



USAID | **GUATEMALA**
FROM THE AMERICAN PEOPLE

TRANSPARENCY PROJECT IN GUATEMALA

YEAR 5 WORK PLAN

(OCTOBER 2013 – 15 DECEMBER 2013)

October 2013

This publication was produced for review by the United States Agency for International Development.

It was prepared by Tetra Tech

Prepared for the United States Agency for International Development, USAID Contract Number DFD-I-00-08-00067-00, Task Order 02, Guatemala Transparency Program, under the Encouraging Global Anticorruption and Good Governance Effort (ENGAGE) Indefinite Quantity Contract (IQC)

Implemented by:
Tetra Tech
P.O. Box 1397
Burlington, VT 05402

TRANSPARENCY AND INTEGRITY PROJECT (PTI) IN GUATEMALA

YEAR 5 WORK PLAN
(OCTOBER 2013 – 15 DECEMBER 2013)

OCTOBER 2013

DISCLAIMER

The authors' views expressed in this publication do not necessarily reflect the views of the United States Agency for International Development or the United States Government.

ACRONYMS

APOINT	<i>Alianza Por la Transparencia</i>
COPRET	Presidential Commission for Transparency and Electronic Government
COMUDES	<i>Consejos Municipales de Desarrollo</i>
CSO	Civil Society Organization
FSCC	<i>Fiscalía de Sección Contra las Corrupción</i>
GoG	Government of Guatemala
GTP	Guatemala Transparency and Integrity Project (<i>Proyecto de Transparencia e Integridad de Guatemala</i>)
IACC	Inter-American Convention Against Corruption
IGER	<i>Instituto Guatemalteco de Educación Radiofónica</i>
INAP	<i>Instituto Nacional de Administración Pública</i>
IR	Intermediate Result
LLR	Lower-Level Result
MCN	<i>Movimiento Cívico Nacional</i>
MESICIC	Committee of Experts of the Follow-up Mechanism
MPJ/FADS	Pro Justice Movement
MP	Public Ministry
PDH	Human Rights Ombudsman (<i>Procurador de Derechos Humanos</i> in Spanish)
RNI	<i>Red Nacional por la Integridad</i>
SUB-IR	Sub Intermediate Result
TSE	<i>Tribunal Supremo Electoral</i>
UNCAC	United Nations Convention Against Corruption
OACNUDH	United Nations Office of the High Commissioner for Human Rights (UNOHCHR in English)
UIP	<i>Unidad de Información Pública</i>
USAID	United States Agency for International Development

Strategic implementation

This work plan covers the 6 weeks of the fifth year in the life of the Guatemala Transparency and Integrity Project (GTIP), reflecting the new implementation period of October 1, 2013 through December 15, 2013.

This work plan responds to the list of activities requested by USAID provided to the Transparency and Integrity Project on October 18, 2013 and subsequently clarified on October 29th, 2013. The detailed Work Plan is presented in matrix format below, structured by requested activities along with a brief response to USAID's requests and a narrative outlining the impact these activities and new time frame will have on the project's overall indicators.

A Monitoring and Evaluation Matrix detailing the project's sub-results and lower level results, targets and indicators is also attached in this Work Plan, with a brief explanation on the GTIP's ability to meet its targets on all the indicators by December 15, 2013.

The Project will coordinate closely and continuously with USAID to assess challenges as they present themselves and to assure that we meet the expected results.

A detailed budget is attached.

Work Plan Matrix

WORK PLAN PRIORITIES 1 November – 15 December 2013			
USAID Priority Activities	Justification	GTIP Comments	Impact on Indicators
SUB IR 2.1 Citizens Capable and Better Informed to Fight against Corruption			
LLR 2.1.1 Increase and Strengthen Participation of Civil Society Organizations in Watchdog Activities and Provide Oversight to Public Authorities and Resources.			
1. Subcontract No.009-2013 with IGER	A tool will be produced that helps the population comprehend how corruption affects each Guatemalan	The subcontractor has agreed to complete and submit 20 draft <i>radionovela</i> episodes along with 20 draft recorded programs explaining each episode of the <i>radionovela</i> , which IGER had planned to broadcast after each episode so that the audience could better understand the topics that were covered. To be completed by November 30. Due to time constraints the episodes will not be edited and the <i>radionovela</i> will not be broadcasted.	While this support coincides with the advocacy activities indicator for LLR 2.1.1, without broadcasting the <i>radionovela</i> , the project will be unable to report this activity towards the achievement of indicators. The target for this indicator will be met with the approval of the activity for AporT
2. Grant GTIP 025 RNI. Observatorios Locales	Strategic partner for nomination processes	The grantee has agreed to complete the following by Nov. 30: 1. Finalize a report documenting the results of the social audits conducted by the 16 citizen observatories on the 16 COMUDES; 2. Organize a meeting with the members of the 16 citizen observatories to present findings, exchange experiences, and define next steps as outlined in the final report on social audits.	GTIP will report 16 social audits, surpassing the target for this indicator of LLR 2.1.1 of 5 social audits.
3. Fully fund [FADS]/MPJ to overview TSE Nomination Process	Strategic partner for Nomination Processes and the only one that has a strategy in place to oversee the election of Magistrate for Supreme Electoral Tribunal	The grantee has agreed to complete the following by November 30: 1. Follow up on the verdict that the <i>Comisión de Legislación y Puntos Constitucionales</i> will issue on October 25. FADS will write an informative report/bulletin with analysis of the verdict; 2. Informative report/bulletin for the magistrates of the TSE on the formation and work of the nomination committees;	With the follow up on the ruling of the Commission, the project will report 1 of 3 institutional changes derived from the social audits. The target for indicator SUB IR 2.1 will not be met

		<ol style="list-style-type: none"> 3. Report on the monitoring of media about the nomination process of the TSE magistrates; 4. Document analyzing the work of the nomination committees for magistrates of the TSE from the legal perspective (including proposals of documents or tools for the committee); 5. Two round table discussion sessions with the media, CSOs, academics, and magistrates on the nomination processes; 6. Two informational bulletins on the topic of nomination of magistrates to the TSE; 7. A press release publicized in four media outlets on the nomination of magistrates to the TSE; 8. Create a communication strategy and public awareness campaign. 	
4. Advocacy strategies on nomination processes (fora, conferences, technical assistance, public events with OACNUDH, to promote coalitions and inter institutional coordination)	USG Mission priority	<ol style="list-style-type: none"> 1. The project will support one forum with OACNUDH programmed for November 6. 2. GTIP proposes continuing the following support to AporT: <ol style="list-style-type: none"> a. Finance two press releases on nomination committees. b. Re-start Martin Arevalo de Leon's contract, the executive secretary of AporT. Through this consultancy, AporT (a coalition of CSOs) will continue to meet daily. 	The project will report 2 advocacy activities if the support to AporT is approved. The project will achieve 50% of its target on this indicator for LLR 2.1.1
LLR 2.1.3 Mass Media publishes high quality information to control corruption and promote accountability.			
5. Subcontract No.0008-2013 with Canal Antigua	Activity needed for achieving targets, and media outlets are key partners for USAID.	<p>The subcontractor will complete the following by Dec. 1 which will include content, among other content, the Nomination Commissions.</p> <ol style="list-style-type: none"> 1. Eight TV programs will be broadcasted during November. Each program will be broadcasted on either a Wednesday or Thursday and also on Sunday. 2. Two articles will be published in the magazine <i>ContraPoder</i> per week (eight total). Based on these articles, the same topics will be addressed in the television programs. 	16 articles/publications will be published. This will allow GTIP to surpass the target for LLR 2.1.3 indicator related to number of articles or publications published
6. Subcontract 005-2013 with Laboratorio de Medios	The activity will contribute to increasing citizen awareness of the phenomena of corruption,	<p>By November 30, the subcontractor has committed to completing and submitting:</p> <ol style="list-style-type: none"> 1. 12 articles 	These additional 16 publications will allow GTIP to surpass the target for the LLR 2.1.3 indicator related to

	the role of the private sector, importance of the Nomination Committee process and the effects of choosing key authorities	<ol style="list-style-type: none"> 2. 1 monograph 3. 3 profiles Those products will be on a variety of topics related to public expenditure and corruption and will be published on the web portal www.ojoconmipisto.com	number of articles or publications published.	
SUB-IR 2.2 Key Executive Branch Institutions with increased capacity to oversee and implement anti-corruption measures				
LLR 2.2.1 Freedom of Information law widely disseminated and implemented by Executive Branch and other national institutions				
7.	Conclude work with PDH. Technical assistance to PDH to increase transparency and promote greater efficiencies in internal procedures. Strength PDH in its role as consciousness Magistrate and defender of citizen's rights	PDH is a key actor for USAID and plays a key role in the nomination processes.	<p>Through November 30, GTIP will do the following:</p> <ol style="list-style-type: none"> 1. Re-start the L. Martinez consultancy to train PDH staff on the tool created to increase transparency on internal processes. Facilitate a forum for PDH on the nomination committees.	These actions do not contribute to a specific indicator; however they strengthen the institution and contribute to the provision of adequate working conditions
8.	Unidades de Acceso a la Información. To organize a closing process with concrete activities (criteria to analyze the Law on Access to Public Information)	Key partners	<p>To achieve this, GTIP will restart S. Gramajo's contract. He will carry out the following:</p> <ol style="list-style-type: none"> 1. Complete and submit three guidelines for interpreting the access to information law by November 30. 2. Submit a report on four trainings given to executive body officials on the guidelines developed. <p>GTIP will re-start activities on subcontract 001 (with <i>Accion Ciudadana</i>). Their final report will address indicators on access to information as a tool that will help the UIP to determine necessary actions to take to comply with the Access to Information Law. This subcontract is in its final phase and will be completed by the end of November. This subcontract will also support the implementation of activities addressed to COPRET and PDH, as detailed in Tetra Tech's October 24th budget notes.</p>	4 UIPs will receive assistance. The target indicator for LLR 2.2.1 will be met.
LLR 2.2.2 A National Anti-Corruption Body created and functioning				
9.	Promote the implementation of anti-corruption measures. Activity addressed to COPRET	Activity needed to achieve targets. COPRET is the entity appointed by Executive Branch to lead the fight against corruption. It is important to keep good relations with GoG.	GTIP staff member A. Castro will continue to provide direct technical support through the end of November. GTIP plans to restart MI Bonilla's consultancy to complete the guide for identifying acts of corruption. GTIP plans to conduct one <i>mesa técnica</i> with Access to Information Units.	These activities will allow GTIP to reach its target on indicator for SUB-IR 2.2 related to 3 anticorruption measures implemented by the executive branch. The target on this indicator will be met.
10.	Strengthening installed capacity on	INAP is a key partner. It is the	The social audit course will be finalized and delivered	50 public officials will be trained and

<p>INAP, to ensure future training activities on transparency issues for public officials</p>	<p>institution in charge of training workers from Executive Branch</p>	<p>directly by GTIP staff member, Ana Castro. GTIP will officially submit written training programs for three other courses (a. electronic government, b. anticorruption and c. open government law) that we will not have time to conduct before closeout.</p>	<p>one anti-corruption measure will be implemented. The target for the indicator of SUB-IR 2.2 will be met.</p>
---	--	---	---

M&E Matrix

Results, Indicators, Targets and Assessment of Impact on Indicators by closing the project on December 15, 2013			
GTIP Results and Sub-Results	GTIP Contract Indicators	Targets (October 2013-March 2014)	GTIP Assessment of Impact on Indicators
SUB IR 2.1 Citizens Capable and Better Informed to Fight against Corruption	Number of concrete changes in government process resulting from social audit activities	3 institutional changes derived from social audits	1 of 3 will be met, however the overall target will not be met
LLR 2.1.1 Increase and Strengthen Participation of Civil Society Organizations in Watchdog Activities and Provide Oversight to Public Authorities and Resources.	Number of Social Auditing Activities carried out with Project support	5 social audits	16 of 5 will be met surpassing the target
	Number of civil society organizations receiving USG assistance engaged in advocacy interventions	2 CSO engaged in advocacy activities	1 of 2 will be met if activities for AporT are approved.
	Number of People affiliated with non-governmental organizations receiving USG supported anti-corruption training. (USG FACTS - GJD 2.4 "F" List indicator)	500 people trained	GTIP will only be able to train 50 people (10% of this target) from October to December 15. The target will not be met.
LLR 2.1.2 Private Sector more active and committed with the fight against corruption.	Number of Activities carried out in alliance with Private Sector entities that promote GoG transparency	2 activities with the private sector	0 of 2. This target will not be met
LLR 2.1.3 Mass Media publishes high quality information to control corruption and promote accountability.	Number of articles / media productions published as result of journalist/media training activities.	12 articles or publications	32 of 12. The target will be surpassed
SUB-IR 2.2 Key Executive Branch Institutions with increased capacity to oversee and implement anti-corruption measures	Number of USG-supported anti-corruption measures implemented by the Executive Branch (USG FACTS - GJD 2.4 F list Indicator / Disaggregated)	3 anti-corruption measures	3 of 3. This indicator will be met.
	Number of Government Officials receiving Project supported anti-corruption training, specifically related to areas of intervention derived from	50 public officials trained	50 officials will be trained. This indicator will be met.

	International Anticorruption Treaties		
	Number of training days provided to executive branch personnel with USG assistance	100 days of executive training	100 days of training will be provided. This indicator will be met.
LLR 2.2.1 Freedom of Information law widely disseminated and implemented by Executive Branch and other national institutions	Number of UAIs receiving technical assistance from the Project	4 UIPs receiving assistance	4 of 4. This indicator will be met.
LLR 2.2.2 A National Anti-Corruption Body created and functioning	National-level working group / task force formed to lead development and implementation of a National Transparency and Anticorruption Policy / Work Plan	YES	This indicator has been met
LLR 2.2.3 International Anticorruption Treaties disseminated and implementation by GoG strengthened	Number of recommendations of the IACC Committee of Experts addressed by the GoG that are supported by the Project	3 IACC recommendations	3 of 3. The target for this indicator will be met.
LLR 2.2.4 Mechanisms to pursue allegations of corruption established and working in key GoG institutions	Number of existing mechanisms (Units) that pursue administrative felonies, and allegations of corruption that receive Project technical assistance	0	No target was defined on this indicator for this period.
Sub-IR 2.3 A more active role of Key Oversight Entities promoted	Number of USG-supported anti-corruption measures implemented by the Key Oversight Entities (USG FACTS - GJD 2.4 Anti-corruption Reforms - F list Indicator / Disaggregated)	3 anti-corruption measures	0 of 3. This target will not be met
LLR 2.3.1 Regulatory framework and ad hoc legislation to combat corruption is promoted by the Congress in performing its oversight role	Number of transparency / anticorruption bills promoted by Congress receiving Project assistance	3 laws	0 of 3. This indicator will not be met
LLR 2.3.2 Mechanisms to pursue corruption cases are established and functioning in the Anticorruption Unit of the Attorney General Office	Number of executed arrest warrants in corruption related cases increases	32 arrest warrants	This indicator will not be met for reasons beyond the control of the project. In FY13 the State Prosecutor's Office Against Corruption (the primary recipient of GTIP support within the MP) underwent a restructuring process, which included the designation of a Director and Deputy Director as well as the redistribution of existing cases among the various units that

			make up the Office. The new Director requested a re-orientation of GTIP support to focus solely on a limited number of high profile, complex cases in order to make the best use of the Project's TA capacity. As a result, GTIP supported only a limited number of processes, rendering the indicator largely irrelevant since only warrants issued following GTIP support can be attributed to the project. And even in those cases there are multiple institutional and process factors completely outside of GTIP's control.
LLR 2.3.3 Controller General improves its performance in selected areas of intervention	Percent of cases under investigation or in "open process" with the Public Ministry derived from audits conducted by Controller's Office	+5.0%	GTIP will not be able to measure this indicator by December 15, 2013 because there will not be enough time for a full assessment against the baseline.

U.S. Agency for International Development
Guatemala
Km 6.5 Final Boulevard Los Proceres
Santa Catarina Pinula
Guatemala, C.A.
Tel: (+502) 2422-4000; Fax: (+502) 2422-4585
<http://www.usaid.gov/gt>