



USAID
FROM THE AMERICAN PEOPLE

GOVERNANCE FOR INCLUSIVE GROWTH PROGRAM (GIG)

QUARTERLY PERFORMANCE REPORT #3 (Q4/FY14)

July 1 – September 30, 2014

Purposefully blank for double-sided printing.

Program: Governance for Inclusive Growth Program, Vietnam (GIG)
Report title: Quarterly Performance Report: July 1 – September 30, 2014
Contract No. AID-OAA-I-12-00035/AID-486-TO-14-00002
Submitted by: GIG Program/Chemonics International, Inc.
Hanoi, Vietnam

Contact: Jonathan Simon, Program Director

PMU: Sarah Meyer, Project Director

This publication was produced for review by the United States Agency for International Development. It was prepared by Chemonics International Inc. The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

Purposefully blank for double-sided printing.

CONTENTS

ABBREVIATIONS AND ACRONYMS.....	vii
INTRODUCTION	1
SECTION I: PROGRAM IMPLEMENTATION	3
COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS.....	3
COMPONENT 1: SIGNIFICANT IMPACTS IN Q4	3
COMPONENT 1: KEY EVENTS IN Q4	3
COMPONENT 1: UPCOMING ACTIVITIES IN Q1, YEAR 2.....	6
COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS	7
COMPONENT 2: SIGNIFICANT IMPACTS IN Q4	7
COMPONENT 2: KEY EVENTS IN Q4	8
COMPONENT 2: UPCOMING ACTIVITIES IN Q1, YEAR 2.....	9
COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS	10
COMPONENT 3: SIGNIFICANT IMPACTS IN Q4	10
COMPONENT 3: KEY EVENTS IN Q4	11
COMPONENT 3: UPCOMING ACTIVITIES IN Q1, YEAR 2.....	11
CROSS-CUTTING ACTIVITIES: PUBLIC OUTREACH.....	12
IMPLEMENTATION OPPORTUNITIES & CHALLENGES IN Q4.....	12
SECTION II: PROGRAM MANAGEMENT	14
SECTION III: QUARTERLY FINANCIAL REPORT	15
Annex 1: GIG DTA Activities Implemented	30
Annex 2: DTA Activity Progress Report	34
Annex 3: Laws and Regulations Supported by GIG	30
Annex 4: GIG long-term personnel – Level of effort totals, used, and balance (Person days).....	34
Annex 5a: GIG short-term assignments by expected result (ER) and level of effort approved and used (Person days)	36

Annex 5b: GIG short-term assignments by expected result (ER) and level of effort approved and used (Person days)	37
Annex 6: Indicator Data Summary Table	38

ABBREVIATIONS AND ACRONYMS

AC	Anti-corruption
ADB	Asian Development Bank
APD	Academy for Policy Development, MPI
APS	Annual Program Statement
AWP	Annual Work Plan
BCI	Business Consortium for Innovation
CDI	Center for Development and Integration
CDP	Counterpart direct procurement
CEPEW	Centre for Education Promotion and Empowerment of Women
CFAW	Committee for the Advancement of Women
CIDA	Canadian International Development Agency
CIEM	Central Institute for Economic Management
CITES	Convention on International Trade in Endangered Species
CPP	Contract Performance Plan
CSIP	Center for Social Innovation Promotion
CSO	Civil society organization
CWT	Combatting wildlife trafficking
DFAT	Department of Foreign Affairs and Trade, Australia
DQA	Data quality assessment
DTA	Direct technical assistance
ESTH	Environment, Science, Technology, and Health, US Embassy
EU	European Union
FARA	Fixed-amount Reimbursement Agreement
FTA	Free trade agreement
FPT	VN private ICT company
GAO	Government Accountability Office
GDA	Global Development Alliance
GDVC	General Department of Vietnam Customs
GIG	Governance for Inclusive Growth
GPAR	Cooperation Group for Governance and Public Administration Reform
GSO	General Statistics Office
GUC	Grants under contract
GVN	Government of Vietnam
HCMC	Hi Chi Minh City
HLU	Hanoi Law University
HNEW	Hanoi Association of Women Entrepreneurs
IFC	International Finance Corporation
IIE	Institute for International Education
ILO	International Labour Organisation
iSee	Institute for Studies of Society, Economics, and Environment
ISS	Instant Support System
JICA	Japan International Cooperation Agency
KRA	Key result area
LAI	Law on Access to Information
LANDA	Land Alliance
LERES	Center for legal research and Services
LGBT	Lesbian, gay, bisexual, and transgender

LND	Legal normative document
M&E	Monitoring and evaluation
MARD	Ministry of Agriculture and Rural Development
MIC	Ministry of Information and Communications
MOF	Ministry of Finance
MOIT	Ministry of Industry and Trade
MOJ	Ministry of Justice
MOLISA	Ministry of Labor, Invalids, and Social Affairs
MPI	Ministry of Planning and Investment
MP	Member of parliament
MPS	Ministry of Public Security
MUTRAP	Trade Policy and Investment Support Project, EU
NA	National Assembly
NASC	National Assembly Standing Committee
NGO	Nongovernmental organization
OD	Organizational development
OOG	Office of the Government
OSC	Overseas Strategic Consulting
PCD	Partner Capacity Development program, USAID
PCI	Provincial Competitiveness Index
PIRS	Performance indicators reference sheets
PLHIV	People living with HIV
PM	Prime Minister
PMP	Performance Monitoring Plan
PMU	Program Management Unit
PPP	Public-private partnership
PPWG	People's Participation Working Group
QPR	Quarterly Performance Report
RFA	Request for applications
RFP	Request for proposals
RIA	Regulatory impact assessment
ROO	Rules of origin
SAV	State Audit of Vietnam
SME	Small and medium enterprise
SO	Social organization
SOE	State-owned enterprise
SOW	Scope of work
STTA	Short-term technical assistance
TA	Technical assistance
TBT	Technical barrier to trade
TF	Trade facilitation
TFA	Trade Facilitation Agreement
TOT	Training of trainers
TPLC	The Public Law Center at Tulane University
TPP	Trans-Pacific Partnership
UNDP	United Nations Development Programme
USAID	United States Agency for International Development
USG	United States Government
USTR	United States Trade Representative
VBF	Vietnam Business Forum
VCCI	Vietnam Chamber of Commerce and Industry

VJA	Vietnam Journalists Association
VWEC	Vietnam Women Entrepreneurs Council
VWU	Vietnam Women's Union
WB	World Bank
WCO	World Customs Organization
WEN	Wildlife Enforcement Network
WTO	World Trade Organization

Purposefully blank for double-sided printing.

INTRODUCTION

Driven by USAID's Country Development Cooperation Strategy for Vietnam 2014-2018, the USAID Governance for Inclusive Growth (GIG) program is USAID's primary activity for cooperation with the public and private sectors in Vietnam. The program aims to enhance areas of governance expected to facilitate broader-based growth, with an emphasis on the legal framework and systems for accountability. Toward this goal and led by our prime counterpart, Ministry of Justice (MOJ), GIG supports the mandates of six key counterparts: MOJ, Ministry of Industry and Trade (MOIT), National Assembly (NA), Ministry of Finance (MOF), State Audit Office of Vietnam (SAV), and Ministry of Planning and Investment (MPI).

Working at the intersection of economic growth, governance, and inclusion, GIG works in three distinct but integrated technical areas. These are:

- Component 1: Improving legal and regulatory frameworks through a dynamic, inclusive policy-making process.
- Component 2: Improving accountability of public institutions.
- Component 3: Improving inclusion and equality for marginalized groups.

This quarterly report describes significant impacts and key events for each of these technical areas during the period of July 1 through September 30, 2014, which is USAID's Quarter 4 (Q4). This report also reflects on challenges and opportunities encountered during the course of implementation and looks to forthcoming activities in the first quarter of GIG Year 2.

Two overall accomplishments for the GIG program during Q4 were the launching of full-scale implementation activities with all of the program's GVN counterparts, and the joint development and agreement on a unified, comprehensive Annual Work Plan (AWP) for Year 2, covering the period October 2014-September 2015.

Many of the program activities implemented during Q4 were related to a major push by the Government of Vietnam (GVN) and private businesses to increase the efficiency of business and trade. This push in large part grew out of the GVN's Resolution 19 and the World Trade Organization (WTO) Trade Facilitation Agreement (TFA). Through a range of technical assistance (TA), multi-stakeholder forums, and ongoing capacity building, GIG helped public and private stakeholders complete concrete actions to improve commercial performance and regulation – actions that will yield significant, quantifiable economic returns for the businesses and people of Vietnam. The details of these activities and the results they achieved are described in this Q4 report, below.

In addition, the GIG team, working closely with USAID's Office of Economic Growth and Governance (EG²), opened new fronts of assistance with the NA and SAV, as well as a number of civil society organizations (CSOs), business associations, and mass

organizations. The common purpose of these activities was to connect these groups with the core functions of governance, and to enhance policy development and implementation with the experiences and expertise of citizens, businesses, and their representative social organizations (SOs).

Finally, Q4 saw a significant increase in GIG's interactions with United States Government (USG) agencies and programs working in Vietnam on governance and economic issues that overlap with the program's scope of work (SOW). With introductions and facilitation from USAID, GIG met, variously, with representatives from the US Embassy Hanoi, US Consulate General Ho Chi Minh City (HCMC), Dept. of State, US Trade Representative's Office, Dept. of Commerce, USAID/Washington bureaus, and other USAID projects from Vietnam and RDMA. GIG has incorporated ideas for collaboration and coordination with these agencies into the Year 2 AWP.

SECTION I: PROGRAM IMPLEMENTATION

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS

Component 1 works in close coordination with Components 2 and 3 to support GVN counterparts to improve legal and regulatory frameworks by identifying challenges, strengthening public consultation and engagement, and improving capacity for drafting, debating, and enactment.

COMPONENT 1: SIGNIFICANT IMPACTS IN Q4

GIG supported the Central Institute for Economic Management (CIEM) and related agencies to implement Resolution 19, which is a sweeping regulatory reform program aimed at improving the business environment and reducing the time and cost of doing business in Vietnam. Initial results from the implementation of this Resolution include:

- Adoption of Circular 119, which amended 7 other circulars and expected to reduce paying tax time by 201.5 hours/year and save \$145 million/year for businesses.
- Issuance of two official letters and a circular to reduce paperwork in trading across borders. With the assistance of a local consultant, Component 1 will work on quantifying the impact of these trade facilitation (TF) reforms.

GIG worked with AmCham and Tax Consulting Associations on a number of tax and customs issues. This work has resulted in the above results. Both AmCham and Tax Consulting Associations and Government counterparts expressed an interest in pursuing a sustainable and open partnership. GIG plans to play a catalyst role to realize this partnership in Year 2.

COMPONENT 1: KEY EVENTS IN Q4

Below are the key activities the GIG Component 1 team implemented during Q4 with GVN counterparts and non-government partners.

- A series of consultation and technical workshops, and field visits, on paying taxes and trading across borders to implement Resolution 19. The short-term results of this work are presented above.
- Capacity building for the General Department of Vietnam Customs (GVDC): i) 2-week workshop to review World Customs Organization (WCO) explanatory notes and other technical documents for inclusion in draft regulation; ii) review and comment on draft regulations for implementing the Customs Law.
- Dissemination of Bali package with MOIT

- Development of regulatory impact assessment (RIA) and comment on the Law on Laws
- Workshops on proposed revisions to key laws and policy instruments such as the Civil Code, Law on Administrative Decisions, and Codification Handbook.

Additional details of the above activities are as follows:

Implementation of Resolution 19 to enhance business environment and competitiveness

- Taking advantage of the reform momentum of the implementation of Resolution 19, GIG focused on supporting CIEM, Customs, Tax, and Social Insurance to conduct workshops, field visits, and develop regulations to reduce time and cost of paying taxes and trading across borders.
- In July 2014, GIG and CIEM conducted a series of workshops and consultations with the participation of international and local consultants. This series resulted in shared solutions among policy makers and broad public support for reforms in tax, customs, and getting electricity service. The benefits of these reforms were shared and reported widely, making it easier to push through difficult reforms. MOF conducted a series of internal meetings in August 2014 to which GIG consultants and staff as well as some businesses were invited to participate and comment on proposed solutions. The MOF Vice Minister referred several times to the principle that “the government should never ask citizens for information that it already has”. GIG consultants and staff shared technical comments on revising tax and customs regulations, and on reforms to reduce time for paying taxes and trading across border.
- In August and September 2014, GIG and CIEM conducted field visits to a number of provinces on paying taxes and trading across borders. The visits revealed that in many instances, the situation on the ground is worse than reported in the World Bank’s Doing Business report. The Departments of Tax, Customs, and Social Insurance sent their senior staff to participate in these visits, and they learned a great deal about the practical problems, and about how to craft reforms that address those problems. Key issues emerging from the visit include:
 - ❖ Rampant use of permits, approvals, and physical examination of goods, which adds time and cost to the customs clearance process, even though discovered non-compliant goods represent 1 percent or less of items examined.
 - ❖ Lack of interagency coordination and data sharing that forces businesses to print huge amounts of paperwork and spend time to comply with each and every regulation, including some that conflict with each other.
- As part of the visits conducted in September, the Customs and Tax Departments consulted with businesses on the following regulations:

- ❖ A circular amending seven other circulars and seeking to reduce the time needed to pay tax and to abolish unproductive rules.
- ❖ A decree that amended four other decrees, to reduce paying tax time.
- ❖ A circular on interagency payments to speed up payment of duties and simplify payment procedures.

GIG consultants worked with these departments on these legal instruments and facilitated the consultations with business. The two circulars were adopted by GVN in Q4.

Trade Facilitation

- USAID and GIG joined a workshop organized by AmCham on August 15 to explore the possibility of forming a partnership between international and local businesses on trade facilitation. The partnership will seek to work with the Government on a regular basis on trade facilitation.
- GIG and GDVC conducted a two-week workshop in September to review: i) translated explanatory notes; ii) updated HS nomenclature; and iii) the Classification Opinion Compendium. This workshop brought together technical experts from GDVC, GVN ministries, and businesses to finalize the translation of these documents. The three documents will form the core documentation to improve consistency in classification of goods.

Dissemination of Bali Package to Businesses

- In July and August 2014, GIG supported MOIT and related agencies to organize three workshops in Hanoi, Danang, and Ho Chi Minh City to disseminate information on the Bali package including the TFA to local businesses and officials. MOIT, GDVC, and the Ministry of Agriculture and Rural Development (MARD) presented on the key opportunities under the Bali package.

Support for Legal Reforms

- In August and September 2014, GIG worked with Tulane Public Law Center (TPLC) and Hanoi Law University (HLU) to assess the needs for bill drafting and to develop core elements of a training program in this area. TPLC conducted two missions and met with HLU and drafting agencies to understand their needs and current practices. TPLC will work with HLU and GIG to design a training program, training materials, and to identify trainers.
- GIG supported MOJ to conduct several consultation workshops to advance the development of key laws, as follows:
 - ❖ Technical workshop on key issues for regulatory impact of the Civil Code. The workshop enabled outside stakeholders, including independent

researchers and CSOs, to discuss proposed amendments with policy makers. This brainstorming session was very useful for the drafting team in formulating changes to the draft law. The workshop allocated significant time to discussing the pros and cons of different policy options, which enabled the drafting team to defend important changes.

- ❖ Technical workshop on the Law on Laws in Ho Chi Minh City on the draft RIA. Participants included 50 lawyers and legal officials from HCMC and surrounding provinces. The objectives of the activity were to consult participants on the RIA of the revised law and assist the drafting committee in collecting comments.
 - ❖ CSO consultation roundtable on the draft Law on Administrative Decisions. CSOs shared their perspectives, and there was agreement on the need for such a law to provide more discipline and transparency in the use of administrative decisions (including official letters).
 - ❖ Technical workshop in Hai Phong to discuss policy issues in the draft Law on Issuance of Administrative Decisions. Local practitioners, CSOs, and GIG advised the drafting team to focus on problematic areas such as the publication of administrative rulings and decisions, public consultation on certain decisions, and public access to documents.
- In August, GIG supported the MOJ to conduct a workshop in Quang Ninh on the draft Manual on Codification. The workshop was chaired by the MOJ with 43 participants from 16 ministries, a legal database company (Luatvietnam.com.vn), and GIG technical staff and consultant. Participants and GIG provided comments on the draft, and GIG's consultant shared experience from a previous pilot codification.

COMPONENT 1: UPCOMING ACTIVITIES IN Q1, YEAR 2

Based on progress made in this quarter, Activities in Q1, Year 2 will aim to:

- Build a transparent law-making and regulatory process
- Enhance competitiveness and the business environment
- Improve trade facilitation and prepare for the Trans-Pacific Partnership (TPP)
- Complete the launch and initial support of the Vietnam Trade Facilitation Alliance (VTFA), through AmCham and other private sector network members.

Activity details are as follows:

Building a transparent law-making and regulatory process:

- Continue to work on the Law on Laws to support a stronger legal framework for policy making and meaningful public consultation.
- Engage in regulatory reforms to reduce regulatory burden on businesses and citizens.
- Continue to support MOJ and related agencies to develop key laws that contribute to the development of a clear and transparent regulatory framework.

Enhancing competitiveness and the business environment:

- Support the implementation of Resolution 19 in paying tax, trading across borders, and getting electricity.
- Support CIEM, businesses, and GVN agencies to review the effectiveness, efficiency, and necessity of import/export control measures and make recommendations for abolishment or amendment.

Improving trade facilitation and prepare for the TPP:

- Support the development of a private sector led Vietnam Trade Facilitation Alliance to engage with the Government on a regular basis on trade facilitation issues.
- Support GDVC to work with businesses on finalizing a number of important customs regulations to facilitate trade.
- Support MOIT to examine the possibility of having self-certification of origin.
- Support NA to conduct specialized workshops on TPP issues such as labor and combatting wildlife trafficking.
- Support MOIT to conduct training and workshops on special topics under TPP.
- Support other GVN ministries to prepare for TPP implementation as approved in the AWP-2.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS

The transition from central planning to a market economy requires Vietnam to tackle core challenges, including modernizing, strengthening, and developing public institutions such as the NA, to improve its oversight role; the SAV, to improve its control and audit roles; and relevant ministries to increase transparency in policy development and implementation; hence, directly contributing to improved accountability of public institutions.

To achieve these goals, Component 2 works in close coordination with Components 1 and 3 to support GIG's GVN counterparts to improve accountability of public institutions with a focus on improving communications and implementation, as well as oversight and evaluation.

COMPONENT 2: SIGNIFICANT IMPACTS IN Q4

The MPI, in their official report to MOF summarizing their recommendations for the State Budget Law, included recommendations from GIG consultants. The recommendations are part of a multi-pronged effort to support revision of the State Budget Law, including work with both MPI and the NA. Specifically, the recommendations included: (i) modification of budget transfer mechanisms and national targets; (ii) inclusive consideration of all funds in general balance, including government bonds; and (iii) application of medium-term budget plans.

COMPONENT 2: KEY EVENTS IN Q4

National Assembly

- *Instant Support System.* Conducted a review of the NA Library's capacity to receive and respond to requests for information. GIG consultant, Nguyen Thanh Nhut, presented this review to the NA Library and the NA IT Center on August 18, along with a proposed design for the Instant Support System (ISS). Nguyen consulted with Synergy's David Kocharov to formalize the ISS design. On Sept 24, GIG drafted and released a request for proposal (RFP) seeking a local software designer.
- *Training for NA Budget Staff.* GIG conducted a training in Da Nang for staff of the NA Finance and Budget Department on budget oversight capacity. The total number of participants was 25, including staff of the Finance and Budget Department, Office of the member of parliament (MP) delegation in Da Nang, Office of People's Council, and Department of the Central Highland Affairs. GIG engaged short-term consultant, Richard Emery, to lead the technical elements of the activity.
- *Review of State Budget Law.* GIG submitted reviews and recommendations of the draft State Budget Law for use by the Finance and Budget Committee. GIG consultants, Hoang Thi Nguyet and Richard Emery, reviewed the draft law and offered recommendations to bring the law in line with international best practices.

Ministry of Justice

- *Workshops on Monitoring the Implementation of Laws.* GIG coordinated with the MOJ General Affairs and Law Development Departments, respectively, to deliver workshops in Hue and Binh Duong on monitoring implementation of laws. Participants considered how to improve policy implementation through targeted regulatory reforms in order to promote citizens' access to information. The Binh Duong event included 148 participants from 25 provinces. The Hue event included 109 participants from 19 provinces.

Ministry of Planning and Investment

- *Workshops on State Budget and Investment.* GIG held two workshops, in Hanoi and Can Tho, for provincial officials in different parts of Vietnam on improving the effectiveness of the central budget in supporting development and investment through the revised Law on the State Budget. Combined, these workshops included 180 participants, including provincial and local level DPI, DOF, and other officials. Following the workshops, a working group convened to finalize recommendations from MPI for the upcoming revisions to the State Budget Law.

GIG experts, Le Trung Thanh and Le Quang Thuan, delivered presentations and prepared reports, which are to be submitted in the following quarter.

State Audit of Vietnam

- *IT Master Plan Review.* GIG, with the assistance of KPMG, conducted and presented a review of SAV's "IT Master Plan" – an integral part of the SAV's Strategic Development Plan. KPMG reviewed the FPT-drafted plan, at the request of GIG and SAV, and offered recommendations. KPMG found the document to be comprehensive in scope, but containing some recommendations that were not fully justified by the findings in the report. GIG agreed to discuss future areas of engagement for SAV and KPMG.
- *Workshop on Role of the SAV.* GIG hosted a workshop in Hanoi to discuss the role of the SAV in public financial management. Deputy State Auditor General and USAID Mission Director addressed the participants on SAV's strategic development plan and the importance of sound public financial management. The event served as a useful platform for focusing SAV on key priorities in its Strategic Development Plan, and identifying areas for GIG support. GIG consultant, Le Trung Thanh, offered policy recommendations for SAV's consideration relating to SAV's institutional structure and priorities.

Non-GVN

- *Trainings on Reporting on Law Implementation.* GIG worked with the Vietnam Journalists Association (VJA) on trainings in Hue and Binh Duong, respectively. The training focused on developing skills necessary for reporting on the progress of laws and policy once implemented. The VJA used MOJ circulars on law implementation to deliver their training, as the participants reviewed the circular as a case study and discuss how to improve access to information. The training in Hue included 24 journalists from 11 provinces in the central region. The Binh Duong event included 21 journalists from 13 provinces.

COMPONENT 2: UPCOMING ACTIVITIES IN Q1, YEAR 2

National Assembly

- Conduct needs assessment for NA Information Department and hold mid-session media relations roundtable with the NA and journalists.
- Support training for the staff of the Budget and Finance Department.
- Convene legislative forum on the Law of Local Governance with the NA Library and the Law Committee.
- Support internal processes and capacity development of the Committee on Public Complaints and Petitions.
- Secure vendor to design and develop the ISS. Work to include training of Library staff and piloting the system.

Ministry of Justice

- Initiate projects to support monitoring the implementation of laws, including a review of internal processes of the Office of the MOJ and the DGA.
- Identification of approaches to work on the Law on Access to Information (LAI) through, research, CSOs, and linking to GVN agencies such as MOJ.

Ministry of Planning and Investment

- Hold workshop to seek input for implementing regulations relevant to procurement policy, and provide additional support to policy development.
- Initiate review and assessment of e-procurement system.
- Follow-up activities on budget processes, including a review of coordination with provincial and local governments.

State Audit of Vietnam

- Draft and initiate training program
- Support review of the State Audit Law.

Ministry of Finance

- Initiate work with the Institute of Financial Strategy and Policy assessing the impact of the TPP on various socio-economic sectors.

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS

Component 3 works in close coordination with Components 1 and 2 to integrate the issues facing women, ethnic minorities, and other traditionally disadvantaged groups into program activities and results. Through these efforts, Component 3 helps institutionalize active roles for CSOs, private associations, and citizen groups into policy making and governance in Vietnam.

COMPONENT 3: SIGNIFICANT IMPACTS IN Q4

GIG helped establish platforms for CSOs to provide inputs into the work of the MOJ on the drafting of the Civil Code and the Law on Administrative Decisions, resulting in concrete inputs from civil society to the Drafting Committees via position papers and presentations made directly to the drafters. MOJ demand for CSOs inputs to RIA and law drafting in general is increasing, and GIG is helping meet that demand. The July 23 version of the draft amended Civil Code clearly included inputs from CSOs and the position paper submitted to the Drafting Committee.

COMPONENT 3: KEY EVENTS IN Q4

- Issued a request for applications (RFA) for land rights awareness campaign, together with an event to introduce GIG and its grant mechanisms to Land Alliance (LANDA) members.
- Drafted an RFA for a study on the access to information of ethnic minorities. Issuance expected in Q1, Year 2.
- Convened two events for CSOs to bring inputs in the form of an official position paper to MOJ on the draft Civil Code.
- Convened one event for CSOs to bring inputs in the form of an official position paper to MOJ on the draft Law on Administrative Decisions.
- Held a workshop for CSOs to bring inputs to MOJ on mechanism for citizens to participate in local governance.
- Held a workshop on strengthening the understanding, application, and monitoring of gender mainstreaming in law-making, with MOJ Committee for the Advancement of Women (CFAW). Conducted a mapping exercise on procedures for gender mainstreaming into law making.
- Held two consultative workshops on the feasibility and applicability of the MOJ manual to guide the measurement of citizens' access to justice.
- Held a technical workshop on the use of customs and traditions in marriage and family issues among ethnic communities, to support development of a Decree to implement the new Law on Marriage and Family.

COMPONENT 3: UPCOMING ACTIVITIES IN Q1, YEAR 2

Component 3 will focus its efforts during the upcoming quarter on the following:

- Land law campaign implementation.
- Study of the access to information for ethnic minorities.
- Bringing gender mainstreaming principles into the draft Law on Laws.
- Support the Vietnam Women Entrepreneurs Council (VWEC) to renew its development strategy 2020.
- Follow up with MOJ on carried forward activities from 2014 work plan with Department of Legal Education and Dissemination, CFAW, Department of Civil and Economic Laws, etc. and with new departments such as Legal Aid Agency.
- Plan activities with CSOs and business, e.g., VWEC and Hanoi Association of Women Entrepreneurs (HNEW).
- Work with Component 2 to plan activities on gender-based budgeting and gender auditing, as they relate to draft State Budget Law and State Audit Law.

CROSS-CUTTING ACTIVITIES: PUBLIC OUTREACH

In Q4, GIG's Public Outreach (PO) team provided cross-cutting support to component teams to ensure visibility and recognition of USAID's profile as a partner in support of Vietnam's governance development goals, while also providing communications support to GVN counterparts and stakeholders. The PO team developed the GIG Communication Strategy, approved by USAID in July 2014.

Improving visibility and recognition of the achievements and milestones of USAID and GVN counterparts, the PO team managed media relations for all GIG events in collaboration with government counterparts. This resulted in more than 100 news items in the fourth quarter focused on USAID's work with GVN across online, print, and TV media outlets.

Ensuring journalists were informed about the often complex issues arising in technical workshops, the PO team provided media briefings, facilitated interviews, and conducted a Journalist Roundtable in HCMC in August 2014. The roundtable brought together government, business associations and experts to speak directly with leading HCMC-based journalists on technical aspects of Resolution 19.

The PO team supported technical components to integrate appropriate and effective public outreach activities into GIG's Year 2 AWP, with a focus on strengthening the public outreach capacities of GVN counterparts and stakeholders. These activities are designed to enhance government outreach to citizens, businesses, CSOs, and vulnerable groups, and improve media's public policy reporting for greater transparency and accountability.

IMPLEMENTATION OPPORTUNITIES & CHALLENGES IN Q4

General

- Strong momentum on implementation of Resolution 19.
- Imperatives of the GVN and other stakeholders to follow up enactment of the new Constitution, and to prepare for enactment and implementation of TFA and TPP.
- Shortage of human resources to support a rapidly growing portfolio of TA.
- As Year 2 begins, GIG still works through the focal point of the SAV, and not directly with the functional units. Work with the functional units would allow for a more efficient and informative process.

National Assembly

- Many departments are still developing their understanding of their role. Some, including the Oversight Department, await approval of governing regulations.
- A few departments are determining their roles and responsibilities vis-à-vis other departments, such as the NA Library and the Institute for Legislative Studies.

- As the NA seeks to develop its capacity to initiate, review, and monitor legislation – and thereby strengthen its role in overall governance – there remains an opportunity for comprehensive programming.

Ministry of Justice

- Trust and good relationship built with government counterpart - MOJ
- Good knowledge of CSOs and the maximization their practices and expertise into MOJ law-making.
- Clarify the roles of various MOF offices (Office of the MOJ, Bureau of Monitoring the Implementation of Laws, and the Department of General Affairs) in monitoring the implementation of laws, and initiative complementary and integrated projects to respond to the needs of each.

SAV

- As Year 2 begins, GIG still works through the focal point of the SAV, and not directly with the functional units. Work with the functional units would allow for a more efficient and informative process.

Non-GVN

- Identifying skilled CSOs who can productively and proactively participate in monitoring the implementation of laws, and ensuring the proper use of state budget funds.
- Managing and responding to sensitivities surrounding anti-corruption (AC) work and media-focused programming.
- While CSOs have explored assisting with budget monitoring, little has been done to ensure that a Citizen's Budget is released that is effective in informing people of the use of state funds. GIG plans to work with CSOs to offer a critique and provide recommendations for a useful and accessible citizen's budget.

SECTION II: PROGRAM MANAGEMENT

COORDINATION WITH GVN COUNTERPARTS AND THE PMU

GIG engages with its six GVN counterparts through a centralized Project Management Unit (PMU), appointed by the Minister of MOJ. The PMU coordinates outgoing requests, with the Deputy Director General of the MOJ International Cooperation Department, Mr. Bạch Quốc An, serving as GIG's primary point of contact. GIG Deputy Program Director, Pham Thi Hoai Giang, is responsible for coordinating with the PMU.

The MOJ chairs the PMU Program Steering Committee, an entity established to provide high-level guidance and input to the program with USAID involvement. The GIG Program Director oversees this process, and serves to provide technical input, programmatic direction, and strategic guidance for GIG activities and partner stakeholders.

During the reporting period, GIG worked intensively with the PMU and all six GVN counterpart agencies to discuss, draft, and finalize the Year 2 AWP. As Q1 of Year 2 begins, this AWP has been approved by USAID and submitted to the Steering Committee for official GVN assent.

CONTRACT DELIVERABLES

Contract deliverables submitted to USAID during the reporting period include:

- Quarterly Progress Report #2 (Q3/FY14). Approval received on 4 August.
- Year 2 AWP and Contract Performance Plan (CPP), submitted 30 September. Approval received on 7 October.

SECTION III: QUARTERLY FINANCIAL REPORT

The project invoiced \$1,471,311.00 for Q4 of 2014, including \$427,980 for Component 1, \$616,480 for Component 2, \$311,845 for Component 3 and \$115,006 for general project implementation costs.

As illustrated in Annex 3, GIG fielded seven short-term international consultants this quarter for a total of 228 days of LOE. GIG also engaged eight local national experts during Q4, for a total of 90.5 days of local national LOE. GIG also implemented 25 programmatic direct technical assistance (DTA) activities with counterparts totaling \$340,674, details of which are included in Annex 7.

During Q4, GIG continued to on-board long term local staff. Personnel hired during the period include: Grants Officer Dang Thu Huyen, Office Assistance Pham Thi Hau, Legal & Regulatory Program Manager Nguyen Thi Minh Hai, and Governance and Accountability Program Officer Le Hong Diem. GIG also witnessed the arrival of long term expat staff members, welcoming Program Director Jonathan Simon and Governance and Accountability Director Brian Giacometti on August 8, 2014. Recruitment will continue throughout Q1 of Year 2, based on programmatic needs.

The annexes of this report provide a summary of budget expenditures and implementation considerations through September 30, 2014. These budget-related annexes are as follows:

- Annex 2: Long-term personnel – level of effort totals, used, and balance (person days)
- Annex 3: Short-term assignments by expected result and level of effort approved and used (person days)
- Annex 4: Budget by contract – quarterly expenditures by month and balance remaining (US\$)
- Annex 5: Budget by expected result – quarterly expenditures and balance remaining (US\$)
- Annex 6: Value-Added Tax – expenditures, reimbursements requested and received (US\$)
- Annex 7: DTA activities implemented (US\$)
- Annex 8: DTA activity progress report
- Annex 9: Program non-expendable property inventory

Annex 1: GIG DTA Activities Implemented

During the fourth quarter of operations, GIG implemented 25 programmatic DTA activities with five main counterparts (MOIT, MOJ, MPI, the NA, and SAV) and two subsidiary counterparts (Vietnam Chamber of Commerce and Industry [VCCI]; CIEM).

The quarter also witnessed a consultative process under activity GIG006 that called together all GIG prime counterparts and other subsidiary stakeholders to discuss and shape the draft Year 2 work plan. The process resulted in a highly concentrated and customized work plan that was designed specifically to respond to counterpart needs within the GIG programmatic framework.

ANNEX 1: GIG DIRECT TECHNICAL ASSISTANCE IN Q4 (JULY 1 – SEPTEMBER 30, 2014)				
	Activity Number	KRA	Counterpart Name	Details
1	CIEM001	1.2	Vietnam Central Institute for Economic Management	Kick-off and follow-on workshop on improving the business environment and enhancing national competitiveness. Estimated counterpart contribution included venue space, meeting microphones, projectors, and other A/V hardware, courier fees for invitations, and speaker fees for GVN speakers.
2	CIEM002	1.2	Vietnam Central Institute for Economic Management	Support to MPI's CIEM and partners to implement Resolution 19, enhancing competitiveness and strengthening business environment. This activity involved the hiring of local and international experts, building partnerships with local businesses and associations, supporting workshops, and field visits to important business sites.
3	GIG005	Cross Cutting	All Counterparts	Gender assessment and vulnerability matrix. An international consultant was mobilized to review GIG's gender portfolio and identify engagement opportunities.
4	GIG006	Cross Cutting	All Counterparts	This activity provided funding for a wide consultative process with all counterparts and stakeholders to streamline and improve annual work planning.

ANNEX 1: GIG DIRECT TECHNICAL ASSISTANCE IN Q4 (JULY 1 – SEPTEMBER 30, 2014)

	Activity Number	KRA	Counterpart Name	Details
5	GIG007	Cross Cutting	All Counterparts	This activity held a large event to discuss the role of the private sector in governance and inclusive growth. The event called together representatives from civil society and the public and private sectors for a half day discussion. Speakers included D/AA Ms. Denise Rollins.
6	MOIT001	1.4	Ministry of Industry and Trade	Assistance provided to MOIT for the dissemination of the WTO Bali Package documents and information to related stakeholders and the business community.
7	MOJ001	1.1	Ministry of Justice	RIAs for the Law on Laws. A series of workshops and local consultancies seeking to inform the process of RIA as it pertains to the Law on Laws.
8	MOJ002	3.1, 3.2	Ministry of Justice	Gender mainstreaming into law and gender equality training for the MOJ CFAW. A series of workshops and consultative sessions for the MOJ CFAW that drew information and inputs from other gender-related activities (GIG005).
9	MOJ003	1.1	Ministry of Justice	Technical workshops and consultancies on RIA reports and policy issues for the Civil Code Amendment.
10	MOJ005	1.4	Ministry of Justice	Assistance provided to MOJ to conduct trainings and workshops on codification and the development of a manual on codification.
11	MOJ006	3.1	Ministry of Justice	Consultative workshops to assist MOJ to review varying perceptions, concepts, practices, and the application of custom and traditions in solving family and marriage conflict and disputes in the community currently in the legal process.
12	MOJ007	2.2	Ministry of Justice	TA and capacity building to support MOJ to improve capacity in law implementation monitoring through partnership with non-government stakeholders. Several consultancies and workshops held throughout Vietnam.

ANNEX 1: GIG DIRECT TECHNICAL ASSISTANCE IN Q4 (JULY 1 – SEPTEMBER 30, 2014)

	Activity Number	KRA	Counterpart Name	Details
13	MOJ008	3.2	Ministry of Justice	Consultation meetings and a position paper from the CSO community in support of the drafting of the Civil Code. Feedback gathered from representatives of Civil Society and incorporated into the Civil Code drafting process. This activity was implemented in conjunction with MOJ009.
14	MOJ009	1.1	Ministry of Justice	Provisioning of a small roundtable discussion and technical workshop on RIA for the Civil Code. This activity was held in conjunction with MOJ008 and gathered feedback and inputs from the MOJ.
15	MOJ012	3.1	Ministry of Justice	A series of roundtable and consultative meetings in 3 selected provinces to evaluate the feasibility of the drafted Manual. A technical consultancy was also carried out to support the process. With this Manual, MOJ expects to provide guidance, training, monitoring and evaluation of citizen's access to judicial system and services at local level.
16	MOJ015	3.1	Ministry of Justice	Support to MOJ to conduct roundtable discussions with targeted agencies working on policy advocacy, ethnic minority issues, LGBT rights, and gender equality. This activity also held forums on citizen participation in local governance and gathered inputs presented to government, the private sector, mass organizations, social organizations, researchers, and think tanks.
17	MOJ016	1.1	Ministry of Justice	Support to the MOJ related to the Law on Administrative Decisions. This activity included a technical consultancy and a survey with state and non-state actors to hold technical workshops.
18	MPI001	2.3	Ministry of Planning and Investment	TA to the Finance and Monetary department of MPI to give inclusive inputs to the revised State Budget law and enhance the effectiveness of capital investment financed by the state budget to improve the amendment of the State budget law.

ANNEX 1: GIG DIRECT TECHNICAL ASSISTANCE IN Q4 (JULY 1 – SEPTEMBER 30, 2014)

	Activity Number	KRA	Counterpart Name	Details
19	NA001	2.1	National Assembly	Consultancy involving Ms. Silvia Hernandez and two workshops on the Roles of the National Assembly in the Ratification and Implementation of Free Trade Agreements.
20	NA003	2.3	National Assembly	TA and training to the Committee/Department on Finance and Budget to strengthen the NA budget oversight process and improve the capacity of its Department on Budget Analysis and Budget Oversight, based on the model of the US Congressional Budget Office.
21	NA005	2.4	National Assembly	Support to the NA Library to develop a comprehensive IT application for NA deputies and staff to access information and evidence to inform oversight and legislative functions, including disaggregated data on gender and vulnerable groups.
22	SAV001	2.1	State Audit of Vietnam	Government Accountability Office (GAO) Fellows. A planned knowledge-sharing venture between the NA and the GAO. Currently seeking qualified candidates to replace initial applicants.
23	SAV002	2.1	State Audit of Vietnam	Creation of a draft action plan focusing on public auditing standards relative to ISSAI and building a collaborative relationship with INTOSAI.
24	SAV003	2.3	State Audit of Vietnam	Technical Review of SAV IT Draft Master Plan conducted by KPMG.
25	VCCI001	1.2	Vietnam Chamber of Commerce and Industry	Workshop with VCCI and the NA on Businesses Competitiveness and Free Trade Agreements: Expectations and Lessons Learned. Consultant Silvia Hernandez participated in this one-day event in Ho Chi Minh City.

Total: \$340,674.07

Annex 2: DTA Activity Progress Report

COMPONENT 1: IMPROVING LEGAL AND REGULATORY FRAMEWORKS THROUGH DYNAMIC, INCLUSIVE POLICY-MAKING PROCESS

Activity Number	KRA	Planned activities for Year 1	Timeframe	Status	Notes
1.1. High-quality laws and regulations developed					
MOJ001	1.1	Workshop on RIA for Law on Laws	Jul-Sept 2014	Completed	
MOJ009	1.1	Technical workshop on RIA for Civil Code for 5 or 6 people at GIG Office	Jul-Sept 2014	Completed	
MOJ003	1.1	Technical workshops on RIA report and policy issues for the Civil Code Amendment	Jul-Sept 2014	Completed	
MOJ016	1.1	Support on law on administrative decisions including Provision of International expert, survey and consultation with state and non-stake holders, technical workshops to review draft. Teams 1 and 3 will work together. (Scott Jacobs)	Jul-Sept 2014	In progress	Supported a workshop already. Will support RIA development.

CUST001	1.1	Provide capacity building for Vietnam Customs/ MOF to deliver notification obligations under recent WTO Trade Facilitation Agreement (TFA) (Category A), and formulate Category B and C commitments of Vietnam, supporting Vietnam to implement its measures and commitments under the TFA.	Apr-Jun 2014	Completed	
CUST003	1.1	Provide follow-up TA to Customs to strengthen their capacity in key areas to implement the TFA including risk management and institutional coordination in implementation of single window. (Jerry Malmo) - <i>to rename to TFA001</i>	Jul-Sept 2014	In progress	Roll into AWP2
MOIT003	1.1	Rule of Origin. Support MOIT and facilitate dialogue with stakeholders to develop regulations on self-certification of Certificates of Origin (C/O) to prepare Vietnam for Free Trade Agreement (FTA) implementation. Support the development of legal documents and strengthen capacity to implement origin self-certified mechanisms in an ASEAN pilot program.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.
NA001	1.1	Roles of the National Assembly in the Ratification and Implementation of FTA	Apr-Jun 2014	Completed	
NA008	1.1	Publication of materials on FTAs including key issues such as the environment and labor.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.

VCCI001	1.1	Businesses Competitiveness and Free Trade Agreements: Expectations and Lessons Learned	Apr-Jun 2014	Completed	
1.2. Policy development processes improved					
MPI003	1.2	Design and develop Summer Seminar for young policy analysts to enhance their capacity to research and develop evidence-based policy options. Jim Reidel.	Jul-Sept 2014	Delayed	It is not feasible to conduct this in Year 1 because it takes a long time to design and deliver the program.
CIEM001	1.2	Workshop on improving the business environment and enhancing national competitiveness	Apr-Jun 2014	Completed	
CIEM002	1.2	Support MPI's CIEM and partners to implement Resolution 19 to enhance competitiveness and strengthen the business environment. This would involve hiring of international and local experts, building partnership with local business associations, supporting workshops and field trips.	Jul-Sept 2014	In progress	Lots of work delivered. Roll into AWP2.
MOJ017	1.2	Support the MOJ to review the regulation on the organizational structure of the MOJ to strengthen specialization of duties and regulatory coordination.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.

MPI004	1.2	Support MPI's Academy for Policy Development (ADP) to develop a master program in public policy on a cost sharing basis with Portland State University. GIG will partially fund development of the program.	Jul-Sept 2014	Cancelled	This activity was proposed in Year 2 work plan and was rejected during this process.
MOJ018	1.2	Support MOJ to develop guidelines on incorporating administrative procedures in draft legal normative documents (LNDs).	Jul-Sept 2014	Not yet started	To implement in Y2.
1.3 Information systems and evidence-based analysis for policymaking improved					
MPI002	1.3	Support RIA training for policy analysts and advocates, and assess the need for institutionalizing RIA training at universities and training institutions.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.
MOIT002	1.3	Support MOIT to study and review policies and regulations regarding environmental protection in industrial and manufacturing production and study to review and propose List of Regulations on Standard for unsafe goods (Category II) developed by the MOIT up to 2020.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.
1.4. Transparency of laws and regulations improved					

MOJ005	1.4	Assist MOJ to conduct training and workshop on codification and development of a manual on codification.	Jul-Sept 2014	In progress	Workshop organized and draft manual produced.
MOIT001	1.4	Assist MOIT to disseminate WTO Bali package documents to related stakeholders and the business community.	Jul-Sept 2014	Completed	
STAM001	1.4	Assess need for upgrading database system for Vietnam Technical Barriers to Trade (TBT) Office to enhance transparency in TBT related market access; support to improve capacity for officials of the TBT Vietnam Office.	Jul-Sept 2014	Preparing	Preparatory work was conducted. Activity to be implemented in Y2.
1.5. Public consultation and stakeholder engagement mechanisms strengthened					
MOJ004	1.5	Support MOJ to hold a workshop seeking input on the draft Decree on commercial mediation.	Jul-Sept 2014	Preparing	Workshop to be organized in early FY2.
1.6. Innovative partnerships to advance a clear legal and regulatory framework established					
NA009	1.6	Establish the Golden Speaker Series, bringing together Vietnam's leaders, policy makers and citizens with world-renowned thinkers and leaders to share their views and perspectives in this corporate sponsored program. In Year One develop relationships with formal counterparts and partners and formulate an action plan.	Jul-Sept 2014	Cancelled	This activity will be included in specific activity when a senior consultant is invited.

MOJ020	1.6	Develop an academic partnership between Vietnamese law schools and Tulane Law School to develop curriculum and train staff in legal drafting and development. In Year One, explore cooperation with Hanoi Law University and other law schools as appropriate.	Jul-Sept 2014	In progress	Tulane and HLU agreed to enter into a partnership to design and deliver bill drafting courses. Tulane sent a mission to Vietnam to work with HLU to assess the need to design the program.
CUST002	1.6	TA provided to help strengthen the relationship between Vietnam Customs and the business community to facilitate their business and operations in all activities related to customs issues including: completing the legal framework to promote the development of customs-to-business partnership, and supporting the implementation of partnership programs with AEOs, compliant traders, and customs brokers.	Jul-Sept 2014	In progress	Work implemented and will roll into AWP2.

COMPONENT 2: IMPROVING ACCOUNTABILITY OF PUBLIC INSTITUTIONS

Activity Number	KRA	Activity Detail	Timeframe	Status	Notes
2.1. Government oversight processes strengthened					
NA002	2.1	Margarita Seminario consultancy. Develop the GIG Action Plan with NA departments and committees focusing on oversight functions and capacity building needs.	Apr-Jun 2014	Completed	
	2.1	Assess potential cooperation with the NA Judicial Committee and Anti-Corruption Bureau of the Government Inspectorate to support AC efforts.	Apr-Jun 2014	Completed	

NA010	2.1	Support NA Committees/Departments through trainings and TA to develop oversight tools to strengthen hearings or accountability sessions, oversight and consultation process and improve oversight skills.	Jul-Sept 2014	In-Progress	Work is ongoing and will be continued in Y2. GIG plans to work with relevant NA committees/departments on a variety of oversight activities.
	2.1	Provide TA through workshops or dialogue to support the amendment of State Audit Law in coordination with Component 1, to provide the legal foundation for a more effective and 'independent' State Audit as envisaged by the Constitution.	Apr-Jun 2014	Cancelled	
SAV002	2.1	Forum(s) discussing the role of the SAV.	Jul-Sept 2014	Completed	
SAV002	2.1	Create a draft action plan in collaboration with SAV focusing on the status of public auditing standards relative to ISSAI (International Standards of Supreme Audit Institutions), capacity building for performance audits, and building a collaborative relationship with the International Organizations of Supreme Audit Institutions (INTOSAI)	Jul-Sept 2014	Completed	
SAV001	2.1	GAO Fellows. Facilitate a partnership between the US Government Accountability Office (GAO) and SAV. In Year One, two SAV auditors will register for upcoming GAO training in the US.	Jul-Sept 2014	In-Progress	Participants were awaiting for TOEFL score as of 30 Sept 2014.
NA011	2.1	Develop a concept note with GVN counterparts, including MOJ, MOF, and MOIT to identify collaborative program approach for Years 2-5.	Apr-Jun 2014	Cancelled	Focused to work with NA, SAV, and MPI.
2.2. Citizen participation in monitoring and evaluating policy implementation strengthened					
MOJ007	2.2	Provide TA and capacity building to support MOJ to improve its capacity in law implementation monitoring by building partnership with non-government stakeholders.	Jul-Sept 2014	Completed	
	2.2	Provide a grant to support an AC survey by Toward Transparency.	Apr-Jun 2014	Cancelled	This activity has been cancelled due to little interest on behalf of the potential recipient.

2.3. Transparency on policy implementation effectiveness increased					
NA003	2.3	Provide TA and training to the Committee/Department on Finance and Budget to strengthen the NA budget oversight process and improve capacity of its Department on Budget Analysis and Budget Oversight, based on the model of the US Congressional Budget Office.	Jul-Sept 2014	Completed	
MPI001	2.3	Provide TA to Finance and Monetary Department of Ministry of Planning and Investment to give inclusive inputs to revised State Budget Law and enhance the effectiveness of capital investment financed by State budget to improve the amendment of State Budget Law.	Jul-Sept 2014	Completed	
	2.3	Leveraging the work of KRA 2.1 and 2.2, build a partnership between NA, government, SOs, think tanks and other non-government stakeholders to enable feedback on implementation and monitoring of laws and regulations.	Jul-Sept 2014	In-Progress	AWP2 includes projects involving the NA & various stakeholders to support monitoring the implementation of law.
SAV003	2.3	Technical Review of the SAV IT Draft Master Plan 2015-2020	Jul-Sept 2014	Completed	
2.4. Quality of information and analysis for evaluating policy implementation effectiveness improved					
NA005	2.4	Support the NA Library to develop a comprehensive IT application for NA deputies and staff to make accessible evidence to support oversight and legislation functions, including disaggregated data on gender and vulnerable groups (in collaboration with KRA 1.3).	Jul-Sept 2014	In-Progress	GIG released an RFP to solicit local software designers to develop the ISS in accordance with recommendations of GIG consultants.
TBD with USAID	2.4	Support SOs through grants under contract (GUC) to undertake assessments of policy implementation such as impact analyses of public policies on affected groups, especially women, ethnic minorities, and vulnerable groups.	Jul-Sept 2014	Preparing	Looking for potential partners and will provide support in Y2.
2.5. Quality of the media's reporting on policy implementation improved					

TBD with USAID	2.5	Provide grant to VJA or similar organization to design, develop and implement training modules on budget process and other key inclusive policy issues to journalists (provide DTA instead of grant)	Jul-Sept 2014	In-Progress	VJA and GIG trained journalists, and will continue to do so in Y2.
2.6. Innovative partnerships to advance improved accountability of public institutions established					
		No activity in Year One.			

COMPONENT 3: IMPROVING INCLUSION AND EQUALITY FOR MARGINALIZED GROUPS

Activity Number	KRA	Activity Detail	Timeframe	Status	Notes
3.1. Legal and regulatory barriers for women, ethnic minorities, and other vulnerable groups' equality reduced					
MOJ015	3.1	Support GPAR (cooperation of SOs working on Governance and Public Administration Reform) to conduct roundtable discussion with targeted agencies working on policy advocacy, EM, LGBT, gender equality on policy issues/legal barriers that hinder vulnerable groups.	Jul-Sept 2014	Completed	
	3.1	Support MOJ's Institute of Legal Science policy forum on mechanisms for citizen participation in local governance. Inputs and findings from GPAR Roundtable presented to government, private sector, mass organization, SOs, researchers and think tanks.	Jul-Sept 2014	Completed	

MOJ002	3.1	"Gender mainstreaming into law". Support MOJ assessment of current procedures to mainstream gender into law making at different levels. Assess gender mainstreaming in law making processes to bring gender equality into making of legal normative documents, and propose guidelines to implement gender mainstreaming in legal drafting per the Law on Laws.	Jul-Sept 2014	In-Progress	Workshop organized. GIG will continue to implement this activity in FY2.
	3.2	The activity should be "Training on gender equality and women advancement for CFAW (MOJ) staff or judicial cum gender staff in judicial sector" as proposed in Project Document approved by MOJ	Jul-Sept 2014	Completed	
GUC (RFA001)	3.1	Launch the "I know my land rights" campaign and contest to expand legal awareness among women and ethnic groups.	Jul-Sept 2014	In-Progress	Already sent RFA and received proposals. GIG will continue to implement in FY2.
MOJ012	3.1	Support MOJ to develop guiding manual for use by local government on legal access for citizens.	Jul-Sept 2014	Completed	
MOJ006	3.1	Consultative workshop help MOJ to review different perceptions, concepts, practices and application of custom and traditions in solving family and marriage conflict and disputes in the community and at court thus to develop legal mechanism to institutionalize and operationalize appropriate customs and traditions as measures to handle civic problems in remote areas where there are high number of ethnic minorities residing.	Jul-Sept 2014	Completed	
3.2. Women, ethnic minorities, and other vulnerable groups' participation and voice in policy dialogue increased					

NA006	3.2	Mapping all current feeding back mechanisms that different social organizations representing GIG target groups are using to do policy advocacy (not included as an activity in WP by mistake, but mentioned in expected result 2 of KRA 3.2)	Jul-Sept 2014	Delayed	To implement in Y2.
	3.3	Map SOs, coalitions or partnerships working together on land rights, ethnic minority issues, gender equality, policy advocacy, women's empowerment, social enterprise promotion, women's entrepreneurship development, and their database systems.	Jul-Sept 2014	Delayed	To implement in Y2.
MOJ008	3.2	Consultation meetings and position paper from CSOs in support of the drafting of the Civil Code	Jul-Sept 2014	Completed	
3.3. Data and analysis on women, ethnic minorities, and other vulnerable groups improved					
VCCI002	3.3	Conduct a workshop with VWEC in South Vietnam on economic and business integration knowledge and practices to women entrepreneurs featuring presentations, dialogue, information and analysis of TPP and AFC and related challenges, requirements and opportunities.	Jul-Sept 2014	Delayed	To implement in Y2.
VWEC001	3.3	Conduct a workshop for 30 VWEC board and staff members on Council management, network management, working with multiple stakeholders, corporate governance, TPP, gender and gender equality, and policy advocacy.	Jul-Sept 2014	Delayed	To implement in Y2.

VCCI003	3.3	Provide training to VWEC to improve its database on women entrepreneurs to strengthen membership, support policy advocacy and establish links to the General Statistics Office (GSO) database on women entrepreneurs.	Jul-Sept 2014	Delayed	To implement in Y2.
3.4. Access to economic opportunity for women, ethnic minorities, and other vulnerable groups improved					
TBD (this might be a GUC under an upcoming Annual Program Statement [APS] for Team 3)	3.4	Support CEMA to develop sustainable and community-based business models for EM (on tourism, herbal medicines and handicrafts) through a skill transfer process (business establishment and marketing) conducted by a social enterprise. (CEMA not CIEM as put in WP)	Jul-Sept 2014	Cancelled	Proposal sent by CEMA was not feasible.
MOJ014	3.4	Consultative meetings with MOLISA, VWU and SOs to identify models for social protection (legal and mental counseling, social work and information access) to target issues of vulnerability, risk and deprivation facing traditionally disadvantaged communities in remote areas. These issues may include domestic violence, land deprivation, working in harmful informal sectors, social discrimination due to sexual orientation or being transgendered.	Jul-Sept 2014	Cancelled	Social work skills were defined important for paralegals under legal aid agencies. Thus GIG decided to implement this under umbrella of MOJ activity with legal aid agencies in Y2.
3.5. Innovative partnerships to advance women, ethnic minorities, and other vulnerable groups' inclusion established					
NA007	3.5	Facilitate a partnership between a SO working on LGBT or disability, NA and FPT (Vietnam's largest Vietnamese information technology company) to digitalize NA documents (meeting proceedings, state apparatus, legislation, research reports, legal and policy projects) in the e-library of the NA.	Jul-Sept 2014	Cancelled	GIG contacted FPT a few times but did not receive feedback.

Annex 3: Laws and Regulations Supported by GIG

Status of Legislative and Regulatory Changes Supported by GIG (as of September 30, 2014)				
No	Legislation/Regulation	GVN Agencies	Status	Expected Review / Adoption
1.	Consolidated Law on Laws	MOJ	RIA report has been completed and the draft Law. A consultation workshop on RIA was conducted in HCMC to enable lawyers and legal officials from the region to share their comments on the Law and RIA report. GIG has been in discussion with the Law Committee and Canadian National Legislative Project on supporting the review of this Law. Gender mainstreaming principles were also developed, with the support from GIG, and to be integrated into the draft Law, which will be the foundation for the development of related policies/decrees for the development of gender mainstreaming procedures to be applied in law making.	November 2014 / May 2015
2.	Revised Civil Code	MOJ	Supported a technical workshop with MOJ on key issues for regulatory impact of the Civil Code to enable outside stakeholders, including CSOs, to discuss with policy makers key amendments to the Civil Code. Specific citizen rights of vulnerable groups (e.g., women, lesbian, gay bisexual and transgender [LGBT], PWD, ethnic minorities, people living with HIV [PLHIV], and children) had been documented and recommended to the drafting committee and have been incorporated into the draft Code.	November 2014 / May 2015 / November 2015
3.	Revised Law on NA	NA	GIG has not started working on this.	May 2014 / November 2014
4.	Revised Law on NA Oversight	NA	GIG has not started working on this.	May 2015 /

				November 2015 (not yet confirmed)
5.	Revised Law on State Audit	SAV	GIG discussed with SAV TA on this Law, but has not implemented any activity. An intention to mainstream gender auditing principles into the Law has been discussed within GIG.	May 2015 / November 2015
6.	Revised State Budget Law	NA, MOF, MPI	GIG discussed with NA, MPI some TA on developing this Law and capacity building activities. An intention to mainstream gender auditing principles into the Law has been discussed within GIG. GIG held a training for NA staff reviewing the law, and presented recommendations from national and international consultants on the contents of the revised law.	November 2014 / May 2015
7.	Law on Local Government	NA	GIG has not started working on this.	November 2014 / May 2015
8.	Law on Administrative Decisions	MOJ	GIG convened a group of CSOs at a technical workshop with MOJ in Hai Phong to discuss policy issues in the draft Law on Issuance of Administrative Decisions to enable local practitioners, CSOs, and GIG to comment on the draft and policy options to address practical issues.	
9.	Draft Decree making detailed provisions for several Articles of the Customs Law on Customs Procedures, Customs Inspection, Supervision and Control	VN Customs/ MOF	Discussed with GDVC the role of GIG to facilitate comments from stakeholder groups on the draft Decree. GIG's customs experts started giving comments on the draft national customs decree, provided by GDVC.	November 15, 2014

10.	07 circulars guiding Customs Law	VN Customs/ MOF	<p>Discussed with GDVC about GIG working with customs on giving comments on the draft circulars and seeking comments/inputs from businesses:</p> <ol style="list-style-type: none"> 1. Circular providing for customs procedures; customs inspections and supervision; import and export duties and tax administration applicable to imports and exports. 2. Circular providing for customs procedures; import and export duties and tax administration applicable to goods processed with foreign traders, raw materials and supplies imported to produce exports. 3. Circular providing for customs procedures; and customs inspections and supervision applicable to imports and exports brought into and out of EPZs, EPEs, and duty free areas. 4. Circular providing for the application of privileges in the State management of customs to businesses. 5. Circular providing for classification of goods and application of tariffs to imports and exports. 6. Circular providing for examination of the customs valuations of imports and exports 7. Circular issuing List of Vietnam's imports and exports (replace Circular 156/2011/TT-BTC dated 14 November 2011) 	November 15, 2014
11.	Customs-Business Partnership Rule	MOF	Discussed with AmCham and others the possibility of forming a trade facilitation alliance. Customs incorporated legal basis for forming customs-business partnership in its draft regulations implementing Customs Law (i.e., making it easier to get AEO status, classifying businesses into different categories for risk management and development of partnership programs).	2014
12.	Implementation of Trade Facilitation Agreement (Submission of Category A to WTO in July 2014.)	MOF	Following the submission of Category A to WTO, Vietnam wasted no time in implementing the TFA and Resolution 19 of the Government on enhancing its competitiveness and business environment. Customs issued two official letters and a circular to improve payment of duties, declaration of ships with more than 50 items, and requirement that no documents be required other than those allowed by law. Customs has been drafting 12 regulations (1	July 2014

			decree & 11 circulars) with input from businesses to implement the new Customs Law, TFA, and ease customs clearance.	
13.	Revised Enterprise and Investment Law	MPI	GIG has not started working on this. Under the work plan approved by the Steering Committee, this activity was excluded. This activity is not in the AWP-2. These are viewed as fundamental laws worthy of assistance, if requested, particularly at the implementing regulation level.	November 2014
14.	Rule on Self-certification of Origin	MOIT	GIG has discussed with MOIT a plan for TA.	2014
15.	Implementing Regulations on Law on Public Procurement	MPI	GIG has not started working on this. This activity is excluded from the work plan approved by the Steering Committee.	2014-15
16.	Regulations guiding administrative procedures on paying taxes	MOF	GIG has worked with CIEM, GDT (MOF) and organize field visits and public consultation workhops to analyse practical situation and gather inputs/comments from local authorities and business community to simplify administrative procedures for paying taxes. A Circular amending 07 circulars and a Decree amending 03 decrees were issued by the MOF and the Government.	September and October 2014
17.	Law on Marriage and Family	MOJ	GIG planned to support MOJ to improve a draft Decree for the implementation of the Law. Chapter 2 on the use of customs and traditions to handle family and marriage conflicts, especially within ethnic community and clans is the main focus for improvement.	2015

Annex 4: GIG long-term personnel – Level of effort totals, used, and balance (Person days)

Position	Name	Q4 Total LOE	Total LOE Expended through September 2014	Total LOE Remaining
Chief of Party	Jonathan Simon	39.02	164.06	1,135.94
Deputy Chief of Party	Pham Hoai Giang	65.01	191.36	1,108.64
<i>Legal, Regulatory and Competitiveness Team (ER 1)</i>				
Legal, Regulatory and Competitiveness Director	Phan Quang	71.29	139.63	1,117.37
Legal and Regulatory Program Manager	Nguyen Thi Minh Hai	8	8	1,277.00
Trade Facilitation Specialist	Vu Phuong	65.01	177.36	1,102.64
Trade Policy Specialist	Le Sy Giang	65.01	162.69	1,117.31
Senior Economist	TBD	0	0	1,280.00
Legal Expert	TBD	0	0	1,280.00
<i>Public Financial Management and Accountability Team (ER2)</i>				
Public Financial Management and Accountability Director	Brian Giacometti	39	116	1,169.00
Public Sector Accountability Manager	TBD	0	48.67	1,236.33
Public Auditing Specialist (KPMG)	Tran To Uyen	45	45	1,235.00
National Assembly Specialist	TBD	0	0	1,280.00
IT Systems Specialist	TBD	0	0	1,280.00
Capacity Building Specialist	Tran Ngoc Mai	65.01	146.02	1,133.98

Inclusive Growth Team (ER 3)

Inclusive Growth Director	Vu Thu Hong	65.01	183.36	1,101.64
Inclusive Policy Specialist	TBD	0	0	1,280.00
Citizen Participation Specialist	TBD	0	0	1,280.00
Capacity Building Specialist	Nguyen Thi Loi	65.01	180.36	1,099.64
Civil Society Specialist	TBD	0	114.68	1,165.32
Civil Society Specialist	TBD	0	0	1,280.00

Operations, Grants, and Partnerships Team

Operations, Grants, and Partnerships Director	Noel Martinez	66	192.03	1,097.97
Grants and Partnerships Manager	Nguyen Thi Thanh Loc	65	131	1,149.00
Grants Officer	Dang Thu Huyen	57.33	57.33	1,222.67
Private Sector Partnerships Officer	TBD	0	0	1,280.00
Office Manager	An Hong Nhung	65.01	146.01	1,138.99
Finance Manager	Dinh Thu Trang	65.01	188.35	1,096.65
Bookkeeper	TBD	0	0	1,280.00
IT Support	TBD	0	0	1,280.00
Administrative Officer	Nguyen Viet Phuong	65.01	131.02	1,148.98
Administrative Officer	Tran Thu Huong	65.01	126.35	1,153.65
Translator	Nguyen Thi Kim Thanh	65.01	122.35	1,137.65

Technical Support Team

M&E Manager	Hoang Thi Thanh Mai	65.01	146.02	1,133.98
M&E Coordinator	TBD	0	0	1,280.00
Senior Communications Manager (OSC)	Rosie Makepeace	203	203	317.00
Communications Specialist	Pham Thai Hong Van	65.01	77.01	1,202.99

Totals: 1438.8 3197.66 40,879.34

Annex 5a: GIG short-term assignments by expected result (ER) and level of effort approved and used (Person days)

Local national STTA

Position	Name	ER Code	Q4 Total LOE	Approved LOE	Previous LOE total	Total LOE Remaining	Details
Business and Competitiveness Consultant	Dang Thi Binh An	ER1	8.5	50	0	41.5	CIEM002
Business and Competitiveness Consultant	Pham Thanh Binh	ER1	8.5	50	0	41.5	CIEM002
Business and Competitiveness Consultant	Nguyen Dinh Cu	ER1	18.5	50	0	31.5	CIEM002
RIA Consultant	Vo Thi Lan Phuong	ER1	12	30	0	18	MOJ001
Business and Competitiveness Consultant	Nguyen Thi Cuc	ER1	9	50	0	41	CIEM002
Business and Competitiveness Consultant	Doan Thien Tin	ER1	8	50	0	42	CIEM002
IT Systems Consultant	Nguyen Thanh Nhut	ER2	15	50	0	35	NA005
Civil Code Consultant	Vu Cong Giao	ER3	11	50	0	39	MOJ008
Totals:			90.5	380	0	289.5	

Annex 5b: GIG short-term assignments by expected result (ER) and level of effort approved and used (Person days)

International STTA

Position	Name	ER Code	Q4 Total LOE	Approved LOE	Total LOE Remaining	Details
Trade Economist	James Riedel	ER1	5	7	2	MPI003
Business Enabling Environment and Competitiveness Expert	Olin McGill	ER1	11	17	6	CIEM002
Budget Strengthening Specialist	Richard Emery	ER2	22	22	0	NA003
Gender Equality and Social Inclusion Advisor	Roselle Agner	ER3	23	24	1	GIG005
Legislative Expert	Silvia Hernandez	ER 2	12	12	0	NA001
Legislative Strengthening Specialist / Interim ER 2 Director	Margarita Seminario	ER 2	102	106	4	NA002
Acting Program Director	Sarah Meyer	ER 1, ER 2, ER 3	53.03	54	0.97	n/a
Totals:			228	242	13.97	

Annex 6: Indicator Data Summary Table

Indicator	Year 1 Target	Achieved In Q 1 (Oct-Dec 13)	Achieved In Q 2 (Jan-March 14)	Achieved In Q 3 (Apr-Jun 14)	Achieved In Q 4 (July-Sept 14)	Year 1 Actual	Disaggregation	Data Source/ Collection method	Notes
Project Goal: Governance enhanced to facilitate broad-based, sustainable growth									
0.01. Ease of Doing Business (DTF)	61.13					61.13	NA	World Bank	<p>- As of 30 Sept 2014, the EoDB (DTF) is based on the data in the most updated report which is DB 2014 report published in 2013. Data in DB2014 is current as of June 2013 (except for tax data which covers the period Jan-Dec 2012). The next 2015 DB report will be published in late 2014 which will update the data till June 2014.</p> <p>- Lots of activities have been implemented with GIG support since issuance of Resolution 19 in March 2014 to improve EoDB index of VN, so it is expected that the results from 2015 onwards will improve significantly.</p>
0.0.2 CPIA public sector management and institutions cluster average	3.5					3.5	NA	World Bank	<p>-The CPIA public sector management and institutions cluster average indicator for 2014 will be updated later by the WB. The most current data as of 30 Sept 2014 is that of 2013 which is downloadable from the WB website.</p>

0.0.3 CPIA policies for social inclusion/equity cluster average	4					4	NA	World Bank	- CPIA policies for social inclusion/equity cluster average indicator for 2014 will be updated later by the WB. The most current data as of 30 Sept 2014 is that of 2013 which is downloadable from the WB website.
Project Intermediate Result 1: Improved legal and regulatory framework									
1.0.1 Time to export/import (days)	21/21					21/21	NA	World Bank	-Same note as for “Ease of Doing Business” above (time to export/import is an indicator in the EoDB index).
1.0.2 Percent of new/revised laws with GIG assistance upon partners' request that provide an indication of what feedback from public consultations was accepted/ rejected	0%					0%	Counterpart	Chemonics	- Support for this activity has not started yet in Year 1.
1.0.3 Percent of TPP required legislative changes and supporting regulations that have been drafted with GIG assistance upon partners' requests	TBD						Counterpart	Chemonics	-TPP has not been signed so the target for this indicator will be set later on. The achieved result will be reported when the relevant activities are implemented.

1.0.4 Percent of GIG counterpart legal normative documents that have been codified and made available online upon partners' requests	0%					0%	Counterpart	Chemonics	-Preparatory work has been supported by GIG including compilation of a draft codification handbook.
KRA 1.1. High quality laws and regulations developed									
1.1.1. Number of draft laws subject to substantive amendment and final vote in legislatures receiving USG assistance	0	0	0	0	0	0 (4: if counting regulations)	Project objective: 1,2 Counterpart: MOF, MPI	Relevant Circulars & Official Letters; Consultant Reports; Workshop Materials; Post-event Reports; Event Evaluation Reports; Media	In year 1, the project provided support for implementation of Resolution 19, which resulted in the issuance of following 4 regulations/ policies on tax and customs: - Circular 119/2014/TT/BTC dated 25 August 2014 effective from 1 Sept 2014; - Circular 126 dated 28 August 2014 and effective 1 October 2014; - Official letter 10015/TCHQ-GSQL dated 11 August 2014 immediately effective; - Official letter 11802/BTC-TCHQ dated 22 August 2014 immediately effective. GIG support was also provided for the revised Law on State Budget, which will be submitted to NA in October 2014 for review and expected to be passed in May 2015.
KRA 1.2. Improved policy									

development process									
1.2.1. Number of GIG- assisted events to enhance policy development process	5	0	0	7	4	11	Project objectives: 1,2,and 3 for RIA workshops; Counterpart: NA, VCCI, MOF, MOJ, MPI, MOIT; Gender: 54%-46% (M/F)	Post-event Reports; Event Materials; Media	Quarter 3: - Seminar with NA on 15 April (NA001) - Seminar with NA on 17 April (NA001) - Seminar with VCCI on 18 April (VCCI001) -Workshop with Customs on 12-15 May (CUST001) - Conference with Customs on 21 May (CUST002) - Workshop with CIEM on 4 June (CIEM001) - RIA workshop with MOJ on 5-6 June (MOJ001) Quarter 4: - CIEM workshop series on Resolution 19 during 21-31Julyand during 8-20 Sep (CIEM002) - RIA workshop on Civil Code on 27-29 July (MOJ003) - RIA workshop on Law on Laws on 1 August (MOJ001) - Workshop series on Bali Package (MOIT001)
KRA 1.3. Improved information systems and evidence-based analysis for policy making									

1.3.1. Number of evidence-based policy analyses conducted with GIG support	1	0	0	0	3	3	Project objective: 1,2, and 3 (Law on Laws); Counterpart(MOJ, MOF, MPI)	RIA report; Needs Assessment Report by Customs; Consultant reports; Workshop materials; Post-event reports; Event evaluation reports; Media	- RIA report on Law on Laws (MOJ001) - Report by Customs on Needs Assessment/Gap Analysis as a basis for preparing Notification to WTO on Commitment A of Vietnam submitted in July 2014 (CUST001). - Report on doing business indicators of paying taxes and trading across borders to implement Resolution 19
1.3.2. Number of information systems established or substantially upgraded with GIG support	0	0	0	0	0	0	Project objective; counterpart	Project reports; counterpart reports	- In Year 1, GIG took preparatory steps to support the counterparts with information systems. Results are expected to be achieved from Year 2. Electronic notification system on technical barriers to trade (TBT) is under preparation for upgrading (in collaboration with MOST). It is expected to complete this system in 2015. Also the bidding for the instant support software with the NA Library for MPs was under way as of 30 Sept 2014.
KRA 1.4. Increased transparency of laws and regulations									
1.4.1 Number of titles codification conducted with GIG assistance	0	0	0	0	0	0	Project objective: 4; Counterpart: MOJ	Draft codification manual; Post event report; Event evaluation reports; Workshop materials	- Project support for codification started with compilation of codification manual in FY1, but actual codification of titles and sections will be conducted in FY2.

KRA 1.5. Strengthened public consultation and stakeholder engagement mechanisms in policy development									
1.5.1. Number of GIG-supported public consultation events to improve Vietnam's legal and regulatory framework	3	0	0	0	5	5	Project objective: 1, 2, and 3 for Law on Admin Decisions; Counterpart: MPI, MOJ	Circulars and official letters; Event materials; Post event reports; Event evaluation reports; Media	CIEM workshops on implementation of Resolution 19 (CIEM002): - 20 August in HCMC to collect business community on tax regulations - 22 August in HCMC to collect business community on customs regulations - 24 Sept in Danang on tax regulations (Circular 119) - 25 Sept in HCMC on specialized management procedures on imports and exports Workshop on Law on Admin Decisions in Hai Phong on 22-23 Sept (MOJ016)
Project Intermediate Result 2: Improved accountability of public institutions									
2.0.1. Open Budget Index score	19%					19%	NA	International Budget Partnership	- As of end Sept 2014, the most updated data on the International Budget Partnership website is that of 2012.
2.0.2. Median scores of transparency	5.56					5.56	Province	PCI	-As of end September 2014, the most updated PCI data

indicator in provincial competitiveness index (PCI)									downloadable from PCI website is that of 2013.
KRA 2.1. Strengthened government oversight processes									
2.1.1. Number of Executive Oversight Actions Taken by Legislature Receiving USG Assistance	1	0	0	0	0	0	Project objective; counterpart	Project reports; counterpart reports	Counterparts requested GIG support in executive oversight activities, starting from Year 2. E.g. The NA Law Department requested assistance in conducting Q&A oversight hearings in Year 2.
2.1.2. Number of training sessions on performance audits for staff from relevant GIG partners	0	0	0	0	0	0	Project objective; counterpart; gender	Project reports; counterpart reports; training reports	- Trainings for SAV staff will begin in Year 2.
KRA 2.2. Strengthened citizen participation in monitoring and evaluating policy implementation									
2.2.1. Number of GIG-supported activities designed to promote or strengthen the participation of citizens in public governance	2	0	0	0	2	2	Project objective: 3; Counterpart: MOJ and VJA	Post-activity report; Training materials; Event evaluation reports; Counterpart reports; Media	- Workshops with MOJ entitled “Training workshops on the monitoring of the implementation of the laws” - Workshops with VJA entitled “Training on how to cover news on legal issues” for journalists. These workshops were organized in Binh Duong and Hue under code MOJ 007.

KRA 2.3. Increased transparency on policy implementation effectiveness									
2.3.1. Number of GIG-supported activities designed to strengthen the budget process	2	0	0	0	5	5	Project objectives: 3+4; Counterpart: NA, SAV, MPI	Post-activity report; Event materials; Event evaluation reports; Consultant reports; Counterpart reports; Media	<ul style="list-style-type: none"> -Training workshop in Danang on “Training on State budget review and analysis skills” under code NA003. - International consultant report on formal recommendations to the NA Budget Department on revisions to the State Budget Law and national consultant report on “Capacity building for NA Finance and Budget Committee/ Department” under code NA003. -Workshop with SAV on “The value and benefits of SAV in public finance management” under code SAV002. - Consultant report on “Capacity building for enhancing the role of SAV in public finance management” under code SAV002. - MPI workshops on "Improving the key role of the central budget in enhancing resources for development investment in combination with revised State Budget Law" under code MPI001.

2.3.2. Number of GIG-supported activities designed to strengthen policy implementation effectiveness in Vietnam	0	0	0	0	0	0	Project objective; Counterpart	Project reports; Counterpart reports; Activity reports.	-This activity has not yet been implemented in Year 1 (not included in AWP1).
KRA 2.4. Improved quality of information and analysis for evaluating policy implementation effectiveness									
2.4.1. Number of policy implementation assessments conducted by CSOs with GIG support	1	0	0	0	2	2	Project objective: 4; Counterpart: MPI	Post-activity report; Consultant reports	- Consultant report on “Enhancement of effectiveness of the public investment” under code MPI 001. - Consultant report on “Strengthening of the role of central state budget” under code MPI 001. Note: these reports were not conducted by CSOs, rather by consultants from Economic University under Hanoi National University and National Finance Institute under MOF, so they are not calculated in the indicator.
KRA 2.5. Improved quality of the media’s reporting on policy implementation									

2.5.1. Number of training days provided to journalists with USG assistance, measured by person-days of training.	50	0	0	0	72	72	Project objective: 3; Counterpart: VJA Gender: 50%-50% (M/F)	Post-activity reports; Training materials; Event evaluation reports; Attendance lists; Counterpart reports	- 2 training courses for journalists in Binh Duong and Hue entitled "Training on how to cover news on legal issues" for total 48 journalists for 1.5 days each course, under code MOJ 007.
Project Intermediate Result 3: Improved inclusion									
KRA 3.1. Legal and regulatory barriers for women, ethnic minorities, and other vulnerable groups' equality reduced									
3.1.1. Number of laws, policies or procedures drafted, revised and/or issued to support inclusion of vulnerable population.	1	0	0	0	1	1	Regulation type: law	Consultant Reports; Draft Civil Code; Post-activity report	- Contributed to the draft revised Civil Code, supporting inclusion of vulnerable population, under code MOJ008. The revised Civil Code will be submitted to NA for review in October 2014.
KRA 3.2. Women, ethnic, minorities, and other vulnerable groups' participation and voice increased in policy dialogues									

3.2.1. Number of CSOs receiving USG assistance engaged in advocacy interventions	5	0	0	0	6	6	Objectives: 3, 5; Counterpart: MOJ; Type of CSOs: NGOs	Papers, PPP presentations of CSO; Post-event reports; Debriefing meeting notes; Meeting minutes; Draft laws (Civil Code and Law on Admin Decisions)	<p>Centre for Education Promotion and Empowerment for Women (CEPEW), under code MOJ015. GIG invited this CSO to present in a workshop in Nghe An to increase citizen participation in local governance.</p> <p>CISDOMA (The consultative institute for socio-economic development of rural and mountainous areas), under code MOJ016. GIG invited this CSO to present in a workshop on Law on Admin Decisions in Hai Phong.</p> <p>IDS: similar to CEPEW</p> <p>IPL (Institute of Public Policy and Law). GIG invited representatives from this CSO to provide inputs for revised Civil Code through report writing, coordinating a workshop to collect opinions of CSOs for the Code, under Code MOJ008</p> <p>The Institute for Studies of Society, Economics and Environment (iSEE) – also provided inputs to revised Civil Code through a workshop under MOJ008</p> <p>Center for legal research and Services (LERES): similar to iSEE.</p> <p>GIG provided support to above counterparts to engage in advocacy interventions in revising Civil Code, providing inputs to draft Law on Admin Decisions,</p>
--	---	---	---	---	---	---	---	---	---

									and designing citizen participation mechanisms.
3.2.2. Number of GIG-supported events designed to promote the target groups' participation and voice in policy dialogues	2	0	0	1	2	3	Objective: 5; Counterpart: MOJ; Ethnicity: NA Gender: 49%-51% (M/F)	Post event reports; Consultant reports; Debriefing meeting notes; Event materials; Event evaluation reports; Media	- Quarter 3: Roundtable with CSOs on 30 June on the draft Civil Code(MOJ008) - Quarter 4: + Consultative workshop on the use of customs and traditions under the revised law on marriage and family (MOJ006) + Consultative Workshop on Effective Mechanisms for Citizen Participation in Local Governance (Nghe An) (MOJ015)

KRA 3.3. Improved data and analysis on gender, ethnic minorities, and other vulnerable groups' equality									
3.3.1. Number of policy research initiatives conducted on gender, ethnic minorities, and other vulnerable groups with GIG assistance that use data and analysis to support the policy proposals	1	0	0	0	1	1	Objective: 3, 5; Counterpart: MOJ; Gender: NA Ethnicity: NA	Gender mapping report (draft)	Conducted gender mapping to bring principles of gender equality in law making relating to draft Law on Laws, under code MOJ002
3.3.2. Number of GIG-assisted activities to promote women's leadership and entrepreneurship and empower GIG's target groups	2	0	1	0	1	2	Province: North and Central (15 provinces) – MOJ002; & 7 provinces – VWU001 Ethnicity: NA	Event materials; Consultant reports; Post activity reports, Workshop minutes; Media	- Q2: Workshop on women entrepreneurship with VWU on 2 March (VWU001) -Q4: 1 Training on gender mainstreaming and gender equality (MOJ002) to promote women leadership, empower middle level women managers in judicial sector.
KRA 3.4. Improved access to economic opportunity for women, ethnic minorities, and other vulnerable groups									

3.4.1. Number of GIG-supported activities designed to increase access by target groups to productive economic resources (assets, credit, income or employment) and legal facilities	1	0	0	0	0	0	Objective: 5; Counterpart: NA; Gender: NA; Ethnicity: NA	Project reports; Counterpart reports; Activity reports	Land law campaign was launched and in progress (GIG planned to collaborate with LANDA members). As of 30 Sept, the project completed RFA for land law campaign, and event report for LANDA members' meeting on RFA. The project refused CEMA proposal due to infeasibility, and reviewed other opportunities with Vietnet ICT and CECR (The Center for Environment and Community Research).
Cross-cutting output indicators									
C.0.1 Number of public-private partnerships formed as a result of GIG assistance	2	0	0	0	1	1	Project objective: 3; Counterpart: MOJ	Post event report	Component 1: Tulane and HLU agreed to enter into a partnership to design and deliver bill drafting courses. Tulane sent a mission to Vietnam to work with HLU to assess the need to design the program. (MOJ020). GIG is working with Amcham/VCCI+++ to establish a TF Alliance to work with Customs and other Government agencies on trade facilitation. GIG is developing partnership with Tax Consulting Association to work with MOF on tax issues and reduction of paying tax time. Component 2: in exploration process (not included in AWP 1) Component 3: connected NA library with FPT and did not get feedback from FPT yet.

C.0.2 Person hours completed in capacity building events supported by GIG	15,000	0	752	4,528	19,934	25,214	Project objective: 1, 2, 3, 4, 5; Counterpart: MOJ, MPI, NA, MOIT, SAV, MOF, VCCI, WU Gender: M/F (55%-45%)	Post- event reports; Event evaluation reports; Participant lists	A total of 43 events (training, workshops, seminars, conferences, roundtables) were organized during FY2014 for a total of 2572 participants. Events had different duration, from half a day to several days.
C.0.3 Number of vulnerable people reached by USAID-supported programs that promote health, social and economic opportunities.	600	0	0	0	0	0	Project objective; Counterpart	Project reports; Counterpart reports	There was a delay of land law campaign in FY1. GIG will explore further in next years.