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# CONSOLIDATION AND ENHANCED LIVELIHOODS INITIATIVE (CELI)- NORTHERN & SOUTHERN REGIONS

**PERFORMANCE MONITORING PLAN**

**August 2013**

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LIVELIHOODS INITIATIVE (CELI)  
NORTHERN & SOUTHERN REGIONS  
PERFORMANCE MONITORING PLAN**

**Contract No. AID-514-C-12-00001**

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The author's views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

Development Objective 1 (DO-1): Civilian  
Government Presence in CSDI Zones  
Consolidated  
August, 2013



## Introduction to the II Version – AUGUST 2013

This revised version of the DO1 PMP incorporates changes derived from lessons learned from the first year of implementation of the CELI programs and also reflects the suggestions that CLL (Consolidation, Livelihoods and Land team) received from previous Mission Portfolio Reviews. This has been a participatory process with the participation of CELI Implementers, CLL team and the USAID M&E Program as a facilitator.

The main changes are summarized as follows:

- Included a section on Data Quality Assessment Procedures, as described in ADS 203.3.3.1
- Reduced the total number of indicators from 35 to 27, based on recommendations from Mission Portfolio Reviews. CLL primarily reduced the number of land and GOC related indicators, as explained in the following bullets.
- Land indicators were reduced from 8 to 2 in order to reflect the current support that CELI programs provide to formalization and restitution processes. This support focuses on institutional strengthening to the GOC regional land institutions so that they can effectively begin to process formalization and restitution cases. Former indicators measured titles obtained, however this was seen as not realistic given the time frame of CELI activities and the weak status of GOC regional land institutions.
- Indicators which measured progress against the GOC's stoplight –semaforo-system were eliminated. Since this system only incorporated security variables and these are out of USAID's manageable interest, the CLL team considered it was not necessary to continue using these indicators as measurements of the CELI's progress.
- Included three new indicators which CLL decided to report in the PPR. These indicators allow measurement of USG investments and leveraging in CSDI municipalities, as well as the GOC's investment in these zones. These are:
  - DO1-036 Total value of CSDI projects approved
  - DO1-037 Total value of CSDI projects completed
  - DO1-038 Total public investment in consolidation zones
- The methodology used to calculate and report sales and organizational capacity indicators was updated. This methodology was built upon CELI implementers' expertise and lessons learned from the field. Consequently targets for these indicators were modified.
- The indicator DO1-006 Public funds leveraged in CSDI zones attributable to USG now measures both funds committed and funds executed.

- Some indicator targets were adjusted as seen in the following table:

<b>Indicator</b>	<b>New Target</b>	<b>Previous Target</b>	<b>Rationale for adjustment</b>
DO1-006 Public funds leveraged in CSDI zones attributable to USG interventions	USD 285 million	USD 421 million	A lesson learned from the implementation of the CELIs is that public funds that have been committed by the GOC are not being translated into actual funds disbursed as quickly as expected. The new target still reflects an impressive 2:1 leveraging ratio on CELI activity funds, but this is lower than the original target.
DO1-008 Number of rapid impact projects implemented by USG implementers	286	488	This target has changed only for CELI Montes de Maria. CELI Montes reduced the number of communities where it had initially planned to implement rapid impact projects. This was due to a programmatic shift requested by USAID to increase work on land activities. .
DO1-012 Number of beneficiaries receiving improved infrastructure services	254,500	89,500	The demand for infrastructure projects prioritized by communities has been higher than what was initially planned. Both CELI Central and CELI North-South have adjusted their targets based on new projections of an increased number of infrastructure projects.
DO1-026 Change in Index of Organizational Capacity (ICO) of CSOs supported by USG assistance	30%	72%	The methodology to calculate this indicator was updated, taking into consideration the experience in the field collecting its data. The previous target was set too high, based on an insufficient amount of information.
DO1-029 Value of incremental sales of key supported products in CSDI zones	TBD	37%	Collecting data for this indicator has been very challenging in the first year of the CELIs. The CELIs are still collecting baseline data to be able to measure the change for this indicator. In addition, in many cases sales of supported crops will not be seen in the life of activities since they are long gestation crops. Due to these factors, a decision was made to set the target once we have more information available.

## **1. DEVELOPMENT OBJECTIVE 1 (DO-1): NARRATIVE**

The Colombia Strategic Development Initiative (CSDI) is a USG interagency effort to assist the Government of Colombia (GOC) to transition definitively out of conflict and put an end to illicit activities particularly cultivation, processing and trafficking of illegal narcotics. Achievement of these two objectives requires that the GOC gain effective control over the entirety of its territory, including large sections of the country where the constitutional government has been unable, or unwilling, to establish a permanent state presence and exert full authority, including extension of the rule of the law and provision of social services.

The GOC's National Territorial Consolidation and Reconstruction Plan (PNCRT) outlines an ambitious strategy to control areas where a toxic mix of guerilla groups, narco-traffickers, paramilitaries, and other illegal armed groups continue to exert control. These "ungoverned areas" remain significant sources of instability by providing safe havens for illegally armed groups and breeding grounds for illegal activity, such as coca production and processing, drug trafficking, and illegal mining. These areas are typically characterized by high levels of violence, low levels of basic services (e.g. health, education, access to justice, and infrastructure), limited interconnectivity, and lack of licit economic opportunities. The PNCRT identifies seven priority consolidation zones – including 51 municipalities – for concerted actions and envisions a sequenced process for achieving security, establishing permanent state presence, and creating conditions for a durable peace and a sustainable rule-of-law culture.

While reconfirming the previous administration's commitment to improve security throughout the country and implement the National Consolidation Plan, the Santos administration, during its first year in office, substantially broadened the scope of consolidation programming beyond an initial focus on security to include a complex, integrated package of support for land formalization, land restitution, rural development, institutional capacity building, access to justice, infrastructure development, delivery of basic public services, and support for victims of conflict within the prioritized areas. The hypothesis is that by doing all these things together and at the same time, consolidation zones can be fully integrated into the larger Colombia and the conflict (and all its associated illegality) brought to an eventual and definitive end.

USAID programs under this DO represent a major contribution to the broader USG interagency CSDI effort, now referred to as Consolidated and Enhanced Livelihoods Initiative (CELI). USAID programs support expansion of GOC state presence and authority in priority geographic areas, and will assist national and sub-national government entities to effectively implement key national policies and programs that address root causes of the conflict. USAID programs will be aligned around three main pillars:

- Assistance to subnational government entities that build their capacities to effectively respond to the needs of constituents by delivering essential social services and guaranteeing formal land tenure and return of property rights to those affected by conflict.

- Support to increase community cohesion and reestablish the social fabric in conflict-affected regions, resulting in greater support for the state, increased political accountability, and participation in licit economic activities.
- Support for the implementation of tailored, demand-driven rural development strategies and public-private partnerships that will generate licit economic opportunities aimed at fostering sustainable economic growth.

The pillars above correspond to the following three Intermediate Results (IRs):

- IR 1.1. Institutional development strengthened
- IR 1.2. Social development strengthened
- IR 1.3. Economic development catalyzed

***Anticipated End State:*** By 2015, it is expected that USAID's activities in the targeted geographic zones will have helped the GOC to bring a definitive end to the conflict by advancing a more effective and democratic state presence, particularly in Colombia's critical priority zones under the PNCRT. This means a sustainable end-state where peace and security are permanent, civilian state entities are providing the services expected of any legitimate and democratically-elected government, land property rights are formalized and respected, an active citizenry demands accountable and transparent governance, and sustainable legal livelihoods supplant illegal economic activities.

### **Development Problem Being Addressed and Relationship to Mission's Goal**

***Development Problem:*** This DO addresses the continued existence of large swathes of territory outside the reach of the GOC's authority. Over the past 10 years, the GOC has made significant progress towards improving security and exerting more effective control over more territory. While improved security remains an essential ingredient, the Santos administration recognizes that an effective consolidation strategy to end the war must also address persistent root causes of conflict and violence in Colombia. Especially problematic is the concentration and inefficient use of land, poor infrastructure and connectivity, and limited availability and poor quality of services. Indeed, Colombia's most conflict-prone areas suffer from extremely fragile state presence, resulting in weak administration and the heightened influence of illegal armed groups and illicit economies. These areas are plagued by a lack of access to economic and social opportunities, informal land tenure, poor social service delivery, and lack of confidence in public institutions.

### ***Critical Assumptions***

- USAID's role is that of catalyst, focused on mobilizing the Colombian state.
- Irreversibility of success depends on sustained GOC political and financial commitment.
- Income generating activities supported are demand-driven, and focus on the creation of long-term, sustainable economic opportunities, which are crucial for irreversible consolidation.
- USAID alone cannot eliminate the dependency on the illicit drug economy. Sustainable reduction of the illicit drug economy requires the combined effort and sequencing of security, eradication/interdiction, socioeconomic services, greater territorial presence of Colombian government institutions, expanded economic opportunities and effective land tenure and property rights.

***Relationship to Mission's Goal.*** USAID/Colombia's overall goal is to improve the economic and social conditions of vulnerable Colombians through effective rights-based institutional presence. This DO contributes to this goal by helping the GOC increase its presence, expand economic opportunities, and foster the provision of social services for Colombians most vulnerable to the effects of the illicit economy and impact of the conflict.

## **Intermediate Results**

### ***IR-1.1. Institutional Development Strengthened***

The GOC has initiated an ambitious policy agenda to encourage sustainable rural development in all of Colombia. Taking advantage of the policy momentum at the national level, this DO will help mobilize local governments in consolidation areas to understand, develop and implement rural development strategies. In practice, this will mean improving the response of local governments by enhancing access to social services, strengthening the local institutions in charge of land tenure and property rights and attention to victims of the conflict, and improving the capacity of local administrations to take advantage of national and departmental-level resources, policies and programs.

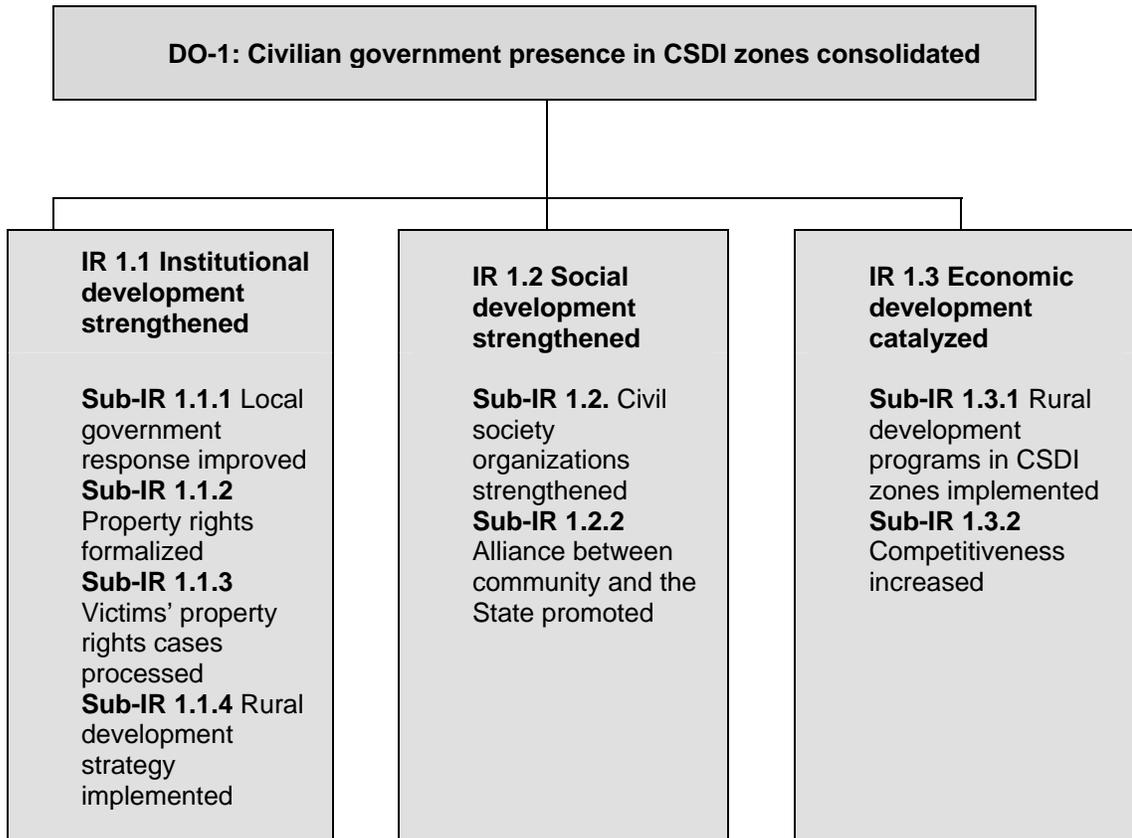
### ***IR 1.2. Social Development Strengthened***

Increasing community capacity and involvement in local decisions are key elements to encourage support for the state and for democracy at large. USAID defines capacity as a community's empowerment and ability to represent itself before local, regional and national authorities in a constructive manner – offering, presenting and negotiating proposals for the community's development. To achieve ownership, communities and local organizations must become active partners in project development to ensure that activities are: responsive to their needs; based on rights, responsibilities and accountability for results; and sensitive to and appropriate for local cultural differences. Specifically, DO1 will: 1) improve the capacity of local organizations and producer associations to advocate for and manage their own development; and 2) support activities to develop communal values in targeted zones, strengthening the values that underpin a democratic society and encouraging ownership and engagement in local decision-making.

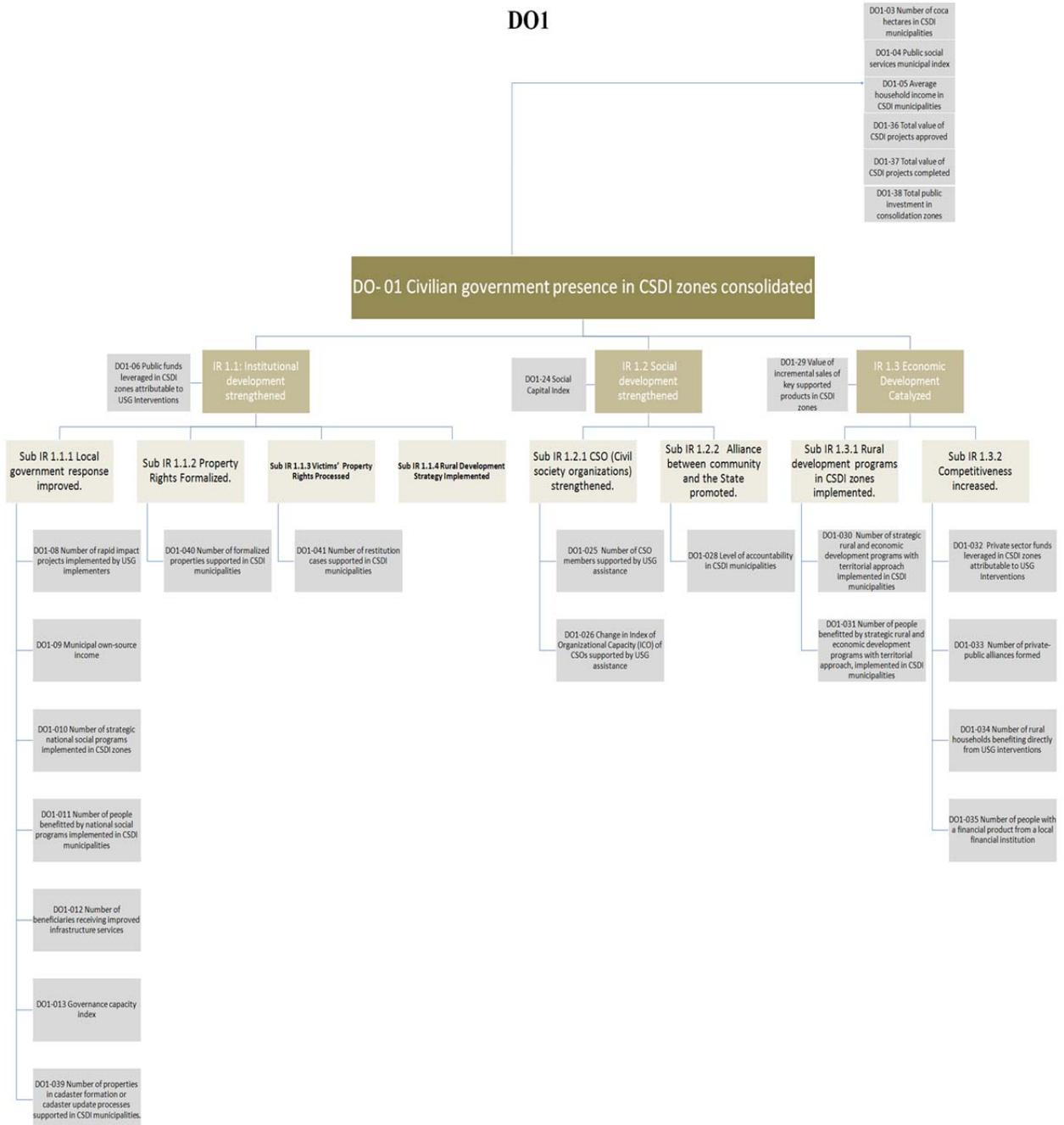
### ***IR 1.3. Economic development catalyzed***

Establishing productive activities and helping remove barriers to licit economic growth are essential tenets of the GOC's PNCRT. USAID intends to work with established agricultural trade associations, or "*gremios*", to increase productivity and competitiveness in targeted agricultural sectors. We will also seek to leverage previous investment and coordinate with existing local development plans. Specifically, USAID will: 1) catalyze national and departmental-level rural development programs in targeted areas; 2) mobilize interventions targeted at men/women small producers; and 3) improve access to key productive resources, specifically finance, productive infrastructure, land tenure and property rights. These activities will be complemented by integrated policy reform at national, regional and local levels.

**2. DEVELOPMENT OBJECTIVE 1 (DO-1):  
RESULTS FRAMEWORK**



# RESULTS FRAMEWORK INDICATORS DO1



### 3. DEVELOPMENT OBJECTIVE 1 (DO-1): PERFORMANCE AND IMPACT INDICATORS PMP MATRIX

Objective & Intermediate Results	Performance Indicator Name & Definition	Data Source(s)	Reporting Frequency	BASELINE & TARGETS				
				YEAR	ZN-ZS	ZC	ZMM	TOTAL
DO-1: Civilian government presence in CSDI zones consolidated	<p>Number: DO1-003 (“Strategic Indicator”)</p> <p><b>Name:</b> Number of coca hectares in CSDI municipalities.</p> <p><b>Definition:</b> Number of hectares devoted to coca in CSDI municipalities, based on UNODC’s Colombia Coca Cultivation Survey report (SIMCI).</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)</p>	Followed-up and reported by the M&E Program, taken from SIMCI report.	Annually	YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE	13,778	2,654	0	16,432
				FY 11				
				FY12	12,402	2,389	0	14,791
				FY 13	9,200	1,800	0	11,000
				FY 14	7,560	1,440	0	9,000
				FY 15	6,720	1,280		8,000
				FY 16	5,880	1,120		7,000
				LOP	5,880	1,120		7,000
	<p>Number: DO1-004</p> <p><b>Name:</b> Public social services municipal index</p> <p><b>Definition:</b> The index is based on GOC annual data for all municipalities in the country, and is composed of coverage indicators of public and social services including electricity, health, education, and justice. Expanded explanation of source and the calculation of this index are included in its respective Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> CSDI zone</p>	Followed-up and reported by the M&E Program, taken from GOC’s statistics	Annually	YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE	54.88	40.85	62	50
				FY 11				
				FY12	62	45	64	57
				FY 13	64	49	68	60
				FY 14	67	55	75	66
				FY 15	71	64		68
				FY 16	75	75		75
				LOP	75	75	75	75
	<p>Number: DO1-005</p> <p>Name: Average household income in CSDI municipalities (Colombian pesos)</p> <p><b>Definition:</b> The household income is calculated by adding the last month of</p>	Collected from the Structured Baseline Survey of the CSDI Impact Evaluation	Biennial, CSDI Impact Evaluation data (three measurements in total)	YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE	NA	NA	\$ 428,369 (monthly)	NA
				FY 11				
				FY12	\$418,300	\$462,010	\$436,940	\$439,080

<p>income of each of the individuals composing the household. Expanded explanation of source and the calculation of this indicator are included in its respective Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> Geographic area: CSDI zone, impact evaluation cluster</p>			FY 13	\$426,660	\$471,250	\$445,670	\$447,860
			FY 14	\$435,200	\$480,670	\$454,590	\$456,820
			FY 15	\$443,900	\$490,290		\$465,960
			FY 16	\$452,780	\$500,090		\$475,280
			LOP	\$452,780	\$500,090	\$454,590	\$469,153
<p><u>Number: DO1-036</u></p> <p><b>Name:</b> Total value of CSDI projects approved (USD million)</p> <p><b>Definition:</b> Total value of projects that have been approved to be implemented in "CSDI" municipalities. CSDI municipalities are those where the Government of Colombia has requested the USG to contribute to the implementation of the National Territorial Consolidation and Reconstruction Plan (PNCRT). As of December 2012, there are 40 CSDI municipalities. The PNCRT's objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities. Projects correspond to these areas: infrastructure, land, governance, social capital and economic development, victims. Total value includes CELI funds and counterpart (public and private funds).</p> <p><b>Disaggregated by:</b> Components: infrastructure, land, governance, social capital, economic development, CELIs funds, public funds, private funds.</p>	<p>Reported by CSDI implementing Partners</p>	<p>Quarterly</p>	YEAR	ZN/ZS	ZC	ZMM	TOTAL
			B/LINE	0	0	19.1	19.1
			FY12	49.4	27.5	5.6	82.5
			FY13	35.7	46	12.3	94
			FY14	38	49	13	100
			FY15	39.2	49.8		89
			FY16	30.8	39.2		70
			LOP	193.1	211.5	50	454.6
<p><u>Number: DO1-037</u></p> <p><b>Name:</b> Total value of CSDI projects completed (USD million)</p>	<p>Reported by CSDI implementing Partners</p>	<p>Quarterly</p>	YEAR	ZN/ZS	ZC	ZMM	TOTAL
			B/LINE	0	0	7.9	7.9

	<p><b>Definition:</b> Total value of projects that have been completed in “CSDI” municipalities. CSDI municipalities are those where the Government of Colombia has requested the USG to contribute to the implementation of the PNCRT. As of December 2012, there are 40 CSDI municipalities. The PNCRT’s objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities. Projects correspond to these areas: infrastructure, land, governance, social capital and economic development, victims. Total value includes CELI funds and counterpart (public and private) funds</p> <p><b>Disaggregated by:</b> Components: infrastructure, land, governance, social capital, economic development, victims; CELIs funds, public funds, private funds.</p>			FY12	2.7	10.6	6.5	19.8
				FY13	44	44	15	103
				FY14	38	44	13	95
				FY15	44	44		88
				FY16	44	45.4		89.4
				LOP	172.7	188	42.4	403.1
<p>IR1.1 Institutional developed strengthened</p>	<p>Number: DO1-038</p> <p><b>Name:</b> Total public investment in consolidation zones (USD million)</p> <p><b>Definition:</b> Total funds invested in consolidation zones by the GOC national entities. Consolidation zones are municipalities where the GOC’s PNCRT is being implemented. The PNCRT’s objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities.</p> <p><b>Disaggregated by:</b> CSDI zones, municipalities.</p>	<p>Followed-up and reported by USAID taken from the Plan Nacional de Consolidación Territorial (PNCT)</p>	<p>TBD</p>	YEAR	ZN/ZS	ZC	ZMM	TOTAL
				B/LINE				0
				FY12				968
				FY13				366
				FY14				291
				FY15				425
				FY16				
		<p>Reported by CSDI implementing Partners</p>	<p>Quarterly</p>	YEAR	ZN-ZS	ZC	ZMM	TOTAL
	<p>Number: DO1-006 (“Strategic Indicator”)</p> <p><b>Name:</b> Public funds leveraged in CSDI zones attributable to USG Interventions (million USD)</p>			B/LINE	0	0	USD 2.387	USD 2.387

	<p><b>Definition:</b> Public sector funds leveraged refer to the value of (1) investment facilitated, i.e. national level public funds invested in the CSDI zones as a result of USG-supported initiatives and negotiations; and (2) co-investment made by public counterpart institutions at the local or national levels to directly support Consolidation and Livelihoods (CL) programs or activities. The indicator will both measure the “committed” value of leveraged funds and the “executed” value of leveraged funds. The committed value refers to demonstrable commitments made by public authorities that have not been disbursed. Leveraged refers to monetary and/or in-kind resources. The implementer will monetize in-kind funding. In-kind contributions of infrastructure and/or goods and services are monetized by the entity making the investment. Labor contributions are calculated on the basis of the standard rate for day labor in the region. The target values correspond to million USD. The targets of this indicator are for the executed funds and not for the committed funds.</p> <p><b>Disaggregated by:</b> Funds committed or funds executed; source of funds: (1) investment facilitated or (2) co-investment; type of funds (monetary or in-kind); level of government (municipal, departmental, national); geographic area (“vereda”, municipality, department, and CSDI zone).</p>			<table border="1"> <tr> <td>FY 11</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>FY12</td> <td>USD 11.6</td> <td>USD 14.4</td> <td>USD 5</td> <td>USD 31</td> </tr> <tr> <td>FY 13</td> <td>USD 17.4</td> <td>USD 21.6</td> <td>USD 8</td> <td>USD 47</td> </tr> <tr> <td>FY 14</td> <td>USD 23.2</td> <td>USD 28.8</td> <td>USD 9.613</td> <td>USD 61.613</td> </tr> <tr> <td>FY 15</td> <td>USD 29</td> <td>USD 36</td> <td></td> <td>USD 65</td> </tr> <tr> <td>FY 16</td> <td>USD 34.8</td> <td>USD 43.2</td> <td></td> <td>USD 78</td> </tr> <tr> <td>LOP</td> <td>USD 116</td> <td>USD 144</td> <td>USD 25</td> <td>USD 285</td> </tr> </table>	FY 11					FY12	USD 11.6	USD 14.4	USD 5	USD 31	FY 13	USD 17.4	USD 21.6	USD 8	USD 47	FY 14	USD 23.2	USD 28.8	USD 9.613	USD 61.613	FY 15	USD 29	USD 36		USD 65	FY 16	USD 34.8	USD 43.2		USD 78	LOP	USD 116	USD 144	USD 25	USD 285				
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<p>Sub IR 1.1.1 Local government response improved.</p>	<p><b>Number:</b> DO1-008</p> <p><b>Name:</b> Number of rapid impact projects implemented by USG implementers</p> <p><b>Definition:</b> Rapid impact projects are social infrastructure and income</p>	<p>Reported by ICSDI implementing Partners</p>	<p>Quarterly</p>	<table border="1"> <tr> <td>YEAR</td> <td>ZN-ZS</td> <td>ZC</td> <td>ZMM</td> <td>TOTAL</td> </tr> <tr> <td>B/LINE</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>FY 11</td> <td>0</td> <td>0</td> <td>36</td> <td>36</td> </tr> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE					FY 11	0	0	36	36																								
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<p>generation activities that the community has identified as priorities. This indicator will only count the projects that are completely funded or have some funding from USG CSDI implementers. These projects are identified, planned, and implementation begun within 3 months to demonstrate that the State can respond effectively to the community felt needs. The primary focus of these projects is to provide tangible evidence that the State can respond to community requests, and activities should not only be carried out quickly but should also include buy-in (and contributions if possible) from local government to create relationships between communities and their governments. These projects respond to a felt need and additionally improve the life quality of residents, state presence, and public trust in institutions.</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)</p>			FY12	40	50	40	130
			FY 13	0	75	10	85
			FY 14	0	25	10	35
			FY 15	0	0		0
			FY 16	0	0		0
			LOP	40	150	96	286
<p><u>Number:</u> DO1-009</p> <p><b>Name:</b> municipal own-source income (Million COP)</p> <p><b>Definition:</b> Municipal fixed own-source income is income from tax and non-tax sources. Tax sources include property tax and industrial/commercial tax. Non-tax sources are fees collected from municipal public services. Absolute values refer to the amount of own-source income from tax and non-tax sources.. The value in the targets corresponds to millions of COP.</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)</p>	<p>Followed-up and reported by M&amp;E Program, taken from Secondary Data Sources</p>	<p>Annually</p>	YEAR	ZN-ZS	ZC	ZMM	TOTAL
			B/LINE 2010	48,554	19,405	3,690	71,649
			FY 11				
			FY12	49,525	19,696	3,800	73,021
			FY 13	50,510	20,050	4,000	74,560
			FY 14	51,525	20,852	4,200	76,577
			FY 15	52,556	21,616		74,172
			FY 16	53,409	22,316		75,725
			LOP	53,409	22,316	4,200	79,925

<p>Number: DO1-010</p> <p><b>Name:</b> Number of strategic national social programs implemented in CSDI zones.</p> <p><b>Definition:</b> National social programs are programs of GOC ministries and agencies intended to be implemented throughout the country. These programs are not necessarily reaching CSDI municipalities. The goal is to bring down these programs to these municipalities. A list of programs is provided in the Indicator Reference Sheet. The indicator is the number of programs per CSDI zone.</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone) Type of social program</p>	Reported by CSDI implementing Partners	Quarterly	YEAR	ZN-ZS	ZC	ZMM	TOTAL
			B/LINE	5	5	6	16
			FY 11				
			FY12	7	8	10	25
			FY 13	8	11	14	33
			FY 14	9	15	16	40
			FY 15	10	0		10
			FY 16	11	0		11
			LOP	11	15	16	42
			<p>Number: DO1-011</p> <p><b>Name:</b> Number of people benefitted by national social programs implemented in CSDI municipalities.</p> <p><b>Definition:</b> Number of people benefitting from social national programs that are being implemented in CSDI municipalities. Implementers will be asked to estimate using reasonable methods the number of beneficiaries of national social programs. A list of programs is provided as an annex to this document.</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers will be asked to give an estimation of sex disaggregation using reasonable methods), and program.</p>	Reported by CSDI implementing Partners	Quarterly	YEAR	ZN-ZS
B/LINE	NA	NA				NA	NA
FY 11							
FY12	11,250	9,000				1,000	21,250
FY 13	16,875	15,000				2,000	33,875
FY 14	16,875	16,000				3,000	35,875
FY 15	0	0					0
FY 16	0	0					0
LOP	45,000	40,000				6,000	91,000
Number: DO1-012	Reported by	Quarterly				YEAR	ZN-ZS

	<p><b>Name:</b> Number of beneficiaries receiving improved infrastructure services (F indicator 4.4-8)</p> <p><b>Definition:</b> Number of people who benefit from improved infrastructure services due to USAID assistance. People either use an infrastructure service (such transport) or receive an infrastructure product (such as water, sanitation, or electricity). Implementers will be asked to estimate using reasonable methods the number of beneficiaries of this infrastructure.</p> <p><b>Disaggregated by:</b> Geographic area ("vereda", municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers will be asked to give an estimation of sex disaggregation using reasonable methods)</p>	CSDI implementing Partners		B/LINE	0	0	4,500	4,500
<p>Number: DO1-013</p> <p><b>Name:</b> Governance capacity index</p> <p><b>Definition:</b> The index includes the community's: - Access to Services, - Perceptions of quality services - Perceptions of presence, honesty and quality of local governance</p> <p><b>Disaggregated by:</b> Geographic area ("vereda", municipality, department, and CSDI zone)</p>		Collected and reported by the M&E Program	Biennial, CSDI Impact Evaluation data (three measurements in total)	YEAR	ZN-ZS	ZC	ZMM	TOTAL
<p>Sub-IR 1.1.1 Local Government Response Improved</p>	<p>Number: DO1- 039</p> <p><b>Name:</b> Number of properties in cadaster formation or cadaster update processes supported in CSDI municipalities.</p>			B/LINE				
				FY 11				
				FY12	30,000	15,000	5,000	50,000
				FY 13	50,000	55,000	5,000	110,000
				FY 14	15,000	35,000	5,000	55,000
				FY 15	10,000	25,000		35,000
				FY 16	0	0		0
				LOP*	105,000	130,000	19,500	254,500
				*ZNZS and ZC targets were exceeded during FY13. New targets have been set.				
				B/LINE				
				FY 11				
				FY 12	37.8	37.4	40.6	38.6
				FY 13	42.8	42.4	45.6	43.6
				FY 14	47.8	47.4	50.6	48.6
				FY 15	52.8	52.4		52.6
				FY 16	57.8	57.4		57.6
				LOP	20	20	10	16.7
				YEAR	ZN-ZS	ZC	ZMM	TOTAL
				FY 12		0	TBD	0

	<p><b>Definition:</b> Properties in the process of cadaster formation or undergoing a cadastre update in CSDI municipalities. Properties undergoing a cadaster update are properties in which additional action is being taken to obtain the necessary information to form or to update the municipal cadaster (urban or rural). This includes land plots and structures, in the physical, legal, fiscal and economic aspects to update economic land value that serve as a taxation basis in the Unified Land Tax system.</p> <p><b>Disaggregated by:</b> Geographic Area (vereda / town, municipality, department, region): formation process: update process</p>																																																																																																																
Sub-IR 1.1.2 Property Rights Formalized	<p><b>Number:</b> DO1-040</p> <p><b>Name:</b> Number of formalized properties supported in CSDI municipalities</p> <p><b>Definition:</b> Formalization cases supported in CSDI municipalities through support to the Colombian Institute for Rural Development (INCODER), the formalization program of the Ministry of Agriculture and Rural Development (MARD), local governments (Governors and Mayors/Town Halls) or other entities involved in the formalization process of private properties and public state land. Some of the activities related to this</p>			<table border="1"> <tr> <td data-bbox="1209 760 1392 849">YEAR</td> <td data-bbox="1392 760 1526 849">ZN-ZS</td> <td data-bbox="1526 760 1650 849">ZC</td> <td data-bbox="1650 760 1833 849">ZMM</td> <td data-bbox="1833 760 1999 849">TOTAL</td> </tr> <tr> <td data-bbox="1209 849 1392 914">B/LINE</td> <td data-bbox="1392 849 1526 914">0</td> <td data-bbox="1526 849 1650 914">0</td> <td data-bbox="1650 849 1833 914">0</td> <td data-bbox="1833 849 1999 914">0</td> </tr> <tr> <td data-bbox="1209 914 1392 1040">FY12</td> <td data-bbox="1392 914 1526 1040">0</td> <td data-bbox="1526 914 1650 1040">0</td> <td data-bbox="1650 914 1833 1040">150</td> <td data-bbox="1833 914 1999 1040">150</td> </tr> <tr> <td data-bbox="1209 1040 1392 1162">FY13</td> <td data-bbox="1392 1040 1526 1162">400</td> <td data-bbox="1526 1040 1650 1162">300</td> <td data-bbox="1650 1040 1833 1162">170</td> <td data-bbox="1833 1040 1999 1162">870</td> </tr> <tr> <td 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	<p>objective include strengthening institutions involved in the formalization process, technical assistance for processing applications, support in the provision of information for the effective process of formalization cases and other actions that apply to effectively process private and public state land formalization cases by the respective entities or civil society organizations.</p>			FY15	500	600		1,100
				FY16	0	0		0
	<p><b>Disaggregated by:</b> Type of land-holder: male, female, couple, under-age; number of hectares; ethnicity of the land-holder(s); institution (local government, the formalization program MARD, INCODER, other): private land / public state land;</p>			LOP	2,600	1,500	520	4,620
<p>Sub-IR 1.1.3 Victims property rights cases processed</p>	<p><u>Number:</u> DO1-041 <b>Name:</b> Number of restitution cases supported in CSDI municipalities  <b>Definition:</b> Restitution claims supported in CSDI municipalities through support to the Restitution Unit, civil society organizations, or other entities involved in the implementation of the land restitution chapter under the Victims Law 1448 of 2011. Some of the activities related to this objective are those directed to strengthen regional restitution units, technical assistance for the processing of cases, support in the provision of required information for the effective processing of restitution claims and other actions to support the effective implementation of the administrative and legal phases of land restitution by the respective institutions or civil society organizations.  <b>Disaggregated by:</b> Type of land-holder:</p>			YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE			0	0
				FY12	0	0	150	150
				FY 13	100	200	80	380
				FY 14	100	500	70	670
				FY 15	100	200		300
				FY 16	0	0		0

	male, female, couple, youth; number of hectares; ethnicity of the land-holder(s);			LOP	300	900	300	1500
IR 1.2 Social development strengthened.	<p><u>Number: DO1-024</u></p> <p><b>Name:</b> Social Capital Index</p> <p><b>Definition:</b> Social Capital Index includes two core concepts: 'bridging' (participation) and 'bonding' (trust). Its maximum score is 100. Bridging social capital is measured by the degree of participation in organizations at different levels: 1. Interests groups, 2. Producers and farmers, 3. Political parties 4. <i>Juntas de Acción Comunal</i> (JAC) and Communitarian organizations and 5 Veedurias. Its maximum score is 60. Bonding social capital is measured by the degree of trust at different levels: family, friends and neighbors, JAC, justices and control institutions, development institutions, municipal institutions, army and national government. Its maximum score is 40. Expanded explanation of the definition of the Social Capital Index is included in its respective Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> CSDI zone, Impact Evaluation cluster</p>	Collected from the Structured Survey of the CSDI Impact Evaluation	Biennial, CSDI Impact Evaluation data (three measurements in total)	YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE				
				FY12	21.4	21.3	20	21
				FY 13	26.4	26.3	25	25.9
				FY 14	31.4	31.3	30	30.9
				FY 15	36.4	36.3		36.3
				FY 16	41.4	41.3		41.3
				LOP	20	20	10	16.6
Sub-IR 1.2.1: CSO (Civil society Organizations) strengthened	<p><u>Number: DO1-025</u></p> <p><b>Name:</b> Number of CSO members supported by USG assistance</p> <p><b>Definition:</b> A civil society organization (CSO) is formed when citizens unite and organize to achieve a shared objective. Participation in the CSO may be defined in terms of shared actions or formal membership. USG assistance may include support for the objectives of the</p>	Reported by CSDI implementing partners	Annually	YEAR	ZN-ZS	ZC	ZMM	TOTAL
				B/LINE				
				FY 11	0	0	0	0
				FY12	300(90F, 210M)	740 (222F, 518M)	660 (198F, 462M)	1,700 (510F, 1,190M)
				FY 13	450(135F, 315M)	1,110 (333F, 777M)	1,155 (347F, 809M)	2,715(814F, 1,901M)

<p>organization or support in strengthening organizational capacity.</p> <p><b>Disaggregated by:</b> Geographic area (“vereda”, municipality, department, and CSDI zone), sex.</p>			777M)					
		FY 14	600 (180F, 315M)	1,480 (444F, 1,036M)	1,485 (446F, 1,040M)	3,565(1069F, 2,496M)		
		FY 15	750 (225F, 525M)	1,850 (555F, 1,295M)		2,600 (780F, 1,820M)		
		FY 16	900 (270F, 630M)	2,220 (666F, 1,554M)		3,120 (936F, 2,184M)		
		LOP	3,000 (900 F, 2,100 M)	7,400 (2,220 F, 5,180 M)	3,300 (990 F, 2,310 M)	13,700 (4110F, 9,590M)		
<p>Number: DO1-026</p> <p><b>Name:</b> Change in Index of Organizational Capacity (ICO) of CSOs supported by USG assistance</p> <p><b>Definition:</b> Annual change on ICO. The capacity of CSOs to achieve participant objectives is measured through a scale that includes in its criteria (i) democratic, participatory management; (ii) economic and financial situation; (iii) management and administrative capacity; (iv) services for participants and community; and (v) human development capacity. The indicator will use the ICO (Indice de Capacidad Organizacional/Index of Organizational Capacity), which has a potential total score of 100 points. Technical staff working with each organization will make the assessment. (See attached page for additional information on the calculation and interpretation of the index). The indicator is an average of individual organizational changes in scores.</p> <p><b>Disaggregated by:</b> Size (N of participants), urban/rural, geographic area (municipality, department, CSDI zone)</p>	<p>Reported by CSDI implementing Partners using the ICO methodology available in MONITOR system</p>	<p>Annually</p>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	
			B/LINE					
			FY 11	0	0	0	0	
			FY12	30%	30%	30%	30%	
			FY 13	30%	30%	30%	30%	
			FY 14	30%	30%	30%	30%	
			FY 15	30%	30%		30%	
			FY 16	30%	30%		30%	
			LOP	30%	30%	30%	30%	

<p><b>Sub-IR 1.2.2</b> Alliance between community and the State promoted.</p>	<p>Number: DO1-028</p> <p><b>Name:</b> Level of accountability in CSDI municipalities</p> <p><b>Definition:</b> A positive use of the <i>accountability</i> mechanisms is measured by responses to questions that ask for the frequency with which the municipality reports to its citizens about the management of the resources it administers; the frequency with which it invites the community to express their opinion about subjects of their interest; and the frequency with which it takes into account the citizens' opinions when taking decisions. Expanded definition with source and calculation of the index is included in its respective Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> CSDI zone, Impact Evaluation cluster</p>	<p>Collected from the Structured Survey of the CSDI Impact Evaluation</p>	<p>Biennial, CSDI Impact Evaluation data (three measurements in total)</p>	YEAR	ZN-ZS	ZC	ZMM	TOTAL
	B/LINE							
	FY12			24.4	25	19.4	22.9	
	FY 13			29.4	30	34.4	31.3	
	FY 14			34.4	35	39.4	36.3	
	FY 15			39.4	40		39.7	
	FY 16			44.4	45		44.7	
	LOP			20	20	20	20	
<p>IR 1.3 Economic development catalyzed.</p>	<p>Number: DO1-029</p> <p><b>Name:</b> Value of incremental sales of key supported products in CSDI zones</p> <p><b>Definition:</b> Value of sales of key products measured at the level of local associations and "Centros de acopio" (collection and storage sites). "Incremental sales" measures the difference between gross sales recorded in a trimester and baselines sales. Expanded definition is included in its respective Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone) and product</p>	<p>Reported by CSDI implementing Partners</p>	<p>Annually</p>	YEAR	ZN-ZS	ZC	ZMM	TOTAL
	B/LINE			NA	NA	Baseline: TBD per product. Baseline methodology TBD.		
	FY 11					NA		
	FY12							
	FY 13							
	FY 14							
	FY 15							
	FY 16							
	LOP			TBD	TBD	TBD	TBD	

Sub-IR 1.3.1 Rural development programs in CSDI zones implemented.	<p>Number: DO1-030</p> <p><b>Name:</b> Number of strategic rural and economic development programs with territorial approach implemented in CSDI municipalities</p> <p><b>Definition:</b> The strategic rural and economic development programs generated by GOC ministries and agencies to be implemented in rural areas are not necessarily reaching CSDI municipalities. The goal is to bring these programs to these municipalities and increase the total number of programs operating in each municipality. The indicator is the total number of programs operating in one or more municipalities in the zone. A list of programs will be provided in the Indicator Reference Sheet (IRS).</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone) Type of programs</p>	Reported by CSDI implementing Partners	Quarterly	<table border="1"> <thead> <tr> <th>YEAR</th> <th>ZN-ZS</th> <th>ZC</th> <th>ZMM</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>B/LINE</td> <td>NA</td> <td>NA</td> <td>2</td> <td>2</td> </tr> <tr> <td>FY 11</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>FY 12</td> <td>0</td> <td>4</td> <td>5</td> <td>9</td> </tr> <tr> <td>FY 13</td> <td>7</td> <td>7</td> <td>7</td> <td>21</td> </tr> <tr> <td>FY 14</td> <td>10</td> <td>8</td> <td>9</td> <td>27</td> </tr> <tr> <td>FY 15</td> <td>12</td> <td>8</td> <td></td> <td>20</td> </tr> <tr> <td>FY 16</td> <td>14</td> <td>8</td> <td></td> <td>22</td> </tr> <tr> <td>LOP</td> <td>14</td> <td>8</td> <td>9</td> <td>31</td> </tr> </tbody> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE	NA	NA	2	2	FY 11					FY 12	0	4	5	9	FY 13	7	7	7	21	FY 14	10	8	9	27	FY 15	12	8		20	FY 16	14	8		22	LOP	14	8	9	31
	YEAR	ZN-ZS	ZC	ZMM	TOTAL																																												
	B/LINE	NA	NA	2	2																																												
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	FY 14	10	8	9	27																																												
	FY 15	12	8		20																																												
	FY 16	14	8		22																																												
	LOP	14	8	9	31																																												
<p>Number: DO1-031</p> <p><b>Name:</b> Number of people benefitted by strategic rural and economic development programs with territorial approach, implemented in CSDI municipalities.</p> <p><b>Definition:</b> Number of people benefiting from rural and economic development programs with territorial approach – counted in the previous indicator- that are being implemented in CSDI municipalities. Implementers will be asked to estimate using reasonable methods the number of beneficiaries of rural and economic development programs. A list of programs is provided as an annex to this document.</p> <p><b>Disaggregated by:</b> Geographic area</p>	Reported by CSDI implementing Partners	Quarterly	<table border="1"> <thead> <tr> <th>YEAR</th> <th>ZN-ZS</th> <th>ZC</th> <th>ZMM</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>B/LINE</td> <td>0</td> <td>0</td> <td>898</td> <td>0</td> </tr> <tr> <td>FY 11</td> <td></td> <td></td> <td></td> <td>898</td> </tr> <tr> <td>FY12</td> <td>6,900</td> <td>10,000</td> <td>5,000</td> <td>21,900</td> </tr> <tr> <td>FY 13</td> <td>10,350</td> <td>15,000</td> <td>5,000</td> <td>30,350</td> </tr> <tr> <td>FY 14</td> <td>13,800</td> <td>20,000</td> <td>5,000</td> <td>38,800</td> </tr> <tr> <td>FY 15</td> <td>17,250</td> <td>25,000</td> <td></td> <td>42,250</td> </tr> </tbody> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE	0	0	898	0	FY 11				898	FY12	6,900	10,000	5,000	21,900	FY 13	10,350	15,000	5,000	30,350	FY 14	13,800	20,000	5,000	38,800	FY 15	17,250	25,000		42,250											
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FY 15	17,250	25,000		42,250																																													

	(municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers will be asked to give an estimation of sex disaggregation using reasonable methods)			FY 16	20,700	30,000		50,700
				LOP	69,000	100,000	15,898	184,898
Sub-IR 1.3.2: Competitiveness increased	<p><b>Number:</b> DO1-032 (“Strategic Indicator”)</p> <p><b>Name:</b> Private sector funds leveraged in CSDI zones attributable to USG Interventions (USD Million)</p> <p><b>Definition:</b> Private sector funds leveraged refers to the value of co-investments made by private enterprises, individuals, or local-level community CSOs (excluding local public funds) to support development in CSDI zones. Private sector enterprises refer to those that operate with over 50% private funds. The indicator will both measure the committed value of leverage and the executed leveraged value. <u>Committed values</u> are fund commitments made by private actors that have not been disbursed. <u>Leveraged</u> refers to cash, in-kind, credit, labor provided by the community, and premium price resources invested in or that directly support rural and economic development activities in CSDI zones. USG assistance shall support, but not replace the role of GOC institutions in attracting private sector investment to the zones. <u>In-kind contributions</u> will be monetized by the investor. Labor will be monetized based on the value of day labor in the area. The targets of this indicator are for the executed funds and not for the committed funds.</p> <p><b>Disaggregated by:</b> Funds committed or funds executed; cash, in-kind, credit, premium prices; source – enterprise, individual, community; geographic area</p>	Reported by CSDI implementing Partners	Quarterly	<b>YEAR</b>	<b>ZN-ZS</b>	<b>ZC</b>	<b>ZMM</b>	<b>TOTAL</b>
				B/LINE	0	0	0	0
				FY 11			USD 0.384	USD 0.384
				FY 12	USD 2	USD 5	USD 1	USD 8
				FY 13	USD 7	USD 10	USD 1.5	USD 18.5
				FY 14	USD 9	USD 5	USD 2.116	USD 16.116
				FY 15	USD 9	USD 15		USD 24
				FY 16	USD 3	USD 15		USD 18
				LOP	USD 30	USD 50	USD 5	USD 85

("vereda," municipality, department, CSDI zone).																																																												
<p><u>Number: DO1-033</u></p> <p><b>Name:</b> Number of private-public alliances formed</p> <p><b>Definition:</b> A partnership - or alliance- is considered formed when there is a clear, written agreement from a private and a public entity to work together to achieve a common objective. There must be either a cash or in-kind, significant contribution to the effort by both the public and the private entity. In counting partnerships we are not counting transactions with a partner entity; we are counting the number of partnerships formed. Each partnership counted needs to specify the total amount of funds in the partnership, disaggregated by counterpart contributions –public, private and USG contributions-. Private sector contributions are defined as funding received from a private sector partner, and/or private sector funding that can be funneled through NGOs, foundations, or other private philanthropic organizations. Public entities include: multilateral development institutions, national governments of developing countries, and universities or other arms of national governments. For-profit enterprises and non-governments organizations (NGOs) are considered private.</p> <p><b>Disaggregated by:</b> Partnership amount and counterpart contributions</p>	Reported by CSDI implementing Partners	Quarterly	<table border="1"> <thead> <tr> <th data-bbox="1213 267 1392 316">YEAR</th> <th data-bbox="1392 267 1526 316">ZN-ZS</th> <th data-bbox="1526 267 1650 316">ZC</th> <th data-bbox="1650 267 1835 316">ZMM</th> <th data-bbox="1835 267 1999 316">TOTAL</th> </tr> </thead> <tbody> <tr> <td data-bbox="1213 316 1392 365">B/LINE</td> <td data-bbox="1392 316 1526 365"></td> <td data-bbox="1526 316 1650 365"></td> <td data-bbox="1650 316 1835 365"></td> <td data-bbox="1835 316 1999 365"></td> </tr> <tr> <td data-bbox="1213 365 1392 483">FY 11</td> <td data-bbox="1392 365 1526 483">0</td> <td data-bbox="1526 365 1650 483">0</td> <td data-bbox="1650 365 1835 483">3</td> <td data-bbox="1835 365 1999 483">3</td> </tr> <tr> <td data-bbox="1213 483 1392 589">FY12</td> <td data-bbox="1392 483 1526 589">4</td> <td data-bbox="1526 483 1650 589">5</td> <td data-bbox="1650 483 1835 589">9</td> <td data-bbox="1835 483 1999 589">18</td> </tr> <tr> <td data-bbox="1213 589 1392 699">FY 13</td> <td data-bbox="1392 589 1526 699">5</td> <td data-bbox="1526 589 1650 699">5</td> <td data-bbox="1650 589 1835 699">8</td> <td data-bbox="1835 589 1999 699">18</td> </tr> <tr> <td data-bbox="1213 699 1392 810">FY 14</td> <td data-bbox="1392 699 1526 810">4</td> <td data-bbox="1526 699 1650 810">5</td> <td data-bbox="1650 699 1835 810">10</td> <td data-bbox="1835 699 1999 810">19</td> </tr> <tr> <td data-bbox="1213 810 1392 920">FY 15</td> <td data-bbox="1392 810 1526 920">4</td> <td data-bbox="1526 810 1650 920">0</td> <td data-bbox="1650 810 1835 920" rowspan="2" style="background-color: #cccccc;"></td> <td data-bbox="1835 810 1999 920">4</td> </tr> <tr> <td data-bbox="1213 920 1392 1031">FY 16</td> <td data-bbox="1392 920 1526 1031">3</td> <td data-bbox="1526 920 1650 1031">0</td> <td data-bbox="1835 920 1999 1031">3</td> </tr> <tr> <td data-bbox="1213 1031 1392 1234">LOP</td> <td data-bbox="1392 1031 1526 1234">20</td> <td data-bbox="1526 1031 1650 1234">15</td> <td data-bbox="1650 1031 1835 1234">30</td> <td data-bbox="1835 1031 1999 1234">65</td> </tr> </tbody> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE					FY 11	0	0	3	3	FY12	4	5	9	18	FY 13	5	5	8	18	FY 14	4	5	10	19	FY 15	4	0		4	FY 16	3	0	3	LOP	20	15	30	65	Reported by CSDI implementing Partners	Quarterly	<table border="1"> <thead> <tr> <th data-bbox="1213 1234 1392 1282">YEAR</th> <th data-bbox="1392 1234 1526 1282">ZN-ZS</th> <th data-bbox="1526 1234 1650 1282">ZC</th> <th data-bbox="1650 1234 1835 1282">ZMM</th> <th data-bbox="1835 1234 1999 1282">TOTAL</th> </tr> </thead> <tbody> <tr> <td data-bbox="1213 1282 1392 1380">B/LINE</td> <td data-bbox="1392 1282 1526 1380">0</td> <td data-bbox="1526 1282 1650 1380">0</td> <td data-bbox="1650 1282 1835 1380">2,175</td> <td data-bbox="1835 1282 1999 1380">2,175</td> </tr> </tbody> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE	0	0	2,175	2,175
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**Definition:** A household is a beneficiary, if at least one member of the household is a beneficiary. An individual is a beneficiary, if he/she is committed to a project activity or is in direct contact with all the interventions provided by the project. Beneficiaries include: households with persons receiving goods and services of co-implementing partners (inputs or plant material for crops; support in the improvement or construction of new infrastructure with direct connection to services such as sewage, water, electricity and / or gas; construction or improvement of housing; households with access to new programs or services in the context of the intervention, such as access to financial services and formalization processes; restitution of land and cadastral processes (cadastral training, maintenance or updates), provided they have met the requirements to consider that the process has effectively completed its cycle; and when participation in trainings (knowledge or skills imparted through interactions are intentional, structured and designed for this purpose). Rural is defined as all areas of the municipality outside the county capital. A direct beneficiary can also be considered to be in a “rural” household, based on analysis of the Comprehensive Municipal Performance Index (*índice de desempeño municipal*), the percent of the population with Basic Needs Unsatisfied (NBI), and there is a concentration of the population who meet two conditions, that is: to have a local Comprehensive Municipal Performance Index that is low or critical and the percentage of people in NBI exceeds 50%, and/or that the population is mostly concentrated in rural areas.

FY 11				
FY12	2,000	3,750	2,000	7,750
FY 13	4,000	5,000	2,000	11,000
FY 14	5,000	5,000	2,000	12,000
FY 15	5,000	5,000		10,000
FY 16	2,000	6,250		8,250
LOP	18,000	25,000	8,175	51,175

<p><b>Disaggregated by:</b> gender, household type: Female no male (FNM); male no female (MNF); male and female (M&amp;F); continuing households; new households; ethnicity, geographic area (vereda, municipality, department, and zone).</p>																																																		
<p>Number: DO1-035</p> <p><b>Name:</b> Number of people with a financial product from a local financial institution.</p> <p><b>Definition:</b> Number of individuals who have a savings, loan or insurance account in a financial institution receiving USG assistance in a CSDI zone. Members of local savings and loan groups (<i>grupos locales de ahorro y crédito</i>) can also be counted in this indicator.</p> <p><b>Disaggregated by:</b> Sex, urban/rural, geographic area (municipality, department, zone), Financial Institution</p>	<p>Reported by CSDI implementing Partners from the statistics submitted by the financial institutions</p>	<p>Quarterly</p>	<table border="1"> <thead> <tr> <th>YEAR</th> <th>ZN-ZS</th> <th>ZC</th> <th>ZMM</th> <th>TOTAL</th> </tr> </thead> <tbody> <tr> <td>B/LINE</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>FY 11</td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td>FY12</td> <td>1,066</td> <td>484</td> <td>194</td> <td>1,744</td> </tr> <tr> <td>FY 13</td> <td>6,473</td> <td>2,939</td> <td>1,176</td> <td>10,588</td> </tr> <tr> <td>FY 14</td> <td>12,828</td> <td>5,949</td> <td>3,718</td> <td>22,495</td> </tr> <tr> <td>FY 15</td> <td>21,637</td> <td>10,034</td> <td></td> <td>31,671</td> </tr> <tr> <td>FY 16</td> <td>21,349</td> <td>4,4</td> <td></td> <td>25,749</td> </tr> <tr> <td>LOP</td> <td>63,353</td> <td>23,806</td> <td>5,088</td> <td>92,247</td> </tr> </tbody> </table>	YEAR	ZN-ZS	ZC	ZMM	TOTAL	B/LINE	0	0	0	0	FY 11					FY12	1,066	484	194	1,744	FY 13	6,473	2,939	1,176	10,588	FY 14	12,828	5,949	3,718	22,495	FY 15	21,637	10,034		31,671	FY 16	21,349	4,4		25,749	LOP	63,353	23,806	5,088	92,247		
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#### 4. DEVELOPMENT OBJECTIVE 1 (DO-1): EVALUATION PLAN

DO1 Evaluation plan will employ four different types of instruments and data sources:

1. Impact evaluation
2. Panel data from municipalities
3. Secondary data from GOC statistics at a municipal level
4. Project monitoring systems

The Mission's M&E Program and CLE's M&E Officer will coordinate the collection of these instruments. The combined analysis of these instruments will foster efficiency, efficacy and strategic analysis for the benefit of the DO1 team and the Mission at large.

The databases will be housed in "MONITOR" -the USAID Colombia Management Information System. The M&E Program and/or the Mission's evaluation contract will produce analytical reports for the baseline, interim, and final survey of the impact evaluation. Project monitoring systems will be reviewed at least on a quarterly basis and implementers will produce quarterly progress reports. For more detail on the timing of the different evaluation and monitoring reports please refer to the "Performance Management Task Schedule" section in this document.

##### 1. Impact Evaluation:

The main objective of the impact evaluation is to assess the impacts of the GOC's PNCRT and the contributions of DO1 in achieving impacts. A quasi-experimental design will be used to gauge the impact of the program. The evaluation will include structured surveys and focus groups at three points in time – baseline and two follow-ups– with a sample of beneficiaries (direct and indirect beneficiaries) and a control group to measure impact.

The structured evaluation survey will be applied in municipalities in the PNCRT zones, including municipalities where USAID is not currently working. Municipalities will be grouped by clusters (groups of 2 to 3 municipalities) for the analysis. The quantitative methodologies applied to these surveys will provide estimates of the impact of the program and characterization of the beneficiaries. The qualitative interviews and focus groups that will accompany the surveys will be employed to provide depth and explanation to the quantitative analysis.

Impacts will be measured statistically through comparisons between a sample of respondents selected from the population of beneficiaries of USAID programs and a control group, a matched sample of individuals from the same zone who have not participated either directly or indirectly in USAID-supported activities. Econometric methods will be employed to measure the extent to which USAID programs have generated significant effects, the intensity of these effects, and the explanatory factors for observed changes.

##### 2. Panel data from CSDI municipalities

###### **Impact Evaluation**

S Sample size: 33 Clusters  
19,718 households x 3 waves  
66,252 interviews

Design: Quasi-experimental

Sample: 3 groups (direct and indirect beneficiaries, and control)

Methods: Quantitative and qualitative (focus groups)

An additional, a novel element of the evaluation plan will be the collection of outcomes in communities over the course of the project. The construction of this panel dataset will entail an individual visiting consolidation and control municipalities to collect easily observed and objective data. Some data will be collected through observation and others through requests in that municipal *alcaldía*, or mayor's office. Examples include: the price of transportation to department capitals; the price of basic food items such as oil and salt in *veredas*. These data will be collected every 3 months to create a panel data set that tracks outcomes related to the main pillars of consolidation.

### 3. Secondary data from GOC statistics at a municipal level

Secondary data refer mainly to databases from national governmental entities that include municipal-level information on conditions such as violence, coverage of services, state presence and other topics.

### 4. Project monitoring systems

The monitoring data collected by the CSDIimplementers in their PMPs have several uses. The first is to know the number of beneficiaries and activities, and the intensity of the beneficiaries' participation. The other use is to understand the activities and their results, which are important to the analysis of temporal aspect of the evaluation. This information on program performance will be collected by the implementing partners and reported on an aggregate basis to "MONITOR".

## 5. DATA QUALITY ASSESSMENT (DQA) PROCEDURES

In order to assure the quality of the results information, DO1 will implement the guidance provided by USAID ADS 203.3.11 on data quality. For FY2014, all DO1 projects will include a specific chapter on Data Quality plans and procedures on their Projects M&E Plans. In addition, selected indicators of the DO1 PMP will have a DQA with the support of the USAID M&E Program.

The DQA is a procedure which assesses whether data reasonably meet these five standards of data quality:

- 1) Validity: Data should clearly and adequately represent the intended result;
- 2) Integrity: Data collected should have safeguards to minimize the risk of transcription error or data manipulation;
- 3) Precision: Data should have a sufficient level of detail to permit management decision-making; e.g. the margin of error is less than the anticipated change;
- 4) Reliability: Data should reflect stable and consistent data collection processes and analysis methods over time; and
- 5) Timeliness: Data should be available at a useful frequency, should be current, and should be timely enough to influence management decision-making.

With support from the USAID M&E Program, DO1 has already performed a DQA in 2012 of two indicators, with the following results:

Indicator	Findings	Actions Taken
DO1-012 Number of beneficiaries receiving improved infrastructure	<ul style="list-style-type: none"> <li>• The indicator's name and the definition are not clear about the type of beneficiaries.</li> <li>• The data collection and processing tools vary among implementing partners.</li> </ul>	<ul style="list-style-type: none"> <li>• DO1 PMP includes an annex which defines the type of beneficiaries by intervention type. It also includes recommendations as to how reduce the risk of double-counting beneficiaries.</li> <li>• Various meetings and workshops to standardize procedures have taken place. However implementing partners are not favorable to standardize data collection tools since they respond to their M&amp;E systems.</li> </ul>
DO1-034 Number of rural households benefiting directly from USG interventions	<ul style="list-style-type: none"> <li>• Processes, methodologies and tools differ among M&amp;E systems.</li> <li>• Some implementing partners had to reconstruct historical data from six months, when Monitor was launched.</li> </ul>	<ul style="list-style-type: none"> <li>• Various meetings and workshops to standardize procedures have taken place. However implementing partners are not favorable to standardize data collection tools since they respond to their M&amp;E systems.</li> <li>• CELI Montes de Maria successfully reconstructed the data for this indicator and now counts with all the supporting information which can be verified at any time.</li> </ul>

DO1 has the following plan for future DQAs for selected indicators. The selected indicators are “F”, “strategic” and DO level indicators. DQAs will be performed with the support of the USAID M&E Program:

Indicator	Type	Dates	
DO1-003 Number of coca hectares in CSDI municipalities	DO level	FY2014	FY2016
DO1-004 Public social services municipal index	DO level	FY2014	FY2016
DO1-005 Average household income in CSDI municipalities	DO level	FY2014	FY2016
DO1-006 Public funds leveraged in CSDI zones attributable to USG interventions	Strategic	FY2014	FY2016
DO1-012 Number of beneficiaries receiving improved infrastructure services	F	FY2014	FY2016
DO1-032 Private sector funds leveraged in CSDI zones attributable to USG interventions	Strategic	FY2014	FY2016
DO1-034 Number of rural households benefiting directly from USG interventions	F	FY2014	FY2016
DO1-036 Total value of CSDI projects approved	DO level	FY2014	FY2016
DO1-037 Total value of CSDI projects completed	DO level	FY2014	FY2016
DO1-038 Total public investment in consolidation zones	DO level	FY2014	FY2016

## 6. DEVELOPMENT OBJECTIVE 1 (DO-1): PERFORMANCE MANAGEMENT TASK SCHEDULE

PERFORMANCE MANAGEMENT TASKS	Responsible	FY 2012				FY 2013				FY 2014				FY2015				FY2016				Notes
		Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	Q1	Q2	Q3	Q4	
<b>REPORT DO1 PMP RESULTS</b>																						
CSDImplementers reporting through "MONITOR"	CELI IPs	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
M&E Program reports of impact indicators	M&E Program		x								x								x		Collected through the CSDImpact evaluation	
M&E Program reports of secondary information -statistics from GOC - and of perception indicators	M&E Program		x				x				x				x				x			
<b>REVIEW DO1 PMP RESULTS</b>																						
Quarterly strategic reviews	DO1 team, CELI IPs, M&E Program	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
<b>REVIEW AND UPDATE PMP</b>																						
Target revisions	CLL M&E	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
Update PMP with new targets, adjustments to indicator definitions and data quality issues	CLL M&E			x				x				x				x				x		
<b>ASSESS DATA QUALITY</b>																						
Conduct data quality assessments	M&E Program			x								x								x	DQAs are performed every 2 years	
Conduct regular monitoring and environmental compliance visits	DO1 team, CELI IPs, M&E Program	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x	x		
<b>REPORT RESULTS TO WASHINGTON AND MISSION</b>																						
Operational Plan	CLL M&E		x				x				x				x					x		
Performance Plan and Report	CLL M&E				x				x							x					x	
Portfolio Reviews	CLL M&E	x				x				x				x						x		
<b>CSDIIMPACT EVALUATION</b>																						
Baseline measurement	M&E Program		x																			
Mid-term measurement	EVAL										x											
Final measurement	EVAL																			x		

**7. DEVELOPMENT  
OBJECTIVE 1 (DO-1):  
INDICATOR REFERENCE  
SHEETS (IRS)**

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> N/A			
<b>Name of Sub-intermediate Result:</b> N/A			
<b>Indicator Number:</b> DO1-003			
<b>Name of Indicator:</b> Number of coca hectares in CSDI municipalities.			
<b>Is this an Output Indicator?</b> No	<b>Is this an Outcome Indicator?</b> Yes		
<b>Is this an (F) or a "strategic" indicator?</b> Yes, Strategic Indicator			
DESCRIPTION			
<b>Precise Definition(s):</b> Number of hectares devoted to coca in CSDI municipalities, based on UNODC's Colombia Coca Cultivation Survey report (SIMCI/CSDI municipalities).			
<b>Indicator Collection and reporting to MONITOR</b>			
<b>Data Collection:</b> M&E Program <b>Collection Level:</b> Flag activity, <b>Source:</b> SIMCI			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format.			
<b>Calculation to MONITOR:</b> M&E Program from the SIMCI database			
<b>Unit of Measure:</b> Hectares			
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)			
<b>Justification &amp; Management Utility:</b> Provides an indirect measure of the effect of the consolidation strategy in reducing activity in the illicit economy.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be extracted from the SIMCI database on an annual basis by the M&E Program.			
<b>Data Source:</b> Followed-up and reported by the M&E Program, taken from SIMCI report.			
<b>Method of data acquisition by USAID:</b> M&E Program, database located in MONITOR and updated annually			
<b>Frequency and timing of data acquisition:</b> Annually			
<b>Estimated cost of data acquisition:</b> Included in USAID/Colombia M&E Program budget			
<b>Individual(s) responsible at USAID:</b> M&E Officer, CLE			
<b>Individual(s) responsible for providing data to USAID:</b> COP USAID/Colombia M&E Program			
<b>Location of Data Storage:</b> USAID/Colombia MIS Clearinghouse, MONITOR			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> FY2014			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> FY2016			
<b>Procedures for Future Data Quality Assessments:</b> To be determined.			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	16,432		ZN-ZS: 13,778 ZC: 2,654
2012	14,791	14,791	ZN-ZS: 12,402 ZC: 2,389
2013	11,000		ZN-ZS: 9,200 ZC: 1,800
2014	9,000		ZN-ZS: 7,560 ZC: 1,440
2015	8,000		ZN-ZS: 6,720 ZC: 1,280
2016	7,000		ZN-ZS: 5,880 ZC: 1,120
LOP	7,000		ZN-ZS: 5,880 ZC: 1,120
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program			
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Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> N/A			
<b>Name of Sub-intermediate Result:</b> N/A			
<b>Indicator Number:</b> DO1-004			
<b>Name of Indicator:</b> Public social services municipal index			
<b>Is this an Output Indicator?</b> Yes		<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> The index is based on GOC annual data for all municipalities in the country, and is composed of coverage indicators of public and social services including electricity, health, education, and justice. Expanded explanation of source and the calculation of this index. See the following page.			
<b>Indicator Collection and Reporting to MONITOR:</b>			
<b>Data Collection:</b> M&E Program, <b>Collection Level:</b> Flag activity. <b>Source:</b> Statistics from GOC			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format			
<b>Calculation to MONITOR:</b> M&E Program			
<b>Unit of Measure:</b> Public social services index			
<b>Disaggregated by:</b> CSDI zone			
<b>Justification &amp; Management Utility:</b> Provides an indirect measure of the effect of the consolidation strategy in expanding State presence.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be extracted from GOC statistics on an annual basis by the M&E Program.			
<b>Data Source:</b> Followed-up and reported by the M&E Program, taken GOC's statistics.			
<b>Method of data acquisition by USAID:</b> M&E Program, database located in MONITOR and updated annually			
<b>Frequency and timing of data acquisition:</b> Annually			
<b>Estimated cost of data acquisition:</b> Included in USAID/Colombia M&E Program budget			
<b>Individual(s) responsible at USAID:</b> M&E Officer, CLE			
<b>Individual(s) responsible for providing data to USAID:</b> COP USAID/Colombia M&E Program			
<b>Location of Data Storage:</b> USAID/Colombia MIS Clearinghouse, MONITOR			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> FY2014			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> FY2016			
<b>Procedures for Future Data Quality Assessments:</b> To be determined.			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE Total)	50	60	ZN-ZS: 54.88 ZC: 40.85 ZMM: 62
2012	57	TBD	ZN-ZS: 62 ZC: 45 ZMM: 64
2013	60		ZN-ZS: 64 ZC: 49 ZMM: 68
2014	66		ZN-ZS: 67 ZC: 55 ZMM: 75
2015	68		ZN-ZS: 71 ZC: 64
2016	75		ZN-ZS: 75 ZC: 75
LOP	75		ZN-ZS: 75 ZC: 75 ZMM: 75
<b>THIS SHEET LAST UPDATED ON July, 2013 BY: M&amp;E Program</b>			
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## DO1-004: PUBLIC SOCIAL SERVICES MUNICIPAL INDEX

Definition: The index is based on GOC's data annually available for all municipalities in the country, and is composed of coverage indicators of public and social services including electricity, health, education, and justice.

Disaggregated by: Geographic area (Municipality, department, and CSDI zone)

Reporting Frequency: The M&E Program will calculate the index annually. The index is based on secondary data available annually for all municipalities in the country. It is constructed with indicators of coverage of public and social services such as electricity, health, education, Internet, and justice. The following table shows the component variables and the points attached to each in the index:

Variable	Source	Range	Points	
<b>Public services</b>				
Electricity coverage rate %	Single Information System for Utilities	0-75	5	
		76-85	10	
		86-95	15	
		>95	20	
<b>Social Services</b>				
<b>Health</b>				
Urban subsidy scheme coverage rate %		Ministry of Health	0-50	2
			51-74	4
			75-85	6
			86-95	8
			>95	10
Rural subsidy scheme coverage rate%		0-50	2	
		51-74	4	
		75-85	6	
		86-95	8	
		>95	10	
<b>Education</b>				
Preschool coverage rate %		Ministry of Education	0-10	1
			11-20	2
			21-40	3
			>40	4
Transition coverage rate %		0-50	1	
		51-74	2	
		75-95	3	
		>95	4	
Primary school coverage rate %		0-50	1	
		51-74	2	
		75-95	3	
		>95	4	
Secondary school coverage rate %		0-50	1	
		51-74	2	
		75-95	3	
		>95	4	
Middle school coverage rate %		0-50	1	
		51-74	2	
		75-95	3	
		>95	4	
<b>Communication</b>				
Internet access (# of people with internet access)		Ministry of Technology and Information	0-<0.00141	2
			>0.00141-<0.00224	4
			>0.00224-<0.00351	6
			>0.00351-<0.01182	8
			0.00182-<0.16175	10
<b>Justice</b>				
Index of court backlog (number of unresolved cases)		Ministerio de Justicia	0-0.20	4
			0.21-0.40	8
			0.41-0.60	12
			0.61-0.80	16
			0.81-1.00	20
Presence of the Fiscal		Attorney General's Office	Si	10
			No	0
			<b>TOTAL</b>	<b>100</b>

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> N/A			
<b>Name of Sub-intermediate Result:</b> N/A			
<b>Indicator Number:</b> DO1-005			
<b>Name of Indicator:</b> Average household income in CSDI municipalities.			
<b>Is this an Output Indicator?</b> No			<b>Is this an Outcome Indicator?</b> Yes
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> The household income is calculated by adding the last month of income of each of the individuals composing the household. Change is measured biennially in reference to the last period. Expanded explanation of source and the calculation of this indicator is included in the follow page.			
<b>Indicator Collection and Reporting to MONITOR</b>			
<b>Data Collection:</b> M&E Program <b>Collection Level :</b> Flag activity., <b>Source:</b> Impact evaluation			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format			
<b>Calculation to MONITOR:</b> M&E Program from the Structured Survey of the CSDI Impact Evaluation			
<b>Unit of Measure:</b> Household income (Colombian Pesos)			
<b>Disaggregated by:</b> Geographic area: CSDI zone, impact evaluation cluster			
<b>Justification &amp; Management Utility:</b> The change in the average income of a household measures the evolution in the livelihood conditions of USAID direct beneficiaries in CSDI regions.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected by CSDI Impact Evaluation M&E Program			
<b>Data Source:</b> Collected and reported by the M&E Program from the Structured Survey of the CSDI Impact Evaluation			
<b>Method of data acquisition by USAID:</b> Collected from CSDI Impact Evaluation M&E Program through the USAID M&E "MONITOR" MIS			
<b>Frequency and timing of data acquisition:</b> Biennial, CSDI Impact Evaluation data (three measurements in total)			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects			
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR			
<b>Individual(s) responsible for providing data to USAID:</b> COP's of M&E Program			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> FY2014			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> FY2016			
<b>Procedures for Future Data Quality Assessments:</b> To be determined.			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE Total)	NA	\$ 428,389	
2012	\$439,080	\$444,510	ZN-ZS \$418,300 ZC \$462,010 ZMM \$436,940
2013	\$447,860 (2%)		ZN-ZS \$426,660 ZC \$471,250 ZMM \$445,670
2014	\$456,820 (2%)		ZN-ZS \$435,200 ZC \$480,670 ZMM \$454,590
2015	\$465,960 (2%)		ZN-ZS \$443,900 ZC \$490,290 ZMM
2016	\$475,280 (2%)		ZN-ZS \$452,780 ZC \$500,090 ZMM
LOP	\$475,280		ZN-ZS \$452,780 ZC \$500,090 ZMM \$454,590
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program			
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**DO1-005: Average household income in CSDI municipalities.**

Definition: The household income is calculated by adding the last month of income of each of the individuals composing the household. The source of data will come from two questions from the CSDI Impact Evaluation survey.

Geographic area: municipality, department, and CSDI zone. (Disaggregation by municipality will only be possible if sample size of impact evaluation allows having representativeness by municipality.)

**Questions from the CSDI Impact Evaluation Survey:**

<b>Pregunta a cada uno de los miembros del hogar de 10 o más años</b>	<b>Valor</b>
328- Recibió algún ingreso en dinero en los tres últimos meses? Si pasa a la pregunta 329 No: pasa a la siguiente persona del hogar	
329. El ingreso que recibió esta persona fue por: (anote el valor recibido en los tres últimos meses en cada una de las categorías). a. Trabajo por fuera del hogar b. Del negocio o proyecto productivo del hogar c. Ayudas en dinero recibidas de algún familiar o institución d. Otros	La sumatoria de los ingresos trimestrales de cada uno de los miembros de la familia de 10 años o más. Esta sumatoria se divide por tres para obtener el promedio mensual.

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> N/A					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-036					
<b>Name of Indicator:</b> Total value of CSDI projects approved (USD million)					
<b>Is this an Output Indicator?</b> Yes				<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<p><b>Precise Definition(s):</b> Total value of projects that have been approved to be implemented in "CSDI" municipalities. CSDI municipalities are those where the Government of Colombia has requested the USG to contribute to the implementation of the PNCRT. Currently (December 2012) there are 40 CSDI municipalities. PNCRT's objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities. Projects correspond to these areas: infrastructure, land, governance, social capital and economic development, victims. Total value includes CELI funds and counterpart (public and private funds).</p>					
<p><b>Indicator Collection and Reporting to MONITOR</b>  <b>Data collection:</b> To be collected by the implementing partner, <b>Collection Level:</b> Flag Activity, <b>Source:</b> Advance Activity  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format  <b>Calculation of Indicator:</b> Sum of projects across CSDI zones</p>					
<b>Unit of Measure:</b> Dollars					
<b>Disaggregated by:</b> Components (i.e. infrastructure, land, governance, social capital, economic development, victims, etc.); and funds sources (i.e. CELIs funds, public funds, private funds)					
<b>Justification &amp; Management Utility:</b> Shows the level of USG investments and leveraging in consolidation municipalities					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by the CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts.					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> FY2014					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> FY2016					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target USD Million	Actual	Notes		
2011 (B/LINE)	USD \$19.1		ZN-ZS 0	ZC 0	ZMM 19.1
2012	USD \$ 82.5	USD \$ 82.5	ZN-ZS 49.4	ZC 27.5	ZMM 5.6
2013	USD \$ 94		ZN-ZS 35.7	ZC 46	ZMM 12.3
2014	USD \$ 100		ZN-ZS 38	ZC 49	ZMM 13
2015	USD \$ 89		ZN-ZS 39.2	ZC 49.8	
2016	USD \$ 70		ZN-ZS 30.8	ZC 39.2	
LOP	USD \$ 454.6		ZN-ZS 193.1	ZC 211.5	ZMM 50
<b>THIS SHEET LAST UPDATED ON:</b> 1yJuly 2013 <b>BY:</b> M&E Program					
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> N/A					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-037					
<b>Name of Indicator:</b> Total value of CSDI projects completed (USD million)					
<b>Is this an Output Indicator? No</b>			<b>Is this an Outcome Indicator? Yes</b>		
<b>Is this an (F) or a "strategic" indicator? No</b>					
DESCRIPTION					
<b>Precise Definition(s):</b> Total value of projects that have been completed in "CSDI" municipalities. CSDI municipalities are those where the Government of Colombia has requested the USG to contribute to the implementation of the PNCRT. As of December 2012, there are 40 CSDI municipalities. PNCRT's objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities. Projects correspond to these areas: infrastructure, land, governance, social capital and economic development, victims. Total value includes CSDI funds and counterpart (public and private funds)					
<b>Indicator Collection and Reporting to MONITOR:</b>					
<b>Data collection:</b> To be collected by the implementing partner <b>Collection Level:</b> Flag Activity <b>Source:</b> Activity					
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format					
<b>Calculation of Indicator:</b> Sum of projects across CSDI zones					
<b>Unit of Measure:</b> Dollars					
<b>Disaggregated by:</b> Disaggregated by: Components: infrastructure, land, governance, social capital, economic development, victims; CELIs funds, public funds, private funds.					
<b>Justification &amp; Management Utility:</b> Shows the level of USG investments and leveraging in consolidation municipalities					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by implementing partners					
<b>Data Source:</b> Reported by CSDI implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts.					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> FY2014					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> FY2016					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target USD Million	Actual	Notes		
2011 (B/LINE)	USD \$7.9		ZN-ZS 0	ZC 0	ZMM 7.9
2012	USD \$ 19.8	USD \$ 19.8	ZN-ZS 2.7	ZC 10.6	ZMM 6.5
2013	USD \$ 103		ZN-ZS 44	ZC 44	ZMM 15
2014	USD \$ 95		ZN-ZS 38	ZC 44	ZMM 13
2015	USD \$ 88		ZN-ZS 44	ZC 44	
2016	USD \$ 89.4		ZN-ZS 44	ZC 45.4	
LOP	USD \$ 403.1		ZN-ZS 172.7	ZC 188	ZMM 42.4
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program					
To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.					

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> N/A			
<b>Name of Sub-intermediate Result:</b> N/A			
<b>Indicator Number:</b> DO1-038			
<b>Name of Indicator:</b> Total public investment in consolidation zones (USD million)			
<b>Is this an Output Indicator? No</b>		<b>Is this an Outcome Indicator? Yes</b>	
<b>Is this an (F) or a "strategic" indicator? No</b>			
DESCRIPTION			
<b>Precise Definition(s):</b> Total funds invested in consolidation zones by the Government of Colombia (GOC) national entities. Consolidation zones are municipalities where the GOC's PNCRT is being implemented. The PNCRT's objective is to bring state presence and integrated development to municipalities that have been affected by illicit activities.			
<b>Indicator Collection and Reporting to MONITOR:</b>			
<b>Data Collection:</b> To be collected by the implementing partner, <b>Collection Level:</b> Activity, <b>Source:</b> Activity			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format revise and complete			
<b>Calculation of Indicator:</b> TBD Sum of indicator reports across zones.			
<b>Unit of Measure:</b> Dollars			
<b>Disaggregated by:</b> CSDI zones, municipalities			
<b>Justification &amp; Management Utility:</b> Shows the level of GOC investments in consolidation municipalities and reflects increased State presence			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected by USAID			
<b>Data Source:</b> Followed-up and reported by USAID, taken from the Plan Nacional de Consolidación Territorial (PNCT)			
<b>Method of data acquisition by USAID:</b> From the M&E Office of the Plan Nacional de Consolidación Territorial (PNCT)			
<b>Frequency and timing of data acquisition:</b> TBD			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts.			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> FY2014			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> FY2016			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target USD Million	Actual	Notes
2011 (B/LINE)	0		
2012	USD \$ 968	TBD	
2013	USD \$ 366		
2014	USD \$ 291		
2015	USD \$ 425		
2016	TBD		
LOP	USD \$ 2,050		
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program			
To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.			

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-006					
<b>Name of Indicator:</b> Public funds leveraged in CSDI zones attributable to USG Interventions (million USD)					
<b>Is this an Output Indicator?</b> Yes			<b>Is this an Outcome Indicator?</b> No		
<b>Is this an (F) or a "strategic" indicator?</b> Yes, strategic indicator					
DESCRIPTION					
<p><b>Precise Definition(s):</b> Public sector funds leveraged refer to the value of (1) investment facilitated, i.e. national level public funds invested in the CSDI zones as a result of USG-supported initiatives and negotiations; and (2) co-investment made by public counterpart institutions at the local or national levels to directly support Consolidation and Livelihoods (CL) programs or activities. The indicator will both measure the "committed" value of leveraged funds and the "executed" value of leveraged funds. The committed value refers to demonstrable commitments made by public authorities that have not been disbursed. Leveraged refers to monetary and/or in-kind resources. The implementer will monetize in-kind funding. In-kind contributions of infrastructure and/or goods and services are monetized by the entity making the investment. Labor contributions are calculated on the basis of the standard rate for day labor in the region. The targets of this indicator are for the executed funds and not for the committed funds.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data Collection:</b> M&amp;E Program <b>Collection Level:</b> Flag activity., <b>Source:</b> Activity advances  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the value of public sector funds. Breakdown by: <ul style="list-style-type: none"> <li>• Funds committed or executed</li> <li>• Source (see definition above)</li> <li>• Type of investment (monetary or in-kind);</li> <li>• Level of government</li> </ul> </p> <p><b>Calculation of Indicator:</b> Sum of funds across zones. MONITOR calculates the value of investment leveraged in pesos and dollars using the <i>Banco de la República</i> average exchange rate in effect over the last quarter.</p> <p><b>Unit of Measure:</b> Public funds leveraged.</p> <p><b>Disaggregated by:</b> Funds committed or funds executed; Source of funds: (1) investment facilitated or (2) co-investment; type of funds (monetary or in-kind); level of government (municipal, departmental, national); geographic area ("vereda", municipality, department, and CSDI zone).</p> <p><b>Justification &amp; Management Utility:</b> USG funds are intended to be catalytic and to have sustainable benefits. Increased public sector investment in the zone reflects improved local institutional capacity and strengthened ties to national level programs.</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by the CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> FY2014					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> FY2016					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target USD Million	Actual	Notes		
2011 (B/LINE)	USD \$ 2.387		ZN-ZS USD 0	ZC USD 0	ZMM USD 2,387
2012	USD \$ 31	USD \$ 8.84	ZN-ZS USD 11.6	ZC USD 14.4	ZMM USD 5
2013	USD \$ 47		ZN-ZS USD 17.4	ZC USD 21.6	ZMM USD 8
2014	USD \$ 62.613		ZN-ZS USD 23.2	ZC USD 28.8	ZMM USD 9.613
2015	USD \$ 65		ZN-ZS USD 29	ZC USD 36	
2016	USD \$ 78		ZN-ZS USD 34.8	ZC USD 43.2	

LOP	USD 285		ZN-ZS USD 116	ZC USD 144	ZMM USD 25
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.					

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.			
<b>Indicator Number:</b> DO1-008			
<b>Name of Indicator:</b> Number of rapid impact projects implemented by USG implementers			
<b>Is this an Output Indicator?</b> Yes		<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<p><b>Precise Definition(s):</b> Rapid impact projects are social infrastructure and income generation activities that the community has identified as priorities. This indicator will only count the projects that are completely funded or have some funding from USG CSDI implementers. These projects are identified, planned, and implementation begun within 3 months to demonstrate that the State can respond effectively to the community felt needs. The primary focus of these projects is to provide tangible evidence that the State can respond to community requests, and activities should not only be carried out quickly but should also include buy-in (and contributions if possible) from local government to create relationships between communities and their governments. These projects respond to a felt need and additionally improve the life quality of residents, state presence, and public trust in institutions.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b></p> <p><b>Data Collection:</b> Collected by implementing Partner <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity</p> <p><b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of implementer rapid impact projects during the quarter with breakdown by geographic area (Activity Sheet)</p> <p><b>Calculation of Indicator:</b> Sum of projects across zones.</p> <p><b>Unit of Measure:</b> Rapid impact projects</p> <p><b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)</p> <p><b>Justification &amp; Management Utility:</b> Rapid impact projects show immediate response to local needs and build support for local governments.</p>			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected by the CSDI implementing partners			
<b>Data Source:</b> Reported by CSDI implementing Partners			
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.			
<b>Frequency and timing of data acquisition:</b> Quarterly.			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	36		ZMM 36
2012	130	305	ZN-ZS 40 ZC 50 ZMM 40
2013	85		ZN-ZS 0 ZC 75 ZMM 10
2014	35		ZN-ZS 0 ZC 25 ZMM 10
2015	0		ZN-ZS 0 ZC 0
2016	0		ZN-ZS 0 ZC 0
LOP	286		ZN-ZS 40 ZC 150 ZMM 96
<p><b>THIS SHEET LAST UPDATED ON:</b> July 2013, <b>BY:</b> M&amp;E Program</p> <p>To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.</p>			

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.			
<b>Indicator Number:</b> DO1-009			
<b>Name of Indicator:</b> Municipal own-source income (Million COP)			
<b>Is this an Output Indicator?</b> Yes		<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> Municipal fixed own-source income is income from tax and non-tax sources. Tax sources include property tax and industrial/commercial tax. Non-tax sources are fees collected from municipal public services. Absolute values refer to the amount of own-source income from tax and non-tax sources. The percentage change is annual percentage change in own-source income. The value in the targets corresponds to millions of COP. See the following page for detailed explanation.			
<b>Indicator Collection and Reporting to MONITOR:</b>			
<b>Data Collection:</b> M & E Program. <b>Collection level:</b> Project, <b>Source:</b> DNP-side data			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format			
<b>Calculation of indicator:</b> M&E Program from Secondary Data Source.			
<b>Unit of Measure:</b> Municipal Income from tax and non-tax own-sources			
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone)			
<b>Justification &amp; Management Utility:</b> Increases in municipal own-source income reflect a strengthening local economic base and stability.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> Collected by the M&E Program from secondary sources			
<b>Data Source:</b> Followed-up and reported by M&E Program, taken from Secondary Data Sources (DNP's Desarrollo Territorial)			
<b>Method of data acquisition by USAID:</b> M&E Program, database located in MONITOR and updated monthly			
<b>Frequency and timing of data acquisition:</b> Annually			
<b>Estimated cost of data acquisition:</b> Included in USAID/Colombia M&E Program budget			
<b>Individual(s) responsible at USAID:</b> M&E Coordinator, CLE			
<b>Individual(s) responsible for providing data to USAID:</b> COP USAID/Colombia M&E Program			
<b>Location of Data Storage:</b> USAID/Colombia MIS Clearinghouse, MONITOR			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2010 (B/LINE)	NA		ZN-ZS 48,554 ZC 19,405 ZMM 3,690
2011	71,649	85,490	ZN-ZS 48,554 ZC 19,405 ZMM 3,690
2012	73,021	TBD	ZN-ZS 49,525 ZC 19,696 ZMM 3,800
2013	74,560		ZN-ZS 50,510 ZC 20,050 ZMM 4,000
2014	76,577		ZN-ZS 51,525 ZC 20,852 ZMM 4,200
2015	74,172		ZN-ZS 52,556 ZC 21,616
2016	75,725		ZN-ZS 53,409 ZC 22,316
LOP	79,925		ZN-ZS 53,409 ZC 22,316 ZMM 4,200
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program			
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## DO1-009: MUNICIPAL OWN-SOURCE INCOME.

Definition: Municipal fixed own-source income is income from tax and non-tax sources. Tax sources include property tax and industrial/commercial tax. Non tax sources are fees collected from municipal public services.

Measured in Colombian Pesos

Disaggregated by: Geographic area (municipality, department, and CSDI zone)

Reporting Frequency: Annually.

Data is provided by municipal income information (Income *Tax and non-income Tax*) taken from DNPs *Desarrollo Territorial* section on the Website<sup>1</sup>.

ZONA/ departamento	MUNICIPIO	Ingresos Tributarios (millones de \$)	Ingresos No tributarios (millones de pesos)	Total de ingresos propios (millones de pesos)	Total ingresos del municipio (millones de pesos)	Porcentaje de ingresos que corresponden a recursos propios 2010
Nariño	Tumaco	7.132	254	7.386	180.686	4%
<b>SUR</b>	<b>TOTAL</b>	<b>7.132</b>	<b>254</b>	<b>7.386</b>	<b>180.686</b>	<b>4%</b>
Tolima	Ataco	860	176	1.036	12.206	8%
Tolima	Chaparral	4.008	419	4.427	20.676	21%
Tolima	Planadas	788	228	1.016	14.054	7%
Tolima	Rioblanco	536	253	789	10.043	8%
Meta	La Macarena	725	167	892	9.991	9%
Meta	Mesetas	905	223	1.128	8.209	14%
Meta	Puerto Rico	1.370	228	1.598	9.321	17%
Meta	San Juan de Arama	949	214	1.163	8.132	14%
Meta	Uribe	268	59	327	6.701	5%
Meta	Vista Hermosa	1.331	150	1.481	11.537	13%
Caqueta	Cartagena del Chaira	1.445	189	1.634	12.415	13%
Caqueta	San Vicente del Caguan	2.624	230	2.854	20.306	14%
Caqueta	La Montañita	1.006	54	1.060	9.970	11%
<b>CENTRAL</b>	<b>TOTAL</b>	<b>16.815</b>	<b>2.590</b>	<b>19.405</b>	<b>153.561</b>	<b>13%</b>
Córdoba	Montelíbano	3.765	48	3.813	20.555	19%
Córdoba	Puerto Libertador	1.537	32	1.569	18.237	9%
Córdoba	Tierralta	5.709	217	5.926	41.071	14%
Córdoba	Valencia	1.036	68	1.104	18.734	6%
Antioquia	Anorí	4.324	215	4.539	16.234	28%

<sup>1</sup> Departamento Nacional de Planeación (DNP), *Desarrollo Territorial Sostenible*, Available at <http://www.dnp.gov.co/programas/desarrolloterritorial.aspx> [Accessed on December 06, 2011]

ZONA/ departamento	MUNICIPIO	Ingresos Tributarios (millones de \$)	Ingresos No tributarios (millones de pesos)	Total de ingresos propios (millones de pesos)	Total ingresos del municipio (millones de pesos)	Porcentaje de ingresos que corresponden a recursos propios 2010
Antioquia	Briceño	623	193	816	6.420	13%
Antioquia	Cáceres	1.643	282	1.925	14.949	13%
Antioquia	Caucacia	7.045	738	7.783	42.969	18%
Antioquia	El Bagre	3.830	490	4.320	26.510	16%
Antioquia	Ituango	1.314	483	1.797	14.792	12%
Antioquia	Nechí	1.190	341	1.531	19.168	8%
Antioquia	Tarazá	2.317	551	2.868	23.545	12%
Antioquia	Valdivia	1.083	338	1.421	11.791	12%
Antioquia	Zaragoza	1.341	415	1.756	15.766	11%
<b>NORTE</b>	<b>TOTAL</b>	<b>36.757</b>	<b>4.411</b>	<b>41.168</b>	<b>290.741</b>	<b>14%</b>
Bolivar	El Carmen de Bolívar	619	11	630	25.972	2%
Sucre	Ovejas	410	7	417	14.736	3%
Bolivar	San Jacinto	904	1	905	14.190	6%
Sucre	San Onofre	1.757	183	1.940	29.265	7%
Montes de María	<b>TOTAL</b>	<b>3.690</b>	<b>202</b>	<b>3.892</b>	<b>84.163</b>	<b>5%</b>
<b>total CSDI</b>		<b>64.394</b>	<b>7.457</b>	<b>71.851</b>	<b>709.151</b>	<b>10%</b>

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.			
<b>Indicator Number:</b> DO1-010			
<b>Name of Indicator:</b> Number of strategic national social programs implemented in CSDI zones.			
<b>Is this an Output Indicator?</b> Yes		<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> National social programs are programs of GoC ministries and agencies intended to be implemented throughout the country. These programs are not necessarily reaching CSDI municipalities. The goal is to bring down these programs to these municipalities. A list of programs is provided in the next page. The indicator is the number of individual programs per CSDI zone. - the same program cannot be counted twice if present in more than one municipality. See the following page.			
<b>Indicator Collection and Reporting to MONITOR:</b>			
<b>Data Collection:</b> Collected by implementing Partner. <b>Collection Level:</b> Flag activity, <b>Source:</b> Advances Activity			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format list the number of programs present in the zone during the quarter with breakdown by geographic area and type of social program.			
<b>Calculation of Indicator:</b> Average of programs per zone.			
<b>Unit of Measure:</b> National social programs.			
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone ) type of social program			
<b>Justification &amp; Management Utility:</b> The national social programs improve service delivery in the municipality and reflect strengthened local links to the State.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected by the CSDI implementing partners			
<b>Data Source:</b> Reported by CSDI implementing Partners			
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.			
<b>Frequency and timing of data acquisition:</b> Quarterly.			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
(B/LINE)			ZN-ZS 5 ZC 5 ZMM 6
2011	16		
2012	25	10	ZN-ZS 7 ZC 8 ZMM 10
2013	33		ZN-ZS 8 ZC 11 ZMM 14
2014	40		ZN-ZS 9 ZC 15 ZMM 16
2015	10		ZN-ZS 10 ZC 0
2016	11		ZN-ZS 11 ZC 0
LOP	42		ZN-ZS 11 ZC 15 ZMM 16
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.			

**DO1-010: NUMBER OF STRATEGIC NATIONAL SOCIAL PROGRAMS IMPLEMENTED IN CSDI MUNICIPALITIES.**

Definition: The national social programs are programs that depend from GOC's ministries and agencies but are not necessarily reaching CSDI municipalities. The goal is to bring down these programs to these municipalities. Below is a list of social programs.

Disaggregated by: Geographic area (municipality, department, and CSDI zone)

Data source and Reporting Frequency: Reported by CSDI implementing Partners/Quarterly.

**List of national social programs**

Programa	Program	Institución
Alfabetización de jóvenes y adultos	Youth and adult literacy	Ministerio de Educación
Documentación/Cedulación	Identity documentation	Registraduría Nacional Del Estado Civil
Educación en salud y bienestar: Salud sexual y reproductiva <ul style="list-style-type: none"> <li>• Prevención del cáncer</li> <li>• Nutrición infantil</li> <li>• Jornadas de vacunación</li> </ul>	Health promotion and welfare programs: <ul style="list-style-type: none"> <li>• Sexual and reproductive care</li> <li>• Cancer prevention</li> <li>• Child nutrition</li> <li>• Vaccinations campaigns</li> </ul>	Ministerio de Salud (ICBF)
Planes de seguridad y convivencia	Security and coexistence plans	Policía Nacional
Radio comunitaria	Community radio	Ministerio de Cultura
Reclutamiento		
Fondos Campesinos		

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.					
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.					
<b>Indicator Number:</b> DO1-011					
<b>Name of Indicator:</b> Number of people benefitted by national social programs implemented in CSDI municipalities.					
<b>Is this an Output Indicator?</b> Yes			<b>Is this an Outcome Indicator?</b> No		
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<b>Precise Definition(s):</b> Number of people benefitting from social national programs that are being implemented in CSDI municipalities. Implementers will be asked to estimate using reasonable methods the number of beneficiaries of national social programs.					
<b>Indicator Collection and Reporting to MONITOR:</b> Using the MONITOR Simple Indicator Report Format, list the number of and percentage of people benefitted by national social programs during the quarter showing the breakdown by geographic area, sex (see definition) and program.					
<b>Data collection:</b> To be collected by implementing partners, <b>Collection Level:</b> Flag activity, <b>Source:</b> Advances Activity					
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format list the number of people benefitting with the breakdown by:					
<ul style="list-style-type: none"> <li>• Sex</li> <li>• Geographic area</li> </ul>					
<b>Calculation of Indicator:</b> Sum of the number of beneficiaries across municipalities. MONITOR calculates average percent of population per municipality.					
<b>Unit of Measure:</b> Number of people benefitted.					
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers will be asked to give an estimation of sex disaggregation using reasonable methods), and program.					
<b>Justification &amp; Management Utility:</b> This indicator complements the previous indicator by measuring not only the presence of the programs but also the extent to which the programs are reaching rural residents.					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by the CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly.					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	NA		ZN-ZS NA	ZC NA	ZMM NA
2012	21,250	11,592	ZN-ZS 11,250	ZC 9,000	ZMM 1,000
2013	33,875		ZN-ZS 16,875	ZC 15,000	ZMM 2,000
2014	35,875		ZN-ZS 16,875	ZC 16,000	ZMM 3,000
2015	0		ZN-ZS 0	ZC 0	
2016	0		ZN-ZS 0	ZC 0	
LOP	91,000		ZN-ZS 45,000	ZC 40,000	ZMM 6,000
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.					

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.					
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.					
<b>Indicator Number:</b> DO1-012					
<b>Name of Indicator:</b> Number of beneficiaries receiving improved infrastructure services (F indicator 4.4-8)					
<b>Is this an Output Indicator?</b> No			<b>Is this an Outcome Indicator?</b> Yes		
<b>Is this an (F) or a "strategic" indicator?</b> Yes (F 4.4-8)					
DESCRIPTION					
<p><b>Precise Definition(s):</b> This is the number of people who benefit from improved infrastructure services due to USAID assistance. This means that people either use an infrastructure service (such as transport) or receive an infrastructure product (such as water, sanitation, or electricity). Implementers will be asked to estimate using reasonable methods the number of beneficiaries of this infrastructure.</p> <p><b>Indicator collection and Reporting to MONITOR:</b></p> <p><b>Data Collection:</b> Collected by Implementing Partners, <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity</p> <p><b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of persons receiving improved infrastructure services during the quarter showing the breakdown by geographic area and sex.</p> <p><b>Calculation of Indicator:</b> Sum across zones.</p> <p><b>Unit of Measure:</b> Persons</p> <p><b>Disaggregated by:</b> Geographic area ("vereda", municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers will be asked to give an estimation of sex disaggregation using reasonable methods)</p> <p><b>Justification &amp; Management Utility:</b> Indicates the increasing amount of infrastructure outputs and services available as a result of USAID programs. Enables USAID to explain to external stakeholders how many persons globally benefit from USAID-supported infrastructure.</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by the CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly.					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> November 2012					
<b>Known Data Limitations and Significance (if any):</b> Need for uniformity in estimation methods.					
<b>Actions Taken or Planned to Address Data Limitations:</b> Based on the DQA FY12 recommendations action plan to be developed by MEP there will be workshops held to standardize implementing partner data collection methods for this indicator.					
<b>Date of Future Data Quality Assessments:</b> FY2014 /FY2016					
<b>Procedures for Future Data Quality Assessments:</b> To be determined.					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	4,500		ZMM 4,500		
2012	50,000	22,627	ZN-ZS 30,000	ZC 15,000	ZMM 5,000
2013	110,000		ZN-ZS 50,000	ZC 55,000	ZMM 5,000
2014	55,000		ZN-ZS 15,000	ZC 35,000	ZMM 5,000
2015	35,000		ZN-ZS 10,000 ZC 25,000		
2016	0		0		
LOP	254,500		ZN-ZS 105,000	ZC 130,000	ZMM 19,500
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.					

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR1.1 Institutional development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub IR 1.1.1 Local government response improved.			
<b>Indicator Number:</b> DO1-013			
<b>Name of Indicator:</b> Governance capacity index			
<b>Is this an Output Indicator?</b> No		<b>Is this an Outcome Indicator?</b> Yes	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<p><b>Precise Definition(s):</b> The index includes the community's:</p> <ul style="list-style-type: none"> <li>• Access to services</li> <li>• Perceptions of quality of services</li> <li>• Perception of presence, honesty and quality of local governance</li> </ul> <p>See the following page for detailed explanation.</p> <p><b>Indicator collection and Reporting to MONITOR</b></p> <p><b>Data Collection:</b> M&amp;E Program, <b>Collection Level:</b> Flag activity, <b>Source:</b> CSDI Impact Evaluation data (three measurements in total)</p> <p><b>Reporting</b> Using the MONITOR Simple Indicator Report Format.</p> <p><b>Calculation of Indicator:</b> TBD From the CSDI Survey Impact Evaluation</p> <p><b>Unit of Measure:</b> Governance capacity index</p> <p><b>Disaggregated by:</b> Geographic area ("vereda", municipality, department, and CSDI zone)</p> <p><b>Justification &amp; Management Utility:</b> The improvement of public services and the improvement of institutions' management capacity increase trust in local government and promote the participation in local initiatives. Strengthening of local governments is a key indicator of the success of local government activities.</p>			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected from structured survey of the CSDI Impact Evaluation			
<b>Data Source:</b> Structured survey of the CSDI Impact Evaluation			
<b>Method of data acquisition by USAID:</b> Collected from the structured survey of the CSDI Impact Evaluation by the M&E Program through the USAID M&E "MONITOR" MIS			
<b>Frequency and timing of data acquisition:</b> Biennial.			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.			
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of M&E Program			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b> TBD by the perception survey results.			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	NA		
2012	38.6	40.7	ZN-ZS 37.8 ZC 37.4 ZMM 40.6
2013	43.6		ZN-ZS 42.8 ZC 42.4 ZMM 45.6
2014	48.6		ZN-ZS 47.8 ZC 47.4 ZMM 50.6
2015	52.6		ZN-ZS 52.8 ZC 52.4
2016	57.6		ZN-ZS 57.8 ZC 57.4
LOP	16.7		ZN-ZS 20 ZC 20 ZMM 10
<p><b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&amp;E Program</p> <p>To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.</p>			

### DO1-013: Governance capacity Index.

Definition: The index includes the community's:

- Access to services
- Perceptions of quality of services
- Perception of presence, honesty and quality of local governance

Disaggregated by: CSDI zone

Reporting Frequency: Annually.

### GOVERNANCE CAPACITY INDEX

The term *governance* has been measured from various points of view and in some cases with very broad definitions that include multiple dimensions. This indicator, Government capacity index, refers basically to local governments and includes: access to social services; perceptions of quality of services and perceptions of presence, honesty and quality of local governance.

Based on a literature review<sup>2</sup>, and keeping in mind the local context as reference, other dimensions that should be included for assessing governance are: the way in which the municipal officials are elected, institutional trust, accountability and participation, and social networks. These dimensions are already included in other indexes of the PMP (Social capital, Accountability index and "Citizens in CSDI municipalities participating in political activities").

Here are the questions in the base line structured survey to be used to calculate the Governance Capacity Index on an annual basis:

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
Acceso a servicios: 1. Públicos 2. Salud 3. Educación 4. Seguridad 5. Justicia	<b>1. SERVICIOS PÚBLICOS:</b> <b>212.</b> En la actualidad, la vivienda donde usted habita tiene acceso a los siguientes servicios públicos, privados o comunales: a. Energía eléctrica b. Gas por tubería c. Gas de pipeta (propano) d. Acueducto e. Alcantarillado f. Recolección de basuras g. Teléfono fijo h. Teléfono celular <b>2. SALUD: ---</b> <b>3. EDUCACIÓN:</b> <b>1ERA INFANCIA</b> <b>404</b> ¿Cuántas personas del hogar son menores de 5 años?	<b>SERVICIOS PÚBLICOS:</b> <b>212.</b> No = 0 / Sí = 1 (para a, b, c, d, e, f, g y h) <b>EDUCACIÓN:</b> <b>404 – 405</b> Si el número para ambas es igual = 4 Si hay diferencia de 1 = 2 Si hay diferencia de 2 o más = 0 (igual para 408-409 y 413-414). <b>SEGURIDAD:</b> <b>1205</b> Sí = 1 / No = 0 (para a y b) <b>JUSTICIA:</b>	<b>24</b>

<sup>2</sup> World Bank, The Worldwide Governance Indicators (date). Governance is defined as "the traditions and institutions through which authority is exercised in the country. This includes (a) the processes through which governments are selected, monitored, and replaced; (b) the capacity of the government to effectively formulate and implement basic policies; and (c) the respect of the citizens and the State for the institutions that regulate the economic and social relationships between them."

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
	<p><b>405.</b> ¿Cuántos de estos niños tiene acceso a un servicio de cuidado como guardería u hogar comunitario?</p> <p><b>PRIMARIA</b></p> <p><b>408.</b> ¿Cuántas personas del hogar tienen entre 5 y 11 años?</p> <p><b>409.</b> ¿Cuántas personas de 5 a 11 años del hogar están asistiendo a un establecimiento escolar?</p> <p><b>SECUNDARIA</b></p> <p><b>413</b> ¿Cuántas personas del hogar tienen entre 12 y 18 años?</p> <p><b>414</b> ¿Cuántas personas del hogar de 12 a 18 años están asistiendo a un establecimiento escolar?</p> <p><b>4. SEGURIDAD...</b></p> <p><b>1205.</b> ¿Existen los siguientes servicios en su vereda, corregimiento o centro poblado?</p> <p><b>a.</b> Los servicios de seguridad ciudadana de la <b>Policía</b></p> <p><b>b.</b> Los servicios de las <b>Fuerzas Militares</b> (Ejército, Armada, Fuerza Aérea)</p> <p><b>5. JUSTICIA...</b></p> <p><b>1402</b> ¿Existen los siguientes servicios en su vereda o corregimiento?</p> <p><b>a.</b> Los servicios de justicia formal (Fiscalía, Jueces, Procuraduría, inspecciones de policía, casas justicia)</p> <p><b>b.</b> Los servicios de justicia alternativa (Jueces de paz, conciliadores en equidad, centros de conciliación, amigos componedores, árbitros y mediadores)</p>	<p><b>1402</b></p> <p>Sí = 1 / No = 0 (para a y b)</p>	
<p>Calidad de los servicios:</p> <p>1. Públicos</p> <p>2. Salud</p> <p>3. Educación</p> <p>4. Seguridad</p> <p>5. Justicia</p>	<p><b>1. SERVICIOS PÚBLICOS:</b></p> <p><b>(213)</b> Ahora le vamos a pedir que califique el servicio de _____ como muy malo, malo, ni bueno ni malo, bueno o muy bueno</p> <p>a. Energía eléctrica</p> <p>b. Gas por tubería</p> <p>c. Gas de pipeta (propano)</p> <p>d. Acueducto</p> <p>e. Alcantarillado</p> <p>f. Recolección de basuras</p> <p>g. Teléfono fijo</p> <p>h. Teléfono celular</p> <p><b>(214)</b> Ahora díganos si considera que este servicio ha empeorado, se mantiene igual o ha mejorado con relación al 2011 (NA = no tenía en 2011)</p> <p>a. Energía eléctrica</p> <p>b. Gas por tubería</p> <p>c. Gas de pipeta (propano)</p> <p>d. Acueducto</p> <p>e. Alcantarillado</p> <p>f. Recolección de basuras</p>	<p><b>SERVICIOS PÚBLICOS:</b></p> <p><b>213.</b></p> <p>1. Muy malo = 0</p> <p>2. Malo = 0.2</p> <p>3. Ni bueno ni malo = 0.5</p> <p>4. Bueno = 0.8</p> <p>5. Muy bueno = 1</p> <p>9. NS/NR = 0 (para a, b, c, d, e, f, g y h)</p> <p><b>214.</b></p> <p>1. Ha empeorado = 0</p> <p>2. Se mantiene igual = 0.5</p> <p>3. Ha mejorado = 1</p> <p>4. NS/NR = 0 (para a, b, c, d, e, f, g y h)</p> <p><b>SALUD:</b></p> <p><b>418</b></p> <p>1. Muy malo = 0</p> <p>2. Malo = 0.5</p> <p>3. Ni bueno ni malo = 1</p> <p>4. Bueno = 1.5</p> <p>5. Muy bueno = 2</p> <p>9. NS/NR = 0</p> <p><b>419.</b></p>	<b>36</b>

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
	<p>g. Teléfono fijo h. Teléfono celular</p> <p><b>2. SALUD:</b> 418. Ahora califique el servicio de salud que usted tiene, como muy malo, malo, ni bueno ni malo, bueno o muy bueno 419. Cree usted que este servicio ha empeorado, se mantiene igual o ha mejorado con relación a 2010</p> <p><b>3. EDUCACIÓN:</b> <b>PRIMERA INFANCIA</b> 406. Ahora le vamos a pedir que califique este servicio de cuidado, como: muy malo, malo, ni bueno ni malo, bueno y muy bueno. 407. Ahora díganos si considera que éste servicio ha mejorado, se mantiene igual, o ha empeorado con relación al 2010 <b>PRIMARIA</b> 410. Ahora le vamos a pedir que califique este servicio prestado por el establecimiento escolar como: muy malo, malo, ni bueno ni malo, bueno y muy bueno. 411. Ahora díganos si considera que este servicio ha mejorado, se mantiene igual o ha empeorado con relación al 2010. <b>SECUNDARIA</b> 415. Ahora le vamos a pedir que califique este servicio prestado por el establecimiento escolar, como: muy malo, malo, ni bueno ni malo, bueno y muy bueno 416. Ahora díganos si considera que este servicio ha mejorado, se mantiene igual o ha empeorado con relación al 2010</p> <p><b>4. SEGURIDAD:</b> 1206. Califique el servicio en muy malo, malo, ni bueno ni malo, bueno o muy bueno a. Los servicios de seguridad ciudadana de la <b>Policía</b> b. Los servicios de las <b>Fuerzas Militares</b> (Ejército, Armada, Fuerza Aérea) 1207. Ha mejorado, no ha cambiado o ha empeorado con respecto al 2010 a. Los servicios de seguridad ciudadana de la <b>Policía</b> b. Los servicios de las <b>Fuerzas Militares</b> (Ejército, Armada, Fuerza Aérea)</p> <p><b>5. JUSTICIA:</b> 1403. Califique el servicio en muy malo, malo, ni bueno ni malo, bueno o muy bueno 1404. Ha mejorado, no ha cambiado o ha empeorado con</p>	<p>1. Ha empeorado = 0 2. Se mantiene igual = 1 3. Ha mejorado = 2 4. No tenía en 2010 = 1</p> <p><b>EDUCACIÓN:</b> <b>406.</b> 1. Muy malo = 0 2. Malo = 0.2 3. Ni bueno ni malo = 0.5 4. Bueno = 0.8 5. Muy bueno = 1 9. NS/NR = 0</p> <p><b>407.</b> 1. Ha empeorado = 0 2. Se mantiene igual = 0.5 3. Ha mejorado = 1 4. No tenía en 2010 = 0.5 (igual para 410-411 y 415-416)</p> <p><b>SEGURIDAD:</b> <b>1206.</b> 1. Muy malo = 0 2. Malo = 0.2 3. Ni bueno ni malo = 0.5 4. Bueno = 0.8 5. Muy bueno = 1 9. NS = 0 (para a y b)</p> <p><b>1207.</b> 1. Ha mejorado = 1 2. No ha cambiado = 0.5 3. Ha empeorado = 0 4. No tenía en 2010 = 0.5 (para a y b)</p> <p><b>JUSTICIA:</b> <b>1403.</b> 1. Muy malo = 0 2. Malo = 0.2 3. Ni bueno ni malo = 0.5 4. Bueno = 0.8 5. Muy bueno = 1 9. NS = 0</p> <p><b>1404.</b> 1. Ha mejorado = 1 2. No ha cambiado = 0.5 3. Ha empeorado = 0 4. No tenía en 2010 = 0.5</p> <p><b>RED DE VÍAS:</b> <b>616.</b> 1. Muy malo = 0 2. Malo = 0.2 3. Ni bueno ni malo = 0.5 4. Bueno = 0.8 5. Muy bueno = 1 6. No hay servicio = 0 (aplica para a y b)</p> <p><b>INSTALACIONES DEPORTIVAS E INSTALACIONES CULTURALES</b> <b>616.</b> 1. Muy malo = 0 2. Malo = 0.5 3. Ni bueno ni malo = 1</p>	

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
	<p>respecto al 2010</p> <p><b>6. RED DE VÍAS</b></p> <p><b>616.</b> Califique los siguientes servicios en muy malo, malo, ni bueno ni malo, bueno o muy bueno:</p> <p><b>a.</b> La red de vías que conecta el lugar donde usted vive (vereda, corregimiento, etc.) con el resto del municipio</p> <p><b>b.</b> La red de vías que conecta a su municipio con los municipios vecinos.</p> <p><b>7. INSTALACIONES DEPORTIVAS E INSTALACIONES CULTURALES</b></p> <p><b>616.</b></p> <p><b>c.</b> Las instalaciones deportivas y de recreación del lugar donde vive (Coliseo, parques, canchas, polideportivos, etc.)</p>	<p>4. Bueno = 1.5</p> <p>5. Muy bueno = 2</p> <p>6. No hay servicio = 0 (aplica para c)</p>	
Presencia de instituciones del Estado	<b>NO HAY EQUIVALENTE</b>	-	-
Calidad de la formulación e implementación de políticas públicas	<p><b>CALIDAD DE LA GESTIÓN DE INSTITUCIONES DEL ESTADO</b></p> <p><b>1102.</b></p> <p>Califique la gestión de las siguientes instituciones como muy mala, mala, regular, buena y muy buena</p> <p><b>a.</b> La alcaldía</p> <p><b>b.</b> La gobernación</p> <p><b>c.</b> El gobierno Nacional</p> <p><b>1103.</b> Qué tanto ha cambiado la gestión con respecto a 2010</p>	<p><b>1102.</b></p> <p>1. Muy mala = 0</p> <p>2. Mala = 1</p> <p>3. Regular = 4</p> <p>4. Buena = 6</p> <p>5. Muy buena = 8</p> <p>6. NS = 0 (para a, b y c)</p> <p><b>1103.</b></p> <p>1. Ha disminuido = 0</p> <p>2. Se mantiene igual = 1</p> <p>3. Ha aumentado = 2 (para a, b y c)</p>	<b>30</b>
Honestidad	<b>1112.</b> Considera usted que el manejo de los asuntos públicos por parte de los funcionarios municipales es:	<p>1. Honesto = 10</p> <p>2. Poco honesto = 7</p> <p>3. Nada honesto = 4</p> <p>4. NS/NR = 0</p>	<b>10</b>
			<b>PUNTAJE TOTAL (MAX) = 100</b>

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-039					
<b>Name of Indicator:</b> Number of properties in cadaster formation or cadaster update processes supported in CSDI municipalities.					
<b>Is this an Output Indicator:</b> No			<b>Is this an Outcome Indicator:</b> Yes		
<b>Is this an (F) indicator:</b> No					
DESCRIPTION					
<b>Precise Definition(s):</b> Properties in the process of cadaster formation or undergoing a cadaster update in CSDI municipalities. Properties undergoing a cadaster update are properties in which additional action is being taken to obtain the necessary information to form or to update the municipal cadaster (urban or rural). This includes land plots and structures, in the physical, legal, fiscal and economic aspects to update economic land value that serve as a taxation basis in the Unified Land Tax system.					
<b>Indicator Collection and Reporting to MONITOR:</b>					
<b>Data Collection:</b> Collected by Implementing Partners, <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity					
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of properties in cadaster formation or cadaster update processes supported in CSDI municipalities during the quarter showing the breakdown by geographic area and type of process.					
<b>Calculation of Indicator:</b> Sum across zones.					
<b>Unit of Measure:</b> Number of lots					
<b>Disaggregated by:</b> Geographic Area (vereda / town, municipality, department, region): formation process: update process					
<b>Justification &amp; Management Utility:</b> By measuring the number of plots that will count with an updated and formed cadaster, USAID and its implementers can foresee future improvements in the performance and efficiency of municipal governments through an increase in the recollection of fiscal tax and therefore a greater capacity to invest these funds in the social and economic development of these municipalities					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> Collected by CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through USAID M&E "MONITOR" MIS					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.					
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR					
<b>Individual(s) responsible for providing data to USAID:</b> COP of M&E Program					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> M&E Program will analyze CSDI Impact Evaluation data. Annually data can be analyzed by implementing partners in their reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> CSDI Impact Evaluation reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b> TBD by the perception survey results.					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)					
2012			ZN-ZS 0	ZC 0	ZMM 0
2013	3.9		ZN-ZS 0	ZC 3.9	ZMM 0
2014	18.7		ZN-ZS 0	ZC 18.7	ZMM 0
2015	6.2		ZN-ZS 5	ZC 1.2	
2016	5		ZN-ZS 5	ZC 0	
LOP	33.8		ZN-ZS 10	ZC 23.8	ZMM TBD
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-040					
<b>Name of Indicator:</b> Number of formalized properties supported in CSDI municipalities					
<b>Is this an Output Indicator:</b> No			<b>Is this an Outcome Indicator:</b> Yes		
<b>Is this an (F) indicator:</b> No					
DESCRIPTION					
<p><b>Precise Definition(s):</b> Formalization cases supported in CSDI municipalities through support to the Colombian Institute for Rural Development (INCODER), the formalization program of the Ministry of Agriculture and Rural Development (MARD), local governments (Governors and Mayors/Town Halls) or other entities involved in the formalization process of private properties and public state land. Some of the activities related to this objective include strengthening institutions involved in the formalization process, technical assistance for processing applications, support in the provision of information for the effective process of formalization cases and other actions that apply to effectively process private and public state land formalization cases by the respective entities or civil society organizations.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b></p> <p><b>Data Collection:</b> Collected by Implementing Partners, <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity</p> <p><b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of formalized properties supported in CSDI municipalities during the quarter showing the breakdown by geographic area, type of land holder, number of hectares, ethnicity of land holders, institutions, public or private land.</p> <p><b>Calculation of Indicator:</b> Sum across zones.</p> <p><b>Unit of Measure:</b> Number of lots</p> <p><b>Disaggregated by:</b> Type of land-holder: male, female, couple, under-age; number of hectares; ethnicity of the land-holder(s); institution (local government, the formalization program MARD, INCODER, other): private land / public state land;</p> <p><b>Justification &amp; Management Utility:</b> This indicator shows the level of effort of USAID implementers in supporting land formalization initiatives in CSDI municipalities</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> Collected by the CSDI Implementing Partners					
<b>Data Source:</b> Reported by CSDI Implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI Implementing Partners through the USAID M&E "MONITOR" MIS					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.					
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR					
<b>Individual(s) responsible for providing data to USAID:</b> COP of M&E Program					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> M&E Program will analyze CSDI Baseline Impact Evaluation data. Annually data can be analyzed by implementing partners in their reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> CSDI Impact Evaluation reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b> TBD by the perception survey results.					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)					
2012	150		ZN-ZS 0	ZC 0	ZMM 150
2013	870		ZN-ZS 400	ZC 300	ZMM 170
2014	2,500		ZN-ZS 1,700	ZC 600	ZMM 200
2015	1,100		ZN-ZS 500	ZC 600	
2016	0		ZN-ZS 0	ZC 0	
LOP	4,620		ZN-ZS 2,600	ZC 1,500	ZMM 520
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-041					
<b>Name of Indicator:</b> Number of restitution cases supported in CSDI municipalities					
<b>Is this an Output Indicator:</b> No			<b>Is this an Outcome Indicator:</b> Yes		
<b>Is this an (F) indicator:</b> No					
DESCRIPTION					
<p><b>Precise Definition(s):</b> Restitution claims supported in CSDI municipalities through support to the Restitution Unit, civil society organizations, or other entities involved in the implementation of the land restitution chapter under the Victims Law 1448 of 2011. Some of the activities related to this objective are those directed to strengthen regional restitution units, technical assistance for the processing of cases, support in the provision of required information for the effective processing of restitution claims and other actions to support the effective implementation of the administrative and legal phases of land restitution by the respective institutions or civil society organizations.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data Collection:</b> Collected by Implementing Partners, <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of restitution cases supported in CSDI municipalities during the quarter showing the breakdown by geographic area, type of land holder, number of hectares, ethnicity of land holders  <b>Calculation of Indicator:</b> Sum across zones.  <b>Unit of Measure:</b> number of cases  <b>Disaggregated by:</b> Type of land-holder: male, female, couple, youth; number of hectares; ethnicity of the land-holder(s);  <b>Justification &amp; Management Utility:</b> : This indicator shows the level of effort of USAID implementers in supporting land restitution initiatives in CSDI municipalities</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> Collected by the CSDI Implementing Partners					
<b>Data Source:</b> Reported by CSDI Implementing Partners					
<b>Method of data acquisition by USAID:</b> CSDI Implementing Partners through the USAID M&E "MONITOR" MIS					
<b>Frequency and timing of data acquisition:</b>					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.					
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR					
<b>Individual(s) responsible for providing data to USAID:</b> COP of M&E Program					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> M&E Program will analyze CSDI Baseline Impact Evaluation data. Annually data can be analyzed by implementing partners in their reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> CSDI /CELL Impact Evaluation reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Columbia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b> TBD by the perception survey results.					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)					
2012	150		ZN-ZS 0	ZC 0	ZMM 150
2013	380		ZN-ZS 100	ZC 200	ZMM 80
2014	670		ZN-ZS 100	ZC 500	ZMM 70
2015	300		ZN-ZS 100	ZC 200	
2016	0		ZN-ZS 0	ZC 0	
LOP	1,500		ZN-ZS 300	ZC 900	ZMM 300
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.					
<b>Name of Sub-intermediate Result:</b> N/A					
<b>Indicator Number:</b> DO1-024					
<b>Name of Indicator:</b> Social Capital Index					
<b>Is this an Output Indicator:</b> No			<b>Is this an Outcome Indicator:</b> Yes		
<b>Is this an (F) indicator:</b> No					
DESCRIPTION					
<p><b>Precise Definition(s):</b> The definition of the social capital index includes two core concepts: 'bridging' (participation) and 'bonding' (trust). Its maximum score is 100. Bridging social capital is measured by the degree of participation in organizations at different levels: 1. Interests groups, 2. Producers and farmers, 3. Political parties 4. <i>Juntas de Acción Comunal</i> (JAC) and Communitarian organizations, and 5. Veedurias. Its maximum score is 60. Bonding social capital is measured by the degree of trust at different levels: family, friends and neighbors, JAC, justices and control institutions, development institutions, municipal institutions, army and national government. Its maximum score is 40. Expanded explanation of the definition of the Social Capital Index is included on the following page.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Collection Level:</b> Activity, <b>Responsible:</b> Implementing Partner, <b>Source:</b> Structured Survey of the CSDI Impact Evaluation  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format M&amp;E Program from the Structured Survey of the CSDI Impact Evaluation  <b>Calculation to indicator:</b> TBD From the CSDI Survey Impact Evaluation</p>					
<b>Unit of Measure:</b> Persons					
<b>Disaggregated by:</b> CSDI zone, Impact Evaluation cluster					
<b>Justification &amp; Management Utility:</b> Improvements to social capital are indicators of community cohesion as well as the propensity to maintain livelihoods based on licit activities. Significant levels of negative perceptions may trigger a re-thinking of current approaches.					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by the CSDI Baseline Impact Evaluation (M&E Program)					
<b>Data Source:</b> Collected from the Structured Baseline Survey of the CSDI Impact Evaluation					
<b>Method of data acquisition by USAID:</b> Collected from CSDI Baseline Impact Evaluation by M&E Program and entered into the USAID M&E "MONITOR" MIS					
<b>Frequency and timing of data acquisition:</b> Biennial, ICSDI Impact Evaluation data (three measurements in total)					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.					
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR					
<b>Individual(s) responsible for providing data to USAID:</b> COP of M&E Program					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> M&E Program will analyze CSDI Baseline Impact Evaluation data. Annually data can be analyzed by implementing partners in their reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> CSDI Impact Evaluation reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b> TBD by the perception survey results.					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	NA				
2012	21	22.2	ZN-ZS 21.4	ZC21.3	ZMM 20
2013	25.9		ZN-ZS 26.4	ZC26.3	ZMM 25
2014	30.9		ZN-ZS 31.4	ZC31.3	ZMM 30
2015	36.3		ZN-ZS 36.4	ZC 36.3	
2016	41.3		ZN-ZS 41.4	ZC 41.3	

LOP	16.6		ZN-ZS 20	ZC 20	ZMM10
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## DO1-024: SOCIAL CAPITAL INDEX

Definition: The social capital index includes two core concepts: 'bridging' (participation) and 'bonding' (trust). Its maximum score is 100. Bridging social capital is measured by the degree of participation in organizations at different levels: 1. Interests groups; 2. Producers and farmers; 3. Political parties; 4. *Juntas de Acción Comunal* (JAC) and Communitarian organizations, and; 5. *Veedurias*. Its maximum score is 60. Bonding social capital is measured by the degree of trust at different levels: family, friends and neighbors, JAC, justices and control institutions, development institutions, municipal institutions, army and national government. Its maximum score is 40.

Disaggregated by: Geographic area (municipality, department, and CSDI zone)

Social capital incorporates two aspects of social relationships: *bonding* and *bridging*. These concepts refer to the social networks in which a person participates as an individual, and to those that the person is a part of as a member of an organization or association that, together with other local organizations, may represent and promote citizens' interests and demands to the government. Bonding is measured in terms of social trust and institutional trust; participation and social networks measure *bridging*. Bonding is a necessary but not a sufficient component of social capital, and should be present in all communities. *Bonding*, however, may be exclusionary relative to other local groups when it is not accompanied by bridging. The Social Capital Index sums the measures of bonding and bridging, which are based on weighted scales of a series of questions about an individual's perceptions, experiences, and attitudes related to interpersonal relationships.

**These are the questions to be used to calculate the indicator, there are included in the Base Line Structured Survey of the CSDI Impact Evaluation**

### **Cálculo del indicador con los datos de la Encuesta Estructurada de Línea de Base**

*Bonding*: Confianza en la familia; confianza en los amigos y vecinos; Instituciones barriales o veredales; Instituciones de protección, control y justicia; Instituciones departamentales; Instituciones municipales; Fuerzas militares; Gobierno nacional.

#### **Bonding Social Capital - Confianza- 40 puntos**

*Bridging*: Participación en organizaciones (grupos de interés; productores y agricultores; partidos políticos; juntas de acción comunal y organizaciones comunitarias; veedurías).

#### **Bridging Social capital – Participación en organizaciones- 60 puntos**

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
Bonding (Confianza)	802. ¿En esta comunidad, existe la tradición de trabajar en grupos para	802. 1. Sí = 6	8

	realizar proyectos comunitarios? <b>803.</b> ¿Qué tanto confía usted en las personas de la comunidad?	2. No = 0 9. NS/NR = 0 <b>803.</b> 1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0	
	<b>801.</b> Si yo le digo que <u>un vecino</u> se comprometió a ayudarlo en una dificultad que usted tiene, ¿qué tanto confía en que lo haga?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0	<b>2</b>
	<b>1106.</b> A continuación le voy a preguntar que confianza le tiene a las siguientes instituciones del Estado: <b>o.</b> ¿Qué tanta confianza tiene usted en la Junta de Acción Comunal? <b>d.</b> ¿Qué tanta confianza tiene usted en las instituciones de justicia alternativa (Jueces de paz, conciliadores en equidad, centros de conciliación, amigos compondores, árbitros y mediadores)?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0 (para o y d)	<b>4</b>
	<b>1106.</b> <b>c.</b> ¿Qué tanta confianza tiene usted en las instituciones de justicia formal (Fiscalía, jueces, Procuraduría, inspecciones de policía, casas justicia)? <b>h.</b> ¿Qué tanta confianza tiene en los funcionarios de la Defensoría del Pueblo? <b>m.</b> ¿Qué tanta confianza tiene en la Personería Municipal?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0 (para c, h y m)	<b>6</b>
	<b>1106.</b> <b>a.</b> ¿Qué tanta confianza tiene usted en la Gobernación del Departamento?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0	<b>2</b>
	<b>1106.</b> <b>i.</b> ¿Qué tanta confianza tiene en el Concejo Municipal? <b>n.</b> ¿Qué tanta confianza tiene usted en la Alcaldía?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0 (para i y n)	<b>4</b>
	<b>1106.</b> <b>j.</b> ¿Qué tanta confianza tiene en la Policía Nacional? <b>k.</b> ¿Qué tanta confianza tiene en el Ejército Nacional? <b>l.</b> ¿Qué tanta confianza tiene en la Armada nacional?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0 (para j, k y l)	<b>6</b>
	<b>1106.</b> <b>b.</b> ¿Qué tanta confianza tiene usted en el Gobierno Nacional?	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1 4. Mucho = 1.5 5. Muchísimo = 2 9. NS/NR = 0	<b>2</b>
	<b>9. Sector agropecuario y centros de capacitación:</b> <b>1106.</b>	1. Muy poco = 0 2. Poco = 0.5 3. Ni mucho ni poco = 1	<b>4</b>

	<p>e. ¿Qué tanta confianza tiene en las instituciones y funcionarios del sector agropecuario? (Umata, secretarías de agricultura, comités de cafeteros, etc.)</p> <p>g. ¿Qué tanta confianza tiene en los centros de capacitación y formación? (SENA, universidades, CERES, etc.)</p>	<p>4. Mucho = 1.5</p> <p>5. Muchísimo = 2</p> <p>9. NS/NR = 0</p> <p>(para e y g)</p>	
	<p><b>10. Confianza en organizaciones sociales:</b></p> <p><b>1106.</b></p> <p>f. ¿Qué tanta confianza tiene en las organizaciones sociales u ONG's.?</p>	<p>1. Muy poco = 0</p> <p>2. Poco = 0.5</p> <p>3. Ni mucho ni poco = 1</p> <p>4. Mucho = 1.5</p> <p>5. Muchísimo = 2</p> <p>9. NS/NR = 0</p>	<b>2</b>
			<b>TOTAL PUNTAJE (BO) = 40</b>
Bridging	<p><b>901.</b> ¿Pertenece o participa usted o alguien de su hogar en organizaciones o asociaciones como:</p> <p>e. Organizaciones de población desplazada, población retornada o población vulnerable</p> <p>g. Grupo voluntario de trabajo con la comunidad o asociaciones de apoyo para población necesitada</p> <p>h. Asociación de padres de familia</p> <p>i. Grupo deportivo, cultural, o de conservación del medio ambiente</p> <p>l. Sindicatos</p> <p>m. Grupo de mujeres</p> <p>n. Grupos juveniles</p> <p>o. Juntas de programación de emisoras comunitarias</p> <p><b>902.</b> La mayoría de las veces, ¿en qué forma participan en este grupo usted o las personas de su hogar?</p>	<p><b>901.</b> No = 0 / Sí = 2 (para e, g, h, i, l, m, n y o)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5</p> <p>(para e, g, h, i, m, n y o)</p>	<b>32</b>
	<p><b>901.</b></p> <p>c. Asociación de productores y/o comercializadores</p> <p>k. Escuela de Campo de Agricultores (ECAS)</p> <p><b>902.</b></p>	<p><b>901.</b> No = 0 / Sí = 2 (para c y k)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5</p> <p>(para c y k)</p>	<b>8</b>
	<p><b>901.</b></p> <p>f. Movimiento o partido político</p> <p><b>902.</b></p>	<p><b>901.</b> No = 0 / Sí = 2 (para f)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5</p> <p>(para f)</p>	<b>4</b>
	<p><b>901.</b></p> <p>a. Reuniones comunitarias</p> <p>b. Junta de Acción Comunal u otro grupo comunitario</p> <p><b>902.</b></p>	<p><b>901.</b> No = 0 / Sí = 2 (para a y b)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina</p>	<b>8</b>

		<p>en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5 (para a y b)</p>	
	<p><b>901.</b></p> <p><b>d. Veedurías ciudadanas</b></p> <p><b>902.</b></p>	<p><b>901.</b> No = 0 / Sí = 2 (para d)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5 (para d)</p>	<b>4</b>
	<p><b>6. Grupos de vigilancia o seguridad:</b></p> <p><b>901.</b></p> <p><b>j. Organizaciones de vigilancia o seguridad</b></p> <p><b>902.</b></p>	<p><b>901.</b> No = 0 / Sí = 2 (para j)</p> <p><b>902.</b></p> <p>1. Asiste y participa en la toma de decisiones = 2</p> <p>2. Asiste y solamente opina en las reuniones = 1.5</p> <p>3. Sólo asiste a las reuniones = 1</p> <p>4. Nunca asiste a las reuniones = 0.5 (para j)</p>	<b>4</b>
			<b>TOTAL PUNTAJE (BR) = 60</b>
			<b>PUNTAJE TOTAL (MAX) = 100</b>

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.2.1: CSO (Civil society organizations) strengthened			
<b>Indicator Number:</b> DO1-025			
<b>Name of Indicator:</b> Number of CSO members supported by USG assistance			
<b>Is this an Output Indicator?</b> Yes		<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> A civil society organization (CSO) is formed when citizens unite and organize to achieve a shared objective. Participation in the CSO may be defined in terms of shared actions or formal membership. USG assistance may include support for the objectives of the organization or support in strengthening organizational capacity.			
<b>Indicator Collection and Reporting to MONITOR:</b>			
<b>Data collection:</b> Collected by Implementing Partners Completion of the Organization Form for each CSO supported by USG.			
<b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity.			
<b>Reporting:</b> Using the MONITOR Beneficiary Indicator Report format, list the "ID" of the CSO and list the number of people who participate in each CSO during the quarter, disaggregated by sex.			
<b>Calculation of Indicator:</b> The sum of number of participants across organizations and zones			
<b>Unit of Measure:</b> CSO participant			
<b>Disaggregated by:</b> Geographic area ("vereda", municipality, department, and CSDI zone), sex.			
<b>Justification &amp; Management Utility:</b> USAID support to CSOs will increase citizen participation and strengthen social capital.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> Reported by the CSDI implementing partners			
<b>Data Source:</b> Reported by CSDI implementing Partners			
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.			
<b>Frequency and timing of data acquisition:</b> Annually.			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	0		0
2012	1,700 (510F, 1190M)	2,563	ZN-ZS 300(90F, 210M) ZC 740 (222F, 518M) ZMM 660 (198F, 462M)
2013	2,715 (814F, 1,901M)		ZN-ZS 450(135F, 315M) ZC 1,110 (333F, 777M) ZMM 1,155 (347F, 809M)
2014	3,565 (1069F, 2,496M)		ZN-ZS 600 (180F, 315M) ZC 1,480 (444F, 1,036M) ZMM 1,485 (446F, 1,040M)
2015	2,600 (780F, 1820M)		ZN-ZS 750 (225F, 525M) ZC 1,850 (555F, 1,295M)
2016	3,120 (936F, 2,184M)		ZN-ZS 900 (270F, 630M) ZC 2,220 (666F, 1,554M)
LOP	13,700 (4,110F, 9,590M)		ZN-ZS 3,000 (900 F, 2,100 M) ZC 7,400 (2,220 F, 5,180 M) ZMM 3,300 (990 F, 2,310 M)
<b>THIS SHEET LAST UPDATED ON:</b> July 2013 <b>BY:</b> M&E Program			
To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.			

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.			
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.2.1: CSO (Civil society organizations) strengthened			
<b>Indicator Number:</b> DO1-026			
<b>Name of Indicator:</b> Change in Index of Organizational Capacity (ICO) of CSOs supported by USG assistance			
<b>Is this an Output Indicator?</b> No		<b>Is this an Outcome Indicator?</b> Yes	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<p><b>Precise Definition(s):</b> Annual change in ICO. The capacity of CSOs to achieve participant objectives is measured through a scale that includes in its criteria (i) democratic, participatory management; (ii) economic and financial situation; (iii) management and administrative capacity; (iv) services for participants and community; and (v) human development capacity. The indicator will use the ICO (Índice de Capacidad Organizacional/Index of Organizational Capacity), which has a potential total score of 100 points. Technical staff working with each organization will make the assessment. (See attached page for additional information on the calculation and interpretation of the index). The indicator is an average of individual organization scores. See the following page for detailed explanation.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data collection:</b> To be collected by the implementer using the Organization Report Form for each direct beneficiary organization at first contact. <b>Collection Level:</b> Activity, <b>Source:</b> ICO Methodology.  <b>Reporting:</b> Using the MONITOR Beneficiary Indicator Report format, list the "ID" of organization and the ICO score for each beneficiary organization (baseline ICO) and the next year report the percentage change in ICO scores for the organizations that received services between two periods, the base year and the reporting year. In the both baseline and annual reports, for each organization, show the total ICO scores and the sub-score for each component of the overall index and the percentage change in the scores. In addition, at the end of a fiscal year, the implementer is required to report the average of the percent changes for all organizations supported in the reporting year by the project using the "flag" activity (actividad bandera) option in MONITOR.  <b>Calculation of Indicator:</b> Average of individual organization scores and average change in scores for each organization and an average change for all organizations supported. Disaggregation will be based on the organization forms.</p> <p><b>Unit of Measure:</b> Civil society organizations</p> <p><b>Disaggregated by:</b> Size (N of participants), urban/rural, geographic area ("vereda" -when data is available-, municipality, department, CSDI zone)</p> <p><b>Justification &amp; Management Utility:</b> USAID support to CSOs will increase citizen participation and strengthen social capital.</p>			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> Reported by the CSDI implementing partners			
<b>Data Source:</b> Reported by CSDI implementing Partners using the ICO methodology available in MONITOR system			
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.			
<b>Frequency and timing of data acquisition:</b> Annually.			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA.			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b>			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	0		
2012	30%		
2013	30%		
2014	30%		
2015	30%		
2016	30%		
LOP	30%		
<p><b>THIS SHEET LAST UPDATED ON:</b> July, 2012 <b>BY:</b> M&amp;E Program</p> <p>To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.</p>			

## **DO-1 Results Indicator 026: Change in Index of Organizational Capacity (ICO) of CSO's supported by USG Assistance**

### Instructions for Calculating and Reporting an ICO for Results Indicator 026

*[Note: These instructions supplement guidance measuring and reporting on the USAID/Colombia DO-1 Results Indicator 026 “Change in Index of Organizational Capacity (ICO) of Community Based Organizations (CSO) supported by USG Assistance” contained in the Performance Monitoring Plan (PMP) 2009-2014 Indicator Reference Sheet (IRS).]*

Definition: The DO-1 Results Indicator 026 “Change in Index of Organizational Capacity (ICO) of CSO's supported by USG Assistance” is reported annually, starting at the end of the first year (Year 1) of a USAID project intervention (activity), as the percent change in the Index of Organizational Capacity Index (Indice de Capacidad Organizacional - ICO) for the civil society organizations (CSO) receiving USG assistance. The ICO measures the level of capacity of an organization to achieve its members' objectives at a given point in time. Indicator 026 measures changes in the ICO between two points in time: the ICO value calculated at start of the capacity building activity (the base year, Year B) and the reporting year (Year N). The ICO is calculated as a scale between 0 and 100. The Indicator is measured as a percent (%) change between the base Year B and reporting Year N.

USAID Implementing Partners (IPs) are responsible for calculating and compiling annual ICO measures by applying a standardized questionnaire to each beneficiary organization with which they are working. The ICO is measured and reported:

- individually for each beneficiary CSO,
- as an average for aggregated groups of organizations – e.g., size (number of members),
- geographic area (municipality, department, and CELI zone),
- principal activity (producer association, ethnic/vulnerable group, community, social, other) and
- as an average for a USAID project as a whole.

USAID Implementing Partners (IPs) are also responsible for calculating and reporting percent changes in the project-level average of organizational ICO scores between two time periods, individual ICO in the base year and the reporting year during which a capacity building activity takes place. When the capacity building activity begins and ends in a time period that is shorter than one year, IPs report in change in the ICO between activity start and the end of the reporting year in which the capacity building activity begins.

Background: The ICO is a diagnostic monitoring tool that summarizes the capacity of an organization to carry out its functions and achieve its goals. The ICO has been applied and adapted by various development organizations since 2003 to a range of organizations – community, producer, etc. The USAID DO-1 Indicator 026 IRS encourages IPs to use an ICO questionnaire and rating sheet similar to that used in

earlier USAID/Colombia ADAM and MIDAS projects. Information about ICO calculation and copies of ICO questionnaire and rating sheet can be found at: [www.ard.org.co/MIDAS/midas\\_english/departamentos/antioquia/pdf/indice\\_ico\\_ulti\\_mo.pdf](http://www.ard.org.co/MIDAS/midas_english/departamentos/antioquia/pdf/indice_ico_ulti_mo.pdf).

Calculating the ICO: The ICO questionnaire records basic information about organizational characteristics and is designed as a participatory diagnostic tool for capacity-building. Responses to the questionnaire are used to compile the rating sheets and to calculate the index (ICO) to monitor organizational capacity change over time and as a result of capacity building activities. The index, with a maximum score of 100, is composed of numerical scores applied to each of five weighted or un-weighted characteristics of an organization:

- Democratic and participatory management
- Economic and financial situation
- Management and administration
- Services provided to the members or the community
- Human resource development

IPs should use the Organizational Beneficiary Form to record the baseline ICO measure for each organization at the initiation of an assistance activity. At the end of each fiscal year the implementing partner will report the ICO score by organization using a MONITOR beneficiary indicator report form for activities conducted during all or part of that year.

When to calculate and when NOT to calculate and organization's ICO .....

- Calculate and report the ICO for organizations that are involved in activities that provide:

Long term, or short-term but concentrated, technical advisory assistance: An implementer conducts a capacity building activity that provides sustained technical advisory assistance to a civic organization or productive association in, say, establishing a financial accounting systems, members' product handling, storage or marketing.

Periodic sustained technical advisory assistance: An implementer provides short – e.g., one or two-day training or technical advice – periodically over an extending period of months or years with the clear goal of working with a civic organization, productive association, or government institution to strengthen its administrative operations and services to members or to the community.

- Do NOT calculate an ICO for organizations involved in activities that are:

Rapid Impact Activities. Where an implementing partner has provided grant or technical support to carry out a 'rapid impact' activity and the focus is not part of a broader capacity building a

One-time training activities. For example, do not calculate an ICO for organizations whose members may be involved in a training activity or for training activities that may involve participation of members from several civic organizations or productive associations.

### Notes on Measuring and Reporting the ICO:

The ICO is a tool for measuring the capacity of Civil Society Organizations supported by USAID Implementers. The tool should be applied at least at two points in times for each organization, at the start of the activity (baseline) and completion (final). The interval of time between ICO assessments should be no less than six months. The timing of the follow-up and/or final ICO assessments should take into account the characteristics of the organization, the proposed activity objectives, and the duration of USAID support. Even though the reporting of the ICO is annual, the time between the baseline and follow-up ICO assessments does not necessarily have to be annual.

The reporting of the ICO will be completed at the end of each fiscal year and will only include organizations that have a baseline and follow-up measurement of the ICO covered during the reporting period. If an activity starts during a fiscal year but the final ICO measurement is not completed before the end of the same fiscal year, the percent change in the ICO will not be reported until the following fiscal year or whenever the final ICO measurement is taken.

Calculation Formula:  $[(ICO_{Final} - ICO_{Baseline}) / ICO_{Baseline}] * 100$

- Where  $ICO_{Baseline}$  and  $ICO_{Final}$  are the absolute value of the ICO points calculated based on the ICO methodology.

### Reporting in MONITOR:

Implementing partners report the ICO at the end of each Fiscal Year.

- Activity Level: Implementing partners complete the Civil Society Organization (CSO) form for each organization supported by the activity that will be associated with indicator DO1-26. Each form requires basic information about the CSO and registration of at least two ICO values (baseline and final). The value that should be registered in the CSO form is the absolute value in points for the ICO, not the change in scores. (Note: Even though the activity may not affect all five components measured by the ICO, the calculation of the ICO does not change – all five components are taken into account). To report the indicator value for an activity that is supporting more than one organization, the IP should take the average of the change in **ICO Points** for each activity supported during the fiscal year (See examples below).
- “Flag” Activity Level: The IP will report the aggregated ICO percent change for the fiscal year using the “Flag” Activity option. The value will be the simple average of all of the **percent changes** in ICO for all of the organization supported by the project. (See examples below).

### EXAMPLE 1 of ICO Reporting

Civil Society Org. (CSO)	Year 1					Year 2					% Cambio acumulado
	Target (points)	Baseline ICO Value	Final ICO Value	Change in Points	% Change	Target (points)	Baseline ICO Value	Final ICO Value	Change in Points	% Change	
CSO 1	10	35	42	7	20	-	-	-	-	-	20%
CSO 2	10	20	35	15	75	-	-	-	-	-	75%
CSO 3	10	46	50	4	8.7	-	-	-	-	-	8.7%
CSO 4	10	18				-	-	30	12	66.6	66.6%
Average % Change for Year 1 (CSO1+CSO2+CSO3)/3											34.6%
CSO 5	-	-	-	-	-	10	38	52	14	36.8	36.8%
CSO 6	-	-	-	-	-	10	55	66	11	20	20%
CSO 7	-	-	-	-	-	10	44	60	16	36.3	36.3%
Average % Change for Year 2 (CSO4+CSO5+CSO6+CSO7)/4											40%
Accumulated Average % Change (Year1+Year2)/2											37.3%

Report in Monitor – Example for Year 1:

Activity Level: for each CSO's form

	Medición Línea Base	Medición Seguimiento/final
CSO 1	35	42
CSO 2	20	35
CSO 3	46	50

Note that in this case, the follow-up value for the ICO for CSO4 was not reported in Year 1, so it is not reported during this reporting period -Year1.

Reporting at the “Flag” Activity level for Year 1:

% change Year 1 (CSO1+CSO2+CSO3)/3	34.6%
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Performance Indicator Reference Sheet						
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.						
<b>Name of Intermediate Result:</b> IR 1.2 Social development strengthened.						
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.2.2: Alliance between community and the State promoted						
<b>Indicator Number:</b> DO1-028						
<b>Name of Indicator:</b> Level of accountability in CSDI municipalities						
<b>Is this an Output Indicator?</b> No				<b>Is this an Outcome Indicator?</b> Yes		
<b>Is this an (F) or a "strategic" indicator?</b> No						
DESCRIPTION						
<b>Precise Definition(s):</b> A positive use of the accountability mechanisms is measured by responses to questions that ask for the frequency with which the municipality reports to its citizens about the management of the resources it administers; the frequency with which it invites the community to express their opinion about subjects of their interest; and the frequency with which it takes into account the citizens' opinions when taking decisions						
<b>Indicator Collection and Reporting to MONITOR:</b>						
<b>Data Collection:</b> M&E Program, <b>Collection Level:</b> Flag activity, <b>Source:</b> M&E Program from the Structured Baseline Survey of the CSDI Impact Evaluation						
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format						
<b>Calculation to MONITOR:</b> From the CSDI Survey Impact Evaluation						
<b>Unit of Measure:</b> Persons						
<b>Disaggregated by:</b> CSDI zone, Impact Evaluation cluster						
<b>Justification &amp; Management Utility:</b> Accountability is a central component of governance. The indicator measures change in citizen perception of accountability at the municipal level in CSDI zones.						
PLAN FOR DATA ACQUISITION BY USAID						
<b>Data collection method:</b> To be collected by CSDI Impact Evaluation M&E Program						
<b>Data Source:</b> Collected from the Structured Survey of the CSDI Impact Evaluation						
<b>Method of data acquisition by USAID:</b> Collected from CSDI Impact Evaluation M&E Program through the USAID M&E "MONITOR" MIS						
<b>Frequency and timing of data acquisition:</b> Biennial, CSDI Impact Evaluation data (three measurements in total)						
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities or to be calculated and budgeted by new projects.						
<b>Individual(s) responsible at USAID:</b> M&E Officer at CLE Office and M&E Program COR						
<b>Individual(s) responsible for providing data to USAID:</b> COP of M&E Program						
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS, Supporting files kept at M&E Program offices.						
DATA QUALITY ISSUES						
<b>Date of Initial Data Quality Assessment:</b> NA						
<b>Known Data Limitations and Significance (if any):</b> To be determined.						
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.						
<b>Date of Future Data Quality Assessments:</b> NA						
<b>Procedures for Future Data Quality Assessments:</b> NA						
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING						
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.						
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.						
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.						
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.						
OTHER NOTES						
<b>Notes on Baselines/Targets:</b> Structured Baseline Survey of the CSDI Impact Evaluation						
<b>Other Notes:</b>						
PERFORMANCE INDICATOR VALUES						
Fiscal Year	Target	Actual	Notes			
2011 (B/LINE)	NA					
2012	22.9	23.1	ZN-ZS 24.4	ZC 25	ZMM 19.4	
2013	31.3		ZN-ZS 29.4	ZC 30	ZMM 34.4	
2014	36.3		ZN-ZS 34.4	ZC 35	ZMM 39.4	
2015	39.7		ZN-ZS 39.4	ZC 40		
2016	44.7		ZN-ZS 44.4	ZC 45		
LOP	20		ZN-ZS 20	ZC 20	ZMM 20	
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program						
To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.						

## DO1- 028: ACCOUNTABILITY INDEX IN CSDI MUNICIPALITIES.

Definition: An approvable use of the *accountability* mechanisms is measured by responses to questions that ask for the frequency with which the municipality reports to its citizens about the management of the resources it administers; the frequency with which it invites the community to express their opinion about subjects of their interest; and the frequency with which it takes into account the citizens' opinions when taking decisions.

Accountability ensures that actions and decisions taken by public officials of the GOC are subject to oversight so as to guarantee that government initiatives meet their stated objectives and respond to the needs of the community they are meant to be benefiting, thereby contributing to better governance and poverty reduction.

To be counted the government must meet *answerability* criteria, which refers to the obligation of the government, its agencies and public officials to provide information about their decisions and actions and to justify them to their citizens.

Reporting frequency: Annually, Collected and reported by the Base Line Structured Survey of the CSDI Impact Evaluation  
Disaggregated by: Geographic area: CSDI zone.

### Cálculo con la Encuesta Estructurada de Línea de Base

VARIABLES	PREGUNTAS – EE.LB.	CÁLCULO DEL PUNTAJE	PUNTAJE (VALOR MAX)
Rendición de cuentas	<b>1107.</b> ¿El alcalde de su municipio le informa con regularidad a la comunidad en qué y cómo se ha gastado los recursos del municipio?	1 (sí) = 40 2 (no) = 0 3 (a veces) = 20	<b>40</b>
Espacios de participación y veeduría	<b>1108a.</b> ¿Con qué frecuencia las autoridades municipales invitan a la comunidad a expresar su opinión frente a temas de interés comunitario (Leer opciones)?	1 (nunca) = 5 2 (pocas veces) = 10 3 (algunas veces) = 15 4 (frecuentemente) = 20 5 (siempre) = 30	<b>30</b>
Participación en la toma de decisiones	<b>1108b.</b> ¿Con qué frecuencia las autoridades municipales tienen en cuenta, a la hora de tomar decisiones, las opiniones que expresa la ciudadanía (Leer opciones)?	1 (nunca) = 5 2 (pocas veces) = 10 3 (algunas veces) = 15 4 (frecuentemente) = 20 5 (siempre) = 30	<b>30</b>
			<b>PUNTAJE TOTAL (MAX) = 100</b>

Performance Indicator Reference Sheet			
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.			
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed			
<b>Name of Sub-intermediate Result:</b> N/A			
<b>Indicator Number:</b> DO1-029			
<b>Name of Indicator:</b> Value of incremental sales of key supported products in CSDI zones			
<b>Is this an Output Indicator?</b> No		<b>Is this an Outcome Indicator?</b> Yes	
<b>Is this an (F) or a "strategic" indicator?</b> No			
DESCRIPTION			
<b>Precise Definition(s):</b> Value of sales of key products measured at the level of local associations and " <i>Centros de acopio</i> " (collection and storage sites). CSDIimplementers will provide a list of the local associations and " <i>Centros de acopio</i> " where collect the information. The key products are listed on the following page. "Incremental sales" measures the difference between gross sales recorded in a reporting period and baselines sales.			
<b>Indicator Collection and Reporting to MONITOR</b>			
<b>Data Collection:</b> Collected by Implementing Partner,Partner, <b>Collection level:</b> Activity, <b>Source:</b> Advances activity			
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the value of incremental sales for each product supported during the quarter showing the breakdown by geographic area, and product. In addition, at the end of the fiscal year, the implementer should report the sum of the value of incremental sales for the reporting period for the project using the "flag" activity (actividad bandera) option in MONITOR.			
<b>Calculation of Indicator:</b> Sum across zones			
<b>Unit of Measure:</b> Incremental sales.			
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone) and product			
<b>Justification &amp; Management Utility:</b> Increasing sales of local agricultural and non-agricultural products is one measure of growth in the economic base through increased production or productivity. The measure through associations and collection centers means that the growth measured is produced by small and medium producers.			
PLAN FOR DATA ACQUISITION BY USAID			
<b>Data collection method:</b> To be collected by CSDI implementing partners			
<b>Data Source:</b> Reported by CSDI implementing partners			
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.			
<b>Frequency and timing of data acquisition:</b> Quarterly (suggested frequency). TBD according to each product's cycle (annually at least).			
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDIcontracts/activities.			
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts			
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDIprojects			
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.			
DATA QUALITY ISSUES			
<b>Date of Initial Data Quality Assessment:</b> NA			
<b>Known Data Limitations and Significance (if any):</b> To be determined.			
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.			
<b>Date of Future Data Quality Assessments:</b> NA			
<b>Procedures for Future Data Quality Assessments:</b> NA			
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING			
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.			
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.			
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.			
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.			
OTHER NOTES			
<b>Notes on Baselines/Targets:</b> TBD per product. Baseline methodology TBD.			
<b>Other Notes:</b>			
PERFORMANCE INDICATOR VALUES			
Fiscal Year	Target	Actual	Notes
2011 (B/LINE)	Baseline: TBD per product.		
2011			
2012			
2013			
2014			
2015			
2016			
LOP	TBD		
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.			

## **DO1 Results Indicator 029: Value of Incremental Sales of key supported products in CSDI zones**

### **Instructions for Calculating Results Indicator 029 and for Entering its Measures in MONITOR**

*[Note: These instructions supplement information on the USAID/Colombia DO-1 Results Indicator 029 “Value of incremental sales of key supported products in CELI zones” contained in the Mission’s Performance Monitoring Plan (PMP) 2009-2014 Indicator Reference Sheet.]*

Discussion: This indicator measures changes in the competitive capacity of beneficiary agricultural producers receiving USG support for increasing value of what they sell. “Value of Incremental Sales” is an outcome indicator resulting from improved productivity, greater access to production credits, better market conditions and stronger selling power of producers receiving USG support in cash, kind or technical assistance. The focus of measurement, therefore, should be on the volume and price of principle products sold by agricultural producers receiving USG support during the time – or immediately after - USG support is provided. Not all product sales need be measured and not all producers included, particularly in cases where interventions are introducing new commodities and where more than one time period –season or year – may be required before the product can be brought to market – as is the case of tree crops such as coffee, cacao, mango, avocado, plantain, dairy and some other products. This indicator is reported annually at the end of the USAID fiscal year; however, information on the value of incremental sales may be gathered at any time during the reporting fiscal year during the most appropriate market period as long as that period of information gathering is the same for each fiscal year report.

Value of incremental sales is the difference in the value of sales between two time periods – quarters or years - of selected principle agricultural commodities being produced and sold by beneficiaries of USG support. Incremental sales value is calculated by subtracting the base year sales value from the value of sales in the next quarterly or annual sales reporting period. It is expressed as a value in thousands of US Dollars.

Sales value is calculated by multiplying the volume of reported sales by the average market price prevailing at the time the product is sold. Information used to calculate sales value is collected from records of local producer association – or collection center (*centro de acopio*) - records which are validated by implementing partner observations. At the beginning of their programs, CSDI implementers prepare lists of the local associations and “*centros de acopio*” to be used to track and compare sales volumes and values; these lists should not change between reporting periods.

Qualifying associations must be made up of - or collection centers must be used by - producers receiving USG support in cash, kind or technical assistance. To assure data reporting consistency, the lists of local associations and collection centers must be the same during the baseline (initial) period (year or quarter) of data collection and subsequent periods (years or quarters).

Qualifying products must be the principle products sold by supported producers during the base period and at least the next reporting period. Cases are likely where one product makes up the bulk of sales in one reporting period and another product in a subsequent reporting period. This can result from producers changing to more

competitive products or products with lower per unit production costs. In such cases, volumes and prices for each of these principle commodities should be collected, multiplied to calculate sales value and the sales values summed together to calculate the total sales value for a particular producer association or unit of measure.

Methods of data collection: Sales volume data should be collected from local association or collection center records and certified by an implementing partner employee or by a third independent party. Implementing partners should record sales volume and market price for actual transactions taking place for all sales during the reporting period. (Note: For some products sales will be seasonal, for others, sales may be year-round. Implementing partners should specify the time periods during which sales volumes are recorded and market prices collected.)

Disaggregations: Incremental sales values should be calculated for the primary product sold in the base year and at least one subsequent reporting period. Geographic area (municipality, department, and CSDI zone) and product.

Illustrative List of Key Products	
Cacao	Cocoa
Caña	Sugar cane
Caucho	Rubber
Café	Coffee
Miel	Honey
Tuberculos: yuca, name	Root Crops
Granos: arroz, maiz, ajonjoli	Grain Crops
Frutas:mango, aguacate, limon, coco	Fruit Crops
Platano	Plantain
Carne	Meat
Leche	Milk
Pesca Marítima	Fishing
Acuicultura: Piscicultura, Camaronicultura	Fish and Shrimp farming
Ganado	Livestock
Productos no- agropecuarios	Non-agricultural

Reporting Results Indicator 026 Incremental Sales Value calculations to MONITOR: Each USAID Implementing Partner is responsible for periodically - annually or quarterly calculating incremental sales values for each product it supports based on volume and price information it collects from local producers, producer associations or collection centers. Note: Price collection points may differ for implementer and commodity but should not change for that implementer or commodity once decided. Each Implementing Partner is responsible for reporting sales values, incremental sales values at two levels of aggregation for all qualifying products – those products for which a local association or collection center is listed and has been sold by the producer for at least two time periods – quarters, seasons or years:

- i) Project-level incremental sales value (in thousands of US\$) that aggregates (sums) sales values for ALL qualifying products each fiscal year - this is the Results Indicator 029 outcome value
- ii) Total incremental sales value (in thousands of US\$) for each product (sum of incremental sales for key product achieved by all project activities)

disaggregated by location (municipality, department, CSDI zone) as defined in the Program's Indicator Reference Sheets

MONITOR contains fields for each Implementing Partner to enter:

- A product code, product name and activity location – CSDI zone, department, municipality, *vereda* and local association - for each product for which a sales value is calculated from the sales volume and market price;
- An annual (or quarterly) sales value disaggregated by department, municipality, *vereda*, local association;
- An aggregated (sum) of annual/quarterly project level incremental sales value

Calculating Results Indicator 029 – Incremental Sales Value:

Sales value is calculated by multiplying the volume of reported sales by the average market price prevailing at the time the product is sold.

Incremental sales value is calculated by subtracting the baseline year sales value from the value of sales in the next quarterly or annual sales reporting period. It is expressed as a value:

**Incremental sales value = Actual (A) sales value minus the Base year (B) sales value**

**or as  $V_{(\text{Year A})} - V_{(\text{Year B})}$**

Notes on Measuring and Reporting the Incremental Sales Value:

The Baseline sales value is defined as the value of sales of a producers' organization, association, or collection sites (*centros de acopio*) that has not received support from USAID or the sales value that these organizations would expect to earn without the support of USAID. The information to calculate the baseline sales value can be obtained from the formal records of the associations or collection sites. In the case that an organization does not have the information for the baseline (such as at the beginning of activities to support commercialization or the reactivation of organizations), this information can be estimated based on historical sales records of similar organizations, prices and average sales for the region, and the specific characteristics of the organization.

Current or Actual Sales Value corresponds to the sales value of a producers' organization, association, or collection sites (*centros de acopio*) in a set period after receiving support from USAID. Information used to calculate sales value is collected from records of local producer association – or collection center records which are validated by implementing partner observations.

Data Collection and Reporting: The implementing partners will define the key products and project activities that will contribute to measuring the incremental sales value. Two measurements need to be taken, a baseline and a follow-up for each key product. Taking into account that the productive cycle of each product is different, the time between the two measurements may vary for each key product. The timing of data collection for each crop is determined by the implementing partner and must remain the same across years. Reporting of the incremental sales value will be conducted at the end of the fiscal year. The value reported is the incremental sales value, or the difference in the value of sales from the baseline to the follow-up sale, for activities that have completed both measurements by the end of the reporting fiscal year. Activities that have not measured a follow-up sales value in the

reporting fiscal year will not be reported until the fiscal year when the follow-up value is available.

Reporting in MONITOR:

- Activity Level: Implementing partners periodically report information for each key product supported by an activity in the activity report form. This includes information about the product, the baseline sales value and the follow-up sales values (values are in thousands of US Dollars).
- “Flag” Activity Level: The implementing partner will report the aggregated (summed) sales value for all key products supported during the fiscal year using the “Flag” Activity option in MONITOR. The value (in thousands of US Dollars) is the sum of all of the incremental sales values of all key products supported by project activities during the fiscal year.

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.1: Rural development programs in CSDI zones implemented.					
<b>Indicator Number:</b> DO1-030					
<b>Name of Indicator:</b> Number of strategic rural and economic development programs with territorial approach implemented in CSDI municipalities					
<b>Is this an Output Indicator?</b> Yes			<b>Is this an Outcome Indicator?</b> No		
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<b>Precise Definition(s):</b> The strategic rural and economic development programs generated by GoC ministries and agencies to be implemented in rural areas are not necessarily reaching CSDI municipalities. The goal is to bring these programs to these municipalities and increase the total number of programs operating in each municipality The indicator is the total number of programs operating in one or more municipalities in the zone. i.e. the same program cannot be counted twice if present in more than one municipality. See list of programs on the next page.					
<b>Indicator Collection and Reporting to MONITOR:</b>					
<b>Data Collection:</b> Collected by Implementing Partner, <b>Collection level:</b> Activity flag, <b>Source:</b> Advances activity					
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of strategic rural and economic programs with territorial approach implemented during the quarter showing the breakdown by geographic area and type of social program.					
<b>Calculation of Indicator:</b> Sum across zones					
<b>Unit of Measure:</b> Strategic rural and economic development programs					
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone) and type of social program					
<b>Justification &amp; Management Utility:</b> The presence of the programs in the municipality is an indicator of municipal capacity for service delivery, of links between the municipality and the State, and of increased support for local economic development.					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing partners.					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Subsumed under existing CSDI contracts					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	2		ZN-ZS N/A	ZC N/A	ZMM 2
2012	9	4	ZN-ZS 0	ZC 4	ZMM 5
2013	21		ZN-ZS 7	ZC 7	ZMM 7
2014	27		ZN-ZS 10	ZC 8	ZMM 9
2015	20		ZN-ZS 12	ZC 8	
2016	22		ZN-ZS 14	ZC 8	
LOP	31		ZN-ZS 14	ZC 3	ZMM 23
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program To avoid version control problems, type the date and author of most recent revision or update to this reference sheet.					

**DO1-030: Number of strategic rural and economic development programs with territorial approach implemented in CSDI municipalities**

Definition: The territorial (rural and economic) development programs depend from GOC's ministries and agencies but are not necessarily reaching CSDI municipalities. The goal is to bring down these programs to these municipalities. Below is a list of Programs

Disaggregated by: Geographic area (municipality, department, and CSDI zone).

Data source and Reporting Frequency: Reported by CSDI implementing Partners/Quarterly.

Programa	Program	Institución
Desarrollo Rural con Equidad –DRE	DRE	Ministerio de Agricultura
Oportunidades rurales	Rural Opportunities	Ministerio de Agricultura
Alianzas productivas	Productive Alliances	Ministerio de Agricultura
Mujer rural	Rural Women	Ministerio de Agricultura
Acceso a tierras /Incoder	Land Access/Incoder	Ministerio de Agricultura
Distritos de riego/Incoder	Irrigation/Incoder	Ministerio de Agricultura
Créditos/Incoder	Credits/Incoder	Ministerio de Agricultura
Impuestos/Incoder	Tax/Incoder	Ministerio de Agricultura
Red de Seguridad Alimentaria (ReSA)	Food security (ReSA)	DAPS
Capital para empresarios	Capital for entrepreneurs	DAPS
Red de ingresos y empresarios	Income and entrepreneur network	DAPS
Microcrédito rural/ Banco Agrario	Agrarian Bank	Ministerio de Agricultura
Corresponsales no-bancarios /Banco Agrario	Agrarian Bank	Ministerio de Agricultura
Banca de las Oportunidades	Opportunities Bank Program	Bancoldex
Jóvenes Emprendedores		SENA

Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.1: Rural development programs in CSDI zones implemented.					
<b>Indicator Number:</b> DO1-031					
<b>Name of Indicator:</b> Number of people benefitted by strategic rural and economic development programs with territorial approach, implemented in CSDI municipalities.					
<b>Is this an Output Indicator?</b> Yes				<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<b>Precise Definition(s):</b> Number of people benefiting from rural and economic development programs with territorial approach – counted in the previous indicator- that are being implemented in CSDI municipalities. Implementers will be asked to estimate using reasonable methods the number of beneficiaries of rural and economic development programs. A list of programs is provided as an annex to this document					
<b>Indicator Collection and Reporting to MONITOR:</b>					
<b>Data Collection:</b> To be collected by the CSDI implementing partner, <b>Collection Level:</b> Activity Flag, <b>Source:</b> Advances Activity					
<b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number and percentage of people benefitted by strategic rural and economic programs with territorial approach implemented during the quarter showing the breakdown by geographic area and sex					
<b>Calculation of Indicator:</b> Sum and average percentage across zones.					
<b>Unit of Measure:</b> Number of people.					
<b>Disaggregated by:</b> Geographic area (municipality, department, and CSDI zone); sex (if a direct count of people is not possible, implementers should estimate breakdown by sex using reasonable methods)					
<b>Justification &amp; Management Utility:</b> This indicator complements the previous on with a measure of depth of presence of these programs as well as extent.					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	0		ZN-ZS 0	ZC 0	
2011	898		ZN-ZS 0	ZC 0	ZMM 898
2012	21,900	2,446	ZN-ZS 6.900	ZC 10.000	ZMM 5.000
2013	30,350		ZN-ZS 10.350	ZC 15.000	ZMM 5.000
2014	38,800		ZN-ZS 13.800	ZC 20.000	ZMM 5.000
2015	42,250		ZN-ZS 17.250	ZC 25.000	
2016	50,700		ZN-ZS 20.700	ZC 30.000	
LOP	184,898		ZN-ZS 69.000	ZC 100.000	ZMM 15.898
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.2: Competitiveness increased					
<b>Indicator Number:</b> DO1-032					
<b>Name of Indicator:</b> Private sector funds leveraged in CSDI zones attributable to USG Interventions (USD Million)					
<b>Is this an Output Indicator?</b> Yes			<b>Is this an Outcome Indicator?</b> No		
<b>Is this an (F) or a "strategic" indicator?</b> Yes, strategic indicator					
DESCRIPTION					
<p><b>Precise Definition(s):</b> Private sector funds leveraged refers to the value of co-investments made by private enterprises, individuals, or local-level community CSOs (excluding local public funds) to support development in CSDI zones. Private sector enterprises refer to those that operate with over 50% private funds. The indicator will both measure the committed value of leverage and the executed leveraged value. Committed values are fund commitments made by private actors that have not been disbursed. Leveraged refers to cash, in-kind, credit, labor provided by the community, and premium price resources invested in or that directly support rural and economic development activities in CSDI zones. USG assistance shall support but not replace the role of GoC institutions in attracting private sector investment to the zones. In-kind contributions will be monetized by the investor. Labor will be monetized based on the value of day labor in the area. The targets of this indicator are for the executed funds and not for the committed funds.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data Collection:</b> Collected by Implementing Partner, <b>Collection Level:</b> Activity, <b>Source:</b> Advances Activity  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the value of private sector funds leveraged during the quarter, showing the breakdown by:</p> <ul style="list-style-type: none"> <li>• Committed or executed funds</li> <li>• Local (in the department), Non-Local (national or outside the department),</li> <li>• Cash, in-kind, credit, premium prices;</li> <li>• Source; enterprise, individual, community;</li> <li>• Geographic area</li> </ul> <p><b>Calculation of Indicator:</b> Sum of Simple Indicator reports.</p> <p><b>Unit of Measure:</b> Dollars (Data initially collected in local currency should be converted into dollars using the Banco de la República average exchange rate in effect over the last quarter. Monetary (in COP and USD).</p> <p><b>Disaggregated by:</b> Funds committed or executed; Cash, in-kind, credit, premium prices; source – enterprise, individual, community; geographic area ("vereda," municipality, department, CSDI zone).</p> <p><b>Justification &amp; Management Utility:</b> Private sector investment is critical to ensuring long-term sustainability of consolidation and livelihood activities in achieving the Mission's strategic objective.</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> FY2014					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> FY2016					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP).					
OTHER NOTES					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target USD Million	Actual	Notes		
2011 (B/LINE)	USD \$ 0,384		ZN-ZS 0	ZC 0	ZMM 0,384
2012	USD \$ 8	USD\$2.1	ZN-ZS USD 2	ZC USD 5	ZMM USD 1
2013	USD \$ 18.5		ZN-ZS USD 7	ZC USD 10	ZMM USD 1.5
2014	USD \$ 16.116		ZN-ZS USD 9	ZC USD 5	ZMM USD 2,116
2015	USD \$ 24		ZN-ZS USD 9	ZC USD 15	
2016	USD \$ 18		ZN-ZS USD 3	ZC USD 15	
LOP	USD \$ 85		ZN-ZS USD30	ZC USD 50	ZMM USD 5
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.2: Competitiveness increased					
<b>Indicator Number:</b> DO1-033					
<b>Name of Indicator:</b> Number of private-public alliances formed					
<b>Is this an Output Indicator?</b> Yes				<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<p><b>Precise Definition(s):</b> A partnership –or alliance- is considered formed when there is a clear written agreement from a private and a public entity to work together to achieve a common objective. There must be either a cash or in-kind significant contribution to the effort by both the public and the private entity. In counting partnerships we are not counting transactions with a partner entity; we are counting the number of partnerships formed. Each partnership counted needs to specify the total amount of funds in the partnership disaggregated by counterpart contributions –public, private and USG contributions-. Private sector contributions are defined as funding received from a private sector partner, and/or private sector funding that can be funneled through NGOs, foundations, or other private philanthropic organizations. Public entities include: multilateral development institutions, national governments of developing countries, and universities or other arms of national governments. For-profit enterprises and non-governments organizations (NGOs) are considered private.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data collection:</b> To be collected by CSDI implementing partner: <b>Collection Level:</b> Activity <b>Source:</b> Advances Activity.  <b>Reporting:</b> Using the MONITOR Simple Indicator Report Format, list the number of public-private partnerships (alliances) formed during the quarter, showing the breakdown by: partnership amount (in USD) and disaggregation of counterpart contributions (percent attributed to each partner.)  <b>Calculation of Indicator:</b> Sum of Simple Indicator reports.</p> <p><b>Unit of Measure:</b> Alliances or partnerships.  <b>Disaggregated by:</b> Partnership amount and counterpart contributions  <b>Justification &amp; Management Utility:</b> This indicator measures USG leveraging of private and public sector resources to promote transformational development.</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by CSDI implementing partners					
<b>Data Source:</b> Reported by CSDI implementing partners					
<b>Method of data acquisition by USAID:</b> CSDI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> NA					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined					
<b>Date of Future Data Quality Assessments:</b> NA					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	3		ZN-ZS 0	ZC 0	ZMM 3
2012	18	19	ZN-ZS 4	ZC 5	ZMM 9
2013	18		ZN-ZS 5	ZC 5	ZMM 8
2014	19		ZN-ZS 4	ZC 5	ZMM 10
2015	4		ZN-ZS 4	ZC 0	
2016	3		ZN-ZS 3	ZC 0	
LOP	65		ZN-ZS 20	ZC 15	ZMM 30
<b>THIS SHEET LAST UPDATED ON:</b> July, 2012 <b>BY:</b> M&E Program					
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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI/CELI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.2: Competitiveness increased					
<b>Indicator Number:</b> DO1-034					
<b>Name of Indicator:</b> Number of rural households benefiting directly from USG interventions					
<b>Is this an Output Indicator?</b> Yes				<b>Is this an Outcome Indicator?</b> No	
<b>Is this an (F) or a "strategic" indicator?</b> Yes (F 4.5.2-13)					
DESCRIPTION					
<p><b>Precise Definition(s):</b> A household is a beneficiary, if at least one member of the household is a beneficiary. An individual is a beneficiary, if he/she is committed to a project activity or is in direct contact with all the interventions provided by the project. Beneficiaries include: households with persons receiving goods and services of co-implementing partners (inputs or plant material for crops; support in the improvement or construction of new infrastructure with direct connection to services such as sewage, water, electricity and / or gas; construction or improvement of housing; households with access to new programs or services in the context of the intervention, such as access to financial services and formalization processes; restitution of land and cadastral processes (cadastral training, maintenance or updates), provided they have met the requirements to consider that the process has effectively completed its cycle; and when participation in trainings (knowledge or skills imparted through interactions are intentional, structured and designed for this purpose). Rural is defined as all areas of the municipality outside the county capital. A direct beneficiary can also be considered to be in a rural household, based on analysis of the Comprehensive Municipal Performance Index (<i>índice de desempeño municipal</i>), the percent of the population with Basic Needs Unsatisfied (NBI), and there is a concentration of the population who meet two conditions, that is: to have a local Comprehensive Municipal Performance Index that is low or critical and the percentage of people in NBI exceeds 50%, and/or that the population is mostly concentrated in rural areas.</p> <p><b>Indicator Collection and Reporting to MONITOR:</b>  <b>Data collection:</b> To be collected by the CSDI/CELI implementing partner using the MONITOR beneficiary family form, which is completed and entered into MONITOR upon first contact with the family, <b>Collection Level:</b> Activity, <b>Source:</b> Advances activity.  <b>Reporting:</b> Using the MONITOR Family Beneficiary Indicator Report format, list the "cédula" of head of household (or other head of household ID number) for the families that received services during the quarter. Disaggregation is based on the beneficiary form.  <b>Calculation of Indicator:</b> Sum of reports across zones</p> <p><b>Unit of Measure:</b> Rural households</p> <p><b>Disaggregated by:</b> By sex household type: Female no male (FNM); male no female (MNF); male and female (M&amp;F); continuing households; new households; ethnicity, geographic area (vereda, municipality, department, zone).</p> <p><b>Justification &amp; Management Utility:</b> Indicator will be used by USAID/Washington to monitor performance, decide budget allocations, and report to stakeholders. USAID/Colombia will use it for program management and decision-making.</p>					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by CSDI/CELI implementing partners					
<b>Data Source:</b> Reported by CSDI/CELI implementing partners					
<b>Method of data acquisition by USAID:</b> CSDI/CELI implementing partners through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing CSDI/CELI contracts/activities.					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI/CELI zone contracts					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI/CELI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> November 2012					
<b>Known Data Limitations and Significance (if any):</b>					
<b>Actions Taken or Planned to Address Data Limitations:</b> Recommendations from DQA FY13 to be implemented by partners and monitored by M&E Program.					
<b>Date of Future Data Quality Assessments:</b> FY2014 / FY2016					
<b>Procedures for Future Data Quality Assessments:</b> To be determined.					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Monthly or Quarterly data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
2011 (B/LINE)	0		ZN-ZS 0	ZC 0	
2011	2,175		ZMM 2,175		
2012	7,750	3,970	ZN-ZS 2000	ZC 3750	ZMM 2,000
2013	11,000		ZN-ZS 4,000	ZC 5,000	ZMM 2,000
2014	12,000		ZN-ZS 5,000	ZC 5,000	ZMM 2,000

2015	10,000		ZN-ZS 5,000	ZC 5,000	
2016	8,250		ZN-ZS 2,000	ZC 6,250	
LOP	51,175		ZN-ZS 18,000	ZC 25,000	ZMM 8,175

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Performance Indicator Reference Sheet					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Development Objective:</b> DO-1: Civilian government presence in CSDI zones consolidated.					
<b>Name of Intermediate Result:</b> IR 1.3 Economic Development Catalyzed					
<b>Name of Sub-intermediate Result:</b> Sub-IR 1.3.2: Competitiveness increased					
<b>Indicator Number:</b> DO1-035					
<b>Name of Indicator:</b> Number of people with a financial product from a local financial institution.					
<b>Is this an Output Indicator?</b> Yes			<b>Is this an Outcome Indicator?</b> No		
<b>Is this an (F) or a "strategic" indicator?</b> No					
DESCRIPTION					
<b>Precise Definition(s):</b> Number of individuals who have a savings, loan or insurance account in a financial institution receiving USG assistance in a CSDI zone. Members of local savings and loan groups ( <i>grupos locales de ahorro y credito</i> ) can also be counted in this indicator.					
<b>Indicator Collection and Reporting to MONITOR:</b>					
<b>Data collection:</b> To be collected by the implementing partner from financial institutions receiving technical assistance. The partner should complete the MONITOR organization beneficiary form for each financial institution upon first contact, <b>Collection Level:</b> Activity, <b>Source:</b> Advance Activity.					
<b>Reporting:</b> Using the MONITOR Beneficiary Report Format, list the "ID" of each financial institution, and the number of men and number of women who have accounts in that institution at the close of the quarter. (Same as reported quarterly by the institution to the <i>Superintendencia Bancaria</i> )					
<b>Calculation of Indicator:</b> Sum of indicator reports across zones.					
<b>Unit of Measure:</b> Number of persons					
<b>Disaggregated by:</b> Sex, urban/rural, geographic area (municipality, department, and zone) and financial institution.					
<b>Justification &amp; Management Utility:</b> Easy accessibility to financial services at low rates is critical for producers and vulnerable population to improve their productivity, competitiveness and quality of life. For instance, access to credit allows investment in assets opening a window of opportunity for improving productivity. Strengthened local financial institutions increase access to services and strengthen the local economic infrastructure.					
PLAN FOR DATA ACQUISITION BY USAID					
<b>Data collection method:</b> To be collected by implementing partners					
<b>Data Source:</b> Reported by the CSDI implementing partners from the statistics submitted by the financial institutions					
<b>Method of data acquisition by USAID:</b> Implementing partners from CELI and other programs from DO1 through the USAID M&E "MONITOR" MIS.					
<b>Frequency and timing of data acquisition:</b> Quarterly					
<b>Estimated cost of data acquisition:</b> Cost subsumed under existing contracts/activities					
<b>Individual(s) responsible at USAID:</b> CORs of CSDI zone contracts.					
<b>Individual(s) responsible for providing data to USAID:</b> COPs of CSDI projects					
<b>Location of Data Storage:</b> USAID M&E "MONITOR" MIS. Supporting files kept at implementers' offices.					
DATA QUALITY ISSUES					
<b>Date of Initial Data Quality Assessment:</b> N/A					
<b>Known Data Limitations and Significance (if any):</b> To be determined.					
<b>Actions Taken or Planned to Address Data Limitations:</b> To be determined.					
<b>Date of Future Data Quality Assessments:</b> N/A					
<b>Procedures for Future Data Quality Assessments:</b> NA					
PLAN FOR DATA ANALYSIS, REVIEW, & REPORTING					
<b>Data Analysis:</b> Data will be analyzed by implementing partners in their quarterly reports. Programs' CORs/AORs will undertake assessments of progress made with M&E Program support.					
<b>Presentation of Data:</b> Implementing partners' quarterly reports. Data can also be retrieved through automated reports from the USAID M&E "MONITOR" MIS.					
<b>Review of Data:</b> DO team will review data for Portfolio Review, Operational Plan (OP), Performance Plan and Report (PPR) with implementing partners.					
<b>Reporting of Data:</b> Portfolio Review, Performance Plan and Report (PPR), USAID/Colombia Performance Management Plan (PMP) files.					
OTHER NOTES					
<b>Notes on Baselines/Targets:</b>					
<b>Other Notes:</b>					
PERFORMANCE INDICATOR VALUES					
Fiscal Year	Target	Actual	Notes		
(B/LINE)	0		ZN-ZS 0	ZC 0	
2011	0		ZN-ZS 0	ZC 0	ZMM 0
2012	1,744	522	ZN-ZS 1,066	ZC 484	ZMM 194
2013	10,588		ZN-ZS 6,473	ZC 2,939	ZMM 1,176
2014	22,495		ZN-ZS 12,828	ZC 5,949	ZMM 3,718
2015	31,671		ZN-ZS 21,637	ZC 10,034	
2016	25,749		ZN-ZS 21,349	ZC 4,400	
LOP	92,247		ZN-ZS 63,353	ZC 23,806	ZMM 5,088
<b>THIS SHEET LAST UPDATED ON:</b> July, 2013 <b>BY:</b> M&E Program					
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## **Anexo PMP DO1**

### **Definición de beneficiarios**

Existen seis indicadores en el PMP de DO1 que contabilizan hogares o personas que se benefician con los proyectos de los programas CELI directa e indirectamente. Estos son:

<b>Numero y nombre del Indicador</b>	<b>Tipo beneficiario</b>
DO1-011: Number and percentage of people benefitted by national social programs implemented in CSDI municipalities	Indirecto
DO1-012: Number of beneficiaries receiving improved infrastructure services	Directo e Indirecto (según clasificación en tabla anexa)
DO1-025: Number CSO members supported by USG assistance.	Directo
DO1-031: Number and percentage of people benefitted by strategic rural and economic development programs with territorial approach, implemented in CSDI municipalities	Indirecto
DO1-034: Number of rural households benefitting directly from USG interventions	Directo
DO1-036: Number of people with a financial product from a local financial institution	Indirecto

El propósito de este documento es brindar definiciones que sirvan de criterio para diferenciar y ayudar con la contabilización de los beneficiarios directos e indirectos.

#### **1) Beneficiarios Directos**

El hogar que se beneficia directamente está representado por uno de sus miembros. Estos beneficiarios se generan en actividades que le proporcionan al hogar o individuo un beneficio del cual pueden apropiarse o utilizarlo en provecho propio; p.e., familias que reciben insumos o material vegetativo para mejorar cultivos existentes o expandir su área bajo cultivo; familias dueños de empresas que reciben asistencia técnica en asuntos productivos o el número de empleados

nuevos de empresas recién creadas o apoyadas. En el caso de infraestructura como agua, alcantarillado, electricidad o gas, donde la casa de una familia está conectada directamente a un servicio público, entonces esta familia está contada como un beneficiario directo. En el caso de capacitaciones, se considera que un hogar es beneficiado directamente cuando uno de sus miembros participa en capacitaciones, entendiéndose estas como conocimientos o destrezas impartidas a través de interacciones de carácter intencional y estructurado con un plan de estudios aprobado, una certificación de asistencia y una participación mínima de 16 horas. En el caso de actividades en el tema de Capital Social, serán hogares directos, los que pertenezcan a OSC que hayan sido seleccionadas y participado en los planes de fortalecimiento organizacional producto de los resultados del ICO. Los beneficiarios que se generen de actividades relacionadas con la creación o fortalecimiento de espacios de participación ciudadana (veedurías, consejo municipal de la juventud, comités de servicios públicos, consejo territorial de planeación, juntas administradoras locales, etc.), también serán directos. Otros beneficiarios directos serán los hogares que serán atendidos por el componente de Tierras, específicamente en el levantamiento del histórico de derechos de sus predios. Los beneficiarios directos se deben registrar individualmente, por número de cédula y serán caracterizados por el instrumento diseñado para tal fin.

## **2) Beneficiarios Indirectos**

Los beneficiarios indirectos son aquellos que reciben un “beneficio en común”, un tipo de bien público, en provecho de toda la comunidad, p.e., proyectos de infraestructura social de impacto rápido, el mejoramiento de caminos, agua potable como pozos o fuentes públicas pero no conectado a la casa, un mercado, un tanque de almacenamiento etc. También son indirectas las personas que por la gestión institucional de los programas CELI, entran a hacer parte de programas gubernamentales del nivel central (ver definiciones indicadores 011 y 031) ó que participan en actividades de cobertura masiva de tipo social o político apoyadas por los programas CELI, por ejemplo brigadas, jornadas cívico militares, rendición de cuentas, empalme, socializaciones, mesas de concertación, asambleas veredales, marchas, manifestaciones, actividades culturales o deportivas, foros comunitarios, etc.

Este tipo de beneficiarios no se pueden registrar individualmente, por lo tanto serán cuantificados y su número y desagregación por sexo se deberá estimar utilizando métodos razonables.

Los criterios para el cálculo de estos beneficiarios según el tipo de proyecto se encuentran en siguiente tabla.

Cada trimestre, los programas CELI ingresaran los datos de los beneficiarios directos e indirectos en el sistema Monitor. En el caso de los beneficiarios directos se ingresaran las cédulas, así como todas las desagregaciones requeridas, de todos los beneficiarios que recibieron apoyo durante el trimestre. El sistema hará automáticamente una discriminación de cuales hogares son “nuevos” y cuales continúan recibiendo apoyo. En el caso de los beneficiarios indirectos, se ingresaran los números totales de beneficiarios desagregando por sexo y por cobertura geográfica.

Tipo de proyecto	Tipo de Beneficiario		Observaciones
	Directo	Indirecto	
<b>Infraestructura</b>			
<b>Agua</b>			
Bocatoma / desarenador / conducción		X	El proyecto beneficia el total de la población que recibe agua del
Ampliación y/o mejoramiento de planta de tratamiento de agua potable		X	El proyecto beneficia el total de la población que recibe agua del acueducto
Tanques de almacenamiento		X	El proyecto beneficia el total de la población que recibe agua del acueducto
Reposición redes de acueducto	X		Brinda servicio directo a aquellos hogares por donde se hace la
Ampliación redes de acueducto	X		Brinda servicio directo a aquellos hogares por donde se instala el
Reposición de micromedición	X		El micromedidor es instalado en cada vivienda. Permite <u>mejoramiento en la calidad del</u>
Ampliación de micromedición	X		El micromedidor es instalado en cada vivienda. Permite mejoramiento en la calidad del servicio
<b>Saneamiento</b>			
Reposición redes de alcantarillado	X		Brinda servicio directo a aquellos hogares por donde se hace la reposición del tramo
Ampliación redes de alcantarillado	X		Brinda servicio directo a aquellos hogares por donde se instala el
Baterías sanitarias	X		Ampliación de cobertura, servicio directo a cada vivienda
Emisario final		X	Beneficia a toda la población que descarga en el emisario
Construcción planta de tratamiento de aguas residuales	X		El proyecto beneficia el total de la población que recibe/vierte el agua a la PTAR. Sin embargo se haría en zonas rurales quedando el beneficio circunscrito a familias específicas
Mejoramiento Lavaderos Comunitarios		X	El proyecto beneficia el total de la comunidad o vereda que hace uso del servicio de lavado de ropa en un sitio adecuado para tal fin.
<b>Educación</b>			
Construcción nuevas aulas escolares.	X		Permite ampliación de cobertura
Mejoramiento o adecuación de escuelas, aulas,		X	Mejoramiento calidad del servicio,

restaurantes			genera un bien común.
Dotación de aulas y restaurantes.		x	Mejoramiento calidad del servicio, genera un bien común.
Restaurantes escolares (nuevo)		x	Permite ampliación de cobertura
Baterías sanitarias		x	Por ampliación de cobertura
Biblioteca		x	Mejoramiento calidad del servicio.
<b>Salud</b>			
Mejoramiento, Ampliación centros de salud u		x	Mejoramiento calidad del servicio
Remodelación centros de salud u hospitales		x	Mejoramiento calidad del servicio
Construcción centros de salud u hospitales	-	x	En zonas rurales nuevos hospitales/centros amplían cobertura para familias circunscritas a las
Dotaciones Centro de Salud		X	Mejoramiento calidad del servicio
<b>Otros de Infraestructura</b>			
Mejoramiento Placas Deportivas o polideportivos		X	El proyecto beneficia al total de la población residente en la vereda
Mejoramiento o adecuación de centros de acopio, beneficio y comercialización.		X	Beneficia a todos los productores de la zona.
Adecuación y mejoramiento de Muelles Fluviales		X	Benefician a toda la población ribereña.
Adecuación Puentes Colgantes		X	Benefician a toda la población de la vereda.
Canales	X		Servicio directo para hogares ubicados en el tramo del canal
Mejoramiento de vías, Puentes, pavimentación		X	Beneficia a todos los usuarios que transitan
Electrificación (redes de media y baja tensión)	x		Por ampliación de cobertura
Parques de recreación infantil o canchas		X	El proyecto beneficia al total de la población residente en la vereda
<b>Fortalecimiento Institucional/Capital Social</b>			
Planes de fortalecimiento organizativo a OSC.	X		Se reportarán hogares que pertenecen a OSC que hayan sido seleccionadas y participado en los planes de fortalecimiento organizacional producto de los
Planes de Capacitación para fortalecimiento institucional, comunicaciones, tierras, crédito y desarrollo económico.	X		Se registrarán los funcionarios públicos líderes, mujeres, jóvenes, o productores, que hayan participado mínimo en 16 horas de un plan de capacitación o asistencia técnica. Los que no cumplan con este mínimo serán

Ampliación cobertura programas sociales y económicos del nivel central		X	Se toma la estadística oficial de beneficiarios desagregada por municipios del programa en cuestión. Solo se toma el aumento de cobertura generado por la gestión
Actividades de cobertura masiva de tipo social o político.		X	Brigadas, jornadas cívico militares, rendición de cuentas, empalme, socializaciones, mesas de concertación, asambleas veredales, marchas, manifestaciones, actividades culturales, deportes, foros comunitarios,  Se hará una estimación usando métodos apropiados
Creación o fortalecimiento de espacios de participación ciudadana (veedurías, consejo municipal de la juventud, comités de servicios públicos, consejo territorial)	X		Se beneficia un grupo social específico.
Comunicaciones (campañas con medios de comunicación, emisoras comunitarias)		X	Las actividades cubrirán a toda la población.
<b>Actividades Productivas</b>			
Actividades Productivas	X		Todas las actividades relacionadas con procesos productivos benefician directamente a hogares (cultivos, AT socioempresarial, crédito, cadenas productivas, comercialización, etc.) Estos hogares serán caracterizados
<b>Tierras</b>			
Actualización Catastral		X	La actividad beneficia a todo el municipio.
Histórico de Derechos	X		Estos beneficiarios serán reportados según el instrumento diseñado por la Unidad de Restitución de Tierra que será aplicado para este proceso. Son directos porque gracias a este proceso los hogares podrán conocer la situación jurídica de su predio y la vía para ser restituidos.

## 2. Acciones para disminuir el riesgo de doble conteo de beneficiarios

### Beneficiarios Directos

- Para el caso de Beneficiarios Directos los sistemas informáticos de M&E permiten saber a través de la identificación, si un beneficiario ha sido previamente registrado y beneficiado en alguno de los proyectos de los CELIs. Cuando se identifica que el beneficiario ha sido registrado, el sistema genera un informe dando cuenta de la existencia del beneficiario. En efecto, los sistemas pueden asociar un beneficiario directo a varios proyectos durante la vida del proyecto, pero sólo cuenta una vez como beneficiario directo.

## **Beneficiarios Indirectos**

- Para el caso de beneficiarios indirectos donde el riesgo de doble conteo es mayor, se trata de limitar el número de beneficiarios indirectos a áreas específicas donde se desarrollan las actividades de infraestructura.
- Por ejemplo, en el caso de CELI Norte-Sur para una obra de infraestructura en un municipio se limitan los beneficiarios a los barrios o veredas que se benefician y no a todo el conjunto del municipio. Es importante saber también que el número de beneficiarios indirectos en un municipio no podría ser mayor al total de ese municipio
- En el caso de CELI Montes, cuando se trata de obras de infraestructura que podrían beneficiar a un gran porcentaje de la población como los parques infantiles, no los están contando como beneficiarios indirectos, pues le dan prioridad a otras obras de las que pueden obtener listados detallados como escuelas, salones, restaurantes escolares, etc,
- En el caso de vías terciarias se hace el cálculo de las personas que transitan dependiendo de donde esté ubicada la vía.