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U.S. CORAL TRIANGLE INITIATIVE SUPPORT PROGRAM

US CTI SUPPORT PROGRAM INTEGRATOR SEMI-ANNUAL REPORT OCT 2010-MAR 2011

APRIL 2011 (BANGKOK, THAILAND)



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LIST OF ACRONYMS AND ABBREVIATIONS

ADB	Asian Development Bank
AOTR	Agreement Officer's Technical Representative
ASEAN	Association of South East Asian Nations
ASLI	Asian Strategy and Leadership Institute
CC	Climate Change
CCA	Climate Change Adaptation
CCA REAP	Climate Change Adaption Region-Wide Early Action Plan
CCC	CTI Coordination Committee
CDM	Clean Development Mechanism
CI	Conservation International
CITES	Convention on International Trade in Endangered Species
CMWG	Coordination Mechanisms Working Group
COASTFISH	Coastal Fisheries and Poverty Reduction Initiative
COP	Chief of Party (USAID) or Conference of Parties
COTR	Contracting Officer's Technical Representative
CT	Coral Triangle
CT6	Coral Triangle countries (Indonesia, Malaysia, Philippines, Papua New Guinea, Solomon Islands, East Timor)
CT6+2	Coral Triangle countries plus Fiji and Vanuatu
CTI	Coral Triangle Initiative
CTICOM	Coral Triangle Initiative Council of Ministers
CTMPAS	Coral Triangle MPA System
CTNI	Coral Triangle Network Initiative
CRC	Coastal Resource Center, University of Rhode Island
CTSP	Coral Triangle Support Partnership
DA-BFAR	Philippines Department of Agriculture-Bureau of Fisheries and Aquatic Resources
DCOP	Deputy Chief of Party
ECOGOV	Environmental Governance (USAID project)
EAFM	Ecosystem approach to management of fisheries
EBFM	Ecosystem-Based Fisheries Management
FISH	Fisheries Improved for Sustainable Harvests Project (USAID)
GEF	Global Environment Facility
GIS	Geographic Information System
ICC	Information Coordination Committee
ICO	Information, communications, and outreach
IEC	Information Education and Communication
IR	Intermediate Result
IMCM	Integrated Marine and Coastal Management
IUU	Illegal, Unreported, and Unregulated

LGA	Local Government Associations
LGU	Local Government Units
LMMA	Locally Managed Marine Area
LRFT	Live Reef Fish Trade
LRFFT	Live Reef Food Fish Trade
M&E	Monitoring and evaluation
MM	Ministerial Meeting
MOU	Memorandum of Understanding
MPA	Marine Protected Area
MSC	Marine Stewardship Council
MSI	Marine Science Institute, University of the Philippines
NCC	National Coordination Committee
NGO	Non-governmental organization
NMFS	National Marine Fisheries Service
NOAA	National Oceanic and Atmospheric Administration
NOAA CRCP	NOAA Coral Reef Conservation Program
NOS	National Ocean Service
NPOA	National Plan of Action
PAPA	Participating Agency Program Agreement
PEMSEA	Program for the Environmental Management of the Seas of East Asia
PCG	Program Coordination Group
PI	Program Integrator
PMP	Performance Monitoring Plan
PNG	Papua New Guinea
PPP	Private-public partnerships
RAA	Regional Activity Areas
RAT	Regional Action Team
RDMA	Regional Development Mission/Asia
REAP	Region-wide Early Action Plan
RPOA	Regional Plan of Action
SAB	Science Advisory Board
SGP	Small grants program
SOM	Senior Officials Meeting
SPREP	South Pacific Regional Environment Program
SSME	Sulu Sulawesi Marine Eco-region
TNC	The Nature Conservancy
TOR	Terms of reference
TWG	Technical Working Group
UNFCCC	United Nations Framework Convention on Climate Change
URI	University of Rhode Island
USAID	United States Agency for International Development
USG	United States Government

VA Vulnerability Assessment
WWF World Wildlife Fund
WG Working Group

INTRODUCTION

1.1 Background

The Coral Triangle Initiative (CTI) is a multilateral partnership founded on the commitment of the six Coral Triangle countries to safeguard coastal and marine resources and communities. Despite increased investment and efforts by governments and organizations to improve management, the condition of the resources continues to decline. Coral reefs and fisheries, the source of invaluable ecological and socioeconomic benefits, are being subjected to increasing threats from population growth in coastal areas, overfishing, and changes in oceanographic conditions, including increase in sea surface temperature and ocean acidification, resulting from climate change.

Regional commitment and cooperation is expected to catalyze, expand, and sustain national and local-level implementation efforts through the CTI Regional Plan of Action. Within the broad framework of the CTI Regional Plan of Action, the six countries have developed National Plans of Action, and are working together to identify and implement those actions that require regional cooperation. CTI thus encompasses a distinctively regional approach, building on country-driven priorities and actions.

The US CTI Support Program represents the US Government's commitment to support the efforts of the six Coral Triangle countries (CT6) Indonesia, Malaysia, Papua New Guinea, Philippines, Solomon Islands and Timor-Leste. The Program is composed of team members from USAID, the US State Department and the National Oceanic and Atmospheric Administration (NOAA), and projects funded by these entities. USAID RDMA serves as the program lead and provides overall management of the program in coordination with USAID Washington D.C. and other key USAID missions in the Philippines, Indonesia, and Timor-Leste, as well as the U.S. State Department. RDMA supports implementation through the US CTI Support Program Integrator, implemented by Tetra Tech ARD, and the Coral Triangle Support Partnership (CTSP) implemented by a consortium of NGOs composed of the World Wildlife Fund (WWF), The Nature Conservancy (TNC), and Conservation International (CI). The U.S. Department of State supported the CTI Regional Secretariat in Jakarta, Indonesia through a grant provided to the NGO Consortium and managed by TNC which concluded in December 2010. It continues to provide political support through engagement with the CTI.

As part of the US commitment to CTI, in September 2008 RDMA launched the US CTI Support Program Integrator (PI) as an overall support mechanism for USAID's CTI related activities. The PI assists the US CTI Support Program by coordinating consolidated planning and reporting, facilitating adaptive management, and providing strategic technical support to the region. The overall objective of the PI is to facilitate a unified USG response to the CTI and regional exchange among all CTI stakeholders to advance implementation of the CTI Regional Plan of Action (RPOA) and share lessons learned.

As a part of the first Annual Planning and Coordination meeting undertaken in Denpasar, Indonesia in July 27-29, 2009 the US CTI Support Program team members agreed to develop a common Results Framework and Indicators in order to promote consolidated and integrated planning, programming and reporting. Based on the outcome of the meeting, PI realigned its own activities previously identified under contract by Program Area and Tasks to the new framework based on a subset of RPOA goals. The PI's reporting structure presents PI's coordination and administrative support under Program Support Results section and describes its technical results against the Program Results Framework and its four related Results and subsets of Intermediate Results.

The PI supports USAID in ensuring effective coordination strategies and mechanisms are in place to maximize technical impacts and facilitate the development and communication of a unified USG contribution to the CTI that is technically sound, culturally appropriate, and gender-sensitive. The four results statement under the US CTI Support Program Results Framework focus program activities towards the following goals:

- Regional and national platforms strengthened to catalyze and sustain integrated marine and coastal management in the Coral Triangle;
- Ecosystem approach to fisheries management improved;
- Marine protected area management improved in Coral Triangle countries, and
- Improved capacity to adapt to climate change in Coral Triangle countries.

The Results Framework was modified during the Year 3 Work Planning process to include a new Intermediate Result 4.1, “CCA Framework developed and endorsed” that would parallel IR2.1 and IR 3.1. The result statements match three of the five goals under the CTI Regional Plan of Action and capture the outcomes and impacts of activities over the life of the program. The Results Framework is presented in Figure I. below.

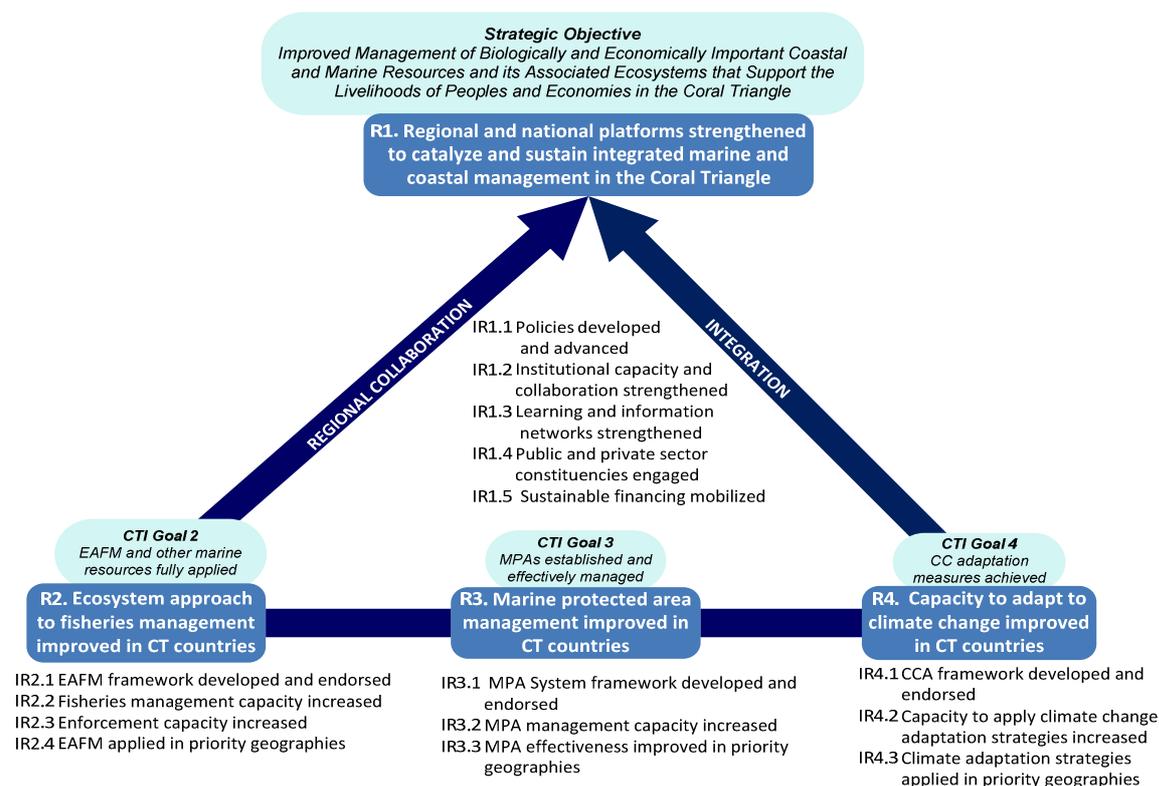


Figure I. US CTI Support Program Results Framework.

I.2 Report Overview

This Semi-Annual Report for the period of October 1, 2010 through March 31, 2011 presents progress achieved within the four tasks provided in the US CTI Support Program Integrator Year 3 Work Plan as well as progress made towards achieving the four results under the US CTI Support Program Results

Framework. It provides a summary of results against the Performance Management Plan as well as a sample of program highlights and success stories. The report also addresses key challenges encountered as well as corrective actions taken. Finally the program looks forward to the second half of FY2011 describing next steps and priorities.

The report has been drafted to provide an analytical review of the PI's progress, its challenges, and the implications for the way forward. The aim is to provide an understanding of the PI's activities and results in the broader context of the US CTI Support Program, overall Partner contributions, and the political and operational environment of the Coral Triangle Initiative. In particular it reflects the ongoing effort of the Program to balance between promoting and responding to CTI leadership while engaging in efforts to catalyze timely action towards the creation of a permanent CTI Secretariat and addressing Regional Plan of Action (PROA) and National Plan of Action (NPOA) priorities.

2. PROGRAM SUPPORT AND INTEGRATION RESULTS

The PI provides coordination and integration support to USAID in its management of the US CTI Support Program Team, including CTSP, NOAA and the U.S. Department of State. The PI employs various strategies and mechanisms that maximizes impact and facilitates the development and communication of a unified USG contribution to the CTI that is technically sound, culturally appropriate, gender-sensitive, and supports the leadership of the CTI countries. PI Program Support and Integration activities are outlined below under four task areas.

2.1 Task 1: Coordination of US CTI Support Program and USG Activities in the Region

The PI plans, schedules and conducts calls, communications and planning efforts of the US CTI Support Program. The PI schedules and facilitates regular Communications Team calls and Core Team Calls on a biweekly basis and drafts and circulates minutes. The PI plans, facilitates and prepares proceedings for annual US CTI Support Program Coordination Meetings and other planning meetings as requested by USAID and the US CTI Support Program Team.

The PI also conducts regular Regional Activity Team calls to support the coordination and work of the Regional Activity Teams. The Regional Activity Teams were developed in Year 2 as a means for the program to coordinate and integrate thematic activities with the PI playing a lead role in facilitating the development of Regional Activity Teams strategies, protocols, and work planning efforts. In Year 3, the PI continued to play a key role in US CTI Support Program Regional Activity Team efforts which have been focused on 1) addressing key Program and RPOA results and 2) supporting the establishment and leadership of CTI-CFF Technical Working Groups to address RPOA goals. In Year 3, a division of labor between the three key Regional Activity Teams was agreed upon where CTSP was designated Program lead for EAFM and MPA regional efforts with supporting participation of NOAA and the PI, and the PI was designated Program lead for CCA regional efforts with supporting participation of CTSP and NOAA. The below provides a summary of key Task 1 accomplishments during the first half of FY11:

Core Management Team Coordination

The PI continued with coordination support during the first half of FY11 through a number of tasks including conduct of core management team calls. There were three Core Management Team calls during the reporting period to support work planning and coordination efforts for various Year 3 activities. Although the CMT calls dropped in frequency from the prior reporting periods for various reasons including the December holidays, the increase in other types of communications such as Regional Activity Team calls and various face to face meetings between USAID, CTSP, PI and NOAA more than adequately addressed coordination needs.

Regional Activity Team Management

The Program's Regional Activity Teams are evolving to serve as Technical Working Group (TWGs) support mechanisms and further develop strategies based on the outcomes of the CTI Regional Priorities Coordination Workshop conducted by the Regional Secretariat through PI support in Jakarta in May 2010. A key program success was the formal establishment of five TWGs to facilitate action supporting each of the RPOA's five goals, plus a sixth working group on capacity development at the 6th CTI Senior Officials Meeting conducted in Manado, Indonesia in November 2010, although it will take continued effort for all of these TWGs to become effectively operational. The establishment of these TWGs was identified as critical components of the Regional Activity Team strategies developed in Year 2. Regional Activity Teams will therefore increasingly provide technical support to the TWGs and their efforts while ensuring that its activities are consistent with the US CTI Support Program Consolidated Work Plan and reporting requirements.

During the reporting period there was significant coordination between the Regional Activity Teams through PI facilitated conference calls as well as the development of new on-line RAT Team Work Spaces using commercial software which have become an important tool in Program and Regional Exchange planning efforts.

CTI Online Work Spaces

As part of its Learning Network strategic approach and efforts to facilitate coordination amongst Regional Activity Teams, the PI migrated its workspaces from the CTI Partner Portal SharePoint to new commercial software, Team Work Spaces, with the assistance and of its Knowledge Management consultant. Four workspaces were created with the new service during the reporting period including MPA, CCA, Local Governance and PPPs for Regional Activity Team use. New workspaces are expected to be created over the next reporting period to cover additional US CTI work streams in addition to being used to develop CTI wide thematic Learning Networks, and support communication and the work of Technical Working Groups and their focal points.

Mid-term Evaluation Coordination

During October, the PI spent considerable efforts working with CTSP and USAID on a response to the USAID Mid-term Review report submitted to USAID on October 2 and on a preliminary Action Plan to address priorities outlined in the report. This effort dovetailed with work planning efforts for Year 3 in which the PI engaged with Program team members to incorporate Mid-term Review results and other USAID priorities.

Year 3 Work Planning and Submission

Despite efforts to proactively mitigate for the challenges of developing a timely consolidated Year 3 Work Plan, the timing of the USAID Mid-term Review delayed the submissions of the Program team member's plans until December. In January, the PI assisted in developing a consolidated Work Plan that was approved in February.

Thematic Integration Meeting January 27-28, 2011

The US CTI implementing partners convened a workshop in Honolulu, Hawaii on January 27-28, 2011 to discuss and develop a strategy for the "thematic integration" of CCA, EAFM, and MPAs across the six countries of US CTI engagement. The three workshop objectives were: To clearly define and agree upon the rationale for thematic integration of activities conducted by the three implementing members (i.e., "why" integration is necessary); For implementation members to reach agreement on their respective and clearly-defined roles and responsibilities relating to the integration of the three programmatic themes under the USCTI (i.e., "how" integration will be achieved); and To identify and provide guidance on a set of site-level integration activities which are to occur within CT6 nations

during 2011 - 2012 (i.e., “what” integration will be completed). The workshop was lead by CTSP-CI and was attended by PI COP Peter Collier and PI Technical Specialists, Kitty Courtney and Nygiel Armada.

Expansion of PI Team

In January 2011, the PI increased its capacity to provided coordination and technical support through the addition of four technical experts from the concluding Tetra Tech USAID Philippines Fisheries Improved for Sustainable Harvests Project (FISH) project for an initial six-month period from January-June 2011. The team including William Jatulan, Nygiel Armada, Marlito Guidote, Jessie Floren and Rebecca Smith are based in Cebu and will provide technical support team on several Program work streams. Their priority will be to facilitate various Regional Exchanges and thematic activities including EAFM, MPA, CCA, the CTI Local Governance Network and the CTI Mayors’ Roundtable initiatives as well as support to the CTI Secretariat and its bodies. Mr. Jatulan is a governance specialist and will help manage Cebu team efforts and Mr. Armada will be the PI’s contribution to and member of the US CTI EAFM Regional Activity Team. Mr. Guidote is serving IUU expert and will support both NOAA and CTSP efforts on IUU under the EAFM team as well as PI-led local governance network efforts.

Year 4 Work Planning Meeting March 19, 2011

The PI participated in a CTSP led Year 4 Work Planning Meeting after the March 17-18, 2011 CTI Regional Learning Network meeting. The PI assisted with the preparation of presentation materials for meeting that was attended by the PI COP, DCOP and Technical Assistance Team Leader.

PMP Update and Inclusion of New Indicators and Definitions

During the reporting period the DCOP worked with the USAID/RDMA COTR, the USAID/RDMA Science Adviser, and CTSP Monitoring and Evaluation Manager to identify two new climate change indicators to incorporate into the US CTI PMP. In November 2010, US Department of State updated its Foreign Assistance Indicators to include additional climate change related indicators which USAID Programs are required to report on. The PI assisted in the identification of two preliminary indicators that were most relevant to US CTI. This include Indicators 48.1-23 and 48.2-11 which track capacity increase about climate change in institutions and the conduct of climate change vulnerability assessments. The PI hopes to facilitate approval of the two identified indicators during the next reporting period, assist with developing appropriate definitions, introduce the indicators to the broader US CTI team, and begin identifying and tracking targets.

Challenges and Corrective Actions

Despite efforts to circumvent the challenges of developing a timely consolidated Year 3 Work Plan, the timing of the USAID Mid-term Review delayed the Program team member’s submissions until December 2010. USAID agreement and guidance on the format for the Year 3 Consolidated Work Plan was helpful in facilitating the PI’s efforts to produce the consolidated draft which was submitted for review to USAID on January 14, 2011 and finalized in February.

The Year 4 preliminary work planning meeting held in Manila on March 19 and led by CTSP was not as fruitful as the PI had hoped. Instead of tackling specific issues such integration and country vs. regional priorities to lay the foundation for the May 4 Work Planning meeting, the meeting was spent covering old ground.

Next Steps

The PI will assist with preparation and facilitation of Year 4 work planning efforts including the conduct of a Year 4 Work Planning Meeting in May in Manila, Philippines. The PI will also work with USAID and the Program team to develop a Year 3-5 strategy and Exit strategy during the next reporting period.

2.2 Task 2: Coordination with non-USG Coral Triangle Programs

The PI continues to serve as the key facilitator for donor coordination efforts which are being conducted through conference calls, meetings, dialogue, and participation in regional and national donor forums. Donor agency representatives are invited to US CTI Support Program planning workshops to share information. Program planning and implementation data, including point of contact, technical and geographic scope, status, and implementation timeline from ongoing and proposed non-USG Coral Triangle programs, are being compiled from program design documents and implementation plans. This CTI Projects Database is maintained and made available through the CTI Partner Portal and reviewed and periodically updated in order to provide the RDMA and the CTI Secretariat with up-to-date assessments and status reports of other donor programs. The below provides a summary of key Task 2 accomplishments during the first half of FY11:

Partner Coordination

The PI facilitated three Partner calls during the reporting period and assisted one on-site meeting at SOM6 in Manado on November 12, 2010. The main points of coordination related to support for the Coordination Mechanisms Working Group and its finalization of the agreements needed for the establishment of the permanent Secretariat. This included the development by Australian of discussion papers on Partner coordination and CTI membership criteria. The need to maintain momentum for the establishment of the Secretariat continued to be a key Partner priority.

Partner Database

During the reporting period the PI undertook a major initiative to enhance and update the Project Database. A consultant team worked with the PI to create a searchable Access Database that could issue varying reports as needed. The new Project Database was much praised by Partners and was received with appreciation by the Secretariat which is anticipated to be the ultimate home and manager for the Database.

CTI Calendar

The PI worked with Partners and the CTI Secretariat to establish a CTI Calendar of Events for 2011 and to garner the commitment of the Secretariat for the ownership and maintenance of an ongoing CTI Calendar. Although the CTI Secretariat was enthusiastic about the development of the calendar, it expressed the need for continuing support in its management while it was running at minimal capacity.

ADB Needs Assessment and State of the Coral Triangle (SCTR) Workshop Participation

The PI worked with ADB to create synergies between the PI supported CTI Regional Learning Network Workshop and its March 16-17, 2011 Needs Assessment and SCTR Workshop held in Manila, Philippines. At the workshop, ADB reached out to the PI to discuss potential support for the completion of the SCTR which is insufficiently funded.

Challenges and Corrective Actions

There is some concern that the CT6 need additional support to move forward on the agreements to establish the permanent Secretariat in light of the fact that they were not finalized at SOM 6 as

anticipated. The PI will work with the Secretariat, Australia, the CTI CMWG and partners to develop strategies and identify needed resources to accelerate the process.

2.3: Task 3: Internal and External Communications

Progress Update

The PI continues to develop and maintain the CTI Partner Portal as a platform to support information sharing, online real-time planning and collaboration among partners supporting the CTI. The Portal posts announcements, hosts work spaces and contains a documents library, the CTI Projects Database, a contacts database, events and travel schedules, and a geographic information system (GIS) mapping interface to allow partners to view and map site-specific activities conducted across the Coral Triangle. The GIS interface will also be used to track the Regional Exchange focal sites and the priority geographic sites supported by the program.

The Web Portal is composed of a US CTI Support Program Web site for the broader public, and a secure, password-protected CTI Partner Portal site that allows the PI, Program, CTI stakeholders and partners to coordinate project activities, perform and monitor tasks, and prepare and publish documents and associated deliverables. The below provides a summary of key Task 3 accomplishments during the first half of FY11:

Partner Portal

During the reporting period, the CTI Partner Portal served as the main mechanism for sharing resource materials generated by the Program including those from the Regional Exchange and Workshop on Ecosystem Approaches to a Sustainable Live Reef Fish Trade in the Coral Triangle held in Kota Kinabalu, Malaysia on Oct 12-15, 2010 as well as the Regional Policy and Action Planning Workshop for CCA in the Coral Triangle Countries held in Ancol, Jakarta, Indonesia on October 21-23, 2010.

The CTI Partner Portal also served as the main platform for sharing training materials in the seven-day NOAA training program that aimed to improve the capacity of 50 officers from Indonesia's Ministry of Marine Affairs (MMAF) in managing and operate marine protected areas. The activity was held on November 21-28, 2010 in Banyuwangi, East Java, Indonesia. The training materials were uploaded to the Portal a week before the training and were remotely updated by course instructors and downloaded by students as required. This helped the training organizers reduce logistical cost of bringing training materials to the remote training site.

The PI initiated efforts to refresh and update the face of the US CTI website and CTI Partner Portals to improve user interface. The process will be completed during the next reporting period with a re-launch scheduled for late summer.

Monthly Updates

The PI completed six Monthly Program Updates during the reporting period and expanded it to include space for Partners to report on key events and achievements. The Monthly Updates continue to be a key outreach tool for the USCTI Program.

Monthly Bullets

The PI issued six Monthly Bullets summaries during the reporting period as a means of keeping the USG and US CTI Support Program team informed of key activities, events and decisions. The Monthly Bullets continued to be well received with appreciation regularly expressed by a cross-section of Program team members. The PI will continue to ensure the Bullets are regularly issued and adjust content and format as Program needs evolve.

Weekly Updates

Ten weekly updates were submitted and accepted for inclusion in the USAID RDMA's quarterly Eco-Asia newsletter during the reporting period. The ten updates included:

- CTI Regional Learning Network Design Initiated
- US Ambassador to Malaysia visits proposed Marine Park in Sabah.
- Gazettement of Proposed Marine Park in Malaysia Gains Government Support.
- USAID Supports Eco-Tourism to Reduce Climate Change Risks in the Philippines
- USAID and NOAA Strengthen Indonesia's Capacity to Protect Marine Biodiversity
- Indonesia Declares Ocean Waters around Nusa Penida as Protected Area
- Solomon Islands Develop Climate Change Adaptation Plan
- Coral Triangle Countries Collectively Strengthen Capacity to Adapt to Climate Change
- Coral Triangle Region Confront Growing Threats from Live Reef Fish Trade
- Solomon Islands Strengthens Legal Capacity to Protect Marine Resources

Updated Fact Sheets and Thematic Briefs

During the reporting period, the PI assisted in updating US CTI Fact Sheets and in the development of technical briefs to support key program objectives such as ecosystem-based fisheries management practices, establishment and enforcement of marine protected area networks, climate change adaptation strategies and coastal community resilience. All materials developed adhere to the USAID branding guidelines. Internal and external communication strategies directly support a number of Task Order deliverables including the following: Documentation, Outreach, and Communications Plan—with specific strategies on how to reach women and other traditionally marginalized groups; and Program Website and CTI Partner Portal.

Communications Strategy Development

In response to the recommendations from the USAID US CTI Mid-term Evaluation the PI developed a communications strategy and toolkit to increase the Information, Education and Communications capacity of the US CTI team and its Partners. The Evaluation stated:

“In concert with the PI at the regional scale, CTSP should develop and implement a strategic information, education and communication (IEC) plan at the national, provincial, and community level. This should include public education and outreach, with appropriate materials for the targeted audiences, to create a sense of common identity in the CT6. Broad understanding and support for the US CTI is essential for developing the constituency and advocacy base that will be needed to sustain political leadership and commitment over time. The strategy must clearly identify the CTI as an initiative of the CT6 countries. The US CTI program is merely a supportive effort for a region-led and region-driven initiative. Within this plan, greater visibility is needed for the fact that the USG is investing considerably through the US CTI support program. A USAID branding and marking plan should be developed and followed that clearly links NGOs' activities to US CTI, and that signifies a unified USG investment and support program”.

The PI communications team in consultation with CTSP representatives drafted the new US CTI Communications Strategy and was in the process of collecting feedback and finalizing the document for introduction at the upcoming May Program Planning Meeting at the end of the reporting period.

USG Specific Communications support

During the reporting period, the PI addressed specific communications and information requests for US government audiences including providing briefings and briefing materials; talking points; presentations; CTI summary information, etc. This included developing talking points for the Consul General for

SOM6; CTI talking points for the President Barak Obama; briefings for USAID/Indonesia about US CTI activities; and other activities.

Challenges and Corrective Actions

CTSP representative have communicated wishes and efforts to develop a separate CTSP website. Because the current US CTI website was developed on SharePoint, it is difficult for anyone outside of the PI –which has administrative rights- to directly provide content or alter the site. Although the PI understands (and often shares) such frustrations, it is concerned that efforts might be duplicative or further create confusion in an already complicated program. An optimal solution will be reached in the remaining period of the year.

Next Steps

The PI will work with USAID, CTSP and NOAA to develop a Consolidated Mid-Program Report for the Program capturing key achievements and next steps. This report is envisaged to be a 12-15 page document for broad distribution to regional Partners and stakeholders. It will focus on both regional and national/country level achievements and success stories.

2.4. Task 4: USAID Administrative Support

Progress Update

The PI is working with USAID RDMA on a daily basis providing regular and special reports, updates and analyses; scheduling, preparing and conducting meetings; preparing briefs, speeches, talking points, communications, cable and other materials; completing requests for information; and supporting US participation in CTI meetings, events and activities. Annual planning and reporting workshops will be regularly held to develop “out year” Consolidated Annual Work Plans and to incorporate changes in technical, management, and administrative approaches based on lessons learned. Table I. identifies specific Year 3 integration and support activities by Quarter.

The PI facilitated the preparation for the development and submission of USAID’s 2010 Program Performance Review (PPR) materials for the US CTI Support Program in October. RDMA reported satisfaction with the quality and timeliness of materials provided. The below provides a summary of key Task 4 accomplishments during the first half of FY11:

USAID US CTI Mid-term Evaluation support

The PI worked with USAID, CTSP and NOAA to respond to the Mid-term Evaluation recommendations. This included developing a summary report to track recommendations and working with USAID and CTSP at on-site meetings in Bangkok, Thailand to identify immediate, medium term and long term priorities and develop responses for each of the 57 Evaluation recommendations.

USAID 2010 Performance Planning and Report (PPR) preparation

During October, 2010, The PI provided assistance to USAID/RDMA with preparation for the USAID Performance Planning and Report (PPR) submissions for 2010 including the development of a submission schedule, drafting of text, and editing and collating materials from NOAA and WWF.

USAID 2011 Portfolio Review preparation

During January, 2011, PI provided assistance to USAID/RDMA with preparation for the USAID Portfolio Review submissions for 2011 including the development of a submission schedule, drafting of text, and editing and collating materials from NOAA and CTSP.

Challenges and Corrective Actions

None

Next Steps

The PI will focus efforts through the remainder of 2011 on continued administrative support as needed.

3. REGIONAL SUPPORT AND TECHNICAL ASSISTANCE RESULTS

Within the US CTI Support Program Team, the Program Integrator provides regional support and technical assistance to CTI bodies and stakeholders. This support is aligned to the US CTI Support Program Results Framework primarily under Result 1. The PI works with the other Program Team member to develop and implement a number of Program strategies to achieve the four results set out in the framework.

Each result includes a set of more specific Intermediate Results (IRs) that when implemented, will roll up and contribute to meeting the broader result statement. In addition, USAID has provided a common set of program indicators that have been tagged to each IR and will be used in report on progress of the US CTI Support Program. US CTI Support Program goals have been aligned to match three of the five goals under the CTI RPOA. Two CTI goals, those addressing seascapes and endangered species, are outside of the scope of the US CTI Support Program and therefore not specifically addressed within the Results Framework, although elements of these goals will be supported at the country level. Progress made towards achieving intermediate results under the scope of the PI are discussed below.

The below section describes the PI's progress towards its Year 2 Work Plan and the activities outlined in the Work Plan Activity and Status Table Found in Annex B.

3.1 Result 1 - Regional and National Platforms Strengthened to Catalyze and Sustain Integrated Marine and Coastal Management in the Coral Triangle

Platforms are defined as multi-country associations of agencies, organizations, and/or individuals for the purpose of sharing experiences, information, and best practices and developing common approaches, action plans, and policies for addressing regional challenges and issues. Strengthening of a platform refers to improved organizational structure, clarification of platform goals and vision, development of platform organizational structure, development of platform agenda action items, training of platform members, or increased awareness of platform activities including workshops and forums. Under the US CTI Support Program, support to platforms may include the CTI bodies (Council of Ministers, Regional Secretariat, NCCs, Working

Result 1. Regional and national platforms strengthened to catalyze and sustain integrated marine and coastal management in the Coral Triangle

Intermediate Result 1.1:
Policies developed and advanced

Intermediate Result 1.2
Institutional capacity and collaboration strengthened

Intermediate Result 1.3
Learning and information networks strengthened

Intermediate Result 1.4
Public and private sector partners engaged

Intermediate Result 1.5
Sustainable financing mobilized

Groups), academic or thematic institutes, thematic committees within inter-governmental or non-governmental bodies and organizations, among others.

The US CTI Support Program is assisting in the identification of key themes and directions for policy development, supporting the selection of appropriate national and regional institutions to strengthen and network for sustained advisory services on CTI issues, and aiding the CTI bodies in building skills and information networks that can apply science-based knowledge. The Program will work to expand stakeholder engagement to include private sector and communities in coastal resource management, and will help develop business plans with sustainable financing to achieve these regional goals. These forms of technical assistance will lead to the five Intermediate Results (IRs) defined under Result, that when put together are expected to achieve the intended result.

Progress Update

Intermediate Result 1.1: Policies developed and advanced

Year 3 efforts have been focused on promoting integrated coastal management as a foundational approach for CTI policy development. The PI is promoting this approach through several activity streams including the formation of the CTI regional Local Governance Alliance as well as fostering the US CTI Regional Activity Team mechanisms to address integration and integrated management in the next phase of programming. In addition, the PI team will continue to support policy development across thematic areas including the formation of CTI EAFM, MPA and EAFM frameworks that will enable CT6 countries to develop harmonized and integrated policies. The below provides a summary of key Result 1.1 accomplishments during the first half of FY11:

PI Team Expansion

During the reporting period, the PI launched the former Tetra Tech FISH team to support policy efforts within and across thematic result areas as well as assisting in the formation of the Local Governance Alliance which will include the formation of integrated marine and coastal management frameworks.

Local Governance Alliance and Mayors Round Table

During SOM6 from November 10-14, the CTI countries and Secretariat agreed to the prioritization of a Local Governance Alliance to focus efforts to support the front-lines marine and conservation management needs facing the CT6 countries through a **regional** approach. During the reporting period, the PI spent significant effort including conducting a planning meeting with Indonesian officials the week of March 8 to prepare for a scheduled May 16-20, 2011 CTI Mayors Round Table (MRT) to be conducted in Wakatobi, Indonesia. The PI also worked with the NGO, United Cities Local Governments (UCLG), to prepare a grant for support for the conduct of the May MRT. The hope is that UCLG will become a mechanism to ensure the sustainability of the CTI Local Governance Alliance/MRT.

US CTI Technical Integration Meeting

From 27-28, 2011 January, the PI participated in a CTSP convened a technical/thematic integration meeting in Honolulu, Hawaii to plan the strategy for integrating the US CTI's EAFM, MPA and CCA work at the site, national and regional levels. Peter Collier, COP, Kitty Courtney, Senior Technical Adviser, and Nygiel Armada, Senior Fisheries Adviser, participated from the PI. Meeting results included the agreement to utilize Eco-Based Management as the organizing framework for integration efforts as well as a number of outputs including identification of roles and responsibilities to ensure integration, a roadmap of next steps, and draft definitions for success.

Challenges and Corrective Actions

The PI remains cautiously optimistic about the ability for UCLG to serve as a long term partner for the Local Governance Alliance/MRT as the organization's Project related funding structure may impact their capacity to commit to long term engagement. Their performance at the MRT will serve as a test case to help answer that question.

Next Steps

The PI will focus on the finalization of preparations for the May 2011 Mayor's Round Table and the finalization of a grant agreement with UCLG. The PI will also implement the outcomes of the US CTI Technical integration meeting as outlined in roles and responsibilities.

Intermediate Result 1.2: Institutional capacity and collaboration strengthened

The PI was involved in a number of key efforts during the reporting period to strengthen the institutional structures of the CTI and maintain momentum for the establishment of a permanent Secretariat. The addition of Dr. Suseno Sukoyono as Vice-Chair of the CTI Regional Secretariat has resulted in a renewed energy in the Secretariat and the CTI. The below provides a summary of key Result 1.3 accomplishments during the first half of FY11:

CTI Interim Secretariat Coordinator Support

The PI worked with USAID to ensure the continuity and life blood of the Secretariat was maintained by continuing the position of the interim Secretariat Coordinator, Darmawan for six months starting January 2011. Dr Darmawan's position has been funded by a US Department of State grant to TNC which ended in December 2010. By ensuring the funding of this position for an additional six months while the necessary agreements for the permanent Secretariat are established, the PI is supporting a critical and timely need. The PI has received resounding endorsement and gratitude for this funding from Indonesian counterparts from the Secretariat and the NCC.

CMGW Meeting

The PI worked with the CTI Secretariat and Partners including the Government of Australia to support the meeting and work of the Coordination Mechanisms Working Group from November 8-9, 2011 in Manado, Indonesia. Four draft agreements were developed including: Rules of Procedure and Staff Regulations; Entry of Force Agreement; Transition Secretariat Structure; and Formulae for CT6 Contributions. The agreements were found generally acceptable at SOM 6 but there were several items that needed further deliberation and refining prior to being put forward for Ministerial approval.

SOM 6 Meeting

Working with the Secretariat and Partners, the PI provided technical, operational and logistical assistance for the meeting of the Coordination Mechanisms Working Group and the conduct of SOM6 from November 8-12, 2011 in Manado, Indonesia. The outcomes included a number of significant results including consensus on key agreements for the establishment of the permanent Secretariat, endorsement for the establishment of six new technical working groups based on RPOA Goals and the work of the US CTI Support Program Regional Activity Teams; and the drafting of the 2011 CTI Roadmap. The SOM agreed to put forward the draft agreements developed CMGW at the next Ministerial Meeting.

Malaysia NCC Support

In December, the PI added Kevin Hiew to its team as the Malaysia Liaison/Coordinator. Mr. Hiew has extensive experience working with the Government of Malaysia and WWF and will be a critical resource in assisting the PI and the GoM with implementation of the planned September 2011 EAFM Regional Exchange and the October 2011 CTI Business Forum in Malaysia.

Support for the Leadership of the CTI Secretariat

The PI took advantage of the new window of opportunity for promoting the leadership of the CTI Secretariat with the arrival of Dr. Suseno Sukoyono as the CTI Vice-Chair. The PI enthusiastically agreed to more regular virtual and on-site meetings at the request of the Vice-Chair and supported the greater engagement and leadership of the CTI Secretariat in PI and US CTI supported events and work streams. The results have resulted in greater visibility of the CTI Secretariat including participation in a January 24, 2011 meeting in Bali with the Coral Triangle Center to finalize the grant proposal for a CTI Learning Network and chairing the March 17-18, 2011 CTI Regional Learning Network Meeting in Manila, Philippines.

Challenges and corrective actions

The key challenge continues to be maintaining the profile and importance of the CTI within the Government of Indonesia as well as maintaining momentum for the establishment of the permanent Secretariat. Dr. Sukoyono has stated that the Secretariat must be established by May 2012 or the focus of the Indonesian Government will certainly be lost as attention shifts towards the country's presidential elections. In addition, the PI was approached by ADB for support to develop and finalize the State of the Coral Triangle Report – an activity it had not anticipated would need funding. The PI will work with ADB and USAID to clarify needed support as well as cost and technical implications.

Next steps

The PI will be focusing on a series of next steps to further strengthen the CTI Secretariat and institutions. This includes working to identify a contractor to update and revitalize the CTI Secretariat website. The PI will also assist in increasing the CTI Secretariat's communications capacity, a critical need identified by the Secretariat, by helping to develop a scope of work and recruit a communications specialist to assist with web-site content development as well as assist with ongoing communications demands. The PI will also work with the Secretariat and Partners to plan for SOM7/MM3 as well as support the meeting of Technical Working Groups. The PI will be working diligently with the CTI countries and partners to ensure the Agreements for the establishment of the Secretariat are finalized and approved at MM3. Finally the PI will work to support the development of the CTI Annual Report as well as the CTI State of the Coral Triangle Report as critical documents.

Intermediate Result 1.3 Learning and information networks strengthened

The PI's regional exchange (REX) and small grants activities are two critical components of its technical support efforts for the establishment and strengthening of CTI regional learning networks. During the reporting the PI assisted in the implementation of four regional exchanges not including the conduct of CMGW or SOM6 related meetings. It also finalized three grants with the University of Rhode Island, the Coral Triangle Center, and United Cities Local Governments.

Regional Exchanges

The PI provided \$20,000 to the efforts of Conservation International to help strengthen the national platform for effective marine management and conservation in Papua New Guinea supporting a workshop on Improving Community-based Marine Resource Management and Conservation on October 4 – 8, 2010 at March Girl's Resort just outside of the capital, Port Moresby. Hosted by the PNG Department of Environment and Conservation (DEC) and the National Fisheries Authority (NFA), the workshop was attended by representatives of the PNG national, provincial, and local governments; international, provincial, and national NGOs; community-based organizations; and community members. The workshop reviewed successful approaches to marine conservation in PNG and agreed to pursue a collaborative process towards further understanding, enhancing, and expanding

successful practices for the PNG marine conservation sector. To bolster this effort, the group agreed to establish a Learning Network that will be coordinated by the PNG Center for Locally-Managed Area (CLMA) and supported by numerous conservation organizations including Government agencies and the non-government sector. In close collaboration with PNG government, U.S. CTI is committed to support these ongoing efforts to build the capacity of PNG marine conservation practitioners, develop a fully-functioning Learning Network, and pursue strategies that will result in enhanced marine conservation success in PNG.

The PI with US CTI team partners and the Government of Malaysia coordinated and conducted the meeting of forty representatives from government, non-governmental organizations, academia and commercial fish trading firms from Coral Triangle countries to attend the Ecosystems Approach to Sustainable Live Reef Fish Trade in the Coral Triangle in Kota Kinabalu, Malaysia from October 12-15, 2011. The meeting participants recommended the formulation of a multi-stakeholder forum that will ensure the sustainability of LRFT by addressing critical issues such as regulation of size and maturity of fish catch, traceability, addressing demand and increasing awareness among consumers and suppliers.

From PI also provided technical, operational and logistical leadership together with US CTI CCA regional activity team and the Government of Indonesia for the conduct of the Regional Policy and Action Planning for Climate Change Adaptation in the Coral Triangle Countries, Jakarta from October 21-23, 2010. Twenty five representatives from the Coral Triangle Countries - Indonesia, Malaysia, Papua New Guinea, Philippines, Solomon Islands and Timor-Leste - met in Ancol, Indonesia on to prepare a region-wide Early Action Plan that addresses the region's immediate climate change adaptation needs. The plan will support regional and country level actions that will strengthen marine ecosystems and coastal communities' resilience to climate change. The representatives also prepared a communiqué that calls for the recognition of the large role of oceans, coasts and small islands in climate change adaptation and mitigation. The communiqué will be presented at the upcoming UN Framework Convention on Climate Change Conference of Parties in Cancun, Mexico on November 29-December 10, 2010. The US CTI Support Program organized and funded the meeting in collaboration with the Indonesia Ministry of Marine Affairs and will support the countries in implementing the action plan.

The PI conducted, together with the Regional Secretariat, the Government of the Philippines and a PI grantee, the Coral Triangle Center, with the conduct of the CTI Regional Learning Network Planning Meeting March 17-18, 2011. Sixty participants representing a range of governmental, academic, and nongovernmental stakeholders from across the Coral Triangle met in Manila, Philippines 2011 to initiate the design of a Coral Triangle Initiative (CTI) Regional Learning Network. Widely recognized as a need and referenced in the CTI Regional and National Plans of Action, the Learning Network design meeting was seen as a significant step in forging and strengthening linkages in the CTI between policy makers, scientists and practitioners. The Coral Triangle Center is serving as the designated CTI interim Regional Secretariat's service provider for Learning Networks.

The PI supported the conduct of the Coordination Mechanisms Working Group on November 8-9 and the sixth CT Senior Officials Meeting on November 10-12, 2010 which marked a critical point in the life of the CTI as senior officials from the six Coral Triangle countries endorsed a draft agreement to establish a permanent Regional Secretariat. The agreements, expected to be officially signed by the six countries during the Third CTI Ministerial Meeting scheduled in the first quarter of 2011, will formalize the establishment of a central coordinating body for all sustainability and conservation efforts in the Coral Triangle.

Small Grants Program

The PI signed a grant agreement for \$58,000 with the University of Rhode Island, Coastal Resource Center on January 21, 2011 for the adaptation, institutionalization and replication of its Climate Change

Adaptation course. The grant which is expected will assist with consultations and adaption of URI's Climate Change course which will be replicated in the Philippines and a CT6 Pacific country in September 2011. A Training of the Trainer component will ensure sufficient capacity is developed to replicate the training further.

On March 9, The PI finalized a grant agreement for \$97,153 with Coral Triangle Center (CTC) located in Bali, Indonesia for the creation of a formal CTI Learning Network. The CTC will work with technical experts from across the region to create a proposal for the design of a Learning Network Framework that would be formally approved and adopted in an upcoming SOM. The CTC would serve as one of the central nodes for the framework. The PI anticipates that a small grant will be fully developed and approved for this activity in the second quarter of FY11.

The PI also finalized a grant agreement with United Cities Local Governments on March 21, 2011 for the amount of \$15,000 to provide technical research and facilitation support at the upcoming May 2011 Mayor's Round Table (MRT). The aims of the MRT are to lay the foundation for a regional Local Governance Alliance or Learning Network that would promote integrated coastal management as a service and would strengthen the engagement and political leverage of local governments in addressing the goals of the Regional and National Plans of Action. The hope is that UCLG will become a long term partner for Alliance thus assisting with institutionalization and sustainability of efforts.

The PI is working with the University of Philippines, Marine Science Institute to develop a grant that would provide implementation of a second iteration of URI's adapted Climate Change course for the CTI Region. The two organizations have been and will continue to work together on the implementation of their respective planned and ongoing grants. The grant would also help establish a regional CTI Climate Change learning network focused on assisting countries develop vulnerability assessments.

Capacity Development Group – CD group in SOM 6

During the reporting period the PI facilitated and participated in a "Friends of CTI Capacity Development" activity team that contributed to the shaping of the CTC Grant as well as the CTI Regional Learning Network Meeting in May 2011. The team included representatives from the US CTI as well as CTC and in the lead up to the CTI Regional Learning Network Meeting would undertake conference calls on a regular basis. It is anticipated that these calls will evolve to address other subject matter in the months to come.

Challenges and corrective actions

The most significant challenges during the reporting period were related to development of grants with prospective partners. The dearth of environmental NGOs or academic institutions with sufficient interest or capacity to work with the US CTI has been an ongoing challenge. Two of the three current PI grantees, UCLG and CTC, have limited experience with grants from the US government and face institutional capacity limitations. These institutions will require significant continued support and capacity building during the grant implementation phases. The two other prospective grantees, Asian Strategy and Leadership Institute (ASLI) described in the next section 1.4 and UPMSI, with whom the PI is engaging on grant development face similar challenges.

Next Steps

The PI will work to support the conduct of at least five regional exchanges in the next two quarters and possibly additional exchanges depending on the schedule of SOM7/MM3. The exchanges include the following:

Coral Triangle Initiative (CTI) Regional Exchange on Climate Change Adaptation (CCA) - Tools for Action: During the reporting period, the PI was undergoing operational, logistical, and technical preparations for the implementation of the second Regional Exchange Year 3, the *Coral Triangle Initiative (CTI) Regional Exchange on Climate Change Adaptation (CCA): Tools for Action* to be conducted in the Solomon Islands on April 14-21, 2011. An anticipated outcome will be the finalization of the draft REAP-CCA Framework and identification of early regional, national and sub-national CCA actions under three priority themes: coastal marine ecosystems, food security/livelihood, and coastal infrastructure; and seven climate issue statements.

MPA Management Effectiveness, Monitoring and Evaluation: The PI will continue to work with CTSP lead for US CTI MPA efforts, Alan White, to implement a May 9-13, 2010 Regional Exchange in Batangas, Philippines. The event will include approximately 35 participants and resource persons to assist in the development of CTI country roadmaps to develop MPA management effectiveness systems (MPA-MES) at national and local scales. This is anticipated to be a significant step towards the development of a common MPA-MES for the CT6.

Mayors Round Table: As part of its efforts to promote Integrated Marine Coastal Resource Management (IMCM), the PI will conduct a CTI Mayors Round Table (MRT) Regional Exchange in Wakatobi, Indonesia from May 16-20, 2011. The outputs of the meeting are anticipated to include a joint communiqué that advocates for local government representation on NCCs and a recognition by SOM of a CTI Mayors Round Table. Thirty-three participants are anticipated to participate from the CT6 countries and exchange will include the participation of United Cities Local Governments (UCLG) through a grant from the PI. UCLG's local governance and environmental experience will be used to inform the MRT.

EAFM Second Regional Exchange and Technical Working Group Meeting: The PI will continue to work with CTSP to plan the conduct of a regional exchange in September 2011, most likely in Malaysia. The Regional Exchange will look to bring regional fisheries experts together to help form an EAFM framework for the CTI as well as provide a venue for the first gathering of the CTI Technical Working Group in EAFM.

CTI Course on Climate Change Adaptation: During the reporting period, the PI worked with the University of Rhode Island and the Climate Change Adaptation regional activity team to initiate planning for the conduct of a CTI regionally adapted URI CCA training course in September 2011 in a CTI-CFF Pacific country. An anticipated outcome will be the training of 24 local government officials and practitioners on climate change adaptation measures and vulnerability assessments. It will also focus on a subset of 12 participants to provide further Training of Trainer instruction to enable further replication of the course.

Intermediate Result 1.4 Public and private sector partners engaged

The PI's Year 3 public-private sector activities are largely be focused on the implementation of the second CTI Regional Business Forum that Malaysia's Ministry of Science Technology and Information committed to hosting in the November SOM/6 Meeting. It will continue working with CT6 countries to advocate the development of public-private partnerships. The below provides a summary of key Result 1.4 accomplishments during the first half of FY11:

2011 Business Forum

During the reporting period, the PI worked with MOSTI and WWF to prepare for the conduct of the 2011 CTI Regional Business Forum which is anticipated to be held in Kuala Lumpur from October 10-11, 2011. At the time of the report, MOSTI was still pursuing Ministerial commitment for the dates.

The PI through PI Malaysia Liaison, Kevin Hiew, and PI Outreach and Communications Coordinator, Charlie Macpherson, assisted in the formation of a planning team which will include WWF CTNI, WWF Malaysia, and the NGO, Asian Strategy & Leadership Institute (ASLI), the anticipated event organizer. The PI worked extensively with ASLI to develop a grant proposal and a grant agreement is expected to be finalized during the next reporting period.

Challenges and Corrective Actions

The PI is somewhat concerned by potential capacity limitations in MOSTI and ASLI to fulfill their anticipated roles and responsibilities in the conduct of the 2011 Business Forum. Although ASLI was identified by MOSTI as a critical prospective partner, their inexperience with proposal development raises some red flags. The PI will look to bolster the Forum planning and implementation team with additional resources to counter potential shortfalls. In addition, in this stage of programming it is clear that the PI mechanism is not ideal for the development of public-private partnerships and will take a conservation approach in its PPP targets in 2012.

Next steps

The PI will continue to serve a leadership role in the CTI Business Forum planning team which includes providing technical, operational and logistical support such as identifying potential speakers and work with the CT6 countries and the US CTI team to identify PPPs to showcase at the event, etc.

3.2 Result 2 – Ecosystem Approach to Fishers Management improved in CT6 countries

Intermediate Result 2.1 EAFM framework developed and endorsed

Facilitate collaboration to develop a “common regional framework for legislation and policy” that would support EAFM: The PI’s Fisheries Management Expert, Nygiel Armada, worked closely with CTSP EAFM technical lead Bob Pomeroy to undertake research on regional fisheries legislation, policies, and practices and meet with regional fisheries experts and institutional representatives to assist with the development of a regional framework. A Regional Exchange on framework development and the first meeting of the EAFM TWG will be supported by the PI in the fourth quarter of Year 3.

Intermediate Result 2.2 Fisheries management capacity increased

Conduct regional LRFT meeting of market and producer countries to address necessary measures to sustain the trade & Support CT6 representation at APEC Fisheries TWG to present CTI positions and recommendations on LRFT: The PI with US CTI team partners and the Government of Malaysia coordinated and conducted the CTI Live Reef Food Fish Regional Exchange and Workshop entitled *Managing for the Sustainability of the Live Reef Fish Trade Under an Ecosystem Approach to Fisheries Management in Coral Triangle Countries* which was held in Kota Kinabalu, Sabah Malaysia, October 12-15, 2010. Outputs included drafting of the initial pieces of a CT6 fisheries policy review; identification of a list of priority actions that were needed to begin the reformation of the fishery under ecosystem-relevant and sustainable approach; identification of specific types of science information, research and statistics that are needed to improve management decisions; and the drafting of a proposal to begin development of a CTI LRFT Round Table and recommendations which were presented at the 6th CTI Senior Official Meeting in November 2010 in Manado, Indonesia and the June 2011 APEC LRFT meeting in Bali, Indonesia.

Result 2 – Ecosystem Approach to Fishers Management (EAFM) improved in CT6

Intermediate Result 2.1
EAFM framework developed and endorsed

Intermediate Result 2.2
Fisheries management capacity increased

Intermediate Result 2.3
Enforcement capacity increased

Intermediate Result 2.4
EAFM Applied to priority geographies

Challenges and Next Steps

There were few challenges with EAFM support over the course of the reporting period. Getting clarity on a timeframe for the Quarter 4 Regional Exchange and EAFM TWG meeting will be critical. It has also been a challenge to get information on NOAA's IUU MCS assessment efforts though the PI has intermittently been asked for liaison support. During the reporting period, plans for a potential training activity on fisheries management to be held in Timor-Leste with PEMSEA, NOAA, and PACOM were under discussions. The PI is anticipated to provide technical input and support.

3.3: Result 3 - Marine protected area management improved in CT countries

Progress Update

In Year 3 the PI is supporting CTI regional priority actions through the Program's CTSP-led MPA Regional Activity Team. The PI contributes technical input into the design of the Regional Activity Teams MPA strategy including support toward CTI RPOA priority actions to develop a series of national resilient networks of MPAs that are designed based on biophysical, socioeconomic and political factors, climate change projections and vulnerability assessments, and guided by EAFM principles that provide the foundation for establishment of a mutually reinforcing CTMPAS.

Intermediate Result 3.2: MPA Management Capacity Increased

Conduct MPA Regional Exchange to introduce management effectiveness protocol and develop roadmap for CTMPAS establishment:

During the reporting period, the PI supported planning for a CTSP led and Philippines NCC hosted MPA regional exchange on MPA Management Effectiveness, Monitoring and Evaluation Regional Exchange to be held on May 9-13 in Batangas, Philippines. The objective of the Regional Exchange will be to develop roadmaps for each country to develop MPA management effectiveness systems (MPA-MES) at national and local scales that would then allow for the development of a Regional Management Effectiveness Protocol. The PI is supporting the logistical and operational implementation which includes coordination with the Philippines NCC and invitations, travel, accommodation, etc. for the twenty-eight participants and eight person resource team who attended the regional exchange. The PI is also providing technical assistance through participation as part of the Resource Team.

Result3 – Marine Protected Area (MPA) Management Improved in CT

Intermediate Result 3.1
MPA system framework developed and endorsed

Intermediate Result 3.2
MPA management capacity increased

Intermediate Result 3.3
MPA effectiveness improved in priority geographies

Challenges and Next Steps

There were no particular challenges during the reporting period. The PI will continue to work to support the USCTI MPA goals with the CTSP Thematic Team lead as well as the CTI-CFF MPA Technical Working Group.

3.4 Result 4 - Capacity to adapt to climate change improved in CT countries

Progress Update

The CTI has prioritized action to adapt to the impacts of climate change, such as fisheries shifts, sea level rise and coastal hazards. The PI strategy to address this critical and overwhelming need consisted of two approaches, 1) building capacity in the CT6 countries and at the regional level to adapt to the impacts of climate change through training and the development of the *Region-wide Early Action Plan for Climate Change Adaptation for the Nearshore Marine and Coastal Environment (REAP-CCA)*, and 2) increasing the capacity of CT6 countries to assess vulnerability and increase resilience to climate change impacts.

The PI contributed to IR 4.1 by supporting the CT6 with Indonesia and the Solomon Islands leading the CTI Climate Change Adaptation Working Group to develop the REAP-CCA. The REAP-CCA is identified as a major target of the RPOA by 2015. The key mechanisms for achieving the anticipate CC outcomes included the conduct of regional exchanges and the application of small grants.

Result 4 – Capacity to Adapt to Climate Changed improved in CT

Intermediate Result 4.1 CCA Framework developed and endorsed

Intermediate Result 4.2 Capacity to apply climate change adaptation increased

Intermediate Result 4.3 Climate adaptation strategies applied in priority geographies

4.1 CCA Framework Developed and Endorsed

CCA Region-wide Early Action Plan adopted by CT6 and guiding investments

Conduct legal and policy review and analysis for CCA; Conduct Regional CCA Policy Exchange to develop framework for REAP. Present CCA REAP framework and CCA road map to SOM and CTICOM; Conduct workshop to draft CCA REAP and identify priority regional projects and seek funding:

The PI led the conduct of a legal and policy review and analysis and drafted a report that shaped and informed the conduct of the October *Regional Exchange on Policy and Action Planning for Climate Change Adaptation*. From October 21-23, 2010 the PI together with the US CTI CCA regional activity team and the Government of Indonesia led the planning, logistical and operational preparations, and conduct for both the first CCA Technical Working Group meeting and the *Regional Exchange on Policy and Action Planning for Climate Change Adaptation (CCA)* held consecutively in Jakarta, Indonesia. Among the key outputs of the meeting and regional exchange were an outline of the framework for a CTI CCA REAP based on the RPOA which was then presented and approved at SOM6. The draft framework outlined the key content points including the purpose, priority actions, initial steps and measures for countries to implement.

During the reporting period, the PI was undergoing operational, logistical, and technical preparations for the implementation of the second Regional Exchange Year 3, the *Coral Triangle Initiative (CTI) Regional Exchange on Climate Change Adaptation (CCA): Tools for Action* to be conducted in the Solomon Islands on April 14-21, 2011. This event will be hosted by the Solomon Islands Ministry of Environment, Climate Change, Disaster Management and Meteorology (MECDM), with assistance from the US CTI Support Program. An anticipated outcome will be the finalization of the draft REAP-CCA Framework and identification of early regional, national and sub-national CCA actions under three priority themes: coastal marine ecosystems, food security/livelihood, and coastal infrastructure; and seven climate issue statements. The REAP-CCA is anticipated to be completed by the end of the fiscal year and presented at the next SOM/MM

4.2 Capacity to Apply Climate Change Adaptation Improved

Provide grant to CRC/URI to adapt, replicate and regionally institutionalize its Coastal Adaptation to Climate Change Course. Conduct Regional CCA Exchange to adopt VA methodologies and resilience best practices.

As outlined in the Year 3 Work Plan, the PI has worked for the regional conduct of two training and capacity-building program for CCA implementers. These will be completed through two grants – one signed with the University of Rhode Island, Coastal Resource Center (URI/CRC) in January 2011, and the other is a grant being developed with the University of Philippines, Marine Science Institute (UPMSI) anticipated to be signed in July 2011. The two grants have been developed as a collaboration of the two institutions. The URI CRC will take leadership with the implantation of a training targeting the CTI Pacific countries in September 2011 and will provide mentorship to UPMSI which will then implement a training in early 2012 for the South-East Asia CTI countries.

Challenges and Next Steps

The PI experienced no significant challenges during the reporting period. The PI will focus on implementation of its grant with UPMSI as well as its second regional CCA course implementation in Years 3 and 4.

4. PERFORMANCE MANAGEMENT PLAN RESULTS

The PI's activities contribute directly towards four of the six Program indicators as identified in attached PMP tables B.I. During the reporting period the PI was on schedule for achievement of targets which are typically achieved during the second, third and fourth quarter of the fiscal year. The PI had achieved four of six 6 FY11 targets for Indicator 3 from the agreement decisions made at SOM6 in November 2010. The PI had achieved 41 of its 150 targets for Indicator 4; increasing the capacity of 41 CT6 government and technical professionals with the conduct of four PI facilitated REXs during the reporting period. With the development of a joint CT6 communiqué on climate change in SOM6, the PI was half way through meeting its annual target for Indicator 5. With the approval and three grants, the PI had achieved all of its PPP targets for Indicator 6 for FY 11. The PI expects to achieve its targets for all Indicators over the next two quarters through REX and Small Grant related activities and will most probably exceed targets for several indicators. A summary of targets achieved towards those planned through FY10 can be found in Table 2. below.

Table 2 . PI results towards Performance Management Plan Indicators

Indicator	FY	Planned	Actual
Indicator 3: Number of policies, laws, agreements, or regulations promoting sustainable natural resource management and conservation that are implemented as a result of USG assistance	09	0	1
	10	2	3
	11	6	4
Indicator 4: Number of people receiving training in natural resources management and/or biodiversity conservation as a result of USG assistance	09	40	25
	10	100	122
	11	150	41

Indicator 5: Number of policies, laws, agreements, or regulations addressing climate change proposed, adopted, or implemented as a result of USG assistance	09	0	0
	10	0	0
	11	2	1
Indicator 6: Number of public-private partnerships formed as a result of USG assistance	09	0	0
	10	3	0
	11	3	3

Challenges

There were no significant challenges during the reporting period.

Next steps

The PI will work intensively with USAID, CTSP and NOAA to select and refine definition for two new climate change indicator targets. The U.S. Department of State Foreign Assistance Indicators were modified in early FY2011 to include new indicators including those for climate change. USAID/RDMA Programs have been instructed to identify relevant climate change indicators, identify targets and begin reporting on them for 2011 and beyond.

7. ANNEXES

ANNEX I. PROGRAM INTEGRATOR PERFORMANCE MANAGEMENT PLAN

Introduction

This Performance Management Plan (PMP) describes the results framework, data collection sources and methods, and performance indicators and targets for the US CTI Support Program Integrator, which will in turn contribute to the overall consolidated PMP for the US CTI Support Program. The October 2009 Version 1.0 reflects the results of a review process which has led to the development of the US CTI Support Program Results Framework, which define the objectives and content of the Work Plan and PMP.

Results Framework

The US CTI Support Program Results Framework is provided in Table B.1. The overall strategic objective for the US CTI Support Program is Improved Management of Biologically and Economically Important Coastal and Marine Resources and its Associated Ecosystems that Support the Livelihoods of Peoples and Economies in the Coral Triangle. The results framework consists of four results statements that capture the outcomes and impacts of program activities over the life of the program. Respective indicators are detailed in Table B.2 incorporating and refining the expected results for the US CTI Support Program Integrator. For USAID RDMA, the objective is Economic Growth, the Program Area is Environment, and the Program Element is Natural Resources and Biodiversity.

Data Sources, Compilation, and Reporting

This PMP provides the six program indicators and respective targets for the life of the program that will be used to report progress and achievements of the US CTI Support Program against the Results Framework. A description of each indicator, including unit of measure, targets, and responsibility is provided in Table B.2.

Each program partner, including the Program Integrator, is required to measure progress using the relevant indicators provided in Table B.2 on a semiannual basis. In cases where the Program Integrator works with or supports other Program partners in the achievement of specified indicator targets, the Program Integrator will report and verify its support through specified source documentation; however, the targets will be listed, set and counted in the PMP targets of the Program partner the PI is supporting. The primary exception will be targets set for indicator 4 on USG supported training, where the PI will always count targets achieved in its own performance and reporting.

The PI will contribute directly to and has identified targets for the following program indicators:

- Indicator 3: Number of policies, laws, agreements, or regulations promoting sustainable natural resource management and conservation that are implemented as a result of USG assistance;
- Indicator 4: Number of people receiving training in natural resources management and/or biodiversity conservation as a result of USG assistance; and
- Indicator 6: Number of public-private partnerships formed as a result of USG assistance.

PI's coordination, facilitation and administrative activities in addition to the implementation of the exchange and small grants mechanisms are expected to contribute to results for Indicators 4 and 6. Each Program partner will submit performance management reports to USAID for consolidation by the PI. These results will be incorporated into the Semi-Annual and Annual Reports for the Program that tracks with the semi-annual process for preparing USAID's planning and performance reporting. Completed performance management reports from each partner agency must be submitted on a semiannual basis. As per USAID Performance Management Plan Toolkit April 2003 guidance, PI will undertake an annual review and necessary adjustments of PMP targets to reflect evolving realities. PI will facilitate a broader review and adjustment process with US CTI Support Program partners to feed into the annual reporting and consolidated reporting process.

Reporting Responsibility

USAID RDMA is ultimately responsible for providing input on these reports to USAID/W. At a program level, the PI will be responsible for compiling and reporting PI-specific data to USAID. The PI will also assist USAID and each program partner in consolidating reporting data at the overall US CTI Support Program level. Program partners will be given a brief period to review and comment on draft consolidated PMP report submissions, as well as draft submissions of aforementioned reporting mechanisms, toward which results in this Program Integrator PMP will contribute.

Table B.1 RESULTS FRAMEWORK FOR THE US CTI SUPPORT PROGRAM

<p>US CTI Support Program Strategic Objective</p> <p>Improved Management of Biologically and Economically Important Coastal and Marine Resources and its Associated Ecosystems that Support the Livelihoods of Peoples and Economies in the Coral Triangle</p> <p>R1. Regional and national platforms strengthened to catalyze and sustain integrated marine and coastal management in the Coral Triangle</p> <p style="padding-left: 20px;">IR 1.1 Policies developed and advanced</p> <p style="padding-left: 20px;">IR1.2 Institutional capacity and collaboration strengthened</p> <p style="padding-left: 20px;">IR1.3 Learning and information networks strengthened</p> <p style="padding-left: 20px;">IR1.4 Public and private sector partners engaged</p> <p style="padding-left: 20px;">IR1.5 Sustainable financing mobilized</p> <p>R2. Ecosystem approach to fisheries management improved in CT countries</p> <p style="padding-left: 20px;">IR2.1 EAFM framework developed and endorsed</p> <p style="padding-left: 20px;">IR2.2 Fisheries management capacity increased</p> <p style="padding-left: 20px;">IR2.3 Enforcement capacity increased</p> <p style="padding-left: 20px;">IR2.4 EAFM applied in priority geographies</p> <p>R3. Marine protected area management improved in CT countries</p> <p style="padding-left: 20px;">IR3.1 MPA System framework developed and endorsed</p> <p style="padding-left: 20px;">IR3.2 MPA management capacity increased</p> <p style="padding-left: 20px;">IR3.3 MPA effectiveness improved in priority geographies</p> <p>R4. Capacity to adapt to climate change improved in CT countries</p> <p style="padding-left: 20px;">IR4.1 CCA Framework developed and endorsed</p> <p style="padding-left: 20px;">IR4.2 Capacity to apply climate change adaptation strategies increased</p> <p style="padding-left: 20px;">IR4.3 Climate adaptation strategies applied in priority geographies</p>
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TABLE B.2 INDICATORS FOR THE US CTI SUPPORT PROGRAM

<p>Indicator 1. Number of hectares in areas of biological significance under improved resource management as a result of USG assistance.</p> <p><i>Unit of measure:</i> Hectares</p>	FY	Planned	Actual
	09	0	0
	10	0	0
	11	0	0
	12	0	
	13	0	
	TOTAL	0	0

Definition: "Improved Management" includes activities that promote enhanced management of natural resources for the objective of conserving biodiversity in areas that are identified as biologically significant through national, regional, or global priority-setting processes. Management should be guided by a stakeholder-endorsed process following principles of sustainable natural resources management (NRM) and conservation, improved human and institutional capacity for sustainable NRM and conservation, access to better information for decision making, and/or adoption of sustainable NRM and

conservation practices. For US CTI, "Improved Management" within marine protected areas (MPAs) refers to meeting established MPA management effectiveness measures. Meeting established effectiveness measures means established national standards or protocols are met which may include: monitoring and evaluation system in place, management body established and functional, boundaries demarcated and enforcement in place, or other appropriate measures used within a country. For US CTI, "Areas of biological significance" refers to area currently in an MPA or with a strong likelihood of being designated an MPA by 2013. These areas are inside CT Priority Geographies which were identified through participatory eco-regional and national assessments and prioritization processes with expert guidance.

Rationale: Regional and coordinated institution building supports sustainable management of resources across the Coral Triangle. The indicator tracks the areal extent over which that is occurring within MPAs.

Data Collection and Analysis Methodology: The area of marine waters and habitat within MPAs or MPA-designates (using national or local government definitions of MPA) of the six CT countries is all considered to be of "biological significance". The baseline of area within MPAs is based on spatial mapping using a standard protocol and nationally recognized boundaries. "Improved management" within MPAs will be reported for activities where the USAID supported program is plausibly linked to the improvements observed. CTSP Country Coordinators and Implementation Team Staff will collate data on the baseline of MPAs (ha) and those areas under "improved management". "Improved management" will be determined through the application locally or nationally adopted protocols or standards that define an effective MPA. Documentation will consist of an Excel spreadsheet that lists the MPAs or MPA-designate, their total area, area under improved management and an associated narrative. The data will also include area (ha) of Priority Geographies and municipal/district waters as appropriate to provide context and scale for the MPAs where interventions are occurring.

Disaggregated by:

1. Country

Data source: Designated national agencies or MPA management boards within Coral Triangle countries with authority over MPAs in coordination with field management teams and non-government organizations.

Data Verification: Boundaries plotted on a map and area verifiable through credible data sources.

Baseline Information: The baseline is October 2011.

Indicator 2. Number of hectares under improved natural resource management as a result of USG assistance. <i>Unit of measure:</i> Hectares	FY	Planned	Actual
	09	0	0
	10	0	0
	11	0	0
	12	0	
	13	0	
	TOTAL	0	0

Definition: “Improved Management” includes activities that promote enhanced management of coastal resources and fisheries resources for one or more objectives, such as sustaining fisheries and other resource uses, mitigating pollution and/or climate change or other appropriate outcomes. Management should be guided by a stakeholder-endorsed process following principles of sustainable natural resources management (NRM), improved human and institutional capacity for sustainable NRM and conservation, access to better information for decision-making, and/or adoption of sustainable NRM and conservation practices.

For US CTI, area (hectares) of “improved management” may fall within fishery management jurisdictions and/or seascapes but not include area of MPAs that are counted in Indicator 1. Accepted criteria to qualify an area for “improved coastal resource and fisheries management” include meeting some aspect of coastal resource and fisheries management benchmarks or measures as established within the country of concern. Such benchmarks may include two or more of the following: management regulations decided and plans adopted, management body established and functional, boundaries demarcated, some level of enforcement in place, or other appropriate measures. Indicator 2 can be satisfied only if sufficient criteria (benchmarks) are met for an area to qualify under “improved management”. Otherwise, the area of concern may be measured through a local ordinance, law or policy change that satisfies Indicator 3 on policies adopted. Replication sites that do not show measured changes through the above criteria can also not qualify under Indicator 2 and should also be measured under Indicator 3, 4 or 6 as appropriate.

Rationale: Regional and coordinated institution building supports sustainable management of resources across the Coral Triangle. The indicator tracks the areal extent over which that is occurring within coastal and marine resource areas outside of marine protected areas.

Data Collection and Analysis Methodology: The baseline of area within priority geographies, coastal resource and fishery management areas is based on spatial mapping using a standard protocol and nationally recognized boundaries. “Improved management” will be reported for activities where the USAID supported program is plausibly linked to the improvements observed. Project managers and/or NGO country teams will collate data on the baseline of priority geography areas (ha) and those areas under “improved management”. “Improved management” will be determined through the application locally adopted protocols or standards as noted above. Documentation will consist of an Excel spreadsheet that lists the area under improved management with an associated narrative. The data will also include area (ha) of priority geographies as appropriate to provide context and scale for the areas where interventions are occurring.

Disaggregated by:

1. Country
2. Management jurisdictions or zones (for example: municipal or district waters, etc.)

Data source: Designated national agencies or local government units within Coral Triangle countries with authority over marine areas in coordination with field management teams and non-government organizations.

Data Verification: Boundaries plotted on a map and area verifiable through credible data sources.

Baseline Information: The baseline is October 2011.

	FY	Planned	Actual
Indicator 3. Number of policies, laws, agreements, or regulations promoting sustainable natural resource management and conservation that are implemented as a result of USG assistance	09	0	1
	10	2	3
	11	6	2
	12	2	
	13	2	
	TOTAL	12	4
	<i>Unit of measure:</i> Number of regional, national, or local policies, laws, agreements and regulations		

Definition: Policies, laws, agreements and regulations include those formed and formally endorsed by government, non-government, civil society, and/or private sector stakeholders with the intent to strengthen sustainable natural resource management. Under CTI, these may support the following:

Regional: Multilateral dialogue and agreements, coordinated action and/or policy endorsements

National: Laws, ordinances, policies and/or agreements among local jurisdictions for marine and coastal resource management

Local: Laws, ordinances, policies and/or agreements among jurisdictions for marine and coastal resource management

Rationale: Regional and coordinated institution building supports sustainable management of resources across the Coral Triangle. Policies, laws, agreements and regulations underpin institution building and strengthened regional governance.

Data Collection and Analysis Methodology: Project managers from each US CTI partners will submit information semi-annually to the Coral Triangle Support Partnership (CTSP) and the PI. The PI will consolidate this information into an Excel spreadsheet with an accompanying narrative.

Disaggregate: By country and by theme (e.g. MPA, integrated coastal management, fisheries etc.)

Data source: Designated national agencies within Coral Triangle countries with authority over marine areas in coordination with field management teams and non-government organizations.

Data Verification: Copies of policies and laws or other indicator accomplishments will be available for verification.

Baseline Information: The baseline is October 2011.

Indicator 4: Number of people receiving training in natural resources management and/or biodiversity conservation as a result of USG assistance	FY	Planned	Actual
	09	40	25
	10	100	108
	11	150	41
	12	100	
	13	50	
	TOTAL	440	133

Unit of measure: Number of persons receiving training in ecosystem approach to fisheries management (EAFM), MPA management, integrated coastal management, climate change adaptation, and other training activities conducted under the US CTI Program

Definition: The number of individuals participating in learning activities intended for teaching or imparting knowledge and information on natural resources management and biodiversity conservation with designated instructors, mentors or lead persons, learning objectives, and outcomes, conducted fulltime or intermittently.

This includes formal and non-formal training activities, and consists of transfer of knowledge, skills, or attitudes through structured learning and follow-up activities, or through less structured means to solve problems or fill identified performance gaps. Training can consist of long-term academic degree programs, short- or long-term non-degree technical courses in academic or other settings, non-academic seminars, workshops, on-the-job learning experiences, observational study tours, or distance learning exercises or interventions. Subject areas include: EAFM, MPAs and MPA networks, integrated coastal management, climate change adaptation, sustainable financing, and other training activities relevant for coastal and marine management and conservation in the Coral Triangle.

Rationale: Capacity building for legislation, policy, environmental management and enforcement will be critical to the creation and effective management of fisheries, MPAs and Networks and adaptation to climate change across the region.

Data Collection and Analysis Methodology: Data will be recorded at each training activity, and combined using an Excel spreadsheet. A short narrative (paragraph) on the types, methodologies and subjects of trainings will be provided. All training data will be submitted through Trainet of the US Government.

Disaggregate by: Country, Gender and by Subject Area

Data source: Designated national agencies within Coral Triangle countries with authority over marine areas in coordination with field management teams and non-government organizations.

Data Verification: Sign-up sheets that show lists of participants in trainings by day, gender and subject area verifiable through credible data sources. Information on follow-up contact with trainees available.

Baseline Information: Baseline October 2011 assumed to be zero.

Indicator 5. Number of laws, policies, agreements, or regulations addressing climate change proposed, adopted, or implemented as a result of USG assistance	FY	Planned	Actual
	09	0	0
	10	0	0
	11	2	1
	12	0	
	13	0	
	TOTAL	2	0

Unit of measure: Number of laws, policies, agreements, or regulations

Definition: Policies, laws, agreements and regulations include those formed and formally endorsed by government, non-government, civil society, and/or private sector stakeholders with the intent to explicitly address climate change. Policies may also contribute to addressing climate change by addressing related sectors like forests, land use and agriculture, and urban development. Because many policies may affect climate indirectly, it is essential that the indicator narrative explains how the policies contribute to addressing climate change.

Rationale: The formal and informal institutional structures in the form of laws, policies, agreements, and regulations are essential aspects of many USAID programs because they provide the enabling environment on which actions are built and maintained.

Data Collection and Analysis Methodology: Data will be collected using an Excel spreadsheet, with accompanying short narratives (paragraphs) on the details of the benefits from each law, policy, agreement or regulation related to climate change adaptation.

Disaggregate by: Country and level of implementation (e.g., regional, national and site)

Data source: Designated national agencies within Coral Triangle countries with authority over marine areas in coordination with field management teams and non-government organizations.

Data Verification: Copies of policies and laws or other indicator accomplishments will be available for verification.

Baseline Information: Existing policies, laws, agreements or regulations that already conform to the criteria for this indicator as of October 2011.

Indicator 6. Number of public-private partnerships formed as a result of USG assistance	FY	Planned	Actual
	09	0	0
	10	3	0
	11	3	3
	12	2	
	13	2	
	TOTAL	10	0

Unit of measure: Number of public-private partnerships formed supporting regional, national institution building and governance, including strengthened local or site management and seascape management

Definition: A partnership is considered formed when there is a clear agreement, usually written, to work together to achieve a common objective. There must be either a cash or in-kind significant contribution to the effort by both the public and the private entity. An operating unit or an implementing mechanism may form more than one partnership with the same entity, but this likely to be rare. Public entities include: the USG, developed country governments, multilateral development institutions, national governments of developing countries, and universities or other arms of national governments. For-profit enterprises and non-governments organizations (NGOs) are considered private. In counting partnerships we are not counting transactions.

Rationale: This indicator measures USG leveraging of public and private resources to regional institution building and governance, including strengthened target area management and seascapes, which is critical to improved and sustained management.

Data Collection and Analysis Methodology: Public-private partnerships that have been established with CTI support will be analyzed. To the extent that the partnerships support better regional management and coordination, they will be incorporated into an Excel spreadsheet with brief accompanying narrative that explains the public-private partnership.

Disaggregate by: Country.

Data source: Designated national agencies within Coral Triangle countries with authority over marine areas in coordination with field management teams and non-government organizations.

Data Verification: Lists of partnerships verifiable through credible data sources and explanations.

Baseline Information: Baseline is October 2011, assumed to be zero. All new partnerships will contribute to this indicator.

ANNEX 2. WORKPLAN ACTIVITY AND STATUS TABLES

ACTIVITY	IR	Activity Number	Indicator	FY11				Program Lead	Partners
				Q1	Q2	Q3	Q4		
Program Integration and Support									
Prepare Consolidated US CTI Support Program Annual Work Plan	-	P11-I.S-001	-	☐				PI	CTSP, NOAA
Prepare Consolidated US CTI Support Program Performance Management Plan	-	P11-I.S-002	-	☐				PI	CTSP, NOAA
Prepare Consolidated US CTI Support Program Annual Report	-	P11-I.S-003	-				☐	PI	CTSP, NOAA
Respond to communications and other materials needs of RDMA	-	P11-I.S-004	-	☐	☐	☐	☐	PI	CTSP, NOAA
Compile planning and performance data for RDMA	-	P11-I.S-005	-	☐		☐		PI	CTSP, NOAA
Prepare Weekly, Monthly and Quarterly US CTI Support Program Updates	-	P11-I.S-006	-	☐	☐	☐	☐	PI	CTSP, NOAA
Conduct Biweekly Core Team Calls	-	P11-I.S-007	-	☐	☐	☐	☐	PI	CTSP, NOAA
Conduct Quarterly Program Coordination Group (PCG) Calls	-	P11-I.S-008	-	☐	☐	☐	☐	PI	CTSP, NOAA
Support follow up and review of actions taken on Midterm Review Recommendations	-	P11-I.S-009	-		☐		☐	USAID	CTSP, NOAA
Plan and Facilitate Program Coordination Meeting	-	P11-I.S-010	-			☐		PI	CTSP, NOAA
Conduct biweekly CTI Partner Coordination Teleconference Meetings	-	P11-I.S-011	-	☐	☐	☐	☐	PI	CTI Partners
Support any US-hosted CTI Partner Coordination Meetings	-	P11-I.S-012	-	☐			☐	PI	CTI Partners
R1. Regional and national platforms strengthened to catalyze and sustain integrated marine and coastal management in the Coral Triangle									

ACTIVITY	IR	Activity Number	Indicator	FY11				Program Lead	Partners
				Q1	Q2	Q3	Q4		
Output 1: Functional TWGs Strengthened									
Support establishment of CTI Technical Working Groups for Goals 2, 3 and 4 of the RPOA (EAFM, MPA, CCA)	1.2	P11-1.2-001	PM3	?				PI	CTSP
Support first meeting of CCA TWG in conjunction with 2 nd CCA Regional Exchange	1.2	P11-1.2-002	PM4			?		PI	CTSP, NOAA
Coordinate support to EAFM TWG with CTSP and NOAA	1.2	P11-1.2-003	PM3		?			CTSP	CTSP, NOAA
Coordinate support to MPA TWG with CTSP and NOAA	1.2	P11-1.2-004	PM3			?		CTSP	CTSP, NOAA
Conduct a review of existing legal and policy frameworks for IMCM in the region to identify commonalities, differences, and gaps	1.1	P11-1.1-001	PM5		?			PI	N/A
Develop concept paper on IMCM with CTI WGs and CTI Mayor's Round Table that builds on commonalities among CT6 and incorporates RPOA goals	1.1	P11-1.1-002	PM5		?	?		PI	CTSP, NOAA
Work with LGAs to identify a common set of benchmarks to operationalize IMCM as a basic service of local government	1.1	P11-1.1-003	PM5			?	?	PI	CTSP, NOAA
Output 2: Regional Secretariat/IEC Operations Enhanced									
Assist Secretariat and CT6 in coordinating efforts of CTI bodies (M&E WG, CCA TWG, Science Advisory Board)	1.2	P11-1.2-005	PM3	?	?	?	?	PI	Secretariat, CT6, Partners
Support Regional Secretariat and CT6 in conducting annual CTI Regional Priorities Workshop	1.2	P11-1.2-006	PM3 PM4			?		PI	Secretariat, CT6, Partners
Assist Secretariat and CT6 in following up and tracking progress in addressing regional priorities	1.2	P11-1.2-007	PM3	?	?	?	?	PI	Secretariat, MEWG
Form regional IEC team to support CTI Secretariat, LGUs and partners to develop a Learning Destinations initiative	1.2	P11-1.2-008	PM3 PM4		?			PI	CTSP, Secretariat, NCCs, LGAs
Support packaging of Wakatobi as first Learning Destination, including interpretative center, to present as 1 st Mayors' Roundtable	1.2	P11-1.2-009	PM4		?	?	?	PI	CTSP, Secretariat, NCCs, LGAs

ACTIVITY	IR	Activity Number	Indicator	FY11				Program Lead	Partners
				Q1	Q2	Q3	Q4		
Support preparation and packing of Learning Destinations in all CT6 countries in coordination with CTSP (integration sites)	1.2	P11-1.2-010	PM4		?	?		PI	CTSP, Secretariat, NCCs, LGAs
Conduct Executive Course and 2 nd Mayors Roundtable with science forum to launch 2 nd Learning Destination	1.2	P11-1.2-011	PM4				?	PI	CTSP, Secretariat, NCCs, LGAs, NOAA
Output 3: University Network/Science Advisory Group Enhanced	1.3								
Support CTC in facilitating establishment of a CTI regional learning network of universities, including a regional LN design workshop	1.3	P11-1.3-001	PM3 PM4	?	?			PI	CTSP
Identify and provide small grants to universities to support CTI goals and actions and share learning across the region	1.3	P11-1.3-002	PM4	?	?				CTSP
Support the development of thematically related learning networks to address CTI goals including CTI TWG and Regional Team efforts through regional exchange activities	1.3	P11-1.3-003	PM4		?	?	?	PI	CTSP, NOAA
Support CTI in establishing a Science Advisory Group in collaboration with ADB and other partners	1.2	P11-1.2-012	PM3	?	?	?	?	ADB	CTSP, NOAA
Output 4: Local Government Alliance & Public-Private Partnerships Strengthened	1.4								
Conduct Wakatobi assessment using FISH benchmarks and draft Learning Destination profile in collaboration with FISH Project team	1.2	P11-1.2-001	PM4	?				PI	FISH Project
Conduct initial CTI Mayor's Round Table to develop strategy for incorporating IMCM as a basic service of local government	1.2	P11-1.2-001	PM4		?			PI	CTSP, LGAs
Provide small grant to regional LGAs for capacity development	1.2	P11-1.2-001	PM4		?	?	?	PI	CTSP, LGAs
Conduct EBFM training for Local Chief Executives, key technical staff and municipal-level legislators in integration sites in association with LGAs in each CT country	1.2	P11-1.2-001	PM4		?	?		PI	CTSP, LGAs
Conduct 2nd CTI Mayors' Roundtable with focus on Science Forum	1.2	P11-1.2-001	PM4				?	PI	CTSP, NOAA, LGAs
Support CT6 efforts in developing 6 public-private partnerships based on the Regional Exchange held in Manila in January 2010	1.4	P11-1.4-001	PM6	?	?	?	?	PI	WWF, CTSP

ACTIVITY	IR	Activity Number	Indicator	FY11				Program Lead	Partners
				Q1	Q2	Q3	Q4		
Incorporate public-private partnership development strategies into regional IEC Learning Destinations strategy for CTI	1.4	P11-1.4-002	PM6			?	?	PI	WWF, CTSP, LGUs Secretariat, NCCs
Issue small grant to assist Malaysia in conducting 2 nd Regional CTI Business Forum	1.4	P11-1.4-003	PM6	?				PI	WWF, CTSP, ASLI
Support Malaysia in hosting 2nd Regional CTI Business Forum and CT6 participation including presenting new public-private partnerships developed	1.4	P11-1.4-004	PM6				?	PI	WWF, CTSP, ASLI, Partners
R2. Ecosystem approach to fisheries management improved in CT countries									
Output 5: EAFM/Integrated Framework Adopted									
Facilitate collaboration to develop a “common regional framework for legislation and policy” that would support EAFM	2.1	P11-2.1-001	PM3 PM4	?	?	?	?	CTSP	NOAA
Provide grant to University of Malaysia Sabah to develop and conduct a modular short course for CT6 participants on ecosystem-based approaches to fisheries management	2.2	P11-2.1-002	PM4		?			PI	CTSP, URI, Malaysia NCC, CTC, NOAA
Output 6: LRFT Standards Developed									
Support CT6 representation at APEC Fisheries TWG to present CTI positions and recommendations on LRFT	2.2	P11-2.2-001	PM3		?			WWF	WWF, CTSP, NOAA
Conduct regional LRFT meeting of market and producer countries to address necessary measures to sustain the trade	2.2	P11-2.2-002	PM3 PM4				?	PI	WWF, CTSP, NOAA
R3. Marine protected area management improved in CT countries									
Output 7: MPA/Integrated Framework Adopted									
Conduct MPA Regional Exchange to introduce management effectiveness protocol and develop roadmap for CTMPAS establishment	3.1	P11-3.1-001	PM4		?			CTSP	CTSP, NOAA
R4. Capacity to adapt to climate change improved in CT countries									
Output 8: CCA/Integrated Framework Adopted (Region-wide Early Action Plan)									

ACTIVITY	IR	Activity Number	Indicator	FY11				Program Lead	Partners
				Q1	Q2	Q3	Q4		
Conduct legal and policy review and analysis for CCA	4.1	P11-4.1-001	PM5	☐				PI	CTSP, NOAA
Conduct Regional CCA Policy Exchange to develop framework for REAP	4.1	P11-4.1-002	PM5	☐	☐			CTSP, PI	NOAA
Develop work plan with CCA WG to prepare CCA REAP	4.1	P11-4.1-003	PM5					PI, NOAA	NOAA
Conduct Regional CCA Exchange to adopt common VA methodologies and identify resilience best practices	4.1	P11-4.1-004	PM4 PM5		☐			PI, NOAA	NOAA, CTSP
Present CCA REAP framework and CCA road map to SOM and CTICOM	4.1	P11-4.1-005	PM5			☐		PI, NOAA	CTSP
Conduct workshop to draft CCA REAP, identify priority regional projects for funding	4.1	P11-4.1-006	PM5			☐	☐	PI, NOAA	CTSP
Output 9: CCA Vulnerability & Adaptation Assessment Tools Adopted									
Provide grants to CRC/URI and UP MSI to adapt, replicate and regionally institutionalize its CACC Course focusing on CCA vulnerability assessment	4.2	P11-4.2-001	PM4	☐					CTSP, NOAA
Conduct CCA subregional course in Pacific	4.2	P11-4.2-002	PM4			☐			URI, MSI, CTSP, NOAA
Conduct CCA subregional course in Southeast Asia	4.2	P11-4.2-003	PM4			☐			URI, MSI, CTSP, NOAA