reduction in time needed for DIAN to process cargo imports according to the import mechanism and stakeholders.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained.			
Known Data Limitations and Significance (if any): Availability and timeliness of the database provided by DIAN.			
Actions Taken or Planned to Address Data Limitations: Ensure that database will be required with enough time in advance			
Date of Future Data Quality Assessments: Second quarter FY 2016			
Procedures for Future Data Quality Assessments: Review report information			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and DIAN			
Presentation of Data: Excel tables			
Review of Data: Annual Review			
Reporting of Data: Annual M&E report			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015			
2016	162hr, 39 min (25% reduction)	216 hours and 31 minutes	According to estimated impact that the customs code modifications and inspectors training can have on clearance times.

THIS SHEET LAST UPDATED ON: December 2014

Performance Indicator Reference Sheet

Name of Indicator: Reduction in time needed for DIAN to process express deliveries

Is this an Annual Report indicator? No Yes , for Reporting Year 2016

DESCRIPTION

Precise Definition(s): Reduction in the amount of time DIAN takes to process express deliveries, from the baseline range of 19–75 hours.

Unit of Measure: hours

Disaggregated by: WCO categories for express deliveries, Parties involved in clearance process

Justification & Management Utility: Project-specific Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: Data will be collected and processed in the last quarter of Y2.

Data Source: Time release study

Method of data acquisition: Base on field work and database provided by DIAN.

Frequency and timing of data acquisition: Time release study will be carried out in the last quarter of Y2.

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: Customs Coordinator and M&E Expert.

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: May 2016

Validity: The indicator measures reduction in time required (for DIAN) to process express deliveries and offers an approximation to the impact of the FTIA's technical assistance.

Reliability: Indicator is objective and consistent over time.

Timeliness: Time release study is taken into account once activities are completed.

Precision: Data represents reduction in time needed for DIAN to process express deliveries according to the WCO mechanism and stakeholders.

Integrity: USAID Facilitando Comercio staff will validate the results obtained.

Known Data Limitations and Significance (if any): Availability and timeliness of the database provided by DIAN.

Actions Taken or Planned to Address Data Limitations: Ensure that database will be required with enough time in advance

Date of Future Data Quality Assessments: Second quarter FY 2016

Procedures for Future Data Quality Assessments: Review report information

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and DIAN

Presentation of Data: Excel tables

Review of Data: Annual Review

Reporting of Data: Annual M&E report

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015			
2016	Average 23.5hr. (50% reduction)	Average 47 hr. (19-75 hours)	According to estimated impact that the customs code modifications and inspectors training can have on clearance times.

THIS SHEET LAST UPDATED ON: December 2014

Performance Indicator Reference Sheet			
Name of Indicator: No. of new customs code regulations drafted and adopted (pending approval of code).			
Is this an Annual Report indicator? No <input checked="" type="checkbox"/> Yes <input type="checkbox"/> , for Reporting Years 2015, 2016			
DESCRIPTION			
Precise Definition(s): Number of regulations to be included in Colombian customs code in order to improve implementation or compliance with FTA partly or fully due to analysis or advocacy by USG-assisted organizations.			
Unit of Measure: Number of regulations			
Disaggregated by: No disaggregation			
Justification & Management Utility: Project-specific Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be collected internally as activities are concluded and results are analyzed.			
Data Source: USAID Facilitando Comercio's records, information disclosed by DIAN.			
Method of data acquisition: USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: Annual			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: Customs Coordinator and M&E Expert.			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: July 2015			
Validity: The indicator measures USAID efforts to increase efficiency in public institutions oriented to comply with international agreements.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Actions are taken into account once the reform / improvement is fully implemented.			
Precision: Data represents no. of regulations drafted and adopted in the new Colombian customs code.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained.			
Known Data Limitations and Significance (if any): Timeliness in issuing of the new code, political will.			
Actions Taken or Planned to Address Data Limitations: Promote drafting relevant regulation in preparation of the code's formal approval.			
Date of Future Data Quality Assessments: First quarter FY 2			
Procedures for Future Data Quality Assessments: Monthly from Y2			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and DIAN			
Presentation of Data: Reports			
Review of Data: Monthly from second year.			
Reporting of Data: Monthly from second year			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015			
2016	5	0	Pending final approval of customs code.
THIS SHEET LAST UPDATED ON: December 2014			

Performance Indicator Reference Sheet

Name of Indicator: No. of inspectors trained in new methodology

Is this an Annual Report indicator? No ___ Yes X, for Reporting Year(s) 2015, 2016

DESCRIPTION

Precise Definition(s): No. of inspectors involved in cargo import and express deliveries trained in new methodology of inspections.

Unit of Measure: No. of inspectors receiving training

Disaggregated by: Gender and minority representation, region

Justification & Management Utility: Project-specific Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: The list and basic data of participants will be collected during each training event by USAID Facilitando Comercio.

Data Source: Training Logs Monitoring and progress reports

Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.

Frequency and timing of data acquisition: Monthly

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: COP, M&E Expert

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: July 2015

Validity: The indicator measures USAID efforts in generating capacities in DIAN.

Reliability: Indicator is objective and consistent over time.

Timeliness: Data is received 15 days after each training event and will be consolidated annually.

Precision: Data represents the number of participants that receive training.

Integrity: A staff member will be supervising the training events, assuring technical quality and the correct registration of participants.

Known Data Limitations and Significance (if any): None

Actions Taken or Planned to Address Data Limitations: None

Date of Future Data Quality Assessments: First quarter FY 2015

Procedures for Future Data Quality Assessments: Review report information

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and DIAN

Presentation of Data: Excel Tables

Review of Data: Annual review

Reporting of Data: Annual report

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015			
2016	50	0	

THIS SHEET LAST UPDATED ON: December 2014

Performance Indicator Reference Sheet			
Name of Indicator: No. of action plans or guidelines developed and implemented to improve the single window			
Is this an Annual Report indicator? No ___ Yes <u>X</u> , for Reporting Years 2015, 2016			
DESCRIPTION			
Precise Definition(s): No. of action plans or guidelines developed and implemented to improve efficiency of the single window due to analysis or advocacy by USG-assisted organizations.			
Unit of Measure: Number of actions plans or guidelines.			
Disaggregated by: Platforms, entity.			
Justification & Management Utility: Project-specific Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be collected internally as activities are concluded and results are analyzed.			
Data Source: USAID Facilitando Comercio's records.			
Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: Monthly			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: COP, M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: January 2015			
Validity: The indicator measures USAID efforts to improve efficiency of single window.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Actions are taken into account once the single window is redesign.			
Precision: Data represents the number of actions implemented by the Program.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: Monthly			
Procedures for Future Data Quality Assessments: Monthly			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually			
Presentation of Data: Excel data			
Review of Data: Annual Review			
Reporting of Data: Annual Report			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	1	0	
2016	1	0	
THIS SHEET LAST UPDATED ON: December 2014			

Performance Indicator Reference Sheet

Name of Indicator: No. of advance ruling forms published on DIAN's website

Is this an Annual Report indicator? No ___ Yes X, for Reporting Years 2015, 2016

DESCRIPTION

Precise Definition(s): According to chapter six of the FTA, DIAN must publish in its website information about customs procedures. This indicator measures number of advance ruling forms published on DIAN's website.

Unit of Measure: Number of advances rulings.

Disaggregated by: Type of ruling.

Justification & Management Utility: Project-specific Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: Monitoring and progress report.

Data Source: DIAN website.

Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.

Frequency and timing of data acquisition: Monthly

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: Customs coordinator, M&E Expert

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: January 2015

Validity: The indicator measures DIAN compliance of the chapter six, article 6.1 of the FTIA.

Reliability: Indicator is objective and consistent over time.

Timeliness: Monthly

Precision: Data represents the number of advance ruling published in DIAN website

Integrity: USAID Facilitando Comercio staff will validate the results obtained.

Known Data Limitations and Significance (if any): None

Actions Taken or Planned to Address Data Limitations: None

Date of Future Data Quality Assessments: Monthly

Procedures for Future Data Quality Assessments: Monthly

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually

Presentation of Data: Excel data

Review of Data: Annual Review

Reporting of Data: Annual Report

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015	2	1	
2016	2	0	

THIS SHEET LAST UPDATED ON: December 2014

Performance Indicator Reference Sheet			
Name of Indicator: No. of officials trained on advance rulings			
Is this an Annual Report indicator? No ___ Yes <u>X</u> , for Reporting Years 2015, 2016			
DESCRIPTION			
Precise Definition(s): Number of participants in trainings related to advance rulings			
Unit of Measure: Number of official receiving assistance.			
Disaggregated by: Gender and minority representation.			
Justification & Management Utility: Project-specific Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: The list and basic data of participants will be collected during each training event by USAID Facilitando Comercio.			
Data Source: Training logs, monitoring and progress report.			
Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: Monthly			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: Customs coordinator, M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: January 2015			
Validity: The indicator measures USAID efforts in generating capacities in DIAN's inspectors.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Data is received 15 days after each training event and will be consolidated annually.			
Precision: Data represents the number of inspectors trained in advance rulings.			
Integrity: A staff member will be supervising the training events, assuring technical quality and the correct registration of participants.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: First quarter FY 2015			
Procedures for Future Data Quality Assessments: Review report information			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually			
Presentation of Data: Excel tables			
Review of Data: Annual Review			
Reporting of Data: Annual Report			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	15	0	
2016	15	0	
THIS SHEET LAST UPDATED ON: December 2014			

Performance Indicator Reference Sheet

Name of Indicator: No. DIAN officials and private sector actors trained in origin practices

Is this an Annual Report indicator? No ___ Yes X, for Reporting Years 2015, 2016

DESCRIPTION

Precise Definition(s): Number of participants from private and public sector in trainings related to origin practices.

Unit of Measure: Number of DIAN official and private actors receiving training.

Disaggregated by: Public/private, sector, gender and minority representation.

Justification & Management Utility: Project-specific Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: The list and basic data of participants will be collected during each training event by USAID Facilitando Comercio.

Data Source: Training logs.

Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.

Frequency and timing of data acquisition: Monthly

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: Customs coordinator, M&E Expert

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: January 2015

Validity: The indicator measures USAID efforts in generating capacities. Participants will be public officials and private sector representatives and individuals.

Reliability: Indicator is objective and consistent over time.

Timeliness: Data is received 15 days after each training event and will be consolidated annually.

Precision: Data represents the number of actors trained in origin practices

Integrity: A staff member will be supervising the training events, assuring technical quality and the correct registration of participants.

Known Data Limitations and Significance (if any): None

Actions Taken or Planned to Address Data Limitations: None

Date of Future Data Quality Assessments: First quarter FY 2015

Procedures for Future Data Quality Assessments: Review report information

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually

Presentation of Data: Excel tables

Review of Data: Annual Review

Reporting of Data: Annual Report

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015	15	0	
2016	175	0	

THIS SHEET LAST UPDATED ON: December 2014

Performance Indicator Reference Sheet			
Name of Indicator: No. of origin reference guides or manuals integrated into training platform			
Is this an Annual Report indicator? No ___ Yes <u>X</u> , for Reporting Years 2015, 2016			
DESCRIPTION			
Precise Definition(s): No. of reference guides or manuals updated or developed and integrated into training platform			
Unit of Measure: Number of reference guides or manuals.			
Disaggregated by: Subject-area			
Justification & Management Utility: Project-specific Indicator			
PLAN FOR DATA ACQUISITION BY USAID			
Data collection method: Data will be collected internally as activities are concluded and results are analyzed.			
Data Source: USAID Facilitando Comercio's records.			
Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.			
Frequency and timing of data acquisition: Annually			
Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff			
Individual responsible at USAID: TBD			
Individual responsible for providing data to USAID: M&E Expert			
Location of Data Storage: M&E Program			
DATA QUALITY ISSUES			
Date of Initial Data Quality Assessment: January 2015			
Validity: The indicator measures USAID efforts to improve understanding in the application of rules of origin.			
Reliability: Indicator is objective and consistent over time.			
Timeliness: Guides and manuals will be taken into account once they are integrate to platform			
Precision: Data represents the number of guides and manuals updated or developed by the Program.			
Integrity: USAID Facilitando Comercio staff will validate the results obtained.			
Known Data Limitations and Significance (if any): None			
Actions Taken or Planned to Address Data Limitations: None			
Date of Future Data Quality Assessments: First quarter FY2015			
Procedures for Future Data Quality Assessments: Monthly			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually			
Presentation of Data: Excel tables			
Review of Data: Annual Review			
Reporting of Data: Annual Report			
OTHER NOTES			
Notes on Baselines /Targets:			
Other Notes:			
PERFORMANCE INDICATOR VALUES			
Year	Target	Actual	Notes
2015	1	0	
2016	1	0	
THIS SHEET LAST UPDATED ON: December 2014			

Performance Indicator Reference Sheet

Name of Indicator: No. of international standards and/or practices adopted by INCONTEC

Is this an Annual Report indicator? No ___ Yes X, for Reporting Years 2015, 2016

DESCRIPTION

Precise Definition(s): No. of international standards and/or practices adopted by INCONTEC due to analysis or advocacy by USG-assisted organizations

Unit of Measure: Number of international standards and practices.

Disaggregated by: Sector, entity

Justification & Management Utility: Project-specific Indicator

PLAN FOR DATA ACQUISITION BY USAID

Data collection method: Data will be collected internally as activities are concluded and results are analyzed.

Data Source: USAID Facilitando Comercio's records, monitoring and progress reports.

Method of data acquisition USAID Facilitando Comercio will compile the data and send it annually to USAID.

Frequency and timing of data acquisition: Annually

Estimated Cost of Data Acquisition: Level of Effort USAID Facilitando Comercio's Program staff

Individual responsible at USAID: TBD

Individual responsible for providing data to USAID: COP, M&E Expert

Location of Data Storage: M&E Program

DATA QUALITY ISSUES

Date of Initial Data Quality Assessment: January 2015

Validity: The indicator measures USAID – AINSI efforts to foster implementation and adoptions of international standards practices.

Reliability: Indicator is objective and consistent over time.

Timeliness: Information will be provided according to progress of the activities

Precision: Data represents the number of guides and manuals updated or developed by the Program.

Integrity: USAID Facilitando Comercio staff will validate the results obtained.

Known Data Limitations and Significance (if any): None

Actions Taken or Planned to Address Data Limitations: None

Date of Future Data Quality Assessments: Annually

Procedures for Future Data Quality Assessments: Monthly

PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING

Data Analysis: Analyzed by USAID Facilitando Comercio's Program Coordinator and USAID annually

Presentation of Data: Excel tables.

Review of Data: Annual Review

Reporting of Data: Annual Report

OTHER NOTES

Notes on Baselines /Targets:

Other Notes:

PERFORMANCE INDICATOR VALUES

Year	Target	Actual	Notes
2015	3	0	
2016	3	0	

THIS SHEET LAST UPDATED ON: December 2014

Appendix B. Additional Monitoring and Evaluation Indicators

Table B-1*Additional Monitoring and Evaluation Indicators*

Indicator	Disaggregation	Date Source (frequency)	Data Collection Responsibility	Baseline	Target		
					Y1	Y2	LOP
Objective: Contribute to Colombia's competitiveness and trade environment, so that more people can reap the benefits of open trade							
Key Result 1.2: Reduced Cargo Clearance Times							
No of e-courses developed and integrated into DIAN's training platform	N/A	Monitoring and progress reports	COP, M&E Expert	0	-	1	1
No. of improvements adopted by risk management system	Risk type	Monitoring and progress reports	Customs Coordinator, M&E Expert	0	1	1	2
Key Result 1.3: Single Window efficiency improved							
No. of NCTF meetings or workshops	Gender and minority representation	Participation lists Monitoring and progress reports	COP, M&E Expert	0	2	2	4
Key Result 1.4: Reduced Express Delivery Clearance Times							
No. of recommendations for express delivery model implemented	Port of entry Entity	Monitoring and progress reports	Customs Coordinator M&E Expert	0	1	3	4
Key Result 1.5: Advance Rulings Improved							
No of supporting procedures implemented	Gender and minority representation	Monitoring and progress reports	Customs Coordinator, M&E Expert	0	2	2	4
No. of private sector outreach activities to promote advance rulings	Gender and minority representation	Participants list Monitoring and progress reports	M&E Expert	1	0	3	3
COMPONENT 2							
Key Result 2: Improved public and private understanding on the application of rules of origin							
No. of private businesses with improved practices	Sector, gender and minority representation	Surveys	Customs Coordinator, M&E Expert	0		50%	50% of firms improved
No. of verifications conducted with new guidelines	Sector, country	Monitoring and progress reports	Customs Coordinator, M&E Expert	0	-	2	2
COMPONENT 3							

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APPENDIX

Indicator	Disaggregation	Date Source (frequency)	Data Collection Responsibility	Baseline	Target		
					Y1	Y2	LOP
Key Result 3:1: Increase us of International Standards							
No. of public and private sector individuals trained on standards development and adoption	Sector Gender and minority representation	Training logs	COP, M&E Expert	0	150	25	175
No. of sector action plans developed for FSMA adherence	Sector, gender and minority representation	Monitoring and progress reports	COP, M&E Expert	0	-	2	2