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Governance for Inclusive Growth (GIG)

Quarterly Performance Report (QPR) #4 (Q1/FY15)

October 1 – December 31, 2014

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(HQ Online)- Tối 12-12, tại TP.HCM, Cơ quan Phát triển Quốc tế Hoa Kỳ (USAID) cùng Phòng Thương mại Hoa Kỳ tại Việt Nam và Phòng Thương mại và Công nghiệp Việt Nam (VCCI) chính thức kí kết bản ghi nhớ thành lập Liên minh Thuận lợi hóa Thương mại Việt Nam (VTFA).

Đại diện các bên kí kết bản ghi nhớ.

Cover Page Photo: News clipping from ‘Bao Hai Quan’ (Customs Newspaper). Anne Aarnes, USAID’s Acting Assistant Administrator for Asia, joins the American Chamber of Commerce and the Vietnam Chamber of Commerce and Industry to launch the Vietnam Trade Facilitation Alliance on December 12, 2014. Photo by: USAID/GIG

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ABBREVIATIONS AND ACRONYMS

AC	Anti-corruption
AD	Administrative decisions
ADB	Asian Development Bank
APCA	Administrative Procedure Control Agency
APD	Academy for Policy Development, MPI
APS	Annual Program Statement
AWP	Annual Work Plan
BCI	Business Consortium for Innovation
CCRD	Center for Rural Communities Research and Development
CDI	Center for Development and Integration
CDP	Counterpart direct procurement
CEPEW	Centre for Education Promotion and Empowerment of Women
CFAW	Committee for the Advancement of Women
CIDA	Canadian Foreign Affairs, Trade and Development Canada
CIEM	Central Institute for Economic Management
CITES	Convention on International Trade in Endangered Species
CPP	Contract Performance Plan
CSIP	Center for Social Innovation Promotion
CSO	Civil society organization
CWT	Combatting wildlife trafficking
DFAT	Department of Foreign Affairs and Trade, Australia
DQA	Data quality assessment
DTA	Direct technical assistance
ESTH	Environment, Science, Technology, and Health, US Embassy
EU	European Union
FARA	Fixed-amount Reimbursement Agreement
FTA	Free trade agreement
FPT	VN private ICT company
GAO	Government Accountability Office
GDA	Global Development Alliance
GDT	General Department of Taxation
GDVC	General Department of Vietnam Customs, MOF
GIG	Governance for Inclusive Growth
GPARG	Cooperation Group for Governance and Public Administration Reform
GSO	General Statistics Office, MPI
GUC	Grant under contract
GVN	Government of Vietnam
HCMC	Hi Chi Minh City
HLU	Hanoi Law University
HNEW	Hanoi Association of Women Entrepreneurs
IFC	International Finance Corporation
IIE	Institute for International Education
ILO	International Labour Organisation
iSee	Institute for Studies of Society, Economics, and Environment
ISS	Instant Support System
JICA	Japan International Cooperation Agency
KRA	Key result area

LAI	Law on Access to Information
LANDA	Land Alliance
LERES	Center for legal research and Services
LGBT	Lesbian, gay, bisexual, and transgender
LND	Legal normative document
M&E	Monitoring and evaluation
MARD	Ministry of Agriculture and Rural Development
MIC	Ministry of Information and Communications
MOF	Ministry of Finance
MOIT	Ministry of Industry and Trade
MOJ	Ministry of Justice
MOLISA	Ministry of Labor, Invalids, and Social Affairs
MPI	Ministry of Planning and Investment
MP	Member of parliament
MPS	Ministry of Public Security
MUTRAP	Trade Policy and Investment Support Project, EU
NA	National Assembly
NASC	National Assembly Standing Committee
NGO	Nongovernmental organization
OD	Organizational development
OOG	Office of the Government
OSC	Overseas Strategic Consulting
PCD	Partner Capacity Development program, USAID
PCI	Provincial Competitiveness Index
PIRS	Performance indicators reference sheets
PLHIV	People living with HIV
PM	Prime Minister
PMP	Performance Monitoring Plan
PMU	Program Management Unit
PPA	Public Procurement Agency
PPP	Public-private partnership
PPWG	People's Participation Working Group
QPR	Quarterly Performance Report
RFA	Request for applications
RFP	Request for proposals
RIA	Regulatory impact assessment
ROO	Rules of origin
SAV	State Audit of Vietnam
SBL	State Budget Law
SME	Small and medium enterprise
SO	Social organization
SOE	State-owned enterprise
SOW	Scope of work
STTA	Short-term technical assistance
TA	Technical assistance
TBT	Technical barrier to trade
TF	Trade facilitation
TFA	Trade Facilitation Agreement
TOT	Training of trainers
TPLC	Tulane Public Law Center at Tulane University
TPP	Trans-Pacific Partnership

UNDP	United Nations Development Programme
USAID	United States Agency for International Development
USG	United States Government
USTR	United States Trade Representative
VBF	Vietnam Business Forum
VCCI	Vietnam Chamber of Commerce and Industry
VSS	Vietnam Social Security
VJA	Vietnam Journalists Association
WVEC	Vietnam Women Entrepreneurs Council
VWU	Vietnam Women's Union
WB	World Bank
WCO	World Customs Organization
WEN	Wildlife Enforcement Network
WTO	World Trade Organization

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INTRODUCTION

Driven by USAID's Country Development Cooperation Strategy for Vietnam 2014-2018, the USAID Governance for Inclusive Growth (GIG) program is USAID's primary activity for cooperation with the public and private sectors in Vietnam. The program aims to enhance areas of governance expected to facilitate broader-based growth, with an emphasis on the legal framework and systems for accountability. Toward this goal and led by our prime counterpart, Ministry of Justice (MOJ), GIG supports the mandates of six key counterparts: MOJ, Ministry of Industry and Trade (MOIT), National Assembly (NA), Ministry of Finance (MOF), State Audit Office of Vietnam (SAV), and Ministry of Planning and Investment (MPI).

Working at the intersection of economic growth, governance, and inclusion, GIG works in three distinct but integrated technical areas. These are:

- Component 1: Improving legal and regulatory frameworks through a dynamic, inclusive policy-making process.
- Component 2: Improving accountability of public institutions.
- Component 3: Improving inclusion and equality for marginalized groups.

This quarterly report describes significant impacts and key events for each of these technical areas during the period of October 1 through December 31, 2014, which is USAID's Quarter 1 (Q1) of fiscal year (FY) 2015. This report also reflects on challenges and opportunities encountered during the course of implementation and looks to forthcoming activities in the second quarter of GIG Year 2.

GIG had significant accomplishments across multiple components and activities during the reporting period. The program helped Vietnam realize major gains in regulatory efficiency and competitiveness through implementation of the GVN's Resolution 19 – gains that will save business hundreds of millions of dollars in costs. In the area of inclusive growth and governance, GIG brought together government, civil society organizations (CSOs), and business stakeholders to craft and incorporate changes in several laws, including the Law on Administrative Decisions (LAD), Vietnam's broad-ranging Civil Code, and the State Budget Law (SBL). The new and revised draft language in these key laws also now more effectively addresses the needs of women, ethnic minorities, and the lesbian, gay, bisexual, and transgender (LGBT) community.

In achieving these results and implementing many other initiatives, GIG worked intensively during this report period with the PMU and all six GVN counterpart agencies to implement the approved Year 2 AWP (signed by the Steering Committee chair, Vice Minister of Justice Nguyen Khanh Ngoc, on November 25, 2014).

GIG also rolled out its grants program around the country by issuing its first Annual Program Statement (APS), which is an open call for concept papers from non-

governmental organizations. It is anticipated that this APS will result in numerous creative approaches to achieve GIG civil-society objectives and generate grant ideas that will be realized in Year 2 and beyond.

Throughout Q1/FY15, GIG personnel continued regular interactions with USG agencies – involving and facilitated by USAID – across a range of policy and process issues that align with the program’s Annual Work Plan for Year 2. These agencies included USAID/Washington, US Trade Representative, US Customs and Border Patrol, US Fish and Wildlife Service, Department of Labor, and others.

The details of the above activities and their results are described in this Q1/FY15 report.

SECTION I: PROGRAM IMPLEMENTATION

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS

Component 1 works in close coordination with Components 2 and 3 to support GVN counterparts to improve legal and regulatory frameworks by identifying challenges, strengthening public consultation and engagement, and improving capacity for drafting, debating, and enactment.

COMPONENT 1: SIGNIFICANT IMPACTS IN Q1/FY15

During the reporting period, GIG continued to focus its support on significant reforms that produce tangible results. Significant impacts during the quarter are described here.

Taking advantage of the reform momentum generated by Resolution 19, which has become the GVN's flagship regulatory reform initiative to improve the business environment and competitiveness, GIG intensified support for implementation of Resolution 19. With GIG support in providing expert advice and organizing field trips and consultations, The General Department of Taxation (GDT) and Vietnamese Social Security (VSS) adopted for the first time omnibus rules amending a number of regulations to reduce time for paying taxes by 186 hours or savings of US\$135 million for businesses annually¹.

GIG worked with VSS leadership to shape this reform, and VSS staff participated in three field trips organized by GIG and the Central Institute for Economic Management (CIEM) that covered the following locations: Ho Chi Minh City (HCMC), Dong Nai, Binh Duong, Hue, Danang, Bac Ninh, Quang Ninh, and Hai Phong. During these meetings, VSS, GIG staff, and technical experts discussed current practices and issues with local VSS offices and businesses. Findings from these visits informed and inspired the development of Decision 1080. GIG helped broker a partnership between GDT and VSS for technology transfer and assistance to develop an e-filing system, which is expected to come online in June 2015 and reduce time further for paying tax.

Following a GIG workshop in July 2014, where Electricity of Vietnam (EVN) presented steps to reduce time for getting electricity, MOIT adopted Circular 33/2014/TT-BCT on October 10, 2014, to reduce time for getting electricity from 115 days to 99 days. EVN

¹ These savings were estimated based on a formula developed by GIG and local tax experts who participated in a technical workshop in July 2014. Assumptions and calculations can be found at <https://docs.google.com/a/vietnamgig.com/spreadsheets/d/18VgUHlu52MBNdRNEw7mU5hyUmL-k48AEhSTLC4MEdsA/edit#gid=1961219633>. Decree 91/2014/ND-CP on October 1, 2014, amending four decrees and thus slashing time required to pay taxes by 88 hours per year, or \$63 million per year for businesses. Decision 1080/QĐ-BHXH, dated October 10, 2014, to reduce time required to pay mandatory social insurance by approximately 100 hours per year, or \$72 million per year.

strengthened its IT and one-stop shop for all electricity procedures in all branches in Vietnam to reduce time for getting electricity further. These regulatory and procedural changes resulted in a significant reduction in time taken to get electricity.

Building on steps taken in the last quarter toward more effective trade facilitation, GIG strengthened

its support for implementation of the WTO trade facilitation agreement (TFA). GIG and General Department of Vietnam Customs (GDVC) supported a series of consultation workshops on draft customs rules. GIG provided several rounds of comments on the draft customs rules by engaging an international advisor and two local consultants. These workshops brought GDVC officials and business people to review draft regulations, and both sides realized the importance of forming a partnership for regular and meaningful dialogue to resolve practical issues. GIG collaborated with AmCham to set up a Vietnam Trade Facilitation Alliance (VTFA), and a memorandum of understanding (MOU) was signed in December 2014 between USAID, AmCham, and Vietnam Chamber of Commerce and Industry (VCCI) to establish the VTFA, which initially includes AmCham, VCCI, and major Vietnamese business associations for textiles, leather, and wood product companies. The VTFA is open to new members. GIG and AmCham will finalize a grant to support the alliance in the next quarter.

GIG's ongoing support in legal and regulatory reforms produced tangible results in Q1/FY15. GIG supported a local consultant to comment on MOJ's draft codification manual and supported a workshop to gather input to the manual from ministries and agencies in charge of codification. This manual was issued by the MOJ in December



USAID and the Central Institute for Economic Management work with the business community to improve Vietnam's procedures for trading across borders at this workshop on October 10, 2014. USAID also conducts field trips to Customs offices to understand the challenges faced by businesses and GVN officials. Photo by: USAID/GIG

2014 to answer a number of practical issues in codification and guide codification by different agencies.

During the reporting period, GIG supported MOJ's Administrative Procedure Control Agency (APCA) to conduct several consultation workshops on a draft decision by the Prime Minister (PM) to instruct agencies to reduce compliance costs on selected administrative procedures. Business representatives and GVN officials urged the APCA to include in the final decision more priority issues for businesses and take a more holistic approach to address issues of importance to vulnerable groups. Further, GVN recommended that APCA publishes procedures and, to the extent possible, standardize them. The PM signed Decision 08/QĐ-TTG/2014, dated January 6, 2015, to order agencies to reduce compliance costs on selected procedures by 25 percent in 2015 and publish all administrative procedures. GIG supported MOJ to develop a number of key legal instruments, such as revising the Civil Code, the LAD, and others, which are to be adopted in the future.

COMPONENT 1: KEY EVENTS/ACTIVITIES IN Q1/FY15

Below are the key events that the GIG Component 1 team implemented during Q1/FY15 with GVN counterparts and non-government partners.

Building a transparent law-making and regulatory process:

- *Law on Laws RIA (KRA 1.1).* A GIG technical advisor worked with the MOJ on finalizing the regulatory impact assessment (RIA) report and the draft revised Law on Laws, in accordance with GIG's Year 1 milestone. The MOJ submitted both documents to the NA's Law Committee for review. GIG also supported APCA and related agencies to review the law to ensure effective control of administrative procedures. In providing technical input to GVN partners, GIG helped strengthen/rationalize law- and rule-making procedures and processes and improved transparency and citizen participation.
- *MOJ codification manual (KRA 1.4).* GIG engaged a legal expert to review the draft codification manual and supported workshops to enable relevant ministries to comment on the draft manual. GIG discussed with MOJ a plan for technical assistance in systemization of laws and regulations under MOJ's jurisdiction. As a result, in January 2015, MOJ will publish a manual to provide codification guidelines of legal normative documents (LND) to help Vietnam develop a more transparent and clear legal and regulatory framework.
- *Administrative procedures efficiency (KRA 1.2).* As previously reported, GIG supported APCA to conduct a series of consultation workshops and outreach to get feedback from businesses, local officials, and ministries on the PM's draft decision to reduce compliance costs of selected administrative procedures. In these workshops, the business community provided feedback that the existing administrative burden was too heavy, and recommended simplification of the administrative procedures. This feedback was incorporated into the PM's Decision 08, signed on January 6, 2015, ordering a 25 percent reduction of

compliance costs on selected administrative procedures and publication of all administrative procedures. This activity helped enhance consultations on new policies in the conceptual phase.

- *Civil Code revision (KRA 1.2)*. GIG consulted with MOJ, engaged relevant technical experts (Vietnamese legal and regulatory specialists), and arranged consultations to support MOJ in evaluating major policy issues to be addressed in the Civil Code. Policy issues included ensuring that courts cannot refuse cases on the grounds of the absence of laws and regulations, provisions to ensure an effective contracting regime in Vietnam, and a more rigorous property rights concept in the Civil Code. These consultations engaged citizens in the policy process to enhance evidence-based policy deliberations before drafting the law.
- *Commercial mediation (KRA 1.5)*. GIG supported MOJ to conduct two consultation workshops in Hanoi and HCMC on the Decree on Commercial Mediation to seek comments from lawyers and arbitrators. GIG invited an experienced arbitrator to review and provide comment on the draft and speak at both events. As a result, the drafting team integrated the workshop feedback into their draft decree.
- *Manual on drafting administrative procedures regulations (KRA 1.2)*. To enhance consistency and effectiveness in regulations containing administrative procedures, GIG staff worked with APCA to develop a manual on how to provide for administrative procedures in regulations. GIG supported joint GIG-APCA consultations with local government, social organizations, and think tanks in Hue. These consultations provided a venue for the Hue groups to explain the challenges of existing regulations on administrative procedures, and helped convince APCA to adopt a manual on drafting administrative procedures regulations.

Enhancing competitiveness and the business environment:

- *Resolution 19 (KRA 1.1)*. GIG continued to support the implementation of Resolution 19, which has become the flagship GVN program to improve the business environment and competitiveness, by pioneering unprecedented government-wide regulatory reform based on international metrics. As a part of its Resolution 19 efforts, GIG designed a survey and delivered workshops on “specialized management” (import/export control). Import and export control by ministries subjects a large volume of goods to cumbersome control measures that burden businesses. By working with responsible ministries, businesses, and agencies, GIG efforts will help reduce this burden and facilitate trade.

Improving trade facilitation and preparing for the Trans-Pacific Partnership (TPP):

- *Trade facilitation and Customs Law (KRA 1.1)*. GIG’s support to trade facilitation expanded in this quarter to enable GDVC and businesses to work together on developing new customs regulations that will facilitate trade. GIG engaged international and local experts to provide technical assistance on the development of new customs regulations (see annex 1 for detail) to implement the new Customs Law and the TFA. GIG organized a series of consultation workshops on

these regulations to enable businesses to comment on the draft regulations. GDVC benefited greatly from these intensive discussions of technical issues with businesses and GIG consultants and improved the drafts accordingly. For example, the new regulation expects to significantly reduce the number of required import/export documents resulting in more efficient trade. These new regulations will become effective in 2015 and are expected to simplify customs procedures and reduce clearance time significantly.

- *Trade facilitation outreach (KRA 1.6).* GIG supported GDVC to work with VCCI and AmCham on hosting outreach workshops on the WTO TFA to inform the trade community of the international commitments and plan to implement these commitments in the future. These events generated support for the TFA and the establishment of the Vietnam Trade Facilitation Alliance (VTFA), a customs-business partnership that will work to resolve common trade issues and improve Vietnam’s legal and regulatory framework.
- *Customs-business partnership and VTFA (KRA 1.6).* In order to foster the customs-business partnership, GIG brokered development of the VTFA. GIG arranged consultation workshops in Hanoi and HCMC on customs-business consultation mechanisms and trade facilitation to solidify the VTFA concept. Following a series of technical consultations, USAID, AmCham, and VCCI signed an MOU on the establishment of the VTFA, with USAID’s Acting Assistant Administrator for Asia Anne Aarnes representing USAID (see photo on this report’s cover). VTFA is expected to work closely with GIG, GVN, and business on improving Vietnam’s trade legislation and implementation and demonstrate transparent and inclusive rule-making.



USAID and the Ministry of Finance consult with the business community and government officials at the ‘Trans-Pacific Partnership and Potential Impacts on the Economy of Vietnam Workshop’ on December 18, 2014. Photo by: USAID/GIG

Supporting MOIT and related counterparts to prepare for TPP implementation:

- *STAMEQ IT systems (KRA 1.3)*. GIG supported STAMEQ to engage a local IT consultant to review the current system and document its strengths and weaknesses, which was shared in January 2015 with GIG's international consultant for developing the TBT notification system and database. The new TBT system will help increase transparency, consultation, and notification of technical regulations and standards.
- *Rules of origin and self-certification (KRA 1.1)*. GIG supported MOIT to conduct a series of field visits to Quang Ninh, Haiphong, Hai Duong, HCMC, Vung Tau, and Dong Nai and conducted workshops in Hai Phong and HCMC to facilitate dialogue with stakeholders on self-certification of rules of origin to simplify administrative procedures and prepare Vietnam for free trade agreement (FTA) implementation. At the workshop, the GIG expert discussed self-certification and shared insights from the US self-certification system. The dialogue revealed structural issues in the current system and highlighted the need for reform. MOIT will pilot self-certification to pre-approved trader manufacturers under ASEAN with Lao, Indonesia, and the Philippines, and will later extend it to all ASEAN countries. Although there was little commercial interest in the ASEAN regime, there was significant interest in TPP self-certification. The consultations revealed a lack of understanding on self-certification of origin, especially US FTA rules and practice in this area, among MOIT, GDVC, and local businesses. The GIG survey also showed that the current regulations and practices are not in line with future requirements.
- *Environmental protection in manufacturing (KRA 1.3)*. GIG also supported MOIT to conduct a survey and consultation workshops in the north and south on environmental protection in manufacturing, control of unsafe goods, and import and export procedures of unsafe goods. The findings showed that the lack of technical standards for unsafe goods under Category II creates a regulatory void that results in rent-seeking and harassment for many businesses. These activities will help MOIT analyze environmental policy issues and develop evidence-based recommendations for Category II.

COMPONENT 1: UPCOMING ACTIVITIES IN THE NEXT QUARTER

Based on progress made in this quarter, activities in Q2 FY15 will aim to:

- Build a transparent law-making and regulatory process.
- Enhance competitiveness and the business environment.
- Improve trade facilitation and prepare for the TPP.
- Complete the launch and initial support of the VTFA, through AmCham, VCCI, and other private sector network members.

Activity details are as follows²:

Building a transparent law-making and regulatory process:

- Support MOIT to systemize its laws and regulations by providing local experts, workshops, and software to enable MOIT's efforts.
- Support MOJ and NA to develop/review laws of importance to this process, including the Law on Laws and the LAD, by providing expert advice, delivering consultation workshops, and sharing international experiences.
- Support CIEM/MOJ to roll out a RIA training for trainers, policy makers, and other stakeholders. GIG will assemble a team of local consultants to work with CIEM/MOJ in developing the materials and training courses.
- Support MOJ (General Legal Affairs Department and Hanoi Law University) to develop a partnership with Tulane Law School on bill drafting and legal writing. GIG will work with Tulane Public Law Center (TPLC) and counterparts to develop a drafting manual and conduct training courses in Vietnam.
- Support MOJ to implement Decision 08 on reduction of compliance costs, administrative procedures, and standardization of administrative procedures.
- Continue to support MOJ and related agencies to develop a RIA and consultations on the Civil Code to strengthen legal security, citizen's rights, and access to justice for businesses and citizens.
- Support grant initiatives to promote a clear and transparent regulatory and legal framework.

Enhancing competitiveness and the business environment:

- Support the development and implementation of a renewed Resolution 19 reform initiative for 2015. GIG will continue to support the reduction of time and procedures in paying taxes and trading across borders and will work with CIEM to identify new areas for USAID technical assistance.

Improving trade facilitation and preparing for the TPP:

- Complete the grant to support the development of a private-sector led VTFA to engage with the Government on a regular basis on trade facilitation issues.
- Support GDVC to conduct education and outreach events and operationalize the customs-business partnership to enable a smooth implementation of the new set of customs rules.
- Build capacity of GDVC in core technical areas, including compliance management, rules of origin, etc.
- Train MOIT, GDVC, and local businesses on US FTA self-certification of origin.

² In supporting these activities, GIG will provide a tailored mix of expert advice, international experiences, consultation workshops, skill training, study mission, etc. These details will be discussed, tailored, and finalized with the counterparts.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS

The transition from central planning to a market economy requires Vietnam to tackle core challenges, including modernizing, strengthening, and developing public institutions such as the NA, to improve its oversight role; the SAV, to improve its control and audit roles; and relevant ministries to increase transparency in policy development and implementation; hence, directly contributing to improved accountability of public institutions. To achieve these goals, Component 2 works in close coordination with Components 1 and 3 to support GIG's GVN counterparts to improve accountability of public institutions with a focus on improving implementation and oversight, as well as evaluation and communications.

COMPONENT 2: SIGNIFICANT IMPACTS IN Q1/FY15

During this quarter, C2 continued its efforts to strengthen the oversight role and functional capacity of the National Assembly. Key impacts included (i) improved relations between journalists and the NA, as the Inaugural Media Dialogue was convened in December to encourage informative reporting on legislative events, (ii) potential improvements to NA oversight processes were discussed in a workshop held with the newly-formed Oversight Department, focusing on guidelines for parliamentary Q&A sessions, and (iii) NA budget staff and policy makers sought to inform their review of the revisions to the State Budget Law, as GIG led an NA delegation to Lao Cai province to investigate challenges facing local authorities in budget implementation. In addition, C2 worked with several government counterparts to develop and analyze policies with the aim of creating an efficient and transparent framework for supporting economic growth. These efforts included (i) convening a workshop to discuss potential impacts of the TPP and solicit information needs from business associations and other stakeholders which may be fulfilled by MOF analysis, (ii) initiating support to MPI on designing regulations to implement the newly-enacted Public Procurement Law, and (iii) offering technical input to MOJ officials charged with reviewing the draft Law on Access to Information.

COMPONENT 2: KEY ACTIVITIES/EVENTS IN Q1 FY15

Below are the key events that the GIG Component 2 team implemented during Q1 with GVN counterparts and non-government partners.

National Assembly:

- *Instant Support System (KRA 2.4).* GIG launched the design and development of the Instant Support System (ISS), a software system intended to provide the NA Library with the capability to respond to the questions of MPs during and in between legislative discussions. After a thorough evaluation by the GIG team and consortium partner, Synergy International Systems, local IT firm Tinh Van was selected. GIG hosted the NA Library, former IT consultants, and Tinh Van at a strategy session in December to launch the process, and discuss direct and

potential applications for the ISS going forward. ISS will provide NA deputies and staff with relevant documents and material to support oversight and legislative functions.

- *State Budget Law Investigative Trip (KRAs 1.1 and 2.4)*. As part of a study tour hosted by GIG to the northern province of Lao Cai in December, 25 participants, including staff of the NA Committee for Finance and Budgetary Affairs (CFBA), reviewed the implementation of the budget law at the local level. The Vice Chair of CFBA – Dr. Dinh Van Nha, led this working delegation. The delegation met with Lao Cai Department of Finance and Customs Bureau to learn about local experiences with state revenue sharing and tax collection. During the visit, GIG convened a technical dialogue with Committee staff, members of the SBL Legal Task Force, and local officials representing the financial, tax, customs sectors and the People’s Council, to discuss revenue sharing arrangements, decentralization of budget management, and other specific issues under negotiation. GIG used these insights to target additional assistance in the following quarter and develop recommendations for the budget committee in support of their revision of SBL.
- *Oversight Workshop (KRA 2.1)*. Nguyen Hanh Phuc, ONA Chairman, led a dialogue during a one-day event in December with the NA Oversight Department on “Improving the Effectiveness of National Assembly Q&A sessions.” The 70 participants included vice chairpersons of several NA Committees. Also attending were the vice directors of the NA Deputies’ Affairs Department and People’s Petition Department, plus members of the deputies’ delegation offices in the northern provinces. The presentations and discussion focused on: (i) how to select the best questions and respondents for Q&A sessions; (ii) Q&A procedures; (iii) challenges for the deputies in participating in, or running, Q&A sessions; and (iv) LND impacts following Q&A sessions. In supporting this workshop, GIG provided technical assistance to strengthen NA oversight processes.
- *Media Dialogue (KRA 2.5)*. GIG held a half-day Media Dialogue in December entitled “The Role of Media and Communications in NA Activities,” with 71 participants, including officials from the NA Information Department and journalists in Hanoi. The journalists represented central and local news agencies who cover the NA, and enjoyed an open forum to share comments and ideas with current and former NA leaders and deputies. Topics discussed included the relations between the NA and media in supplying accurate and timely information to the public during NA Q&A sessions, other legislative activities, and in general. The dialogue took place while GIG conducted a Needs Assessment of the NA Information Department. The recommendations from that assessment, which will include suggested capacity building activities, are expected to be completed in following quarter. This activity helped establish a foundation for GIG to work with GVN partners and journalists to improve the quality and clarity of Vietnam’s media reports on policy implementation.

Ministry of Finance:

- *Impact Analysis on Trade Agreements (KRAs 1.3 and 2.4)*. GIG held a workshop in HCMC in December with the National Institute for Finance of

MOF on the needs for analysis on TPP impact. Nearly 100 participants attended, including government officials of various departments of MOF, MOIT, MPI, and their provincial departments, as well as representatives from business associations that will be affected by FTAs (including the TPP). Business representatives identified the need for further support to obtain and disseminate information regarding FTA/TPP provisions and compliance needs. They also offered suggestions on how the GVN can provide guidance through laws, regulations, or programs to ensure that businesses fully realize the opportunities – and overcome the challenges – that will result from Vietnam’s deeper integration into the world economy. The MOF with support from GIG planned to finalize a strategic roadmap to meet these needs in the following quarter.

Ministry of Justice:

- *Technical Assistance to the Law on Access to Information (KRA 2.2)*. GIG’s C2 director presented at UNDP’s December Workshop on the Draft Law on Access to Information (LAI). GIG was asked by MOJ’s Department on Administrative and Criminal Law to present on “Accessible Information and Exceptions to the Law.” GIG reviewed best practices, as well as the exceptions to the US’s own law. Participants included members of the LAI drafting and editing committees, and the presentation was followed by a Q&A session.

Ministry of Planning and Investment:

- *Technical Assistance on Procurement Regulations (KRA 2.3)*: After several meetings with MPI’s Public Procurement Agency (PPA) to assess their needs, GIG secured local consultant Nguyen Xuan Dao to assist with the development of a circular supporting the implementation of the Public Procurement Law. The draft circular on templates for direct contract and shopping will be completed in the following quarter and will be a critical component of improving Vietnam’s public financial management processes.

COMPONENT 2: UPCOMING ACTIVITIES IN THE NEXT QUARTER

Based on progress made in this quarter, activities in the next reporting period will support GIG’s counterparts as described below.

National Assembly:

- Complete needs assessment for NA Information Department and deliver recommendations to the NA.
- Support training to review and analyze legislation and reports for the staff of the Budget and Finance Department.

- Lead a field trip to Ho Chi Minh City with representatives of the CFBA, staff, and members of the Legal Task Team charged with completing the new State Budget Law.
- Convene legislative forum on the Law of Local Governance with the NA Law Committee.
- Initiate a pilot Constituent Engagement Project with the Public Complaints and Petitions Commission.
- Continue to design and develop the ISS. Work to include training of Library staff and piloting the system.
- Continue support to Oversight Department through the identification of capacity needs, and a coordination roundtable with other NA representatives to ensure the smooth facilitation of Q&A sessions.

Ministry of Justice:

- Initiate support to the Office of the MOJ and the Bureau on Monitoring the Implementation of Law and Administrative Offenses on monitoring law implementation and ensuring responsiveness to citizen concerns.
- Offer support to the Department of Administrative and Criminal Law on the Law on Access to Information. Support to include a policy roundtable on the Law, development of a RIA, and a review of access to information clauses in existing legislation.
- Work with the Department of International Law to outline capacity and information needs to address Investor State Disputes.

Ministry of Planning and Investment:

- Hold workshop to seek input for implementing regulations relevant to procurement policy, and provide additional support to policy development, including review of additional LNDs.
- Provide international expertise to the PPA International Cooperation Division on procurement commitments in international agreements.
- Follow-up activities on budget processes, including a review of coordination with provincial and local governments.

Ministry of Finance:

- Complete the strategic roadmap for assessing the impact of the TPP, and identifying research needs for the NIF to adequately address the requests of national stakeholders.

State Audit of Vietnam:

- Draft and initiate audit training program, in response to the informational and managerial needs of the SAV.
- Support review of the State Audit Law.

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS

Component 3 works in close coordination with Components 1 and 2 to integrate the issues facing women, ethnic minorities, and other traditionally disadvantaged groups into program activities and results. Through these efforts, Component 3 helps institutionalize active roles for CSOs, private associations, and citizen groups into policy making and governance in Vietnam.

COMPONENT 3: SIGNIFICANT IMPACTS IN Q1/FY15

Main impacts during the quarter under Component 3 dealt with the processes through which GVN agencies solicit, receive, and incorporate input to laws and regulations from non-government sources, particularly those representing constituencies who are often marginalized from the policy process. During the reporting period, GIG brought about direct interactions between these public institutions (primarily MOJ and NA) and private stakeholders for the Law on Issuance of Administrative Decisions (LAD), the Civil Code, the Law on Laws, as well as to prepare to bring right-based and gender responsive budgeting to the SBL. The new and revised language in those key laws now addresses more effectively the needs of women, ethnic minorities, the LGBT community, people with HIV/AIDS, and people with disabilities.

The program has helped establish a routine pattern whereby the GVN engages with and hears from nongovernment entities like civil society organizations, research institutes, and activists regarding laws and regulations. This has been done through direct technical working sessions; making concrete policy recommendations in position or policy papers; organizing consultation workshops with CSOs; and GIG staff providing direct technical input. In this quarter, GIG focused on support for the Civil Code, LAD, and the Law on Laws. In 2015 and beyond, GIG's focus will expand to the Law on Access to Information, SBL, Law on Marriage and Family, and Law on Ethnic Minorities, among others.

Small technical working sessions organized at the GIG office with experts from CSOs provided a forum for initial technical inputs to build trust among government professionals and CSOs representative, as well as to discuss approaches to make the public consultation most effective. For the Civil Code, 19 out of 61 recommendations put forth by CSOs to the Drafting Committee have been incorporated into the revised draft of this foundational law. These inputs emerged from GIG-initiated consultations in October, November, and December 2014 that focused on the legal registration of individuals, especially children, LGBT, and ethnic minorities. They also address the rights to life, gender reassignment, confidentiality and secure individuality, doing business, and intellectual property. The CSO position papers presented to the Civil Code Drafting Committee focused on (i) complying with the 2013 Constitution and other international commitments on human rights; (ii) protecting the rights and benefits of women, children, LGBT, poor, ethnic minorities, and persons with disabilities; (iii) providing an enabling environment for CSOs themselves; and (iv) enhancing the legal framework for courts to

protect citizen rights. For the new LAD, GIG worked with CSOs and experts to provide inputs to the Drafting Committee in two key areas: (i) principles of nondiscrimination and protection of vulnerable groups' rights in issuing administrative decisions (Article 5); and (ii) citizen participation (especially vulnerable groups) in issuance of administrative decisions.

With GIG's support, five articles have been added to the draft Law on Laws (as of October 2014):

- Article 29: Gender analysis should be a part of the RIA process.
- Article 3: In the proposal to develop any law or circular to submit to the Government, the dossier must include documents attached with a gender analysis report, as stipulated in the Article 29.
- Article 43: Compulsory principle for gender-balanced legal drafting committees.
- Articles 74 and 94: Compulsory principle for the establishment of responsibility to mainstream gender into legal drafting and lawmaking processes.

These articles will be the key entry points for Component 3 to support systematic development of tools, approaches, and skills for gender analysis in policy and law making, RIA, and bill drafting in Vietnam.

COMPONENT 3: KEY ACTIVITIES/EVENTS IN Q1/FY15

Below are the key events that the GIG Component 3 team implemented during Q1/FY15 with GVN counterparts and non-government partners.

- *Civil Code RIA (KRA 3.1)*. GIG provided technical input to the first RIA design process for the Civil Code, focusing on civic status registration, rights to gender reassignment, forest land use, and ownership rights of ethnic minorities, etc. As a result, the MOJ Drafting Committee incorporated 19 of the 61 technical recommendations into the Civil Code.
- *Civil Code and vulnerable group rights (KRA 3.1)*. In a December public consultation event with the majority of CSOs working in the field of gender equality, LGBT, disability, HIV/AIDS, ethnic minority, etc. The GIG C3 team facilitated these stakeholders' input on the actualization and protection of the civil rights of vulnerable groups in the Civil Code, as well as the legal rights of entity, especially of the social organizations or associations. These efforts will support the strengthening of broad-based economic growth for Vietnam by including citizen groups in the new Civil Code, as it creates a legal system for them to operate effectively and legally in a coming fully market-oriented economy.
- *Gender mainstreaming for the Law on Laws and justice sector (KRA 3.2)*. With GIG support, MOJ put forward gender-mainstreaming principles into the draft Law on Laws version of October 2014, for submission to the National Assembly, as well as to prepare for a mainstreaming procedure to bring gender equality into lawmaking. An MOJ workshop on October 8 aimed to build capacity of middle-level managers on gender equality and gender mainstreaming in law making

within the justice sector at the central and provincial levels. The workshop also produced guidelines and procedures to assist CFAW and related agencies to mainstream gender issues into legal normative documents and within their agencies. GIG created a platform for civil society, legal experts, and MOJ's drafting team to map gender mainstreaming procedures and ensure these principles will be integrated into the draft Law on Laws to be submitted to the NA in October 2014 session.

- *Women's entrepreneurship (KRA 3.3)*. In a December workshop for 150 female entrepreneurs from the business community nationwide, representatives from ILO, UN WOMEN, AMCHAM, World Bank, and local and international NGOs, discussion focused on the new development strategy for the Vietnam Women Entrepreneurs Council (VWEC). The objective of the discussion was to contribute to VWEC becoming more member-oriented and moving forward as one of the key agencies to do policy advocacy for a more enabling environment for women entrepreneurs or enterprises with a high number of women employees. At this event, international business associations such as AmCham and EuroCham shared their experiences in building up and running an effective business association, as well as their insights into the anticipated FTAs, including opportunities and challenges, as well as the demand for stronger skills in leadership and risk taking, a more sound understanding of the market, and more efficient management of resources among women entrepreneurs.
- *Land rights awareness grant (KRA 3.1)*. The Center for Rural Communities Research and Development (CCRD) was selected to implement a grant under contract titled "Public Awareness Campaign: Improving Women's Access to Land Use Rights Certificates (LURCs)." This first GIG grant, to be implemented from approximately February through June 2015, will focus on awareness- and capacity-building activities related to documentation practices on LURCs in the selected areas of Tan Lac and Lac Son to increase the knowledge of women and ethnic minorities on their rights to land and the newly revised Land Law. CCRD will work with government and civil society to improve women's abilities to take advantage of their land by educating women on key aspects of the Land Law (2013) and their associated rights. To inform women of their rights and equip them with tools to take action, this grant project will implement a public outreach campaign focused on identifying and reducing barriers facing women in obtaining LURCs, as well as how to leverage a LURC for economic opportunities. The grant will also leverage grantee networks and capabilities to implement an awareness campaign and related activities in order to promote both the revised Land Law as well as the inclusion of women's names on LURCs, targeting affected women and land registry staff responsible for issuing certificates.

- Women's entrepreneurship (KRA 3.3).* More than 200 business women from Hanoi and nearby provinces convened in a workshop on November 20 focused on sharing information on sustainable business growth and improving the ability to respond to Vietnam's economic transition, including foreign investment, TPP, and the ASEAN Economic Community. The event also celebrated Global Entrepreneurship Week (November 17-21) and Global Women Entrepreneurship Day (November 19). This one-day forum provided practical information to senior and up-and-coming women entrepreneurs on improving talent management, change management, and meeting the demand to comply with international business standards, particularly in accounting and auditing. This workshop revealed the need for comprehensive corporate leadership training in order to prepare women for further integration into the workforce and to meet FTA requirements, which will then be tailor-made and implemented in future quarters.



In collaboration with the Hanoi Association for Women Entrepreneurs, the 'Vietnamese Women Entrepreneurs' Forum' brought together more than 200 businesswomen from across Vietnam to advance their leadership skills on November 20, 2014. Photo by: USAID/GIG

COMPONENT 3: UPCOMING ACTIVITIES IN THE NEXT QUARTER

Component 3 will focus its efforts during the upcoming quarter on the following:

- Award the Land Law campaign implementation grant with CCRD.
- Study the access to information for ethnic minorities.
- Support VWEC to finalize its development strategy 2020 and its membership database system.
- Continue to facilitate input from CSOs into the draft laws i.e. Civil Code, Law on the Issuance of Administrative Decision, Law on Access to Information, etc.
- Convene events to support the ONA Department of Finance and Budgetary Affairs to make the State Budget Law more rights-based and gender responsive.
- Convene events to support MOJ to pilot the implementation of the Law on Marriage and Family, focusing on capacity building for judges and commune

- leaders on the proper identification and use of customs and traditions in handling marriage and family disputes for ethnic minorities.
- Provide technical inputs to the establishment of gender analysis for RIA in law drafting.
 - Support the National Assembly to carry out studies on the current social feedback mechanisms to support to the policy development and public consultation of the NA and government.
 - Convene event to support MOJ and MOH to actualize the rights of transgendered people in the Civil Code (right to gender reassignment).
 - Convene events to support MOJ to further study citizens' access to legal information and services.
 - Convene event to support the Hanoi Women Entrepreneurs Association to introduce a comprehensive understanding of governance leadership to women CEOs and CEOs of enterprises that have high number of women workers.

CROSS-CUTTING ACTIVITIES: PUBLIC OUTREACH

In Q1/FY15, GIG's Public Outreach (PO) team provided cross-cutting support to component teams to ensure visibility and recognition of USAID's profile as a partner in support of Vietnam's governance development goals, while also providing communications support to GVN counterparts and stakeholders.



Joakim Parker, USAID Vietnam Mission Director, speaks to journalists at GIG's Consultative Workshop on Civil Rights of Vulnerable Groups in the Revised Civil Code, December 11, 2015. Photo by: USAID/GIG

Improving visibility and recognition of the achievements and milestones of USAID and GVN counterparts, the PO team managed media relations for all GIG events in collaboration with government counterparts. This resulted in more than 165 newspaper and online articles, as well as more than 40 TV and radio broadcasts in the quarter focused on USAID's work with the Government of Vietnam. Ensuring journalists were informed about the often complex issues arising in technical workshops, the PO team provided media briefings, facilitated interviews and visits.

The PO team continued to support technical components to integrate appropriate and effective public outreach activities into GIG activities, with a focus on strengthening the public outreach capacities of GVN counterparts and stakeholders. These activities are

designed to enhance government outreach to citizens, businesses, CSOs, and vulnerable groups, and improve media's public policy reporting for greater transparency and accountability.

GRANTS UNDER CONTRACT

Following the release of the GIG Land Rights Request for Applications (RFA), GIG began to receive applications for this scope of work on October 6, 2014. Six eligible organizations submitted proposals in response to the RFA and these applications were reviewed and scored by a technical evaluation committee (TEC) on October 17. The TEC identified three proposals for possible award, resulting in revised and final proposals submitted on November 17. A secondary TEC was called to review these final proposals, resulting in the selection of CCRD for award. It is anticipated that GIG will award a Fixed Amount Agreement early in the next quarter, marking GIG's initial grant under contract.

Realizing the vision of the program to facilitate increased non-governmental participation in policy-making processes, the GIG program released an open call for applications under its first APS on December 10, 2014. This APS document was disseminated through mass print media, local networks, and online NGO resources throughout Vietnam. Pre-application workshops were held in Hanoi and HCMC in January 2015 to provide applicants additional details on the APS and the GIG program, and also to answer any questions prospective applicants may have. It is anticipated that this APS will result in numerous creative approaches to achieve GIG CSO objectives and generate grant ideas that will be realized in Year 2 and beyond.

IMPLEMENTATION OPPORTUNITIES & CHALLENGES IN Q1/FY15

General

- Continued momentum from the GVN related to implementation of Resolution 19 and development of a new, expanded Resolution for 2015.
- Imperatives of the GVN and other stakeholders to follow up enactment of the new Constitution, and to prepare for enactment and implementation of TFA and TPP.
- Shortage of human resources to support a rapidly growing portfolio of TA.
- Lengthy and intense AWP-2 approval process, through the MOJ PMU, Steering Committee, and finally the Vice Minister of Justice's signature in November.
- Difficulties inherent in contributing to reform processes within GVN agencies and governance processes: vested interests; non-transparent decision-making processes at the highest levels; low capacity of reform advocacy organizations and some governance agencies; restrictive laws and regulations aimed at preventing public expressions related to policy; lack of coordination among policymaking agencies and among CSOs.

Ministry of Finance

- With the process of revising the SBL winding down, MOF has expressed a desire to provide more functional analysis for the business community and other stakeholders. This represents an opportunity as it will free up MOF analysts to work on issues other than the SBL.

Ministry of Justice

- Clarify the roles of MOJ offices in monitoring the implementation of laws, and initiate activities to respond to the needs and objectives of each MOJ body.

Ministry of Planning and Investment

- With increased demands to improve the state procurement system, MPI continues to demonstrate inconsistent strategic planning, creating obstacles to achieve their stated goals.

National Assembly

- Many departments are still developing their understanding of their roles, which has hindered attempts to design strategic interventions. Some, including the Oversight Department, await clearer definition of their responsibilities from NA leadership.
- Several NA offices and departments have expressed the desire to be more responsive to citizen demands, to allow for more citizen engagement, and to scrutinize government policies more closely. The Petitions and Complaints Commission, Library, and Judicial Committee have asked GIG to support their efforts in these areas.

State Audit of Vietnam

- As 2015 begins, GIG is still working through the SAV focal point and not yet directly with functional units. Work with the functional units would allow for more efficient and effective assistance.

Non-GVN Organizations

- Significant opportunities and challenges connected with the rollout of GIG's grants under contract component. Concepts and proposals received from CSOs and other nongovernmental organizations will bring new program ideas and activities that enhance GIG's work with GVN counterparts and the business community. Challenges will arise in the form of grantees with capacity needs and who are unfamiliar with grant implementation.

SECTION II: PROGRAM MANAGEMENT

COORDINATION WITH GVN COUNTERPARTS AND THE PMU

GIG engages with its six GVN counterparts through a centralized Project Management Unit (PMU), appointed by the Minister of MOJ. The PMU coordinates outgoing requests, with the Deputy Director General of the MOJ International Cooperation Department, Mr. Bạch Quốc An, serving as GIG's primary point of contact. GIG Deputy Program Director, Pham Thi Hoai Giang, is responsible for coordinating with the PMU.

The MOJ chairs the PMU Program Steering Committee, an entity established to provide high-level guidance and input to the program with USAID involvement. The GIG Program Director oversees this process, and serves to provide technical input, programmatic direction, and strategic guidance for GIG activities and partner stakeholders.

During the Q1/FY15 reporting period, the MOJ PMU and GIG's Steering Committee underwent some transitions. First, the Ministry of Justice appointed a new Vice Minister as the chair of GIG's Steering Committee. The new chair is Vice Minister Nguyen Khanh Ngoc, who replaced VM Hoang The Lien on October 21.

In addition, at the end of 2014, Bach Quoc An moved from the International Cooperation Department to the International Law Department, and so has concluded his time as PMU Director. The MOJ plans to inform GIG formally in February 2015 regarding the appointment of the new PMU director.

During Q1/FY15, GIG worked intensively with the PMU and all six GVN counterpart agencies to finalize and approve Year 2 AWP (signed by the Steering Committee chair, Vice Minister of Justice Nguyen Khanh Ngoc, on November 25, 2014) and to roll out the GIG grants program with non-government entities around the country -- an important milestone achieved with the release of the first annual APS.

CONTRACT DELIVERABLES

Contract deliverables submitted to USAID during the reporting period include:

- Annual Report (December 12, 2013 to September 30, 2014)
- Quarterly Performance Report # 4 (July to September 2014)
- 12 Weekly Bullets
- Media Briefings
- Technical deliverables for short-term consultants

Financial Management

As illustrated in Annex 3, GIG fielded two short-term international consultants this quarter for a total of 18.76 days of LOE. GIG also engaged 15 local national experts during Q1, for a total of 332.5 days of local national LOE. GIG also implemented 34 programmatic direct technical assistance (DTA) activities with counterparts, details of which are included in Annex 7.

During the reporting period, GIG continued to hire additional long term local staff. Personnel hired during the period include: Governance and Accountability Manager Tran Thi Huong Giang, Legal & Regulatory Program Manager (Regulatory Focus) Phan Thi Thai Ha, IT Officer Trinh Thai Son, Admin Officer Phan Hoai Lien, returning Inclusive Growth Program Manager Phung Thi Van Anh, Inclusive Growth Program Manager Pham Minh Tu, and Translator/Interpreter Dao Thuy Linh. During the period GIG witnessed the departure of Legal & Regulatory Program Manager (Legal Focus) Nguyen Thi Minh Hai. Recruitment will continue as necessary, based on programmatic needs.

SECTION III: ANNEXES

The annexes of this report provide a summary of implementation considerations and budget expenditures through December 30, 2014. These annexes are as follows:

- Annex 1: Laws and regulations supported by GIG (as of December 31, 2014)
- Annex 2: Long-term personnel – level of effort totals, used, and balance (person days)
- Annex 3: Short-term assignments by expected result and level of effort approved and used (person days) – (3a) international and (3b) local
- Annex 4: DTA activities implemented
- Annex 5: DTA activity progress report
- Annex 6: Indicator data summary table

Annex 1: Laws and Regulations Supported by GIG

Status of Legislative and Regulatory Changes Supported by GIG (as of December 31, 2014)				
No	Legislation/Regulation	GVN Agencies	Status	Expected Review / Adoption
1.	Consolidated Law on Laws	MOJ	The Draft Law and RIA report have been submitted to the National Assembly. Based on GIG-supported inputs, specific articles on gender analysis as a part of RIA, as well as and other requirements for gender mainstreaming in the law drafting process and on gender-balanced drafting committees have been included in the draft Law.	May 2015
2.	Revised Civil Code	MOJ	GIG has supported a workshop to discuss major policy issues of the Civil Code and provided three local consultants to support the MOJ to revise the Civil Code. Through GIG, CSO policy papers have been sent to MOJ for consideration. Further support to the Drafting Committee on issues of gender reassignment and rights of transgendered people is scheduled for January 2015.	May 2015 / November 2015
3.	Revised Law on NA	NA	GIG has no current plans with the NA to work on this law. Given its implications for the NA and the ONA in particular, we remain open to supporting its implementation.	N/A
4.	Revised Law on NA Oversight	NA	GIG held a workshop with the NA to discuss the status of Q&A sessions, the role of the Oversight Department, and the need for strengthened oversight regulations. Discussion included recommendations from deputies for the revised law and new regulations.	November 2015 (not yet confirmed)

5.	Revised Law on State Audit	SAV	GIG met SAV Legal Committee to review remaining issues in the law, need for harmonization with other draft laws, and suggestions for collaboration. GIG will work with a consultant Q6 to review the draft and meet with the Legal Task team assigned to the draft law.	May 2015 / November 2015
6.	Revised State Budget Law	NA, MOF, MPI	GIG led a field trip to Lao Cai province to review budget implementation procedures at the provincial level and discuss unresolved items in the draft law, including revenue sharing between central and local governments, and decentralization of budgetary responsibilities. GIG facilitated and helped draft requirements for rights-based and gender-responsive budgeting, which have been submitted to the NA Committee for Finance and Budgetary Affairs. GIG is also supporting the NA to gather additional inputs from government and civil society gender experts in early 2015.	May 2015
7.	Law on Local Government	NA	GIG met with the Law Committee to identify remaining unresolved issues in the draft law. After identifying two remaining issues, GIG and the Law Committee planned the Legislative Forum on this law, scheduled for 29-30 January 2015.	May 2015
8.	Law on Administrative Decisions	MOJ	GIG convened a group of CSOs at a technical workshop with MOJ in Hai Phong to discuss policy issues in the draft Law on Issuance of Administrative Decisions to enable local practitioners, CSOs, and GIG to comment on the draft and policy options to address practical issues – in particular, principles of non-discrimination and rights protection for vulnerable groups.	November 2015
9.	Draft Decree making detailed provisions for several Articles of the Customs Law on Customs Procedures, Customs Inspection, Supervision and Control	GDVC/ MOF	Provided comments on the draft decrees and supported consultation workshops to enable businesses and local customs officials to comment on the draft decree.	January 21, 2015

10.	07 circulars guiding Customs Law	GDVC/ MOF	<p>Provided comments on the draft decrees and supported consultation workshops to enable businesses and local customs officials to comment on the following draft circulars.</p> <ol style="list-style-type: none"> 1. Circular providing for customs procedures; customs inspections and supervision; import and export duties and tax administration applicable to imports and exports. 2. Circular providing for customs procedures; import and export duties and tax administration applicable to goods processed with foreign traders, raw materials and supplies imported to produce exports. 3. Circular providing for customs procedures; and customs inspections and supervision applicable to imports and exports brought into and out of EPZs, EPEs, and duty free areas. 4. Circular providing for the application of privileges in the State management of customs to businesses (AEO). 5. Circular providing for classification of goods and application of tariffs to imports and exports. 6. Circular providing for examination of the customs valuations of imports and exports 7. Circular issuing List of Vietnam's imports and exports (replace Circular 156/2011/TT-BTC dated 14 November 2011) <p>As a result of the comments from GIG and businesses, the first three circulars will be combined and the actual number will be less than seven.</p>	Early 2015
11.	Customs-Business Partnership Rule	MOF	MOU signed with Amcham and VCCI. Supported Customs to develop and consult a guideline on customs-business partnership.	Early 2015
12.	Implementation of Trade Facilitation Agreement (Submission of Category A to WTO in July 2014.)	MOF	WTO TFA adopted by WTO on November 27, 2014. Supported GDVC to conduct outreach activities to inform trade community of the opportunities under the TFA and promote the VTFA.	Ongoing
13.	Revised Enterprise and Investment Law	MPI	GIG has not started working on this. New investment and enterprise laws adopted in November 2014 simplify company start-up and improve	November 2014

			governance. GIG did not provide any assistance in this area but, given its significance, we will remain open to supporting implementation.	
14.	Rule on Self-certification of Origin	MOIT	Supported MOIT to conduct field visit and consultation workshops in the north and the south.	Ongoing
15.	Implementing Regulations on Law on Public Procurement	MPI	GIG reviewed the law and initiated drafting on a circular governing processes for direct contracting and shopping. The Circular will be discussed in a workshop in late January 2015.	2014-2015
16.	Regulations guiding administrative procedures on paying taxes	MOF	GIG has worked with CIEM, GDT (MOF) and organize field visits and public consultation workshops to analyse practical situation and gather inputs/comments from local authorities and business community to simplify administrative procedures for paying taxes. A Circular amending 07 circulars and a Decree amending 03 decrees were issued by the MOF and the Government.	September and October 2014
17.	Law on Marriage and Family	MOJ	GIG supported MOJ to improve a draft Decree for the implementation of the Law. Chapter 2 on the use of customs and traditions to handle family and marriage conflicts, especially within ethnic community and clans is the main focus for improvement. Further support in early 2015 will include helping MOJ and judges in local areas to incorporate local ethnic minority customs and traditions when adjudicating marriage and family disputes. Trainings for local judges and village leaders are planned for Q6.	2015
18	Decree on Commercial Mediation	MOJ	Supported two consultation workshops to enable lawyers, judges, and arbitrators to comments on the draft Decree.	2015
19	Law o Access to Information	MOJ	GIG presented to members of the drafting team and other MOJ representatives, on substantive inputs and recommendations to the draft law, using international models as examples.	2015-2016

Annex 2: GIG long-term personnel – Level of effort totals, used, and balance (Person days)

Position	Name	Q1/FY15 Total LOE	Total LOE Expended through December 2014	Total LOE Remaining
Program Director	Jonathan Simon	50	214.06	1,085.94
Deputy Program Director	Pham Hoai Giang	65.01	265.37	1,034.63
<i>Legal & Regulatory Framework (LRF) Team (ER 1)</i>				
LRF Director	Phan Quang	65.01	204.64	1,052.36
LRF Program Manager (Legal Focus)	Phan Thi Thai Ha	67.34	75.34	1,204.66
LRF Program Manager (Trade Facilitation)	Vu Phuong	65.01	242.37	1,037.63
LRF Program Manager (Trade Policy)	Le Sy Giang	65.01	227.7	1,052.30
<i>Governance and Accountability Team (ER2)</i>				
Governance and Accountability Director	Brian Giacometti	50	166	1,119.00
Public Auditing Specialist (KPMG)	TBD	0	45	1,235.00
Governance and Accountability Program Manager	Tran Thi Huong Giang	60.34	60.34	1,219.66
Governance and Accountability Program Manager	Tran Ngoc Mai	65.01	211.03	1,068.97
Governance and Accountability Program Officer	Le Hong Diem	63.34	63.34	1,216.66

Inclusive Growth Team (ER 3)

Inclusive Growth Director	Vu Thu Hong	65.01	248.37	1,036.63
Inclusive Growth Program Manager	Nguyen Thi Loi	65.01	245.37	1,034.63
Inclusive Growth Program Manager	Phung Thi Van Anh	65.01	179.69	1,100.31
Inclusive Growth Program Manager	Pham Minh Tu	13	13	1,267.00

Operations, Grants, and Partnerships Team

Operations and Grants Director	Noel Martinez	50.02	242.05	1,047.95
Grants Manager	Nguyen Thi Thanh Loc	64.98	195.98	1,084.02
Grants Officer	Dang Thu Huyen	65.01	122.34	1,157.66
HR and Administration Manager	An Hong Nhung	65.01	211.02	1,073.98
Finance Manager	Dinh Thu Trang	65.01	253.36	1,031.64
IT Officer	Trinh Thai Son	48.34	48.34	1,231.66
Administrative Officer	Nguyen Viet Phuong	65.01	196.03	1,083.97
Administrative Officer	Tran Thu Huong	65.01	191.36	1,088.64
Administrative Officer	Phan Hoai Lien	37.67	37.67	1,242.33
Senior Translator/Interpreter	Nguyen Thi Kim Thanh	65.01	187.36	1,072.64
Translator/Interpreter	Dao Thuy Linh	11	11	1,249.00

Technical Support Team

M&E Manager	Hoang Thi Thanh Mai	65.01	211.03	1,068.97
M&E Coordinator	TBD	0	0	1,280.00
Public Outreach Director	Rosie Makepeace	66	269	251.00
Communications Manager	Pham Thai Hong Van	65.01	142.02	1,137.98

Totals: 1622.2 4780.18 32,866.82

Annex 3a: GIG short-term expatriate/TCN assignments by expected result (ER) and level of effort approved and used (Person days)

Position	Name	ER Code	Q1/FY2015 Total LOE	Approved LOE	Total LOE Remaining	Details
Customs Legal Reform Consultant	Nestor Scherbey	ER 1	14.75	15	0.25	TFA001
Business Enabling Environment and Competitiveness Expert	Olin McGill	ER1	4.01	17	1.99	CIEM002
Totals:			18.76	32	2.24	

Annex 3b: GIG short-term local assignments by expected result (ER) and level of effort approved and used (Person days)

Position	Name	ER Code	Q1/FY15 Total LOE	Approved LOE	Total LOE Remaining *	Details
Trade Facilitation Consultant	Dang Thi Binh An	ER1	20.5	50	0	CIEM002
		ER1	18	50	0	TFA001
Trade Facilitation Consultant	Pham Thanh Binh	ER1	25	50	0	CIEM002
		ER1	20	50	0	TFA001
Tax Policy Consultant	Nguyen Dinh Cu	ER1	14	50	0	CIEM002
Tax Policy Consultant	Nguyen Thi Cuc	ER1	27	50	0	CIEM002
Tax Policy Consultant	Doan Thien Tin	ER1	24	50	0	CIEM002
IT Advisor	Nguyen Thanh Nhut	ER2	15	50	0	NA005
Gender Training Expert	Chu Thu Hien	ER3	10	50	0	MOJ002
Gender Legal Advisor	Duong Thanh Mai	ER3	15	50	0	MOJ002
Gender Legal Advisor	Duong Thi Ngoc Chien	ER3	15	50	0	MOJ002
Citizen Participation & Local Gov Consultant	Vuong Thi Hanh	ER3	6	50	0	MOJ015
Legal Consultant	Phan Cam Tu	ER1	6	50	0	MOJ016
		ER1	6	50	0	MOJ020
Economic Advisor	Le Thanh Trung	ER2	15	50	0	SAV002
		ER2	30	50	0	MPI001
Economic Advisor	Le Quang Thuan	ER2	30	50	0	MPI001
Economic & Regulatory Reform Consultant	Le Duy Binh	ER1	6	50	0	MPI002
Senior Budget Advisor	Hoang Thi Thuy Nguyet	ER2	30	50	0	NA003
Totals:			332.5	950	0*	

*On Dec 31, 2014 all consultant STTA agreements were closed. GIG has entered into new agreements for consultants retained in 2015.

Annex 4: GIG DTA Activities Implemented (US\$)

During the first quarter of operations in Year 2, GIG implemented 34 programmatic DTA activities with all six main counterparts and one subsidiary partner, CIEM (MPI), and two non-governmental partners: Hanoi Women Entrepreneur's Association (HNEW), and VWEC.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)				
Number	Activity Number	KRA	Counterpart Name	Details
1	CIEM002	1.2	Vietnam Central Institute for Economic Management	Support to MPI's CIEM and partners to implement Resolution 19, enhancing competitiveness and strengthening business environment. This activity involved the hiring of local and international experts, building partnerships with local businesses and associations, supporting workshops, and field visits to important business sites.
2	GIG005	Cross Cutting	All Counterparts	Gender assessment and vulnerability matrix. An international consultant was mobilized to review GIG's gender portfolio and identify engagement opportunities.
3	GIG006	Cross Cutting	All Counterparts	This activity provided funding for a wide consultative process with all counterparts and stakeholders to streamline and improve annual work planning.
4	GIG007	Cross Cutting	All Counterparts	This activity held a large event to discuss the role of the private sector in governance and inclusive growth. The event called together representatives from civil society and the public and private sectors for a half day discussion. Speakers included D/AA Ms. Denise Rollins.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
5	HNEW002	3.3, 3.4	Hanoi Women's Entrepreneur's Association	This activity held a series of leadership and accounting proficiency workshops with women entrepreneurs throughout Vietnam, culminating in a half-day policy dialogue focused on MOLISA Circular 6 26/2013 on the sectors and positions unable to employ female professionals. The events brought together diverse representatives from the private sector, civil society, and government representatives.
6	MOIT001	1.4	Ministry of Industry and Trade	Assistance provided to MOIT for the dissemination of the WTO Bali Package documents and information to related stakeholders and the business community.
7	MOIT002	1.3, 1.5	Ministry of Industry and Trade	This activity provided funding for a series of field trips and technical workshops for MOIT department members. The focus was on environmental protection and the management of unsafe goods. Selected stakeholders from the private and public sectors were called together from throughout Vietnam to discuss trends and important issues related to unsafe goods under Category II consideration, and licensing for import/export procedures as supervised by MOIT.
8	MOIT003	1.3, 1.4, 1.5	Ministry of Industry and Trade	This activity provisioned two field visits for local authorities and business community members to understand the practical situation of procedures compliance in obtaining and issuance of Certificates of Origin. The activity also called together stakeholders for a workshop on the drafting of circular guiding self-certification procedures.
9	MOJ001	1.1	Ministry of Justice	RIA for the Law on Laws. A series of workshops and local consultancies seeking to inform the process of RIA as it pertains to the Law on Laws.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
10	MOJ002	3.1, 3.2	Ministry of Justice	Gender mainstreaming into law and gender equality training for the MOJ CFAW. A series of workshops and consultative sessions for the MOJ CFAW that drew information and inputs from other gender-related activities (GIG005).
11	MOJ003	1.1	Ministry of Justice	Technical workshops and consultancies on RIA reports and policy issues for the Civil Code Amendment.
12	MOJ004	1.1, 1.5	Ministry of Justice	This activity provides Technical Assistance to the MOJ in support of the formalization and establishment of commercial mediation to ease commercial dispute resolution. Technical assistance and a series of workshops will be held.
13	MOJ005	1.4	Ministry of Justice	Assistance provided to MOJ to conduct trainings and workshops on codification and the development of a manual on codification.
14	MOJ006	3.1	Ministry of Justice	Consultative workshops to assist MOJ to review varying perceptions, concepts, practices, and the application of custom and traditions in solving family and marriage conflict and disputes in the community currently in the legal process.
15	MOJ011	1.1,1.4, 1.5	Ministry of Justice	This activity seeks to help develop a more transparent and clear legal and regulatory framework within the MOJ. A manual on codification will be developed and disseminated following a workshop discussing the content of the manual.
16	MOJ012	3.1	Ministry of Justice	A series of roundtable and consultative meetings in 3 selected provinces to evaluate the feasibility of the drafted manual on citizens' access to legal service. A technical consultancy was also carried out to support the process. With this manual, MOJ expects to provide guidance, training, monitoring and evaluation of citizen's access to judicial system and services at local level.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
17	MOJ015	3.1	Ministry of Justice	Support to MOJ to conduct roundtable discussions with targeted agencies working on policy advocacy, ethnic minority issues, LGBT rights, and gender equality. This activity also held forums on citizen participation in local governance and gathered inputs presented to government, the private sector, mass organizations, social organizations, researchers, and think tanks.
18	MOJ016	1.1	Ministry of Justice	Support to the MOJ related to the Law on Administrative Decisions. This activity included a technical consultancy and a survey with state and non-state actors to hold technical workshops.
19	MOJ018	1.1,1.2,1.5	Ministry of Justice	This activity supported MOJ-APCA to develop and finalize a manual on Administrative Regulations manual. This manual seeks to clarify the at times inconsistent regulations on Administrative Procedures and provide guidelines on the principles and requirements of the AP process. A manual was ultimately produced and disseminated by APCA.
20	MOJ019	1.1,1.3,1.5; 3.1,3.2	Ministry of Justice	Supporting the amending of the Civil Code, this activity held a series of small roundtables, surveys, and outreach activities to collect and consolidate wider comments on the latest draft law and Civil Code.
21	MOJ020	1.6	Ministry of Justice	This activity funded a consultancy party from the Tulane Public Law Center to lay a foundation for future partnership with the Hanoi Law University. It is anticipated to this activity will grow in 2015.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
22	TFA001 (MOF)	1.3, 1.5, 1.6	Ministry of Finance	This activity represents GIG's initial engagement with the MOF on trade facilitation-related activities. It is a broad initiative that provides technical expertise and material support to develop a legal framework for new customs law and other key requirements of the TFA; build capacity in key technical areas for customs-related agencies to implement the new law effectively; to examine the feasibility of a customs partnership with the private sector (possibly feeding into separate GIG activities); and to develop a comprehensive customs performance indicator system on customer satisfaction.
23	MOF002	1.3,2.4, 3.4	Ministry of Finance	This activity aims to support the National Institute for Finance to carry out their new responsibilities under the Finance Development Strategy 2020 of the MOF. It will do so through the provision of support to NIF to assess the impact and disseminate findings of various FTAs, including TPP.
24	MPI001	2.3	Ministry of Planning and Investment	TA to the Finance and Monetary department of MPI to give inclusive inputs to the revised State Budget law and enhance the effectiveness of capital investment financed by the state budget to improve the amendment of the State budget law.
25	MPI002	1.1, 1.2, 2.3, 2.4	Ministry of Planning and Investment	Supporting MPI's Public Procurement Agency (PPA) through a workshop and local technical assistance, this activity seeks to enhance the quality of LNDs developed and to review the current legal framework and needs of the PPA, including the drafting of the Circular on standard RFP forms in cases of direct contracting.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
26	NA003	2.3	National Assembly	TA and training to the Committee/Department on Finance and Budget to strengthen the NA budget oversight process and improve the capacity of its Department on Budget Analysis and Budget Oversight, based on the model of the US Congressional Budget Office.
27	NA004	2.5	National Assembly	This activity seeks to enhance the communication between the NA and the public through improved media relations. The activity will hold a half-day dialogue on the role of media and communications in the NA and also to support a needs assessment (conducted by GIG staff) to refine and define the NA's training needs.
28	NA005	2.4	National Assembly	Support to the NA Library to establish a comprehensive IT application for NA deputies and staff to access information and evidence to inform oversight and legislative functions, including disaggregated data on gender and vulnerable groups. A major component of this activity is the design, installation, and operationalization of an Instant Support System for NA deputies to conduct research and interact with media and constituent representatives.
29	NA006	2.1	National Assembly	This activity seeks to improve the internal capacity of the NA Oversight Support Department through the provisioning of a seminar on the improvement of the NA questioning system. A consultant will be engaged to facilitate this work and gather inputs for the rules of the development process within the NA.

ANNEX 4: GIG DIRECT TECHNICAL ASSISTANCE IN Q1/FY15 (October 01-December 31 2014)

Number	Activity Number	KRA	Counterpart Name	Details
30	NA007	2.3	National Assembly	This activity seeks to support the revision of the state budget law through input-gathering field trips throughout Vietnam. A consultant will be engaged to provide comments on the state budget law and to gather and consolidate inputs and findings. A small dialogue will be held to further discuss and disseminate findings.
31	NA019	1.2, 1.5, 2.3, 3.2	National Assembly	Working with the NA Law Committee, this activity seeks to enhance policy transparency and coherence and promote the use of evidence-based information in the policy-making process. It seeks to do so through the convening of a legislative forum on Law on local governments.
32	SAV002	2.1	State Audit of Vietnam	Creation of a draft action plan focusing on public auditing standards relative to ISSAI and building a collaborative relationship with INTOSAI.
33	SAV003	2.3	State Audit of Vietnam	Technical Review of SAV IT Draft Master Plan conducted by KPMG.
34	VWEC001	3.3, 3.4	Vietnam Women Entrepreneurs Council	Supporting VWEC to hold a half day consultative workshop with 120 female business leaders, this activity will solicit inputs and suggestions on the development of VWEC's strategy towards 2020 and beyond. The new strategy will guide VWEC's initiatives and activities and support the growth of women entrepreneurs.

Annex 5: DTA Activity Progress Report

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
	1.1. High-quality laws and regulations developed				
MOJ019	1.1	Support development of the 2005 Civil Code (amended), by continuing support for the regulatory impact assessment (RIA).	Oct-Dec 2014	In-progress	This activity was collaborated between component 1 & 3: 2 events were organized to collect opinions on the revised Civil Code. The RIA report is being drafted and expected to be finalized in April 2015. The revised Civil Code was drafted, integrating project recommendations, and will be submitted to NA for review and approval in May 2015.
MOJ026	1.1	Review and develop options for legal system improvement to implement TPP commitments, per agreed need and priorities.	Oct-Dec 2014	Not yet started	
MOJ027	1.1	Support establishment of an equitable and transparent dispute resolution mechanism to deal with investor-state disputes promptly and efficiently within the framework of TPP and agreements on promotion and protection of investment.	Oct-Dec 2014	Preparing	Discussions with the partner are being conducted.
MOJ031	1.1	Improve the LND drafting capacity of legal officers of ministries, NA, sector organizations, and localities.	Apr-June 2015	Preparing	DTA was drafted but not yet cleared.

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOJ013	1.1	Support the management and facilitation of international cooperation activities in the legal sector through technical assistance in (i) implementation of the decree on cooperation in legal sector; (ii) possible support of a study mission to the US on experience in implementation of the Constitution.	TBD	Not yet started	
MOJ018	1.1	Study and compile guidelines for developing provisions on administrative procedures in draft LNDs	Oct-Dec 2014	In progress	The guidelines were drafted and expected to be finalized in Q2.
	1.1	Develop a set of standardized administrative procedures and tools for rationalization of administrative procedures for government of various levels, focusing on selected priority areas such as tax, customs, et al.	Oct 2014-March 2015	Not yet started	APCA drafted a master plan to standardize administrative procedures. It is expected that the standardized administrative procedures will be completed in Q3 for the government at central level.
MOJ010	1.1	Support development of the Law on Making Administrative Decisions. Support a study of international experiences related to drafting the Law on Making Administrative Decisions.	Oct 2014-March 2015	In progress	RIA report will be prepared in Q2/FY15, and is expected to be finalized in Q3. The draft law will be submitted to the government in Q3 and to the NA in Q4. C3 is collaborating with C1 to organize a workshop to collect opinions on the contents of the draft Law on Admin Decisions and the key issues in the RIA report.
MOJ004	1.1	Support organization of a workshop to solicit comments on the draft Decree on Commercial Mediation.	Oct-Dec 2014	Completed	Workshops to collect comments on draft Decree were organized.
TFA002	1.1	Improve and promulgate harmonized system explanatory notes.	Oct-Dec 2014	In-Progress	No further activity in the 1st quarter.

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
TFA001	1.1	Implement commitments under the WTO TFA: risk management, national single window, CBP, strategic administration of the customs modernization plan, performance indicators, compliance measurement and assessment, experience sharing, Vietnamization, application of the EClick software, et al.	Oct 2014-Sep 2015	In-Progress	Provided comments on the customs decree and circulars and supported for development of customs-business partnership, dissemination of WTO TFA. Consultation workshops and field trips to improve the WB DB Trading Across Borders Indicators were carried out.
TFA003	1.1	Build capacity and support implementation for combatting wildlife trafficking (CWT).	Apr-June 2015	Not yet started	Discussing with VN customs (anti-smuggling and investigation department).
MOF003	1.1	Review and systematize the list of current tax-related administrative procedures, including annual statistics; propose options for simplification. Develop a process for Administrative Procedure Control.	Oct 2014-March 2015	Preparing	Discussing with local partner to prepare DTA.
MOIT010	1.1	Conduct a status assessment for the purpose of developing National Technical Regulations on food safety assurance conditions applicable to food production, storing, and trading facilities under MOIT's management authority.	July-Sept 2015	Not yet started	
MOIT011	1.1	Conduct a status assessment for the development of a legal document stipulating the conditions for and method of managing food trading at markets and supermarkets.	July-Sept 2015	Not yet started	
MOIT012	1.1	Support implementation of the Bali Package TFA provisions in coordination with Customs and other agencies. Support may include reviewing regulations to facilitate trade, and issuing e-documents to enhance understanding of the Bali package.	Oct 2014-March 2015	Not yet started	

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOIT013	1.1	Support preparation for implementation of the TPP/FTA in selected technical areas agreed by GVN and USG, such as labor, environment, customs, et al.	Oct 2014-Sept 2015	Preparing	In discussion with the partner to prepare detailed activities and to draft the DTA.
MOIT003	1.1	Review and analyze the LND system concerning rules of origin, to recommend revisions and amendments, or issue new LNDs, for self-certification of goods origin in the ASEAN region.	Oct-Dec 2014	In progress	Field trip and consultation workshops completed. Review report is being drafted and circular is being drafted.
CIEM003	1.1	Study and assess the implementation of regulations and procedures relating to the Doing Business Indicators (WB) and propose solutions to streamline procedures and reduce time and costs, in order to improve the business environment and national competitiveness. In 2015, focus on 3 indicators: (i) registering property; (ii) protecting investors; and (iii) enforcing contracts. Activities may include study reports, one-day technical workshops, field trips, or national conference.	Oct 2014-Sept 2015	Preparing	Implement in Q2
CIEM004	1.1	Sum up the implementation of reforms under "Ease of Doing Business Indicators (EoDB) in Vietnam for the 2011-2015 period," to develop recommendations on how to improve the business environment and enhance national competitiveness. Activities may include study reports, technical workshops, field trips, or national conference.	Jan-Apr 2015	Preparing	Implement in Q2. Combine with CIEM003.
1.2. Policy development processes improved					

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOJ030	1.2	Develop Operational Guidelines for RIA.	Oct 2014-March 2015	Preparing	DTA is being drafted.
MOJ017	1.2	Organize a Workshop to finalize draft Regulations on Decentralization and Appointment of the Duty to manage civil servants and employees in various State management entities under MOJ.	Oct-Dec 2014	Not yet started	
MOIT005	1.2	Support capacity building of understanding of legal issues for legal officers to develop and implement FTAs in selected legal and institutional areas.	Jan-Apr 2015	Not yet started	
MOIT007	1.2	Study the policies for trade, import, and export in economic zones, special trade zones, and export-processing zones (EPZs). Study foreign experiences in the development of such policies, to identify ways to improve the legal framework for such zones.	Oct-Dec 2014	Preparing	Discussions with the partner are being carried out, and DTA will be drafted in January 2015.
MOIT014	1.2	Review regulations and practices in certification of origin and prepare Vietnam for implementation of a self-certification regime anticipated in FTAs such as TPP. Activities may include survey of current practices, training, technical or management advice, et al.	Oct 2014-March 2015	In progress	2 field trips and consultation workshops completed. Preparing training courses on FTA rules of origins for MOIT, customs officers and related business associations.
CIEM005	1.2	Support training events on RIA. Steps may include developing a RIA manual and delivering related trainings.	Jan-Sept 2015	Not yet started	

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MPI008	1.2	Support capacity development for the International Public Procurement Center.	Oct-Dec 2014	Not yet started	
MPI009	1.2	Support capacity development for the Center for Research and Policy Consultation.	Oct-Dec 2014	In-Progress	DTA was cleared. Training course for young professionals will be delivered in Q2-FY2.
NA010	1.2	Organize a Technical Conference on FTAs in the central region of Vietnam.	Jan-March 2015	Delayed	Delayed due to NA meeting
1.3 Information systems and evidence-based analysis for policymaking improved					
MOIT008	1.3	Review and assess 10 years' implementation experience of the 2005 Commercial Law (Part 1).	Apr-June 2015	Preparing	Discussions with the partner are being carried out, and DTA will be drafted in Q2-FY2.
MOIT009	1.3	Review and assess the business conditions applicable to conditional business sectors to support the implementation of the revised Investment Law and implementation of the TFA with regard to import/export licensing in these sectors.	Jan-Apr 2015	Preparing	To combine with MOIT008
MOIT002	1.3	Review and propose changes to environmental protection rules for the manufacturing sector.	Oct-Dec 2014	In progress	Report is being drafted and will be completed in Q2. Regulations will be amended later in the year.

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOIT004	1.3	Review and recommend ideas and a roadmap for developing and issuing MOIT technical regulations (QCVN) by 2020 for conformity of certification and examination of quality of Group II commodities in production and importation.	Oct-Dec 2014	In progress	Report is being drafted and will be completed in Q2. Regulations will be amended later in the year (Combine with MOIT002).
NA008	1.3	Organize 6 technical workshops on intellectual property, government procurement, trade facilitation, origins of goods, labor, environment, and other topics, for the publication of technical briefing materials on FTAs for NA deputies to perform ratification and implementation of FTAs	Oct 2014-March 2015	Delayed	Delayed due to NA meeting
STAM001	1.3	TBT Support to STAMEQ	Oct 2014-Sept 2015	In progress	Initial review by GIG local consultants completed. International consultant to analyze TBT IT system for upgrading to come tentatively in Jan/Feb.
1.4. Transparency of laws and regulations improved					
MOJ011	1.4	Conduct professional and operational dialogues/seminars on a quarterly basis to improve knowledge and skills of codification officers, and to address difficulties in codification activities.	TBD	Not yet started	
MOIT006	1.4	Support systemization of the legal norm system in the trade and industry sector.	Oct 2014-Sept 2015	Preparing	Discussions with the partner are being carried out, and DTA will be drafted in January 2015.
1.5. Public consultation and stakeholder engagement mechanisms strengthened					

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOF004	1.5	Raise awareness among drafters of LNDs in the public finance sector on the importance of public consultation, through training for officers of MOF units, and through developing tools to enable better public participation in formulation of laws and regulations.	Jan-Apr 2015	Not yet started	
1.6. Innovative partnerships to advance a clear legal and regulatory framework established					
MOJ020	1.6	Develop an academic partnership between Vietnamese law schools and Tulane Law School to develop curriculum and train staff in legal drafting and development. Improve the training capacity of lecturers at Hanoi Law University and other law schools for legal drafting skills.	Cont. from last year	In progress	Preparations are being made so that the partners will work on developing the training materials in the next quarter.
TFA001	1.6	Technical assistance provided to help strengthen the relationship between Vietnam Customs and the business community to facilitate their business and operations in all activities related to Customs issues including: completing the legal framework to promote the development of Customs-to-business partnership, and supporting the implementation of partnership programs with AEOs, compliant traders, and Customs brokers.	Cont. from last year	In progress	<ul style="list-style-type: none"> - MOU on TFA Alliance (Amcham HCMC, VCCI, USAID, Business Associations) was signed in December 2014. The project supported in connecting these Alliance members with VN Customs in policy consultations on customs. - Customs-Business Partnership (VN Customs and business associations) was formed. A customs-business consultation mechanism was drafted and will be issued by Q2-FY2 after collecting comments from local customs.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
		2.1. Government oversight processes strengthened			
NA006	2.1	Develop regulations on the order, sequence, and details of oversight activities of the NA and its Standing Committee. Areas of support will include defining the role of the Department, and supporting implementation of oversight regulations.	Apr-Sept 2015	In-Progress	Needs assessment to be conducted.
NA012	2.1	Support internal resources and procedures of the Committee. Activities to include support for review of relevant LNDs for complaints and petitions, and consultations on internal systems for managing requests and information effectively and efficiently.	Jan-March 2015	Preparing	DTA is being prepared, based on technical discussion with the partner.
NA020	2.1	Assist the Law Committee in conducting some Q&A sessions (deliberative hearings) in accordance with the working schedule of the Committee. Coordinate with Oversight Department on developing oversight procedures and rules.	Jan-Sept 2015	Not yet started	
NA021	2.1	Conduct a training needs assessment for NA deputies and staff.	Jan-March 2015	Not yet started	Plan to start in March 2015.
MPI003	2.1	Support public procurement mechanisms, such as certification, through assessment, planning, and initial implementation.	Jan-Sept 2015	Preparing	Review of circular by national consultant

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
SAV004	2.1	Support SAV in finalizing the draft revised State Audit Law, and then disseminating its implementing documents after the Law is promulgated. Support to include: (i) drafting assistance including harmonization with other laws such as the State Budget Law, (ii) coordination with the NA on drafting and deliberation, (iii) guidelines for implementation, and (iv) development of regulations.	Jan-June 2015	Preparing	Draft DTA was sent to SAV for comments.
SAV005	2.1	Develop a model of operational divisions in the units performing audit operations. Support to include offering ongoing organizational consulting on SAV's structure and roles of departments.	Jan-June 2015	Preparing	DTA is being prepared based on technical discussion with SAV.
SAV006	2.1	Offer training and support SAV's capacity to train its officers. Activities to include: (i) identify a topic for training to serve as the pilot module, based on assessed needs; (ii) create training materials; (iii) conduct ToTs; and (iv) institutionalize. As part of process, assist SAV in reviewing governing norms of audit area and drafting guidelines for that area. The first module will serve as a model, which may then be replicated in other areas.	Jan-Sept 2015	Preparing	Training SOW is being developed in collaboration with KPMG.
SAV007	2.1	Support 2 officers to attend the audit specialized training course organized by US GAO and to establish partnership with US GAO. Upon their return, organize workshop to share their experiences.	Oct 2014-Sept 2015	Delayed	The candidates failed TOEFL exam and are preparing for 2016 exam.
2.2. Citizen participation in monitoring and evaluating policy implementation strengthened					

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
NA013	2.2	Initiate a constituent engagement pilot program to inform MPs on how to process constituent requests under current laws and regulations. Pilot participants will receive informational material and ongoing support from the Committee, hold regular constituent engagement sessions, and report on how each received request was addressed.	Jan-March 2015	Preparing	DTA is being prepared, based on technical discussion with the partner.
2.3. Transparency on policy implementation effectiveness increased					
MOJ023	2.3	Support monitoring of law implementation and development of tools to enable citizens to provide feedback on implementation of laws (alternative proposal from GIG). Develop methods to clarify and harmonize roles of different departments within MOJ, as appropriate. Study the basis and support development of a Proposed Law on Organizing Law Implementation.	Jan-Sept 2015	Preparing	DTA was drafted but not yet cleared.
MOJ024	2.3	Support monitoring of law implementation and development of tools to enable citizens to provide feedback on implementation of laws (alternative proposal from GIG). Develop methods to clarify and harmonize roles of different departments within MOJ, as appropriate.	Jan-Sept 2015	Delayed	
MOJ025	2.3	Support monitoring of law implementation and development of tools to enable citizens to provide feedback on implementation of laws (alternative proposal from GIG). Develop methods to clarify and harmonize roles of different departments within MOJ, as appropriate.	Jan-Sept 2015	Preparing	DTA has been drafted and cleared, but implementation delayed by partner.
MOF001	2.3	Improve the public procurement mechanism in coordination with MPI's Public Procurement Agency (PPA).	TBD	Not yet started	Will be connected to ongoing procurement activities with MPI.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MPI005	2.3	Review and assess e-procurement practices in Vietnam. Develop a roadmap for possible future applications. Activities may include workshops and review of international best practices.	Jan-March 2015	Delayed	The partner proposed a different activity as the roadmap was already developed in a circular which will be issued soon.
MPI006	2.3	Improve the efficiency and decentralization of public investments to promote transparency and central-local coordination. Support to include continued capacity development and inputs on capital investment policies, and may include workshops, consultancies, or trainings.	Oct 2014-Sept 2015	Delayed	
NA009	2.3	Organize training for Committee staff based on identified needs, including those recommended in Year 1. Potential topics include budget review and development, and coordination with government bodies.	Apr-Sept 2015	Preparing	DTA cleared, implementation expected to begin in January.
NA019	2.3	Convene regular law forums to allow stakeholders, citizen groups, and GVN representatives to discuss and provide recommendations on select draft laws. Forum topics will include the Law on the Organization of the Local Governments, the Law on Oversight, and the Law on Laws. Forums will be designed to address issues related to each law. For example, a Law on Audit Forum could serve as a forum for the SAV and the NA. In addition, laws initiated by NA deputies should be highlighted.	Oct 2014-Sept 2015	Preparing	DTA was completed. Preparations are being made for a forum on Law on Organization of Local Government in late January 2015.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
NA007	2.3	Support the revision of the State Budget Law. Activities to include: (i) gather information on implementation of the current Budget Law at the subnational level, including recommendations for revisions; (ii) disseminate findings to MPs; (iii) organize a workshop before the Spring NA session to seek comments from experts and concerned agencies; (iv) offer assistance in consolidating recommendations (including recommendations from Year 1) for the final version of the revised law. All activities will also include a review of Decision 387 and best practices on inclusive budgeting.	Oct 2014- June 2015	In- Progress	The 1st field trip to get information to revise State Budget Law (in Lao Cai) was conducted. The 2nd field trip will be organized in January 2015. Component 3 is supporting for the integration of gender issues in the revised State Budget Law.
2.4. Quality of information and analysis for evaluating policy implementation effectiveness improved					
MOF002	2.4	Assess the impact of the TPP on various economic sectors and State budget revenues, under Decision 68/2014/QĐ-BTC (8 Jan 2014) of the Minister of Finance, issuing the MOF Action Plan to implement Resolution 01/NQ-CP (2 Jan 2014) on implementation of the socio-economic development plan.	Apr-Sept 2015	In- Progress	A workshop to share experiences on implementation of FTAs to identify the gaps for conducting TPP impact analysis was organized in December 2014.
NA011	2.4	Review and enhance the NA Library's capacity to support legislative forums and dialogues, working in coordination with the Law Committee and other relevant committees.	Jan-March 2015	Preparing	Component 2: DTA is being prepared with Library. Component 3: social feedback mechanism mapping DTA was approved and a workshop will be organized in January, followed by mapping exercise.
NA005	2.4	Continue Year 1 work on the Instant Support System. Once implemented, provide additional support for accessing resources, such as the Global Legal Information Network (GLIN), or others.	Oct 2014- March 2015	In- Progress	Vendor has been selected and is working with Library on the design of the Instant Support System.
NA018	2.4	Provide training support to new ILS staff on legislative research and analysis.	Apr-June 2015	Preparing	DTA is being prepared, based on technical discussion with the partner.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MPI007	2.4	Continue Year 1 efforts to support MPI's review and implementation of the State Budget Law.	Oct 2014- March 2015	Preparing	The project is in the process of recruiting a consultant for this activity.
SAV008	2.4	Based on final IT Master Plan, identify area of support and collaborate with IT Center on incorporating enhanced IT systems and procedures. In addition, consult on database and information management.	Oct 2014- March 2015	Not yet started	IT Master Plan was not approved. The project will go ahead once it is approved.
MPI004	2.4	Support review of the USG procurement system and its experiences meeting international commitments on government procurement. Activities to include a workshop and relevant skills development. Organize workshops to disseminate information about international commitments related to government procurement	Jan-June 2015	Preparing	The project had technical discussion with the partner.
MPI002	2.4	Develop a Circular or guidelines on implementation of the Public Procurement Law. Support will include (i) review of and development of initial Circular(s); (ii) consultations with PPA on implementation and dissemination; (iii) possible support for additional Circulars. Organize workshops in 3 regions to obtain comments and inputs on LNDs, including review of the Public Procurement Law.	Oct-Dec 2014	In- Progress	DTA completed. Inception report was completed by national consultant. 1st draft of the Circular will be finalized in mid January 2015.
2.5. Quality of the media's reporting on policy implementation improved					
NA004	2.5	Conduct a needs assessment, and (based on assessment) draft and initiate an action plan for four areas: (i) improving media relations; (ii) internal capacity development of Department staff; (iii) development of tools (e.g., website); and (iv) NA-wide support (including training of spokespersons).	Jan-Sept 2015	In- Progress	Report on needs assessment is being developed and expected to be finalized in February. The 1st media dialogue (role of media and communications in NA activities) was organized in December 2014.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
	2.6. Innovative partnerships to advance improved accountability of public institutions established				
	2.6	No activity yet			

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
	3.1. Legal and regulatory barriers for women, ethnic minorities, and other vulnerable groups' equality reduced				
MOJ021	3.1	Support development of LNDs to implement provisions of the Law on Family and Marriage concerning vulnerable groups, such as women, people with disabilities, people living with HIV, LGBT, and ethnic minorities.	Oct 2014-March 2015	Preparing	DTA was completed. It is planned that this activity will start in January 2015.
MOJ022	3.1	Provide capacity building to legal aid officers and lawyers-collaborators, as needed related to the Law on Legal Aid and legal aid service provision.	Jan-June 2015	Preparing	DTA was completed based on technical discussion with the partner. The partner recently proposed to revise the scope of work.
MOJ028	3.1	Support development of a Prime Ministerial Decision amending and adding to Decision 09/2003/QĐ-TTg (24 Jan 2013) providing law accessibility standards.	Oct 2014-March 2015	In-progress	DTA was completed. It is anticipated to organize a workshop and assessment of implementing of Decision 09 in March 2015.
MOJ029	3.1	Survey, assess, and propose options for development of local communities to meet law access standards.	Jan-June 2015	In-progress	DTA was completed (MOJ028 and MOJ029 were combined).
MOJ032	3.1	Develop Guidelines for Gender Mainstreaming in LND drafting, under the new Law on Promulgation of LNDs.	Apr-Sept 2015	Preparing	DTA was drafted and not yet cleared (MOJ014 and MOJ032 were combined)

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
MOJ014	3.1	Develop a 2015 Action Plan for Gender Equality.	Oct 2014- March 2015	Preparing	DTA was drafted and not yet cleared.
MOJ002	3.1	Gender mainstreaming Feedback Workshop	TBD	Not yet started	
NA014	3.1	Support a workshop to review LNDs on internal migration and assess the situation of in-migration, particularly of ethnic minorities. Workshop outputs to include recommendations to be incorporated into the draft Law on Population.	Jan-March 2015	Not yet started	
3.2. Women, ethnic minorities, and other vulnerable groups' participation and voice in policy dialogue increased					
NA016	3.2	Organize a workshop on the implementation of laws concerning the recruitment, training, and professional development of officers, public employees, and civil servants belonging to ethnic minority groups.	Jan-June 2015	Preparing	DTA is being drafted (NA016 and NA017 are combined)
NA017	3.2	Provide skills training for NA deputies and deputies of People's Councils from ethnic minorities, to enhance their ability to take part in law-making and mainstreaming relevant policy issues on behalf of their constituencies.	Jan-Sept 2015	Preparing	See above notes in NA016
3.3. Data and analysis on women, ethnic minorities, and other vulnerable groups improved					

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS					
Activity Number	KRA	Planned activities for Year 2	Timeframe	Status	Notes
NA015	3.3	Conduct research and produce a paper on Access to Information for Ethnic Minority Groups. Activities to include field research and workshops, and recommendations to support GVN counterparts and other stakeholders to engage ethnic minority groups through public outreach in Vietnam's governance process, enabling the use of evidence-based research in supporting citizen participation in public policy.	Oct 2014- March 2015	Preparing	The bidding is on-going.
VWEC001	3.3	Preparation of VWEC Development Strategy 2020, enhancing VWEC's vision and mission, development of membership and database.	Oct-Dec 2014	In progress	VWEC development strategy is being drafted, a workshop on draft strategy will be organized in January 2014.
VWEC002	3.3	Assess and build the communications capacity of VWEC to improve dissemination of information to members and citizens.	Oct 2014 - March 2015	In progress	Needs assessment of communication capacity of VWEC undertaken. Communication capacity building training will be undertaken in February 2015 based on VWEC strategy.
HNEW002	3.3	Policy advocacy forum and the role of women entrepreneurs in policy development.	Oct-Dec 2014	In progress	The forum was undertaken.
		3.4. Access to economic opportunity for women, ethnic minorities, and other vulnerable groups improved			
		No activity yet			
		3.5. Innovative partnerships to advance women, ethnic minorities, and other vulnerable groups' inclusion established			
		No activity yet			

Annex 6: Indicator Data Summary Table

Indicator	Year 2 Target	Q1/FY15 Target	Q1/FY15 Actual	Disaggregation	Data Source/ Collection method	Notes
Project Goal: Governance enhanced to facilitate broad-based, sustainable growth						
0.0.1 Ease of Doing Business rank/Distance to Frontier measure	64.42			NA	World Bank	To update on annual basis. Previously the target for Year 2 were 61.80. However, the rankings were recalculated by the WB as reflected in its latest DB report in October 2014, so the baseline for 2014 was updated and the targets for the next years were adjusted accordingly.
0.0.2 CPIA public sector management and institutions cluster average	3.5			NA	World Bank	To update on annual basis
0.0.3 CPIA policies for social inclusion/equity cluster average	4			NA	World Bank	To update on annual basis
Project Intermediate Result 1: Improved legal and regulatory framework						
1.0.1 Time to export/import (days)	20/20			NA	World Bank	To update on annual basis
1.0.2 Ranking on transparency of Government Policymaking by WEF	110			NA	WEF	To update on annual basis

1.0.3 Percent of TPP required legislative changes and supporting regulations that have been drafted with GIG assistance upon partners' requests	TBD			Counterpart; Regulation type	Project reports; activity reports	To update on annual basis. TPP has not been signed so the target for this indicator will be set later on. The achieved result will be reported when the relevant activities are implemented.
KRA 1.1. High quality laws and regulations developed						

<p>1.1.1. Number of policies/laws/regulations/procedures drafted, revised, and/or adopted to enhance governance and/or facilitate private sector participation and competitive markets as a result of GIG assistance</p>	<p>10</p>	<p>2</p>	<p>10</p>	<p>Counterpart: 6 GDVC/MOF), 1 (Social Insurance), 1 (jointly Tax/MOF+CIEM/MPI), 1 (MOJ), 1 (NA)</p> <p>Regulation type: Law: 1, Decree: 3, Circular: 5, Decision: 1</p>	<p>Relevant regulations; Consultant Reports; Workshop Materials; Post-event Reports; Event Evaluation Reports; Media</p>	<p>In Q 1, the project continued to provide support for implementation of Resolution 19, which resulted in the issuance and/or drafting of following regulations on tax, customs and social insurance:</p> <ul style="list-style-type: none"> - Draft Decree 91/2014-NDCP dated 1 October 2014 on Amendment and Supplement of a Number of Articles in Decrees regulating taxation - Draft Decree implementing Customs Law 2014 - Draft Circular providing for customs procedures; customs inspection and supervision; customs control; import-export duties and tax management with regards to imports and exports (combined the three circulars) - Draft Circular providing guidelines for classification of goods and application of tax rates to imports and exports (“Draft Classification Circular”), - Draft Circular making provisions for customs valuation of imports and exports (“Draft Circular on Customs Valuation”) - Draft Circular issuing the List of Vietnam’s imports and exports (“Draft Circular on the List of Goods”) - Draft Circular providing for the application of privileges in the State management of customs to businesses (Circular on AEO) - Decision 1018/QD-BHXH of Social Insurance on 10 October 2014 amending some provisions in the decisions regulating collection
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						<p>and expenditure of social and health insurance.</p> <ul style="list-style-type: none"> - Draft Decree on Commercial Mediation - Draft revised Law on State Budget, which was submitted to NA in October 2014 for review and expected to be passed in May 2015. Project recommendations on mid-term fiscal framework was integrated in the revised law. Also gender budgeting is being supported and expected to be integrated in the revised law.
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KRA 1.2. Improved policy development process						
1.2.1. Number of GIG- assisted activities to enhance policy development process	7	1	1	Counterpart: 1 (GDVC/MOF)	Post-activity Reports; Mechanism paper; Event Materials; Event Evaluation Reports	- A series of consultation workshops on customs-business partnership were organized (TFA001). A regulation on customs-business consultative mechanism was drafted and will be issued soon as a result.
KRA 1.3. Improved information systems and evidence-based analysis for policy making						
1.3.1. Number of evidence-based policy analyses conducted with GIG support	10	2	1	Counterpart: 2 (GDVC/MOF)	Consultant reports; Post-activity reports	- An analysis on customs procedures and specialized management procedures for imports and exports by customs consultants based on field trip, interviews with associations, businesses and government agencies (TFA001).
1.3.2. Number of information systems established or substantially upgraded with GIG support	2	0	0	Counterpart	Project reports; activity reports	To implement in next quarters
KRA 1.4. Increased transparency of laws and regulations						
1.4.1 Number of sections codified and/or systemized conducted with GIG assistance	5	1	0	Counterpart	Project reports; activity reports	The handbook for codification was drafted and finalized with GIG assistance. The actual codification of sections will be conducted in next quarters.

KRA 1.5. Strengthened public consultation and stakeholder engagement mechanisms in policy development

<p>1.5.1. Number of GIG-supported public consultation events to improve Vietnam's legal and regulatory framework</p>	<p>20</p>	<p>5</p>	<p>7</p>	<p>Counterpart: 3 (MOJ), 2 (MOF), 2 (MOIT)</p>	<p>Event materials; Post-event reports; Event evaluation reports; Media</p>	<ul style="list-style-type: none"> - A series of workshops on simplification of administrative procedures in Vinh Phuc, Da Nang and HCMC and development of a set of rules/handbook on administrative procedures norms in Hue (MOJ018) - A workshop on codification handbook in Hanoi (MOJ011) - A series of workshops on international experiences and practices on development of commercial mediation regulations in Hanoi and HCMC (MOJ004) - A series of consultation workshops on draft circulars implementing customs law and customs-business partnership in Hanoi, Quang Ninh, HCMC (TFA001) - Workshop on implementing resolution 19 of the government: simplification of specialized management procedures for imports and exports (TFA001). - A series of workshops on self-certification of origin in free trade agreements, in Hai Phong and HCMC (MOIT003) - Workshops on environmental protection in industrial manufacturing: challenges for economic development and on management of unsafe goods
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						(category II): challenges for industrial process (MOIT002)
Project Intermediate Result 2: Improved accountability of public institutions						
2.0.1. Open Budget Index score	19			NA	International Budget Partnership	To update on annual basis. The measurement unit is changed from % to score as it is more precise according to the definition of this index.
2.0.2. Median scores of transparency indicator in PCI	5.86			Province	PCI	To update on annual basis
KRA 2.1. Strengthened government oversight processes						
2.1.1. Number of activities to support executive oversight process receiving GIG assistance	2	0	1	Counterpart: 1 (NA)	Event materials; Post-activity report; Event evaluation report; Media	Dialogue on improving the effectiveness of National Assembly Q&A sessions in Vinh Phuc (NA 006).
2.1.2. Number of training sessions on audits for staff from relevant GIG partners	2	0	0	Counterpart	Project reports; training reports	To implement in Q3
KRA 2.2. Strengthened citizen participation in monitoring and evaluating policy implementation						
2.2.1. Number of GIG-supported activities designed to promote or strengthen the participation of citizens in monitoring and evaluating policy implementation	2	1	1	Counterpart: 1 (MOF)	Event materials; Post-activity report; Event evaluation report; Media	A workshop to share experience on implementation of FTAs commitments to prepare for TPP integration was organized in HCMC in December 2014, jointly chaired with NIF/MOF (MOF002).

KRA 2.3. Increased transparency on policy implementation effectiveness						
2.3.1. Number of GIG-supported activities designed to strengthen the budget process	4	1	1	Counterpart: 1 (NA)	Post-activity report; Event materials; Event evaluation report; Consultant reports	Field trip and Dialogue in Lao Cai on the revision of the State Budget Law (NA007)
2.3.2. Number of GIG-supported activities to increase transparency on policy implementation in Vietnam	4	1	0	Counterpart	Project reports; activity reports	To implement in Q2 (the planned legislative forum was delayed till January 29-30 due to NA Law Committee's working schedule change). Also plan to organize a seminar seeking for inputs for drafting Law of Access to Information in early February 2015.
KRA 2.4. Improved quality of information and analysis for evaluating policy implementation effectiveness						
2.4.1. Number of policy implementation assessments conducted with GIG support	2	0	0	Counterpart/ partner	Project reports; activity reports; assessment reports	The report on TPP impact is being processed and expected to be completed in Q2.
KRA 2.5. Improved quality of the media's reporting on policy implementation						

2.5.1. Number of training days on journalism and media relations skills with GIG assistance, measured by person-days of training.	150	0	35	Counterpart: 35 (NA)	Post-activity reports; event materials; Event evaluation report; Attendance lists	Dialogue on the role of media and communications in the National Assembly activities (NA004) was convened for 71 persons (journalists and staff of NA Office -Department of Information) for half a day.
Project Intermediate Result 3: Improved inclusion						
KRA 3.1 Legal and regulatory barriers for women, ethnic minorities, and other vulnerable groups' equality reduced						
3.1.1. Number of laws, policies or procedures drafted, revised and/or issued to support inclusion of vulnerable population.	3	1	2	Counterpart: 2 (MOJ) Regulation type: 2 (Law)	Consultant Reports; Draft Civil Code; Draft Law on Laws; Post-activity reports	<p>- Continued the contribution to the draft revised Civil Code, supporting inclusion of vulnerable population, which was submitted to NA for review in October 2014, under code MOJ019 (in cooperation with C1). Public consultations on the revised Civil Code are being made and a report will be submitted to the government by end of April. The draft revised Civil Code (with GIG support in the drafting process which is on-going) will be submitted to NA again for review and approval in May 2015.</p> <p>- Supported for integration of gender mainstreaming principles in the draft Law on Laws which was submitted to NA in October 2014 for review and will be submitted again in May 2015 for review and approval (under code MOJ002). The gender mainstreaming principles are reflected in Articles</p>

						29, 31, 43, 74 and 94 of the draft Law on Laws.
KRA 3.2. Women, ethnic, minorities, and other vulnerable groups' participation and voice increased in policy dialogues						
3.2.1. Number of USG assisted civil society organizations that participate in legislative proceedings and/or engage in advocacy with national legislature and its committees.	10	2	4	Counterpart: 4 (MOJ)	Presentations of CSO; Post-event reports; Draft laws (Civil Code and Law on Admin Decisions)	The former CSOs receiving GIG assistance continue to engage in advocacy activities for the revised Civil Code in this FY include CISDOMA, iSEE, LERES, IPL. In addition, 3 new CSOs engaging in advocacy for the revised Civil Code this year are CECM (Center for Community Empowerment), RECERD (Research Center for Resources and Rural Development) and IPSCF (Institute of Population, Children and Family), under code MOJ019. In addition, CISDOMA participated in advocacy activities for draft Law on Promulgation of Administrative Decisions during the 1st quarter, under code MOJ016.

3.2.2. Number of GIG-supported events designed to promote the target groups' participation and voice in policy dialogues	7	2	3	Counterpart: 3 (MOJ)	Post-event reports; Consultant reports; Event materials; Event evaluation reports; Media	- 2 events on revised Civil Code (MOJ019) were organized: Roundtable discussion on different views within the revised Civil Code in Hai Phong and Consultative workshop - civil rights of vulnerable groups in the revised Civil Code in Hanoi. - 1 workshop on gender mainstreaming in legal normative documents in Hanoi (under code MOJ002).
KRA 3.3. Improved data and analysis on gender, ethnic minorities, and other vulnerable groups' equality						
3.3.1. Number of policy research initiatives conducted on gender, ethnic minorities, and other vulnerable groups with GIG assistance that use data and analysis to support the policy proposals	3	1	1	Counterpart	Project reports; activity reports; policy research reports	- An analysis report providing recommendations on revised Civil Code from the perspectives of CSOs, which will be submitted to NA for review and approval in May 2015 (under code MOJ019).
3.3.2. Number of GIG-assisted activities to promote women's leadership and entrepreneurship and empower GIG's target groups	3	1	2	Counterpart/ partner: 1 (VWEC), 1 (HNEW)	Event materials; Post activity reports; Media	- Vietnam Women Entrepreneurs Forum 2014- women entrepreneurs towards the future in collaboration with VWEC (VWEC001) - Vietnamese Women Entrepreneurs' Forum - leadership for sustainable business growth with HNEW (HNEW002)
KRA 3.4. Improved access to economic opportunity for women, ethnic minorities, and other vulnerable groups						

3.4.1. Number of GIG-supported activities designed to increase access by target groups to productive economic resources (assets, credit, income or employment) and legal facilities	4	1	0	Counterpart/ partner	Project reports; activity reports	To implement this activity in the next quarters.
Cross-cutting output indicators						
C.0.1. Number of public-private partnerships formed and/or strengthened as a result of GIG assistance	5	1	2	Project objective: 2 (object 1); Counterpart/ partner: 1 (jointly VCCI, Amcham, Business Associations), 1 (jointly GDVC, Business Associations)	MOU on TFA alliance; Customs-Business Consultation Mechanism; Post-activity reports	- MOU on TFA Alliance (Amcham HCMC, VCCI, USAID, Business Associations) was signed in December 2014. The project supported in connecting these Alliance members with GDVC in policy consultations on customs. (TFA001) - Customs-Business Partnership (GDVC and business associations) was formed. A customs-business consultation mechanism was drafted and will be issued by Q2-FY2 after collecting comments from local customs. (TFA001)
C.0.2. Person hours completed in capacity building events supported by GIG	30,000	7000	20,497	Counterpart: MOJ, MPI, NA, MOIT, MOF, VWEC, HNEW Type of events: Workshop, Forum Dialogue, Roundtable	Post- event reports; Event evaluation reports; Participant lists	A total of 30 events (workshops, forums, dialogues roundtables) were organized during Q1/FY15 for a total of 2859 participants, resulting in 20,497 person hours.

C.0.3. Number of vulnerable people reached by USAID-supported programs that promote health, social and economic opportunities	1600	400	0	Counterpart; Type of activities	Project reports; activity reports	Land Law campaign will be implemented in Q2. Support to parents and families of lesbians and gays association (PFLAG) will be under grant mechanism and expected to be implemented in the next quarters. The training to EM micro business leaders and inclusive business initiatives will be conducted later in the year.
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